

# Methoden moderner Röntgenphysik: Streuung und Abbildung

---

Lecture 12	Vorlesung zum Haupt- oder Masterstudiengang Physik, SoSe 2019 G. Grübel, <u>F. Lehmkuhler</u> , L. Müller, O. Seeck, L. Frenzel, M. Martins, W. Wurth		
Location	Lecture hall AP, Physics, Jungiusstraße		
Date	Tuesday	12:30 - 14:00	(starting 2.4.)
	Thursday	8:30 - 10:00	(until 11.7.)



# Soft Matter – Timeline

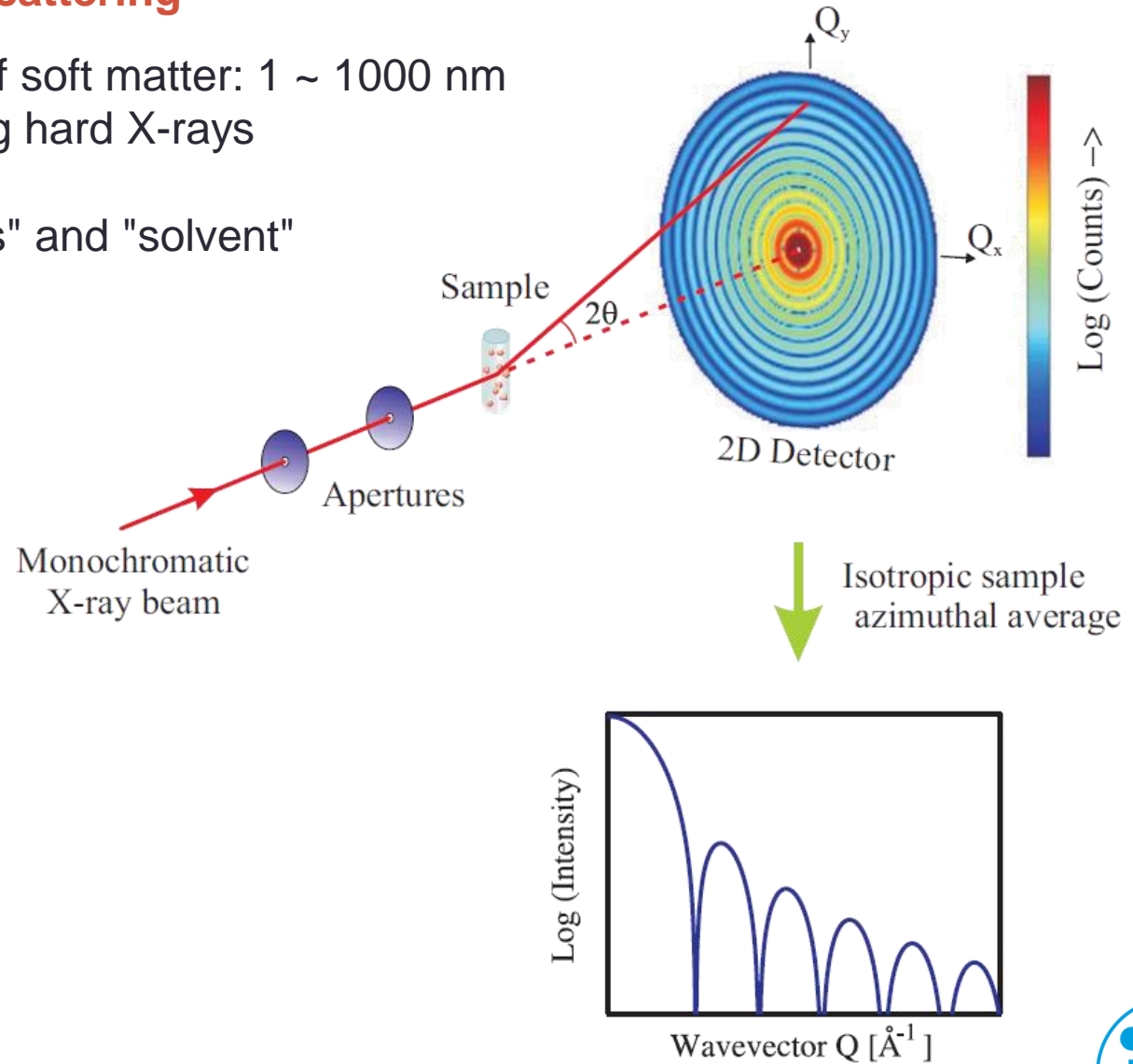
- Di 07.05.2019 Soft Matter studies I: Methods & experiments  
*Definitions, complex liquids, colloids, storage ring and FEL experiments, setups, liquid jets, ...*
- Do 09.05.2019 **Soft Matter studies II: Structure**  
*SAXS & WAXS applications, X-ray cross correlations, ...*
- Di 14.05.2019 Soft Matter studies III: Dynamics  
*XPCS applications, diffusion, dynamical heterogeneities, ...*
- Do 16.05.2019 XPCS and XCCA simulation and modelling
- Di 21.05.2019 Case study I: Glass transition  
*Supercooled liquids, glasses vs. crystals, glass transition concepts, structure-dynamics relations, ...*
- Do 23.05.2019 Case study II: Water  
*Phase diagram, anomalies, crystalline and glassy forms, FEL studies, ...*



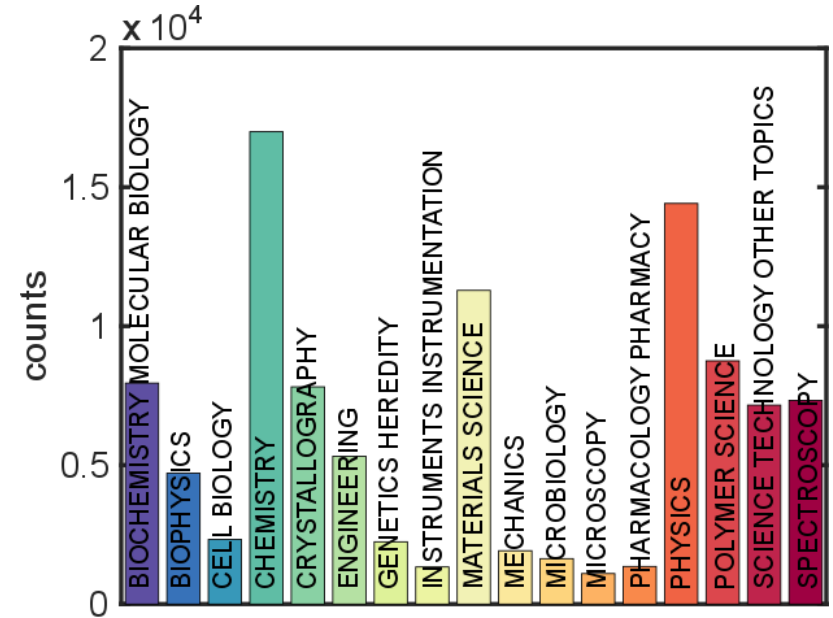
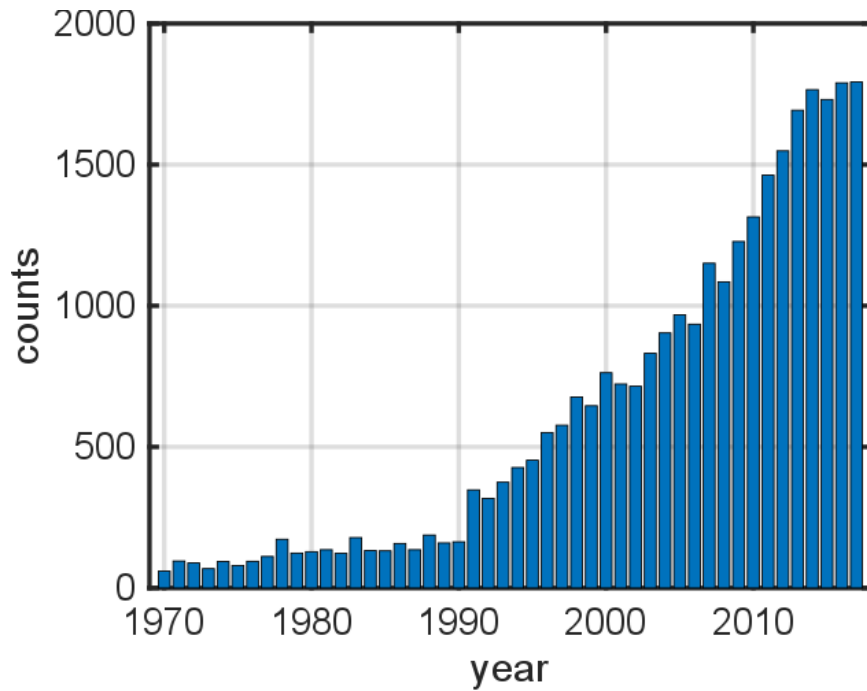
## Small-angle X-ray scattering

Typical dimensions of soft matter: 1 ~ 1000 nm  
→ Small angles using hard X-rays

Soft matter: "particles" and "solvent"



## Small-angle X-ray scattering



Web of knowledge topic search: "Small angle X-ray scattering"

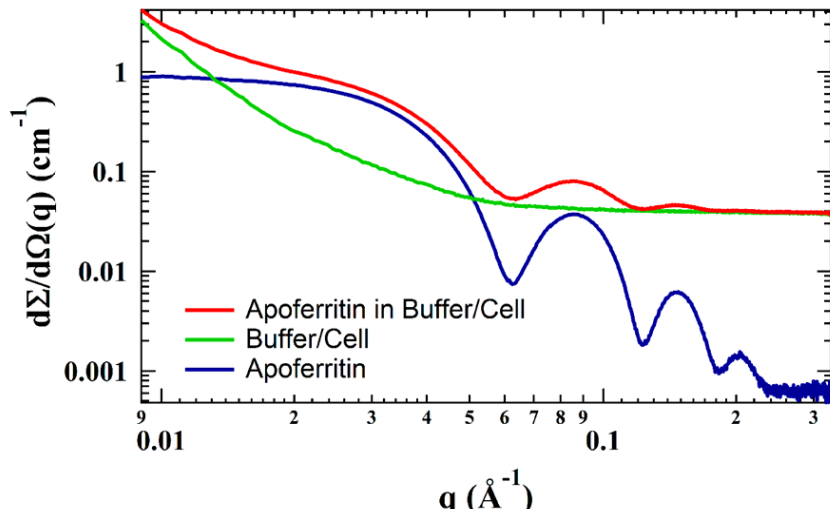
## SAXS – Analysis methods: Formfactor

Lecture 7:  $I_{\text{SAXS}}(Q) = (\rho_{\text{S},\text{p}} - \rho_{\text{S},\text{0}})^2 \left| \int_{V_p} e^{iQr} dV_p \right|^2$  for particle (p) in solvent (0)

Diluted case: Formfactors

- Spheres:  $F(q) = 3 \frac{\sin(qR) - qR \cos(qR)}{(qR)^3}$
- In general difficult to calculate → numerical approaches
- Soft Matter: Dispersity & (solvent) background

$$I_c = \frac{1}{I_0} \frac{I_{\text{raw}}}{t_e} \frac{I_{\text{dark}}}{t_{\text{dark}}} \cdot \frac{D_p^2}{p^2} \cdot \frac{D_p}{D_0} \Rightarrow I_{\text{particle}} = \frac{I_{c,s}}{d_s T_s} - \frac{I_{c,b}}{d_b T_b}$$

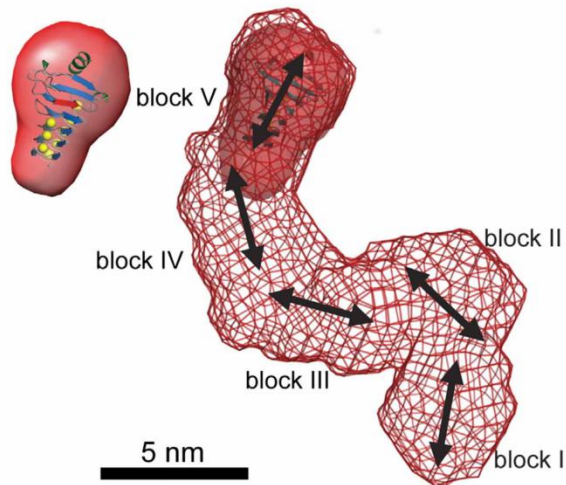
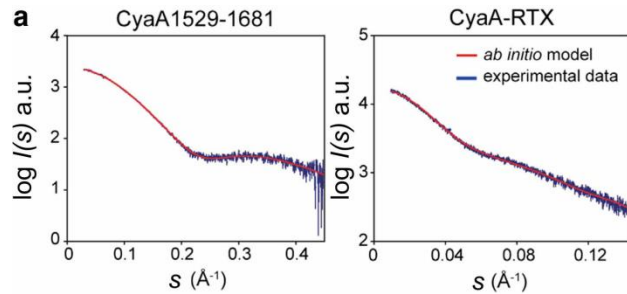


Chem. Rev. 116, 11128 (2016)



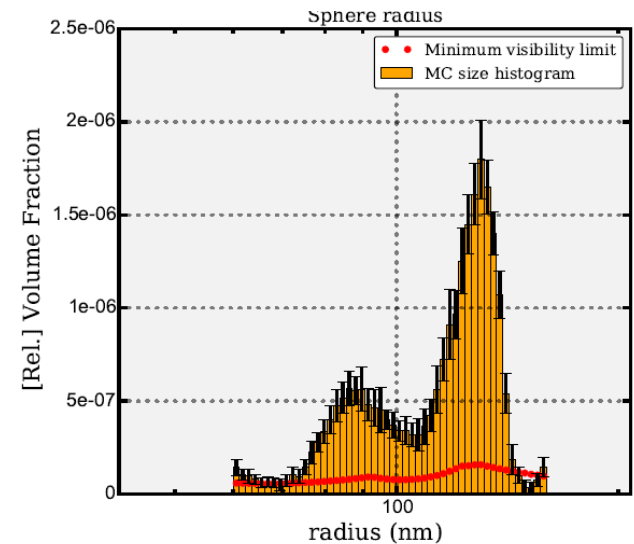
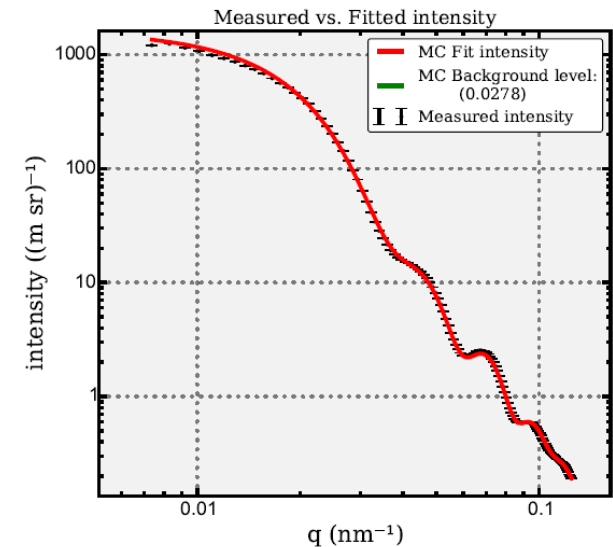
# SAXS – Analysis methods: Formfactor

Ab initio methods (use "dummy" bead models) → BioSAXS



doi:10.1042/ETLS20170138

# Monte-Carlo methods



## SAXS – Analysis methods: Structure factors

From lecture 5 (Kinematical Diffraction I): Structure factor of a liquid (or glass)

$$S(q) = 1 + \rho_0 \int_0^{\infty} \frac{4\pi r}{q} [g(r) - 1] \sin(qr) dr$$

With the radial pair correlation function  $g(r)$ . This relates to the potential of mean force between two particles  $U_{MF}(r)$

$$g(r) = \exp\left(-\frac{U_{MF}(r)}{k_B T}\right)$$

For very dilute systems  $U_{MF}(r)$  equals the interaction potential  $U(r)$ .

Relation of  $S(q)$  or  $g(r)$  and  $U(r)$  → **Ornstein-Zernike equation** relating total correlations  $h(r) \equiv g(r) - 1$  to direct two-particle correlations  $c(r)$  and indirect correlations  $c(|\mathbf{r} - \mathbf{r}'|)$  (i.e. via third particles)

$$h(r) = c(r) + \rho_0 \int c(|\mathbf{r} - \mathbf{r}'|) h(|\mathbf{r}'|) d\mathbf{r}'$$



## SAXS – Analysis methods: Structure factors

$$h(r) = c(r) + \rho_0 \int c(|\mathbf{r} - \mathbf{r}'|) h(|\mathbf{r}'|) d\mathbf{r}'$$

$c(r)$  short range part.

Structure factor  $\rightarrow$  Fourier transform:  $\hat{h}(q) = \hat{c}(q) + \rho \hat{h}(q) \hat{c}(q)$

OZ equation (or its Fourier transform) can be solved using so-called „closure relations“, taking potential  $U(r)$  into account.

Percus-Yevick closure:

$$c(r) = g(r) \left[ 1 - \exp\left(\frac{U(r)}{k_B T}\right) \right]$$

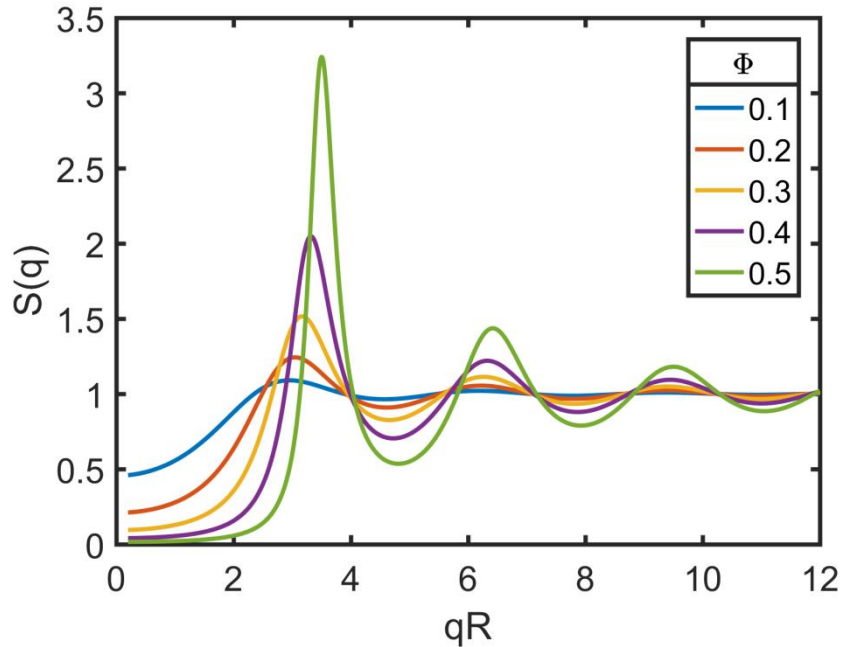
$\rightarrow$  solves the hard-sphere potential  $U_{HS}(r) = \begin{cases} \infty, & r \leq 2R \\ 0, & r > 2R \end{cases}$  analytically.

$\rightarrow$  Mean-spherical approximation closure relation  $c(r) = -\frac{U_{ES}(r)}{k_B T}$  solves electrostatic interactions (DLVO) [ $\rightarrow$  Lecture 11]



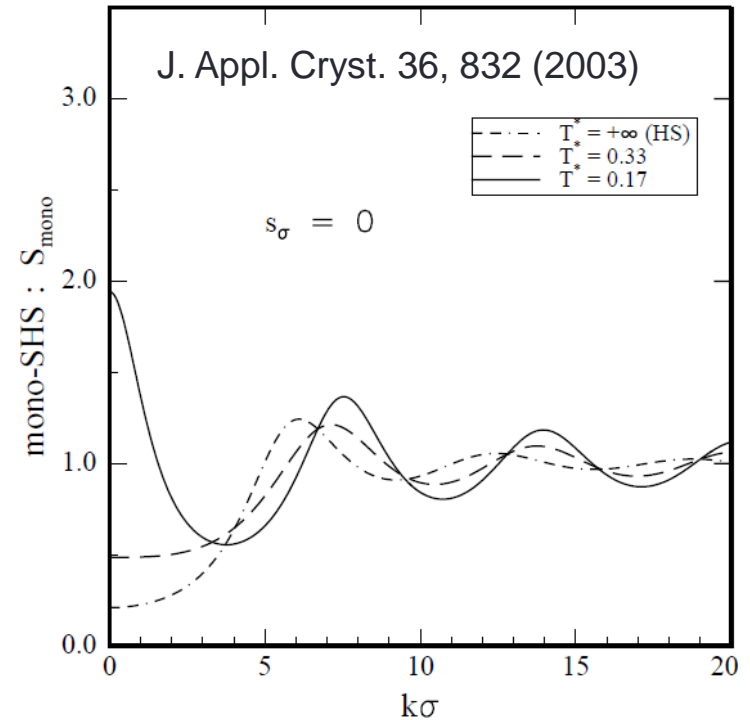


## Structure factors – hard spheres



### Hard spheres

- Volume fraction as only parameter
- Does not include crystallisation/glass transition!
- I.e. typically breaks down close to  $\Phi \approx 0.5$



### Sticky hard spheres

$$\frac{U_{SHS}(r)}{k_B T} = \begin{cases} \infty, & r < \sigma \\ \ln\left(\frac{12\tau\Delta}{\sigma + \Delta}\right), & \sigma \leq r \leq \sigma + \Delta \\ 0, & \sigma + \Delta < r \end{cases}$$

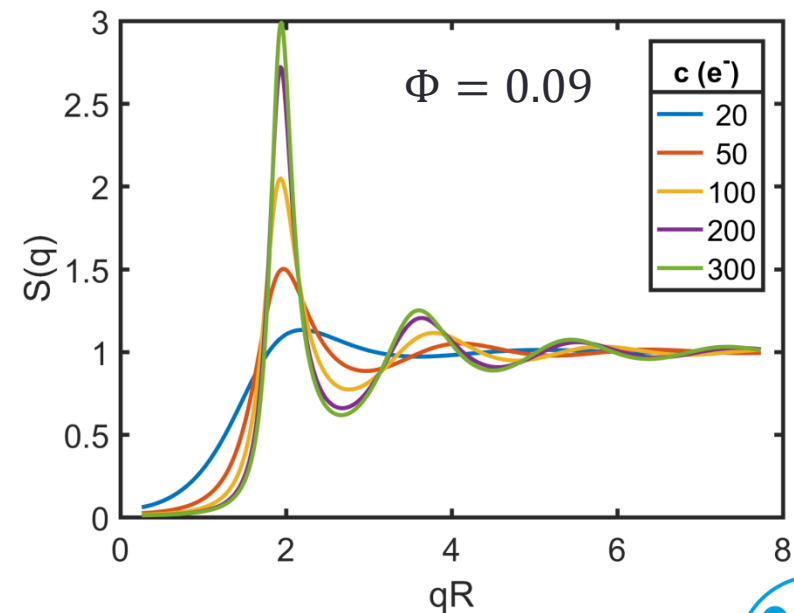
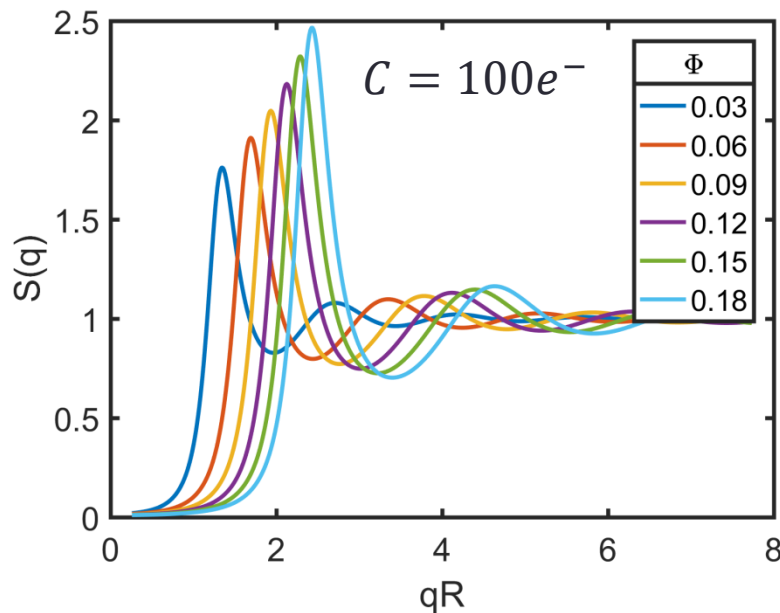
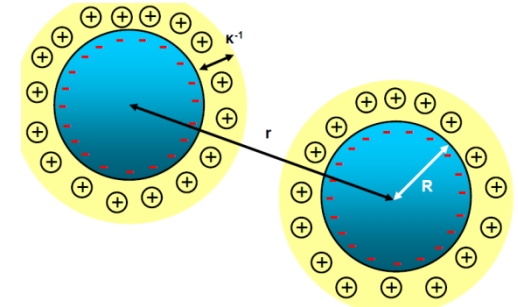


## Structure factors – RMSA

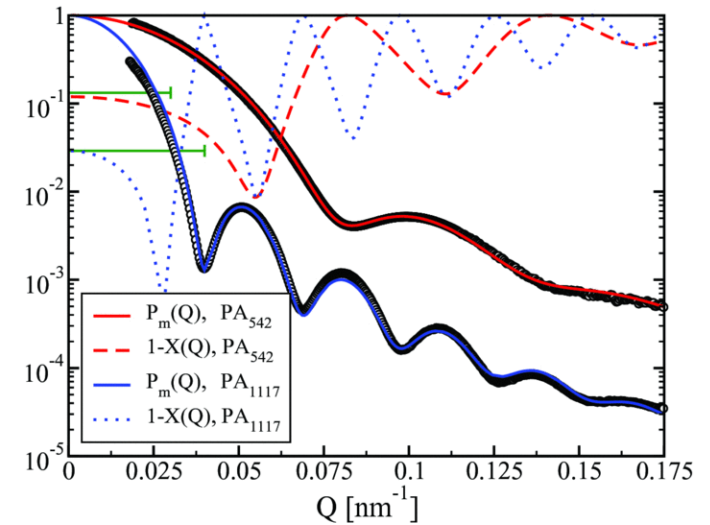
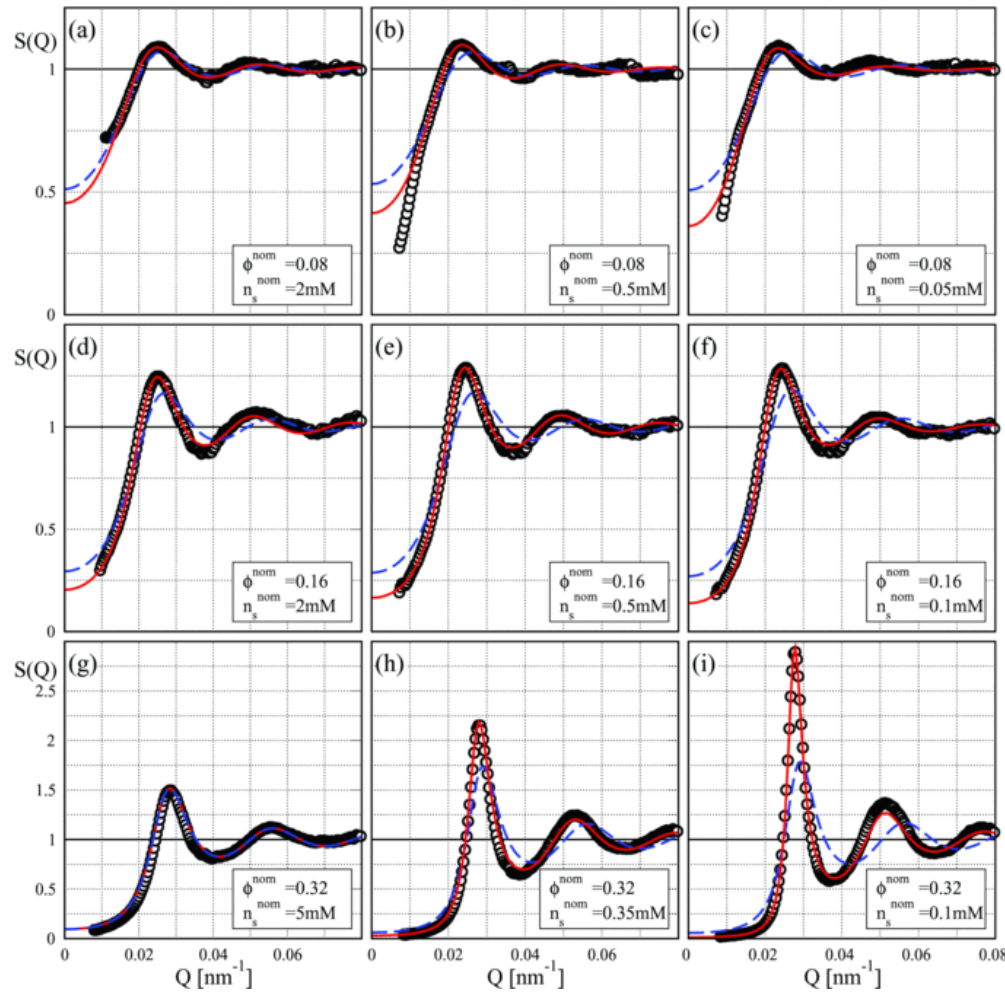
Charge stabilized systems  $\rightarrow$  rescaled mean spherical approximation (RMSA)

Structure factor as function of  $\Phi$ , charge, screening

High screening  $\rightarrow$  hard spheres



## Example 1: Structure and Formfactors from charge stabilized colloids

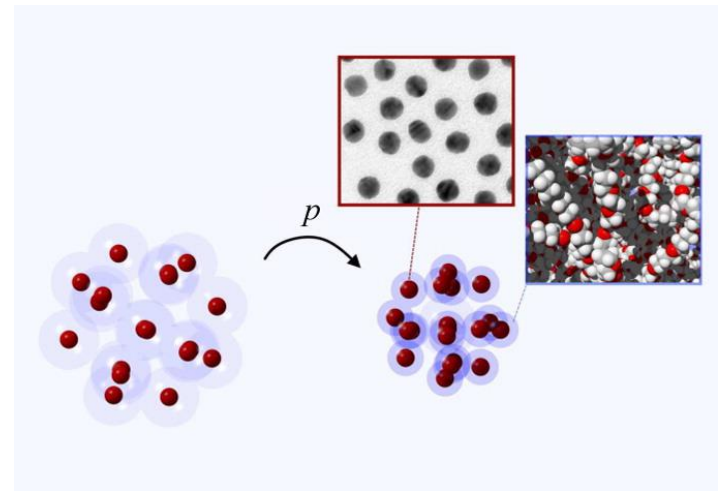


PMMA spheres in water

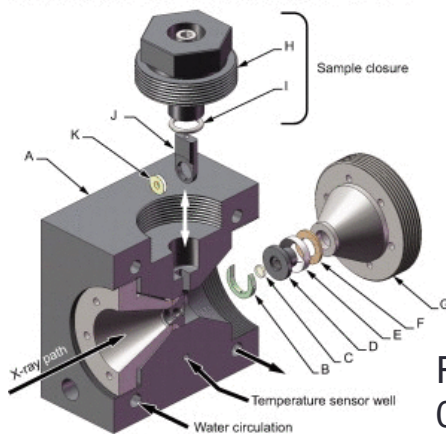
Westermeier et al. JCP 137, 114504 (2012)

## Example 2: High pressure studies

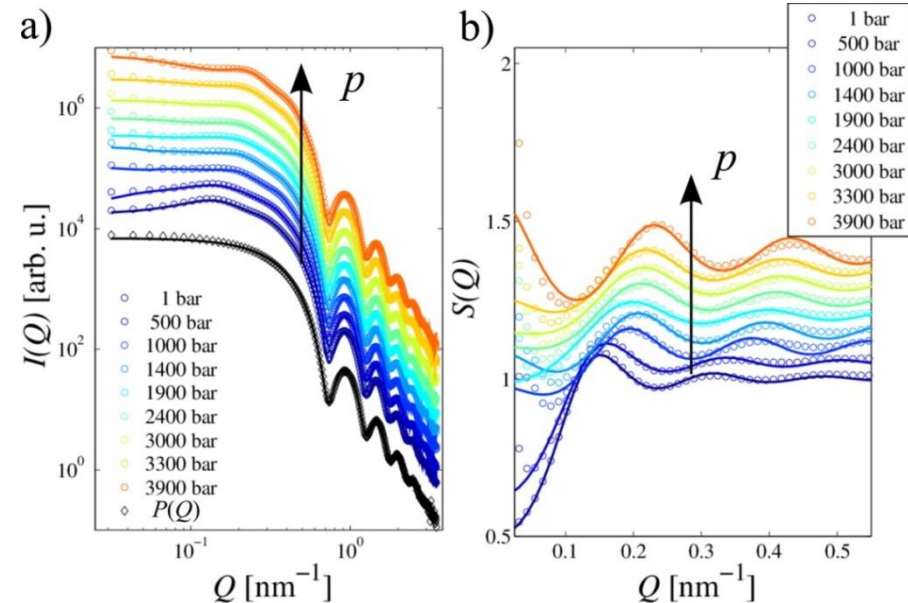
- Structure at high pressures  
 → solid sample chambers (e.g., diamond windows of 500  $\mu\text{m}$  thickness)
- X-rays to penetrate diamond windows (~30-40 % transmission at ~8-10 keV, see [http://henke.lbl.gov/optical\\_constants/](http://henke.lbl.gov/optical_constants/))
- Functionalized core-shell particles at pressures <4 kbar: from repulsion to attraction (sticky hard spheres!)



a. Partly exploded cross section of high pressure cell



Rev. Sci. Instrum. 81, 064103 (2010).

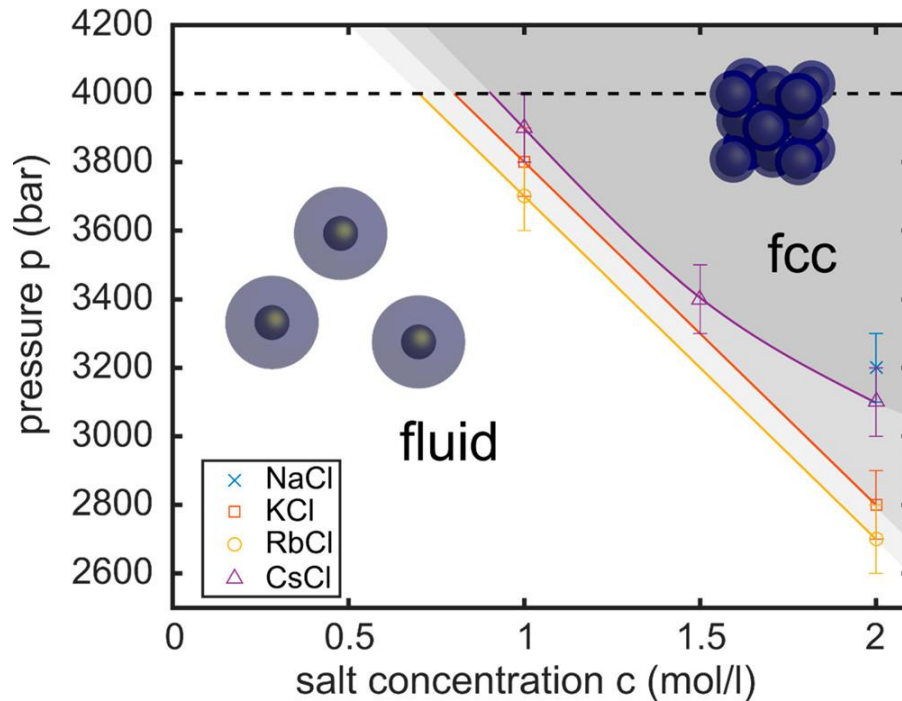


J. Phys. Chem. C 2016, 120, 19856-19861

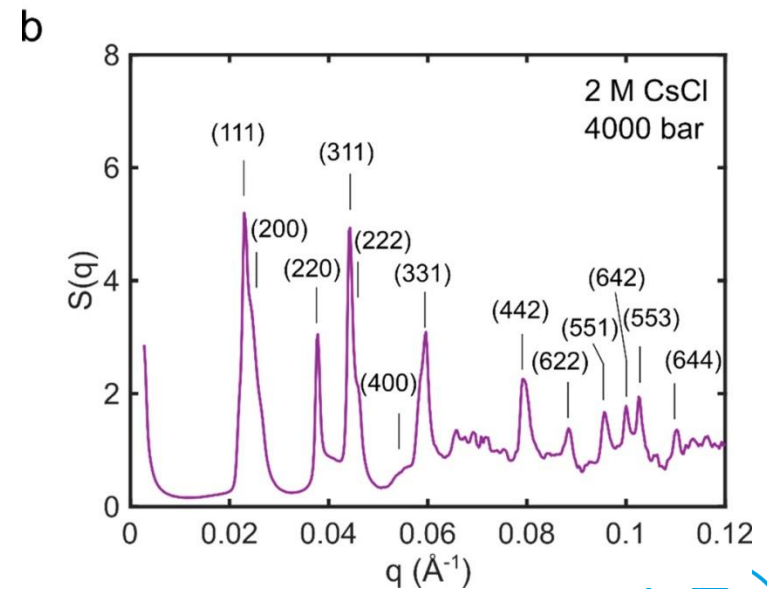
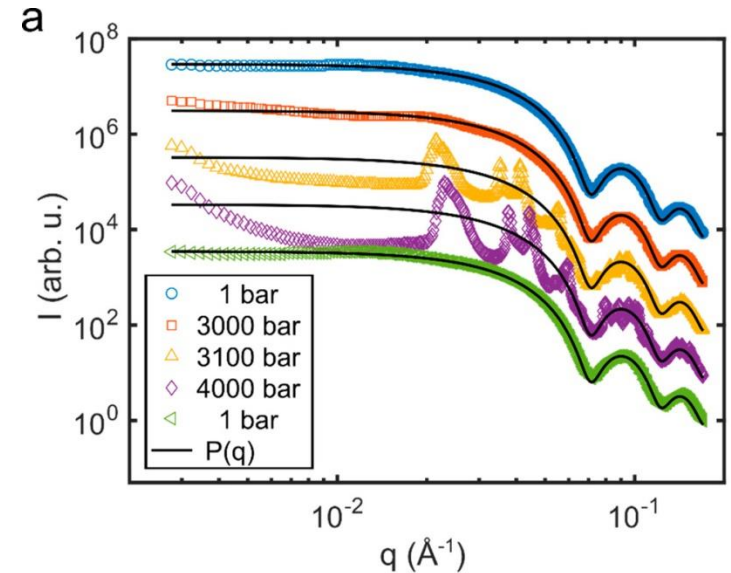


## Example 2: High pressure studies

- Addition of salt  $\rightarrow$  crystallisation at high pressure
- Reason: Solubility of PEG in water

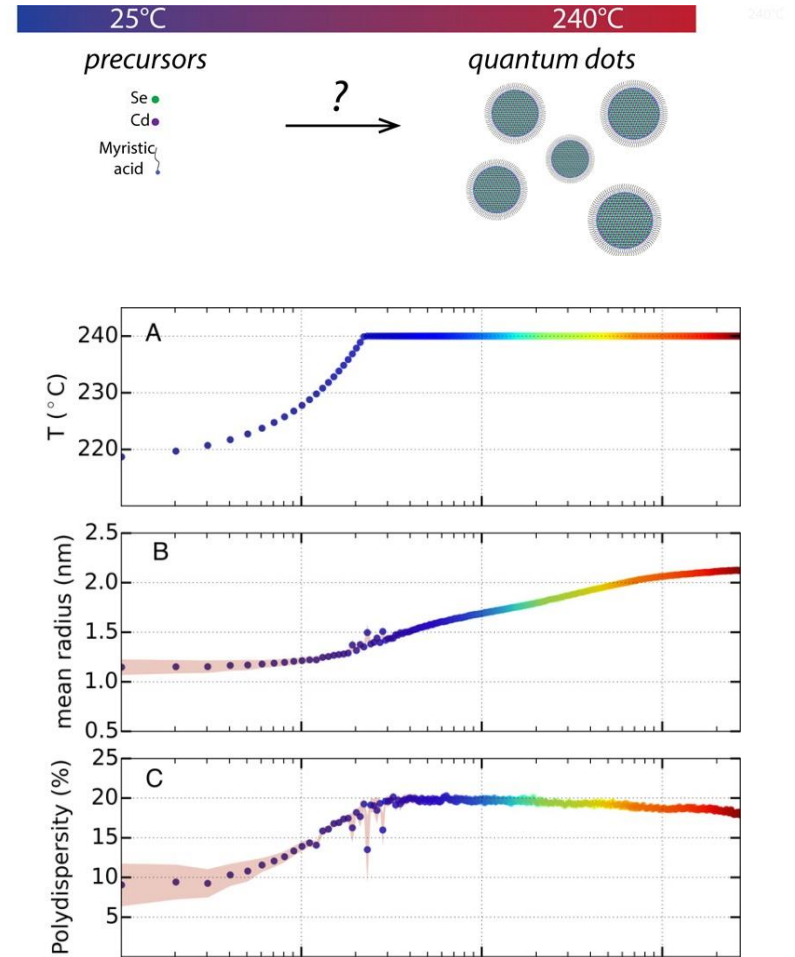
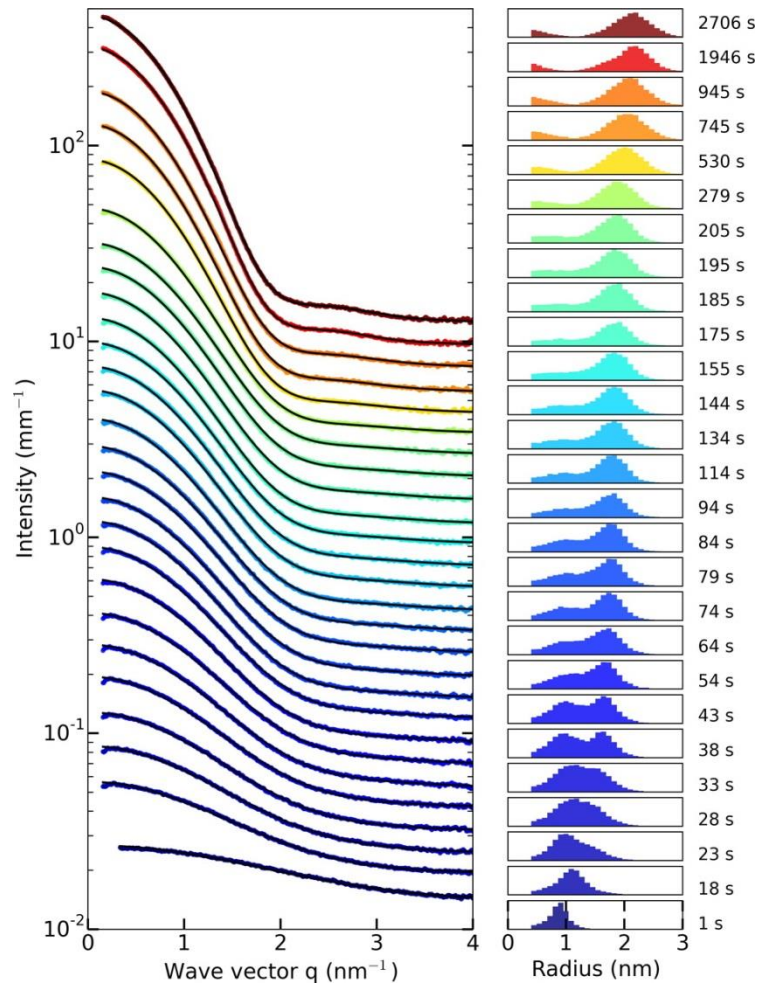


J. Phys. Chem. Lett. 2018, 9, 4720





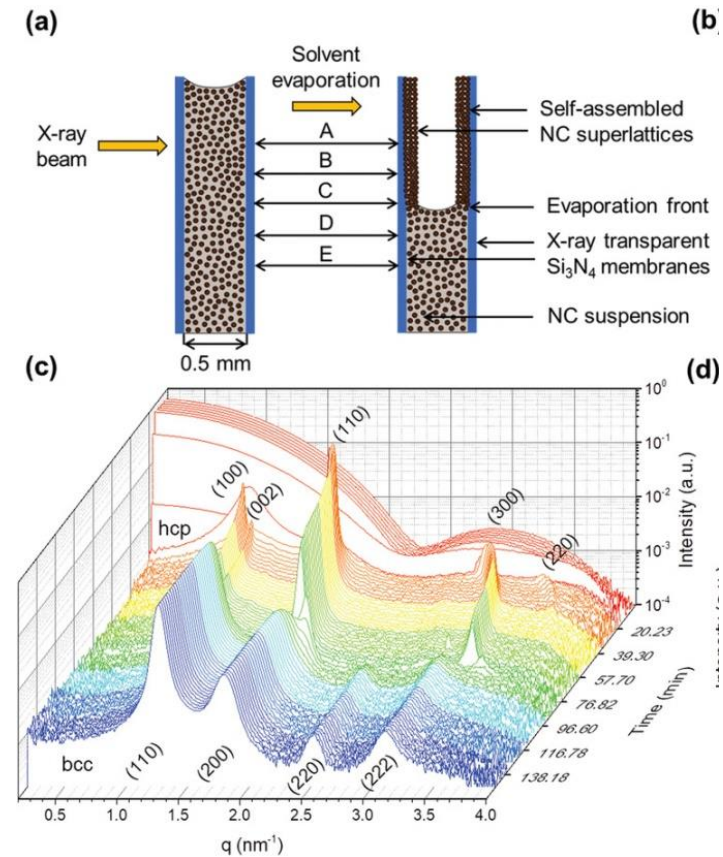
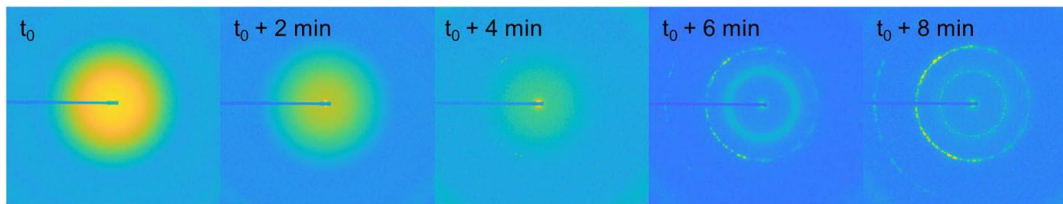
## Example 3: nucleation and growth of quantum dots



B. Abecassis et al. Nano Lett. 15, 2620 (2015)

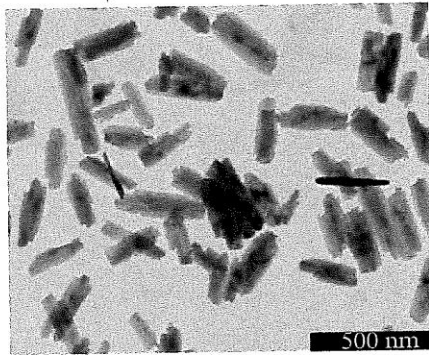
## Example 4: Self assembly of quantum dots / nanoparticles

- Lead sulfate particles (3.9 nm diameter) in heptane / toluene / hexane ...
- Self-assembly to ordered structures upon solvent evaporation → standard route to obtain functional materials made from such nanocrystals
- Track assembly over time: complex phase behaviour

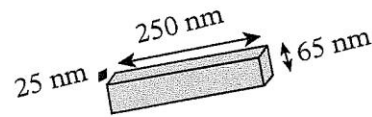


I. Lokteva et al. RSI 90, 036103 (2019) & small 15, 1900438 (2019)

## Example 5: Phase transitions in liquid crystals



(a)

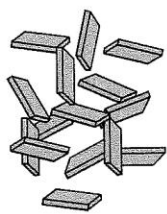
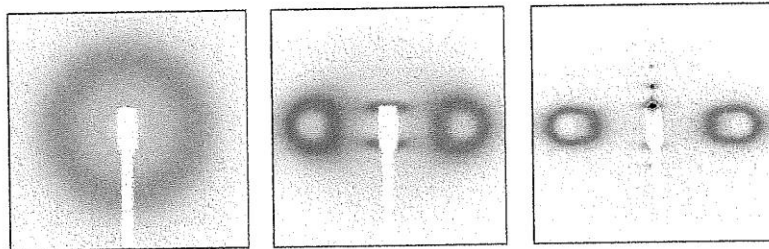


(b)

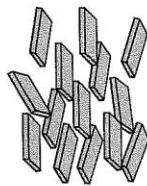
Goethite [ $\alpha$ -FeO(OH)] particles in water may form

- Isotropic
- Nematic
- Smectic

Phases  $\rightarrow$  SAXS



Isotropic



Nematic



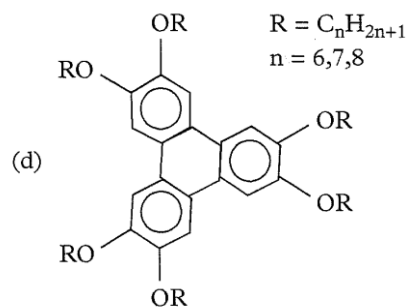
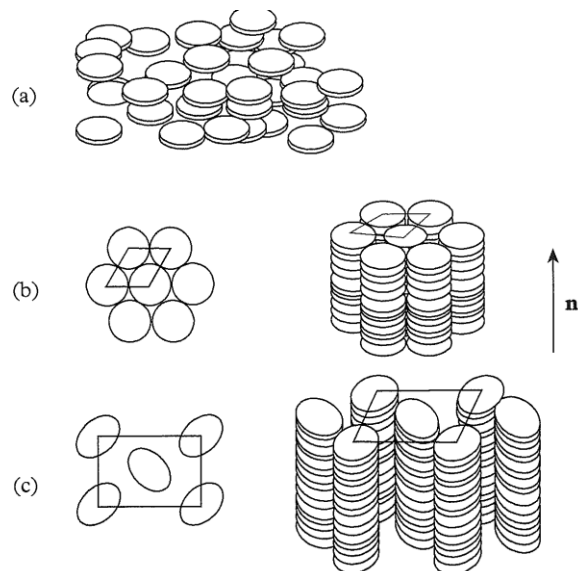
Smectic

de Jeu: "Basic X-ray scattering for Soft Matter", 2016



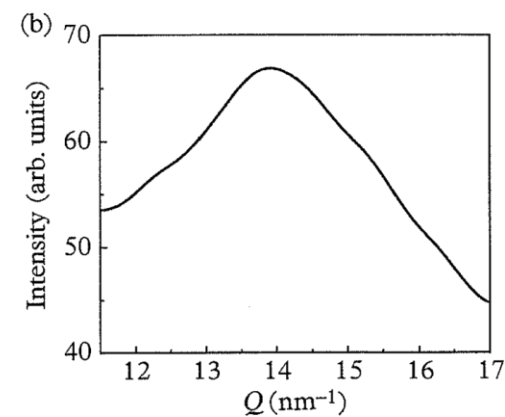
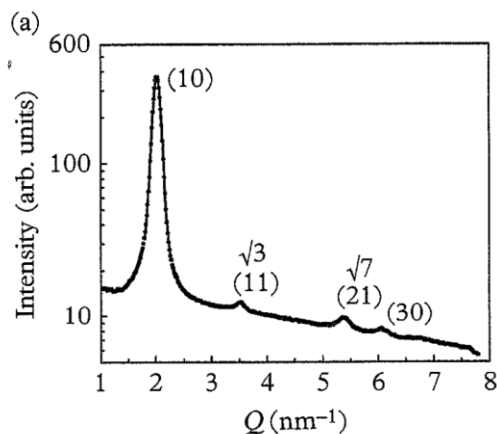


## Example 5: Phase transitions in liquid crystals



### Disc-systems

- (a) Discotic nematic phase
- (b) Hexagonal columnar phase
- (c) Rectangular columnar phase



### Combined SAXS/WAXS from columnar phase

- SAXS: hexagonal intercolumnar order
- WAXS: disorder inside column

de Jeu: "Basic X-ray scattering for Soft Matter", 2016

## Further methods and applications

- Anomalous SAXS → ASAXS
- Scanning SAXS
- Phase transitions and self-assembly
- Time resolved techniques
- SAXS tomography
- BioSAXS
- Grazing-incidence SAXS (GISAXS)
- ...

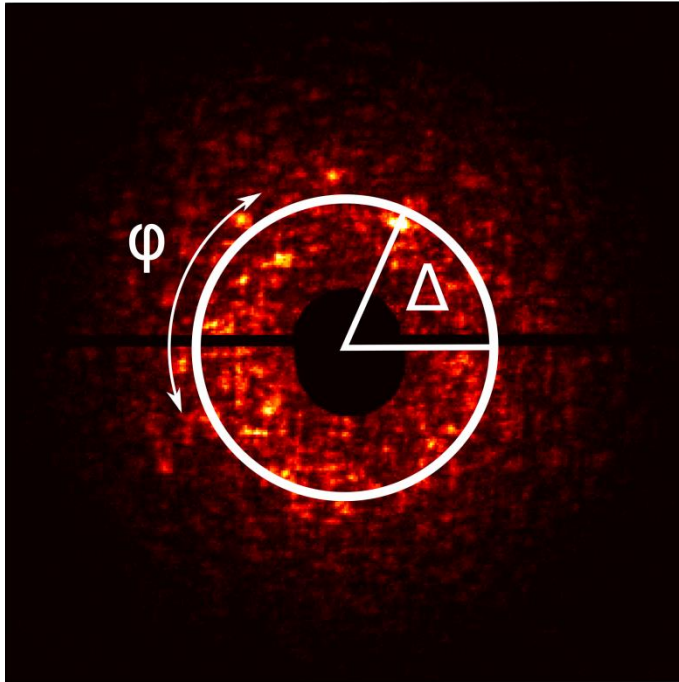


## X-ray cross correlation analysis

SAXS: 1D information (typically)

→ How to make use of the 2D information obtained from a 2D scattering pattern?

→ Angular correlations



1D information (standard SAXS)

- $I(\mathbf{q}) = \langle I(q, \varphi) \rangle_{\varphi} = I(q)$

2D information: Angular correlations

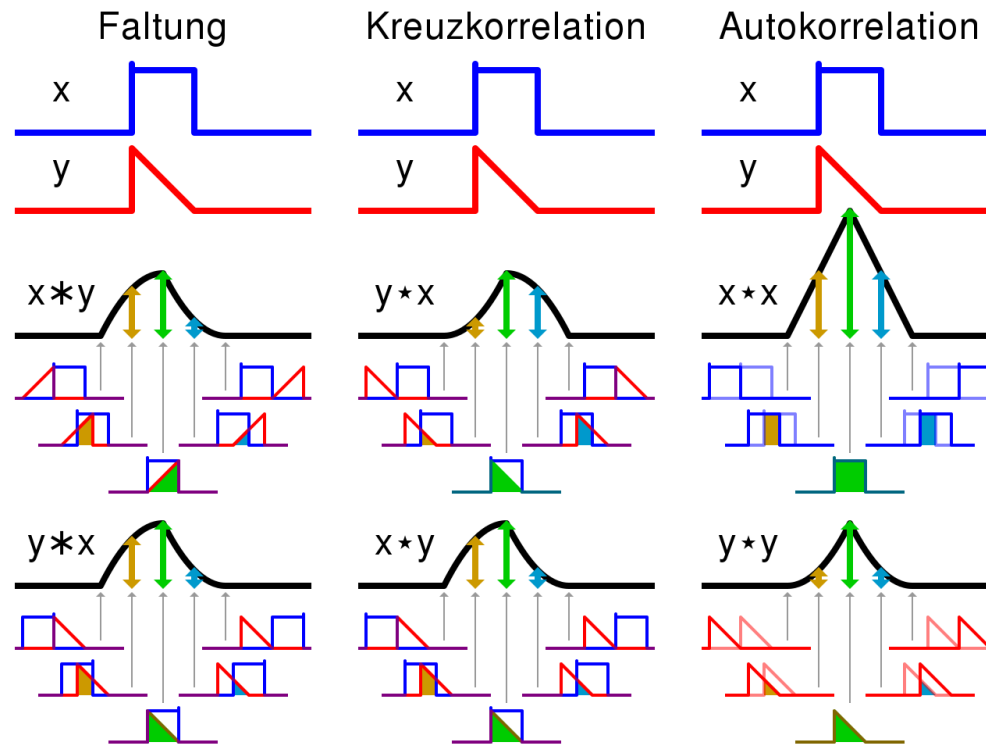
- $C(q, \Delta) = \frac{\langle I(q, \varphi) I(q, \varphi + \Delta) \rangle_{\varphi} - \langle I(q, \varphi) \rangle_{\varphi}^2}{\langle I(q, \varphi) \rangle_{\varphi}^2}$ , i.e.

correlations of fluctuations

- Coherent X-rays
- Two possibilities:
  - Solve structures in solution
  - Hidden symmetries

## Correlation functions

- Quantify correlation (similarity) between two (or more) entities
- Example from signal processing: convolution, cross correlation, autocorrelation



### Common correlation function

- $C(r) = \langle I(r_1)I(r_1 + r) \rangle_{r_1} \rightarrow$  compares a signal (intensity) between two points as a function between their (spatial, temporal, ...) difference  $r$
- **XCCA**: angular correlations  
 $C(\Delta) = \langle I(\varphi)I(\varphi + \Delta) \rangle_{\varphi}$
- **XPCS**: temporal correlations  
 $C(\tau) = \langle I(t)I(t + \tau) \rangle_t$

## X-ray cross correlation analysis

Consider coherent X-ray scattering experiment in transmission geometry (e.g. SAXS) with 2D detector on disordered sample of  $N$  identical particles

$$\begin{aligned}
 A_j(\mathbf{q}) &= \int \rho_j(\mathbf{r}) e^{i\mathbf{q}\mathbf{r}} d\mathbf{r} \rightarrow I(\mathbf{q}) = \sum_{j_1, j_2=1}^N e^{i\mathbf{q}\mathbf{R}(j_1, j_2)} A_{j_1}^*(\mathbf{q}) A_{j_2}(\mathbf{q}) \\
 &= \sum_{j_1, j_2=1}^N \int \int \rho_{j_1}^*(\mathbf{r}_1) \rho_{j_2}(\mathbf{r}_2) e^{i\mathbf{q}(\mathbf{R}(j_1, j_2) + \mathbf{r}_2 - \mathbf{r}_1)} d\mathbf{r}_1 d\mathbf{r}_2
 \end{aligned}$$

Partially coherent illumination and dilute system (particles distance  $>$  coherence length)  $\rightarrow$  interparticle correlations can be neglected:

$$I(\mathbf{q}) = \sum_{j=1}^N I_j(\mathbf{q}) = \sum_{j=1}^N |A_j(\mathbf{q})|^2$$

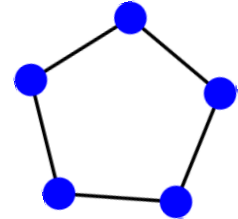
Angular information: Fourier decomposition

$$I(\mathbf{q}) = I(q, \phi) = \sum_{l=-\infty}^{\infty} \hat{I}_l(q) e^{il\phi}; \quad \hat{I}_l(q) = \frac{1}{2\pi} \int_0^{2\pi} I(q, \phi) e^{-il\phi} d\phi$$

## X-ray cross correlation analysis

Now consider 2D disordered system in the dilute limit, e.g. pentagonal arrangement of particles (polar coordinates,  $R_0$  radius of pentagon,  $\theta_j = \frac{2\pi j}{5}$ )

$$\rho(r, \theta) = \frac{\delta(r - R_0)}{R_0} \sum_{j=1}^5 \delta(\theta - \theta_j)$$



Expansion of scattering amplitude in Fourier series yields

$$A(q, \phi) = \sum_{\ell=-\infty}^{\infty} \hat{a}_\ell(q) e^{i\ell\phi} \quad (1)$$

with Fourier coefficients

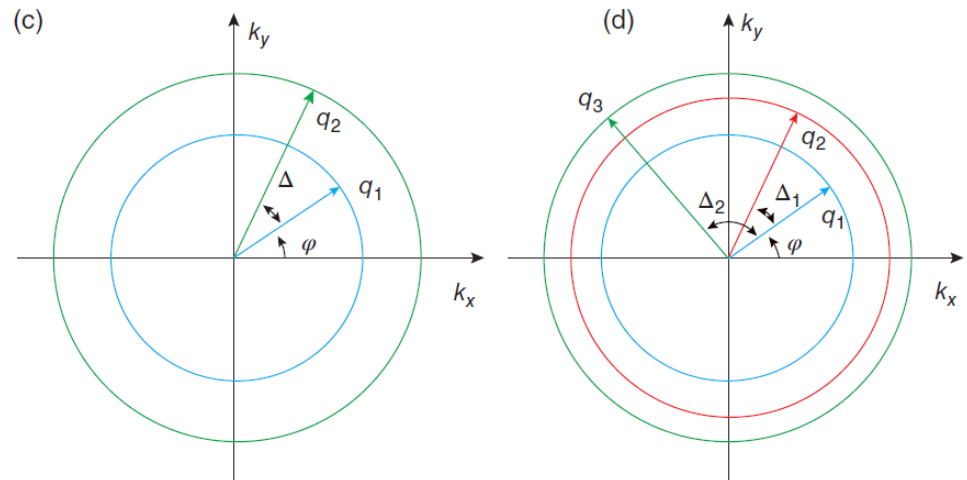
$$\hat{a}_\ell(q) = i^{-\ell} J_\ell(qR_0) \sum_{j=1}^5 e^{i\ell\theta_j} \quad (2)$$

- Pentagonal symmetry: only contribution if  $\ell = 0 \pmod{5}$  in (2).
- Odd terms cancel out pairwise (e.g.  $\ell = 5$  and  $\ell = -5$ ) in (1)  $\rightarrow$  Friedel's law!
- Only contributions with  $\ell = 0 \pmod{10}$
- $F_\ell(q) \propto J_\ell(qR_0) \rightarrow$  higher-order terms at large  $q$



## X-ray cross correlation analysis

- Corresponding correlation function  $C(q, \Delta) = \frac{\langle I(q, \phi)I(q, \phi + \Delta) \rangle_{\phi} - \langle I(q, \phi) \rangle_{\phi}^2}{\langle I(q, \phi) \rangle_{\phi}^2}$  with Fourier coefficients  $\hat{c}_{\ell}(q) = |\hat{I}_{\ell}(q)|^2$  (Wiener–Khinchin theorem)
- Correlations between different  $q$  possible

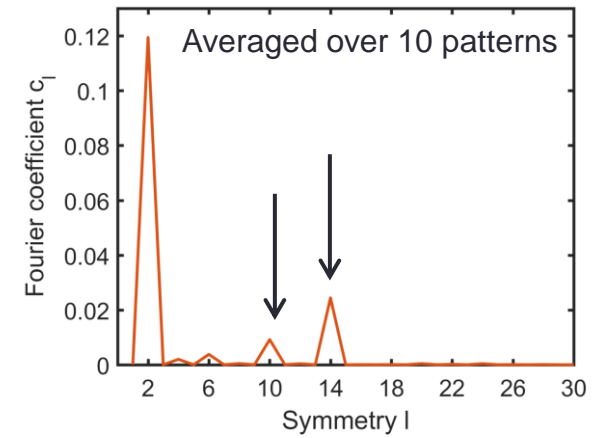
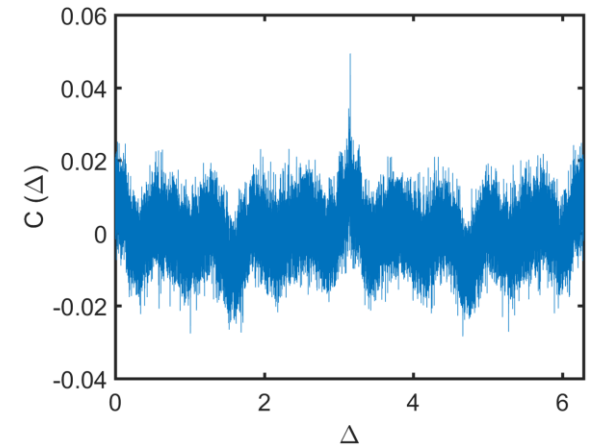
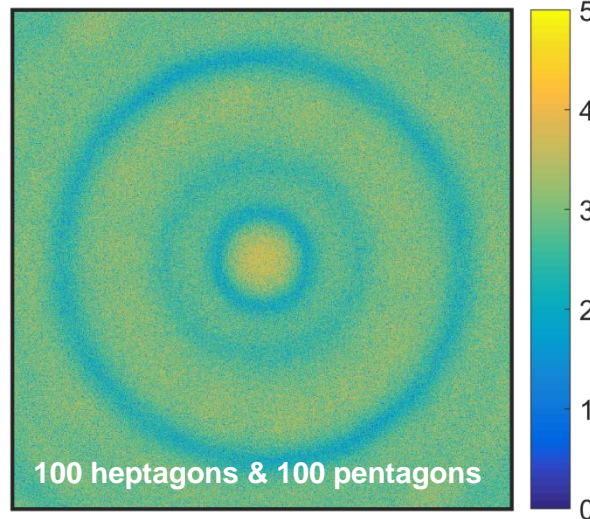
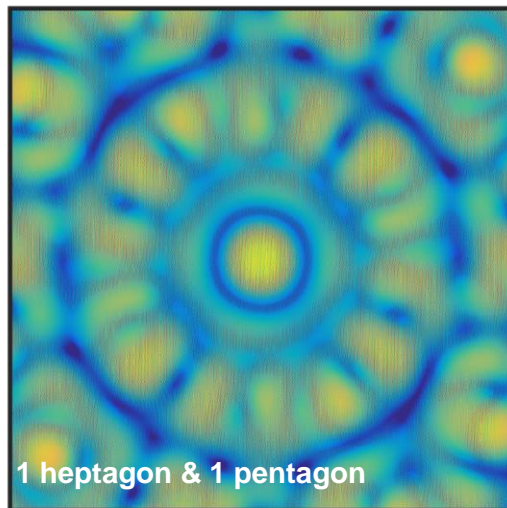
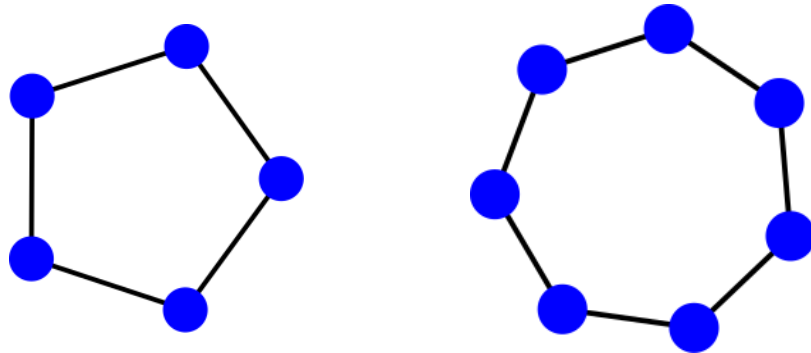


Adv. Chem. Phys. 161, 1 (2016)

- 3D systems: curvature of Ewald sphere  $\rightarrow$  odd symmetries

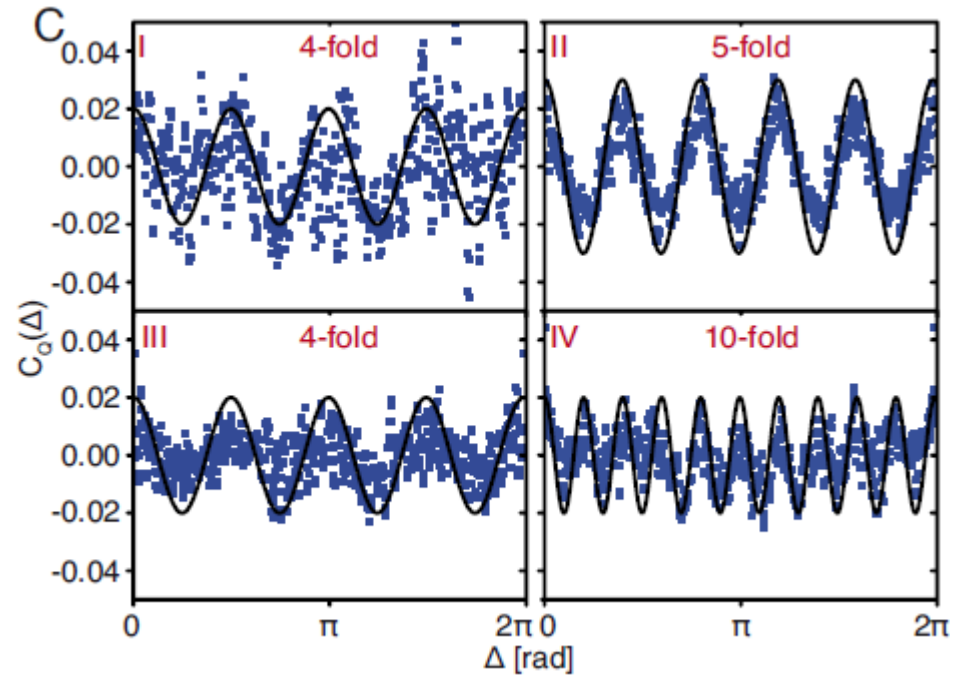
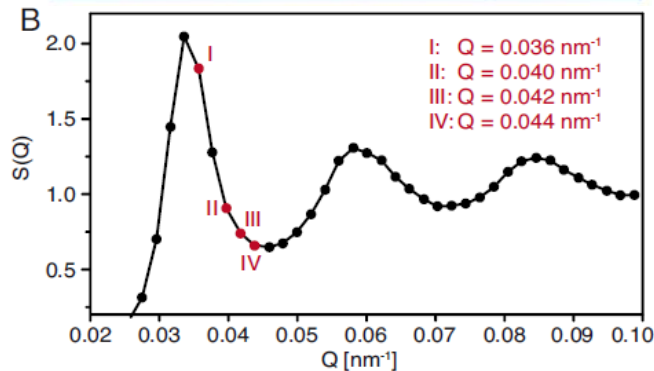
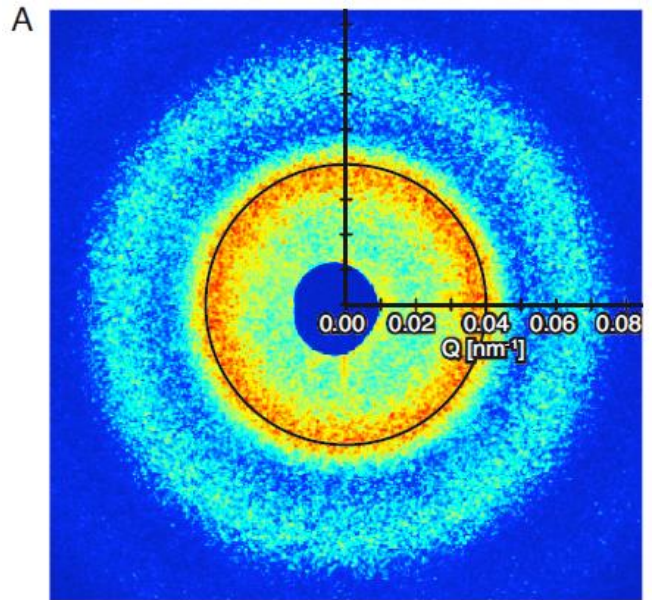
# X-ray cross correlation analysis

2D model system: Heptagons and Pentagons





## XCCA example 1: Hard-sphere glass

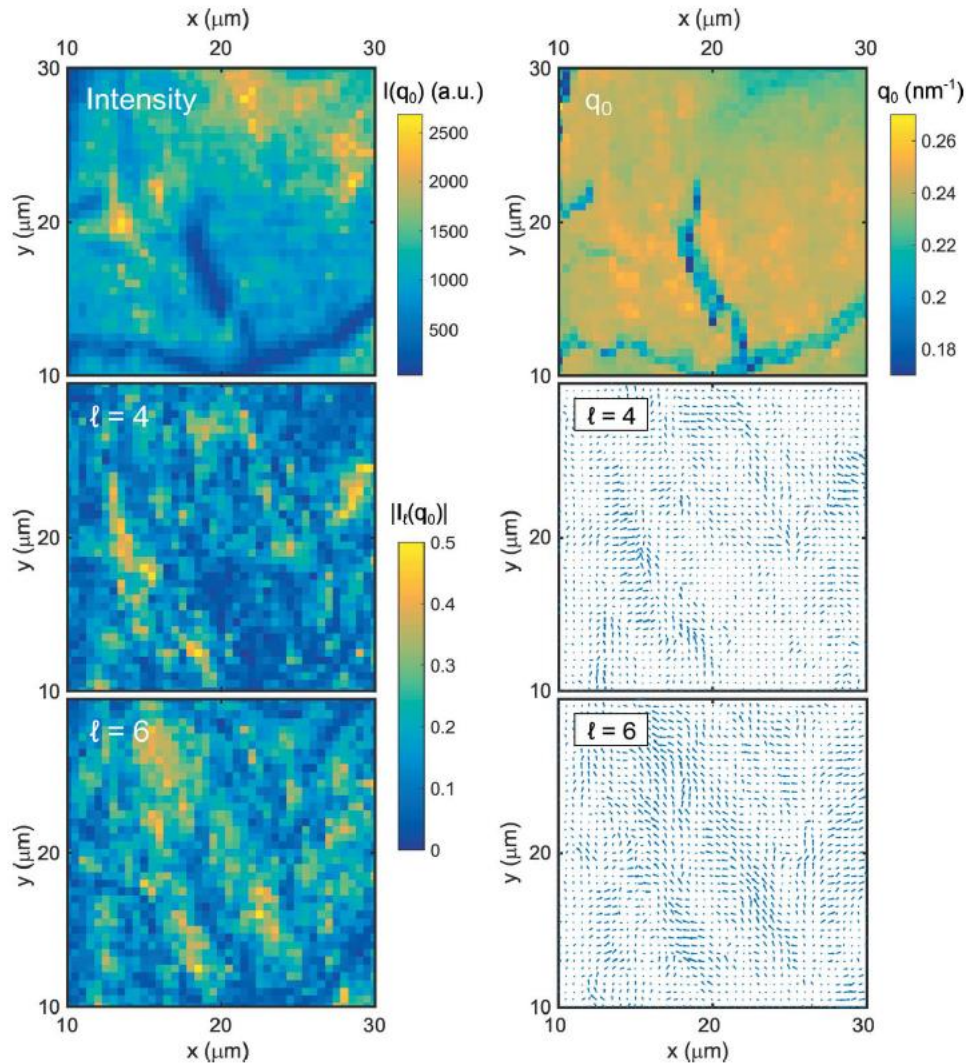


→ Hidden symmetries  
 → Structural information beyond SAXS

PNAS 109, 11511 (2009)

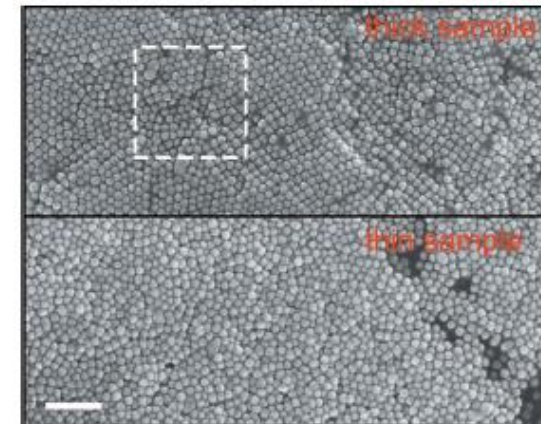


## XCCA example 2: Self-assembled nanoparticle films



Thin colloidal films

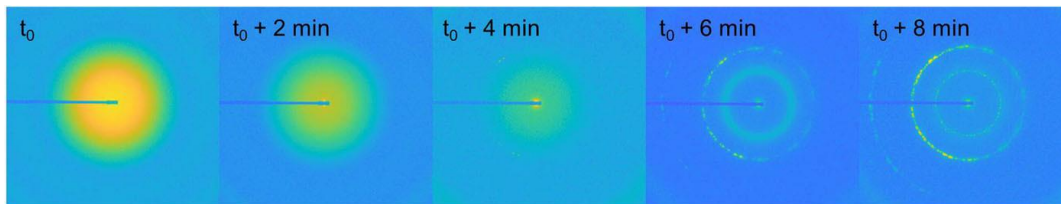
Orientalional order with 500 nm resolution



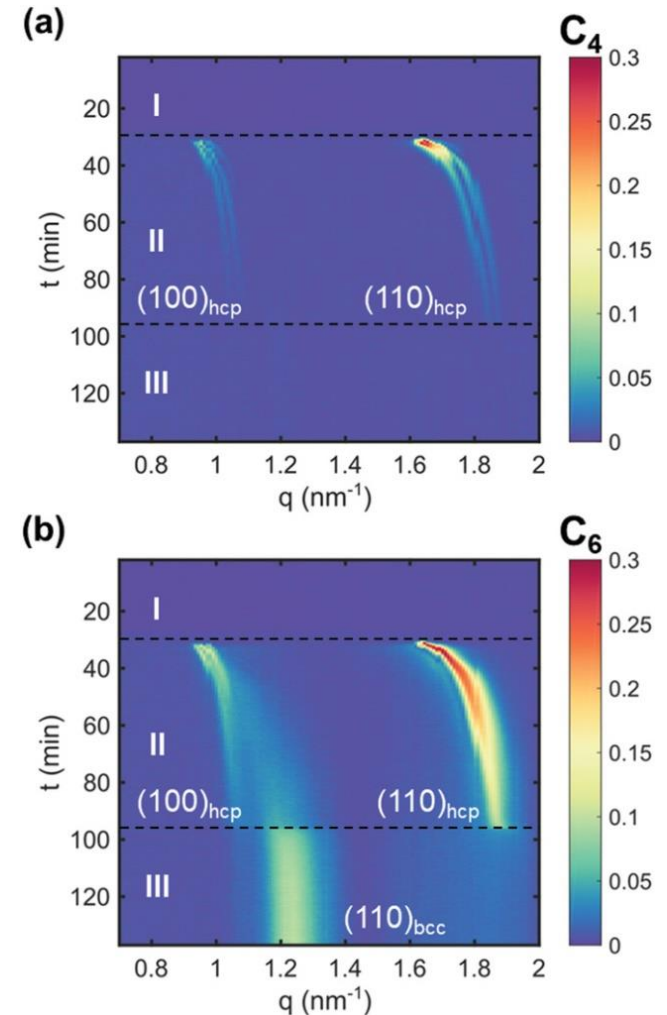
IUCrJ 5, 354 (2018)

## XCCA example 2: Self-assembled nanoparticle films

- The fourth and the sixth Fourier coefficients of the cross-correlation function from Bragg reflections during in-situ self-assembly
- Support SAXS data
  - (I) colloidal suspension
  - (II) swollen hcp superlattice
  - (III) dried bcc superlattice
- Coexisting bcc phase in II



I. Lokteva et al. RSI 90, 036103 (2019) & small 15, 1900438 (2019)





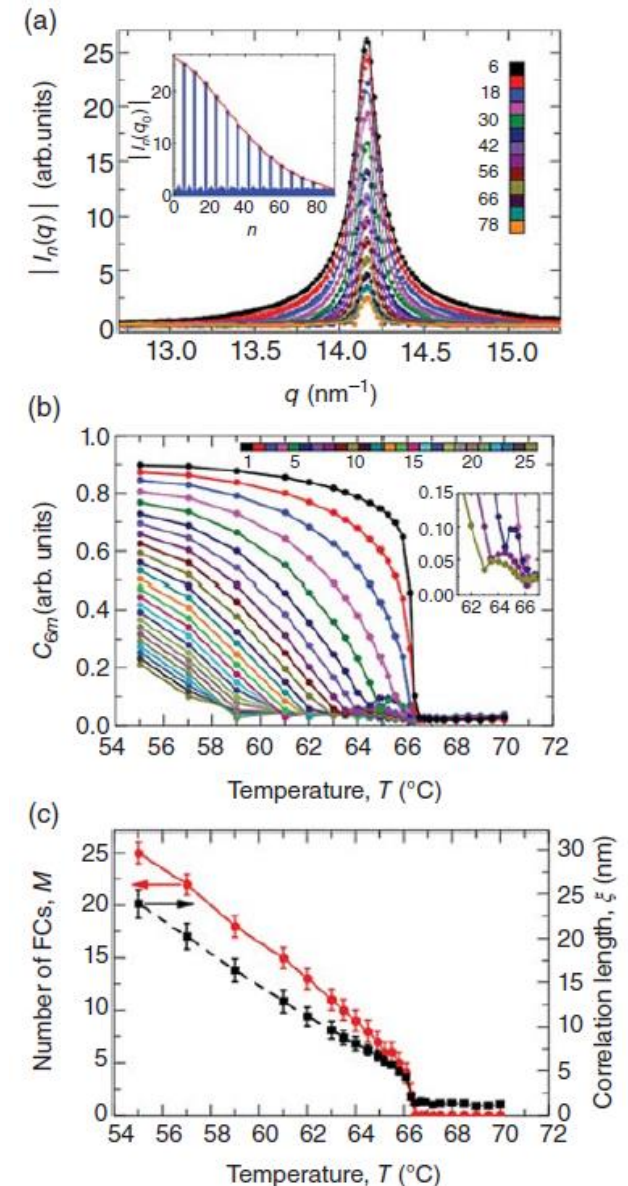
## XCCA example 3: Liquid crystals

High number of symmetries  $\rightarrow$  strongly developed hexatic order

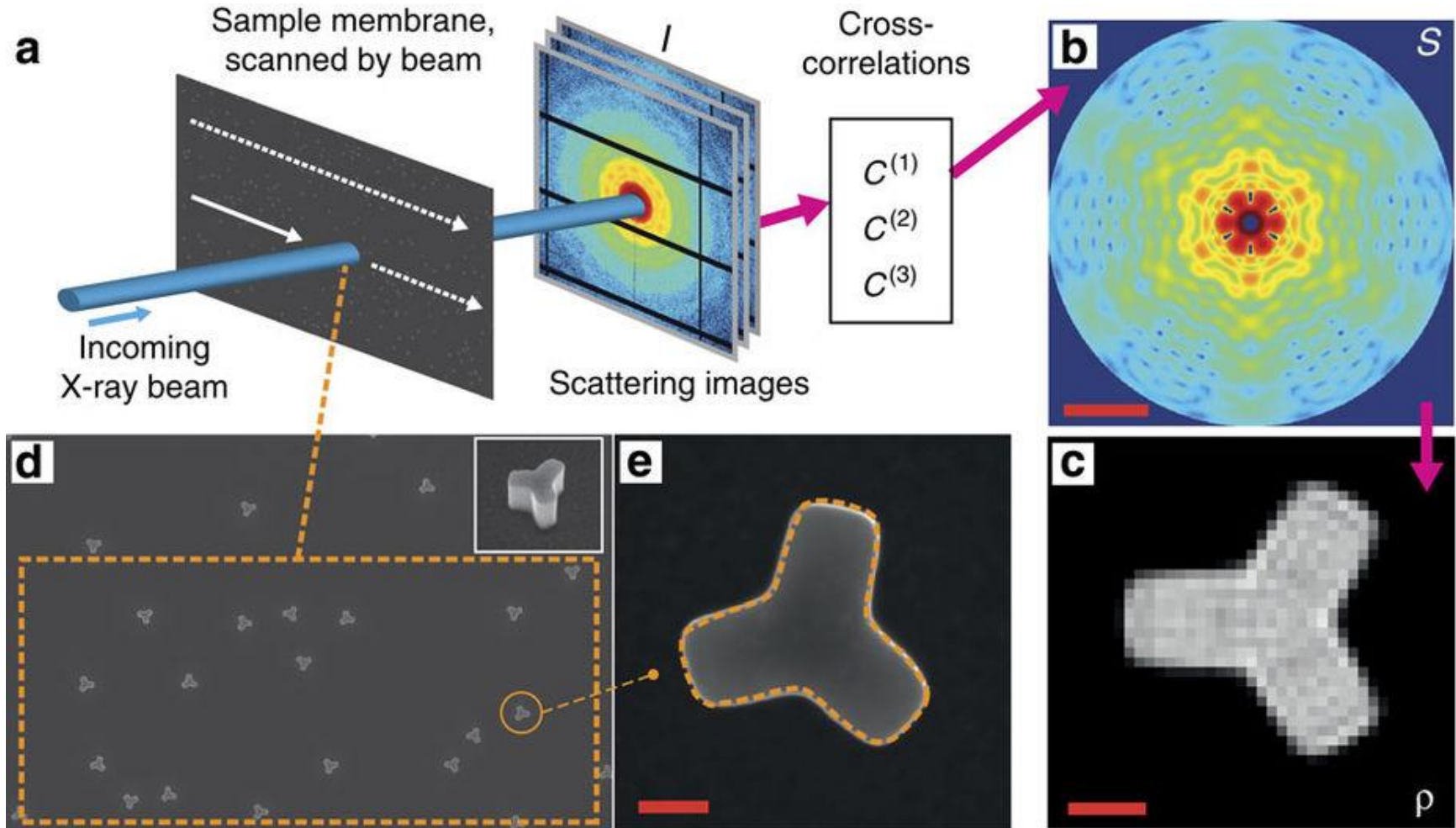
Measure of correlation length

XCCA to provide measure of degree of order and as order parameter for phase transitions

Adv. Chem. Phys. 161, 1 (2016)



## XCCA example 4: Sample reconstruction



Nat. Comm. 4, 1647 (2013)