

# Methoden moderner Röntgenphysik II: Streuung und Abbildung

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Lecture 12	Vorlesung zum Haupt- oder Masterstudiengang Physik, SoSe 2017 G. Grübel, <u>A. Philippi-Kobs</u> , O. Seeck, T. Schneider, L. Frenzel, M. Martins, W. Wurth
Location	Lecture hall AP, Physics, Jungiusstraße
Date	Tuesday                    12:30 - 14:00                    (starting 4.4.) Thursday                    8:30 - 10:00                    (until 13.7.)

# Resonant magnetic small angle X-ray scattering (mSAXS) of magnetic domain patterns

## 1.) Ferromagnetism in a nutshell

- forms of magnetic phenomena
- contributions to free energy
- focus on systems with perpendicular magnetic anisotropy (Co/Pt multilayers)
- magnetic domains and domain walls

## 2.) Interaction of **polarized** photons with matter

- Recap: Charge and **Spin** X-ray Scattering by a single electron
- Recap classical concept of Resonant Absorption & Scattering (forced oscillator)
- Resonant Absorption and Scattering (**QM concept**, Fermi's Golden rule)
- Interactions of photons with ferromagnetic materials → XMCD effect  
(- XMLinearD and X-ray Natural Dichroism)

## 3.) Resonant magnetic SAXS of magnetic domain patterns

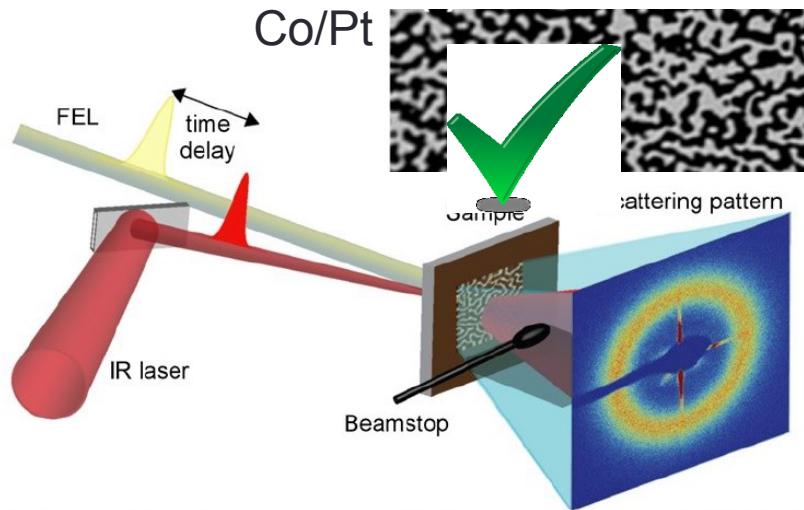
## Part II

### Magnetism – Magnetic Thin Films

by André Philippi-Kobs (AP)

#### [23.5.] Magnetic small angle scattering of magnetic domain patterns

- Introduction of magnetism in thin films
- Resonant scattering & X-ray magnetic circular dichroism (XMCD),



B. Pfau et al., *Nature Communications*, Vol. 3, 11; DOI:doi:10.1038/ncomms2108 (2012)  
 L. Müller et al., *Rev. Sci. Instrum.* 84, 013906 (2013)

#### [30.5.] Imaging of magnetic domains

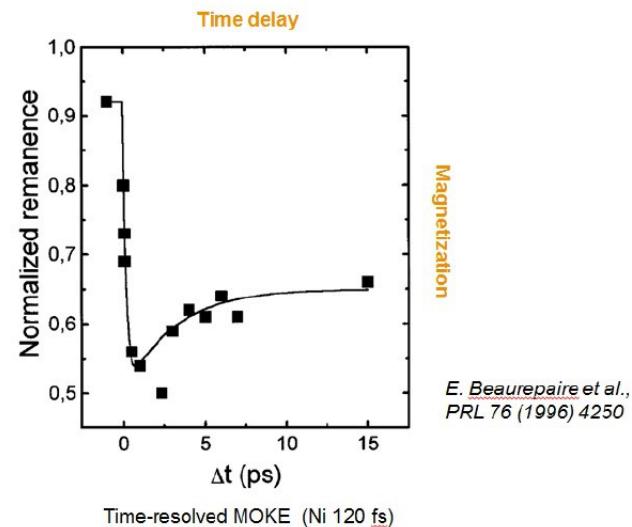
- Fourier transform holography (FTH)
- Scanning transmission X-ray microscopy (STXM)
- Coherent diffraction imaging (CDI), Ptychography

#### [1.6.] Femtomagnetism

- Introduction of ultrafast magnetization dynamics
- Pump-probe experiments of nano-scale magnetic domain patterns

#### [13.6.] Related aspects

- Determination of coherence via magnetic domain patterns
- Magnetic XRD of antiferromagnets and chiral systems
- Further electronic inhomogeneities probed by X-rays (charge density wave; Abrikosov vortices in superconductors)



# Interaction of polarized photons with matter

> Recap: Interaction of X-rays with matter (**consider also light's polarization  $\epsilon$** )

$$n(\omega, \epsilon) = 1 - \delta(\omega, \epsilon) + i \beta(\omega, \epsilon) \quad \text{Refractive index (classical refraction theory)}$$

$$f(\mathbf{q}, \omega, \epsilon) = f^0(\mathbf{q}) + f'(\omega, \epsilon) - i f''(\omega, \epsilon) \quad \text{Atomic scattering factor (scattering theory)}$$

  
 Atomic form factor  
 $\sim Z$  for forward scattering (or soft X-rays)

Anomalous scattering factors  
 (electrons are bound in a solid  
 $\rightarrow$  “resonances” at atomic transitions)

- Equivalence between scattering and refraction picture (**lecture 4**)

$$1 - n(\omega, \epsilon) = \frac{r_0 \lambda^2}{2\pi} \rho f(\omega, \epsilon)$$

Atomic density

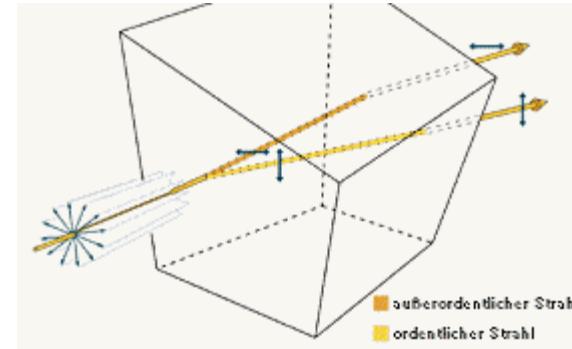
$$\delta(\omega, \epsilon) = \frac{r_0 \lambda^2}{2\pi} \rho (Z + f'(\omega, \epsilon))$$

$$\beta(\omega, \epsilon) = \frac{r_0 \lambda^2}{2\pi} \rho f''(\omega, \epsilon)$$

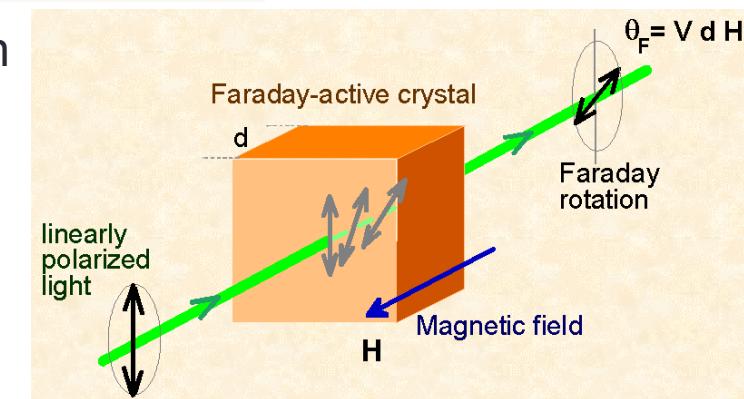
# Interaction of polarized photons with matter

## > Polarization $\epsilon$ dependent effects in transmission geometry

- The dependence of  $\delta$  on  $\epsilon$  is called birefringence (Doppelbrechung)



- The change of polarization  $\epsilon$  is called optical rotation (Faraday effect in case of magnetic materials)



- The dependence of  $\beta$  on  $\epsilon$  is called Dicroism (Zweifarbigkeit)
  - X-ray Natural (charge) linear dicroism (XNLD)
  - X-ray Natural (charge) circular dicroism (XNCD)
  - X-ray magnetic linear dicroism (XMLD)
  - **X-ray magnetic circular dicroism (XMCD)**

# Interaction of polarized photons with matter

- Scattering of X-rays by a single electron (also consider spin of electron)

Incoming plane wave

$$E(r, t) = \epsilon E_0 e^{-i(\omega t - \mathbf{k} \cdot \mathbf{r})}$$

$$B(r, t) = \frac{1}{c} (\mathbf{k}_0 \times \epsilon) E_0 e^{-i(\omega t - \mathbf{k} \cdot \mathbf{r})}$$

Electric dipole moment (charge movement) oscillates along  $\mathbf{E}$

$$p(t) = -\frac{e^2}{m_e \omega^2} E_0 e^{-i\omega t}$$

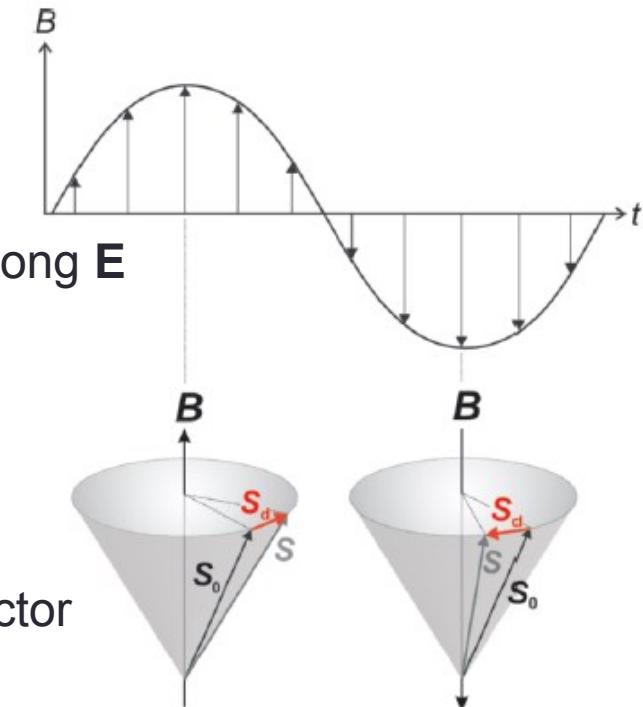
Spin of electron precesses around magnetic field according to

$$\frac{ds_d(+)}{dt} = -\frac{e}{me} \vec{s}_0 \times \vec{\delta}(+) \quad \swarrow \text{g-factor}$$

With definition of magnetic moment  $m = -2\mu_B s_d$

Magnet dipole moment (spin movement) oscillates in the direction perpendicular to  $\mathbf{B}$  and  $\mathbf{s}$  (initial spin direction)

$$m(t) = i \frac{e^2 \hbar \mu_0}{\omega m_e^2} \mathbf{s} \times \mathbf{B}_0 e^{-i\omega t}$$



# Interaction of polarized photons with matter

- > Scattering by a single electron (also consider Spin of electron)

Electric fields radiated by

- electric dipole (Jackson text book):

$$\mathbf{E}'(t) = \frac{\omega^2}{4\pi\epsilon_0 c^2} \frac{e^{ik'r}}{r} [\mathbf{k}'_0 \times \mathbf{p}(t)] \times \mathbf{k}'_0$$

$$\mathbf{E}'(t) = -\frac{1}{4\pi\epsilon_0} \frac{e^2}{m_e c^2} \frac{e^{ik'r}}{r} [\mathbf{k}'_0 \times \mathbf{E}(t)] \times \mathbf{k}'_0$$

$\mathcal{E}$  for  $\mathbf{k}_0 \parallel \mathbf{k}'_0$

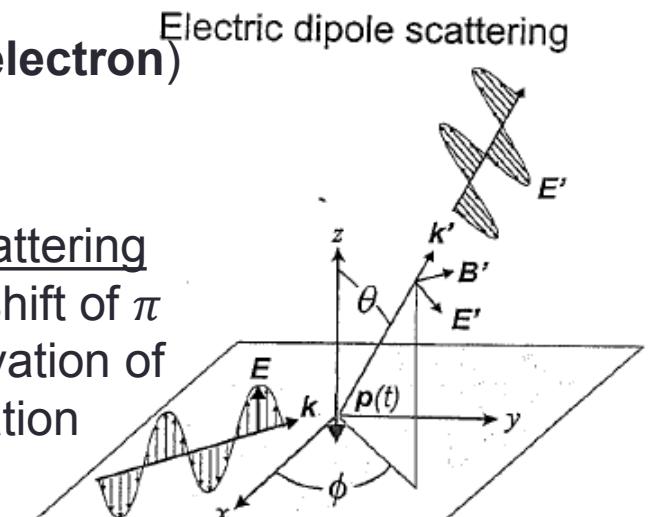
- magnetic dipole (Jackson text book):

$$\mathbf{E}'(t) = -\frac{\omega^2}{4\pi c} \frac{e^{ik'r}}{r} [\mathbf{k}'_0 \times \mathbf{m}(t)]$$

$$\mathbf{E}'(t) = i \frac{1}{4\pi\epsilon_0} \frac{e^2}{m_e c^2} \frac{\hbar\omega}{m_e c^2} \frac{e^{ik'r}}{r} [s \times (\mathbf{k}_0 \times \mathbf{E}(t))] \times \mathbf{k}'_0$$

## Charge scattering

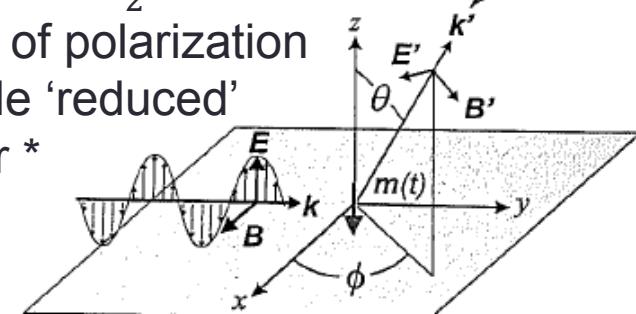
- Phase shift of  $\pi$
- Conservation of polarization



Magnetic dipole scattering

## Magnetic (Spin) scattering

- Phase shift of  $\frac{\pi}{2}$
- Rotation of polarization
- Amplitude 'reduced' by factor \*



# Interaction of polarized photons with matter

- Scattering by a single electron (**also consider Spin of electron**)

Polarization dependent scattering lengths:  $f(\epsilon, \epsilon') = -\frac{re^{-ik'r}}{E} E' \cdot \epsilon'$

$$f_e(\epsilon, \epsilon') = \frac{1}{4\pi\epsilon_0} \frac{e^2}{m_e c^2} \epsilon \cdot \epsilon' = r_0 \underbrace{\epsilon \cdot \epsilon'}_{\text{Remember: Polarization factor } P = \sin\theta \text{ (lecture 2)}} \quad f_s(\epsilon, \epsilon') = -i r_0 \frac{\hbar\omega}{m_e c^2} s \cdot (k_0 \times \epsilon) \times (k'_0 \times \epsilon')$$

Remember: Polarization factor  $P = \sin\theta$  (lecture 2)

Differential scattering cross-section:  $\frac{d\sigma}{d\Omega} = |f(\epsilon, \epsilon')|^2 \quad \text{and} \quad \frac{d\sigma}{d\lambda} = r_0^2 \sin^2\theta \text{ for } f_e$

$$\text{Total cross-section: } \sigma_e = \int |f(\epsilon, \epsilon')|^2 d\Omega = r_0^2 \int_0^{2\pi} \sin^2\theta \sin\theta d\theta \int_0^\pi d\epsilon$$

$$\sigma_e = \frac{8\pi}{3} r_0^2 = 0.665 \times 10^{-28} \text{ m}^2 = 0.665 \text{ barn}$$

$$\sigma_s = \frac{8\pi}{3} \frac{1}{4} \left( \frac{\hbar\omega}{m_e c^2} \right)^2 r_0^2 = \frac{\sigma_e}{4} \left( \frac{\hbar\omega}{m_e c^2} \right)^2$$

$$E = 10 \text{ keV} \rightarrow \frac{\sigma_s}{\sigma_e} = 0.0004 \quad \text{Only weak spin-scattering signal}$$

# Interaction of polarized photons with matter

> Scattering by a single electron (**also consider Spin of electron**)

Example: magnetic XRD of antiferromagnetic NiO

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PHYSICS LETTERS

24 April 1972

## OBSERVATION OF MAGNETIC SUPERLATTICE PEAKS BY X-RAY DIFFRACTION ON AN ANTIFERROMAGNETIC NiO CRYSTAL

F. De BERGEVIN and M. BRUNEL  
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Received 14 February 1972

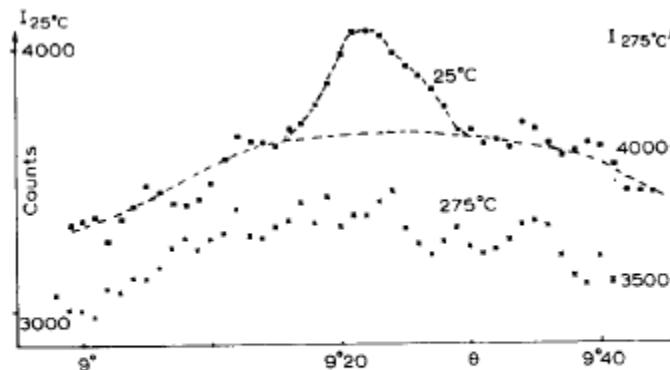


Fig. 1. Intensity  $I_f(\theta)$  near the  $(\frac{1}{2}, \frac{1}{2}, \frac{1}{2})$  position at  $t = 25^\circ \text{C}$  and  $275^\circ \text{C}$  in counts/225 min. The hump which cover the interval could be due to some impurity.

We have searched and measured in the zone  $\{hhh\}$  the first two superlattice magnetic reflections  $(\frac{1}{2}, \frac{1}{2}, \frac{1}{2})$  and  $(\frac{3}{2}, \frac{3}{2}, \frac{3}{2})$  and the first ordinary reflection  $(111)$ . If an equal amount of all possible magnetic domains or twins is supposed to form the crystal, the formula (1) applied to these reflections gives a ratio  $R$  between magnetic and ordinary  $(111)$  intensities, approximately equal to  $4 \times 10^{-8}$ . Such a small value obliges to take an unusual care of obtaining a maximum intensity and a minimum background.

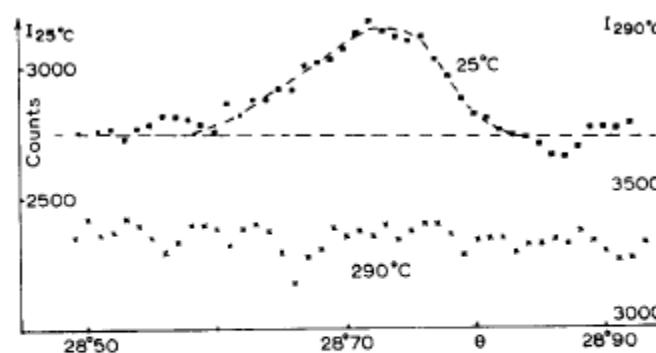
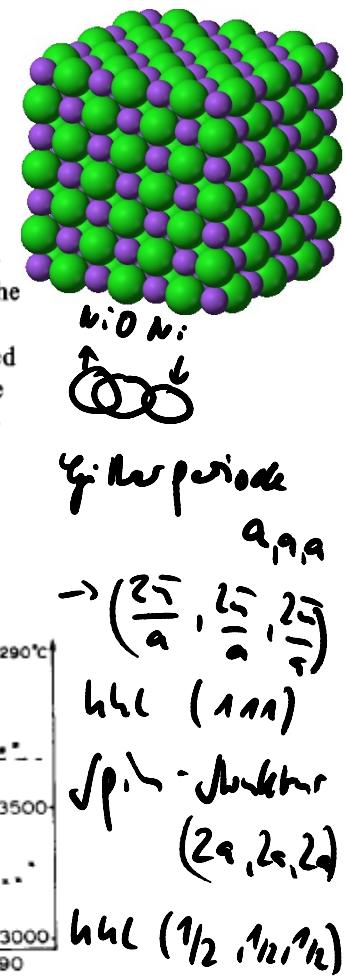


Fig. 2. Intensity  $I_f(\theta)$  near the  $(\frac{3}{2}, \frac{3}{2}, \frac{3}{2})$  position at  $t = 25^\circ \text{C}$  and  $290^\circ \text{C}$  in counts/225 min.



# Interaction of polarized photons with matter

> Recap from lecture 8: Absorption and Resonant Scattering (classical concept)

Picture: Electrons are bound to atoms

→ Forced oscillator model with resonances  $\omega_s$  and damping  $\Gamma$  to describe equation of motion of electrons

$$\frac{E_{\text{rad}}(R,t)}{E_{\text{in}}} = -r_0 \frac{\omega^2}{(\omega_s^2 - \omega^2 + i\omega\Gamma)} \left( \frac{\exp\{ikR\}}{R} \right)$$

atomic scattering length  $f_s$  (in units of  $-r_0$ ) for bound electron  
 note:  $f_s \rightarrow 1$  ( $\omega \gg \omega_s$ )

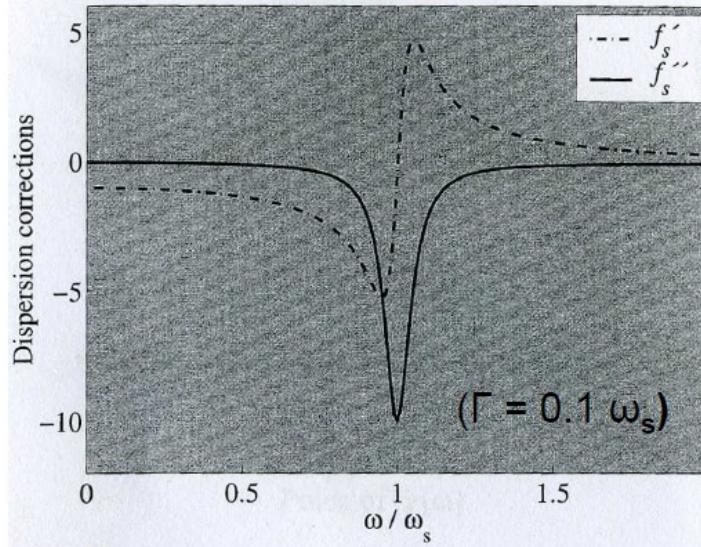
total cross-section:  $\sigma_T = (8\pi/3) r_0^2$  (free electron)

$$\sigma_T = \left( \frac{8\pi}{3} \right) \frac{\omega^4}{(\omega^2 - \omega_s^2)^2 + (\omega\Gamma)^2} r_0^2$$

(scattering cross-section)

# Interaction of polarized photons with matter

> Recap from lecture 8: Absorption and Resonant Scattering (classical concept)



with:

$$f'_s = \frac{\omega_s^2 (\omega^2 - \omega_s^2)}{(\omega - \omega_s)^2 + (\omega\Gamma)^2}$$

$$f''_s = \frac{\omega_s^2 \omega \Gamma}{(\omega - \omega_s)^2 + (\omega\Gamma)^2}$$

$$f'' = -(k/4\pi) \sigma_a(E) \quad (\text{optical theorem})$$

$$2k\beta = \mu = \rho\sigma_a$$

$$\sigma_{a,s}(\omega) = 4 \pi r_0 c \frac{\omega_s^2 \Gamma}{(\omega - \omega_s)^2 + (\omega\Gamma)^2}$$

Measure absorption cross-section in experiment

Use Kramers-Kronig relations to obtain  $f'$

$$f'(\omega) = \frac{1}{\pi} P \int_{-\infty}^{+\infty} \frac{f''(\omega')}{(\omega' - \omega)} d\omega'$$

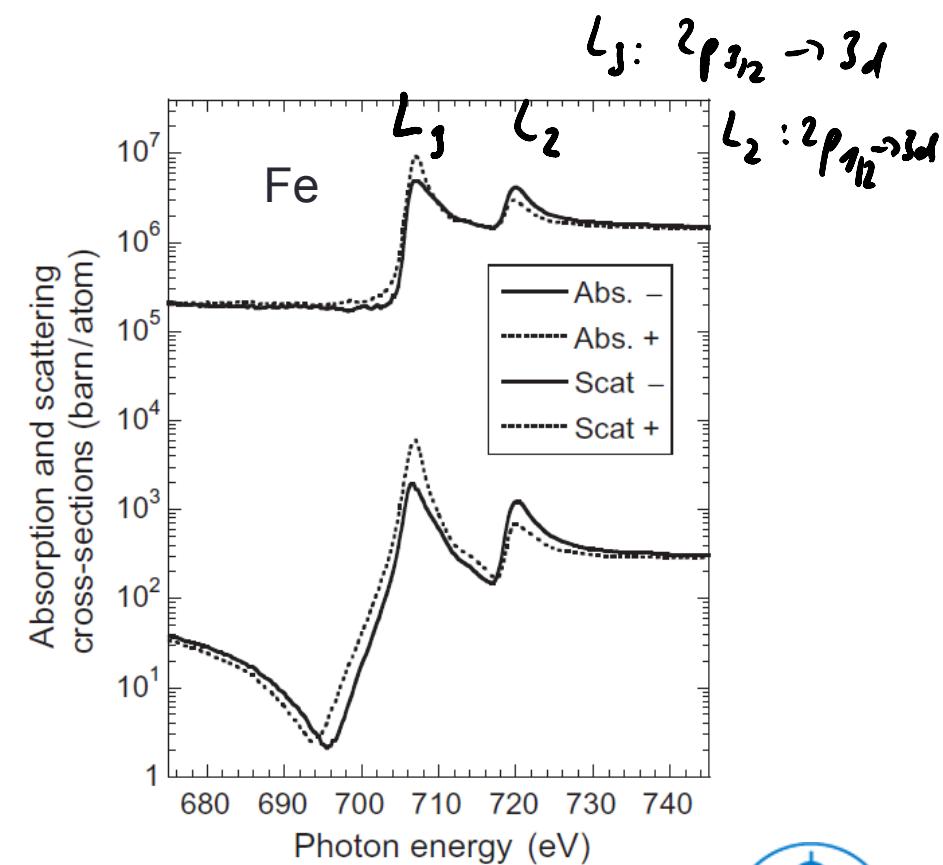
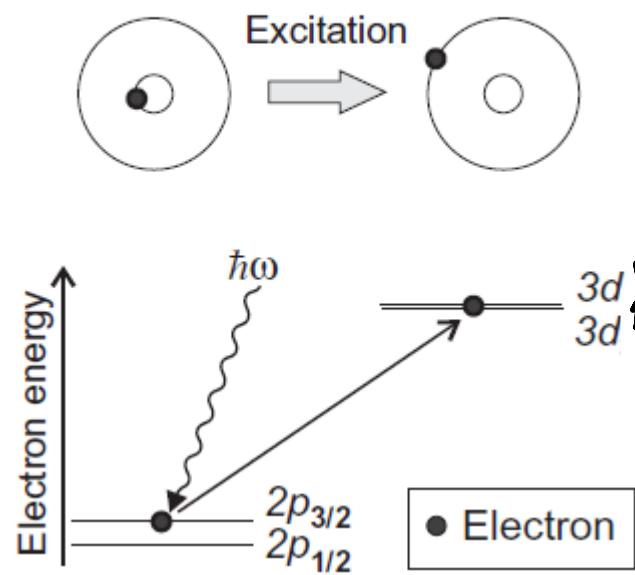
$$f''(\omega) = - \frac{1}{\pi} P \int_{-\infty}^{+\infty} \frac{f'(\omega')}{(\omega' - \omega)} d\omega'$$

# Interaction of polarized photons with matter

- > Absorption & Resonant scattering (**qm concept**, Fermi's Golden rule)

Motivation: Understand polarization dependent  $2p \rightarrow 3d$  transition in ferromagnets,  
i.e., XMCD effect

( $2p^1 \rightarrow 3d^1$  electron transition)



# Interaction of polarized photons with matter

- Absorption & Resonant scattering (**qm concept**, Fermi's Golden rule)
- Time-dependent perturbation theory (up to second order) = „Fermi's Golden rule”

$$T_{if} = \frac{2\pi}{\hbar} \left| \underbrace{\langle f | \mathcal{H}_{\text{int}} | i \rangle}_{\text{ }} + \sum_n \frac{\langle f | \mathcal{H}_{\text{int}} | n \rangle \langle n | \mathcal{H}_{\text{int}} | i \rangle}{\varepsilon_i - \varepsilon_n} \right|^2 \delta(\varepsilon_i - \varepsilon_f) \rho(\varepsilon_f)$$

$T_{if}$ : transition rate from state  $i$  to  $f$ ;  $[T_{if}] = \text{s}^{-1}$ ;  
 $i$  and  $f$  are initial and final states of the  
 combined electron and photon system

$\rho(\varepsilon_f)$ : density of final states

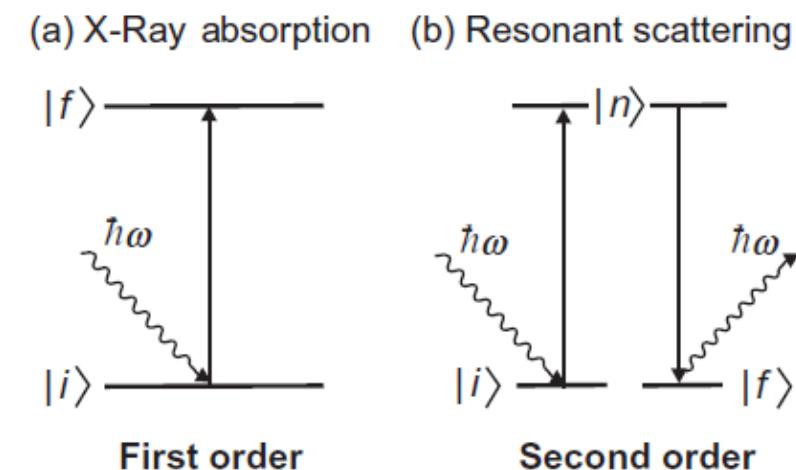
$\varepsilon_n$ : energy of all possible intermediate states  $n$

- Total cross-section given by

$$\sigma = \frac{T_{if}}{\Phi_0}$$

↗

Incident photon flux



# Interaction of polarized photons with matter

> Absorption & Resonant scattering (qm concept, Fermi's Golden rule)

- Time-dependent perturbation theory (up to second order)

$$T_{if} = \frac{2\pi}{\hbar} \left| \langle f | \mathcal{H}_{\text{int}} | i \rangle + \sum_n \frac{\langle f | \mathcal{H}_{\text{int}} | n \rangle \langle n | \mathcal{H}_{\text{int}} | i \rangle}{\varepsilon_i - \varepsilon_n} \right|^2 \delta(\varepsilon_i - \varepsilon_f) \rho(\varepsilon_f)$$

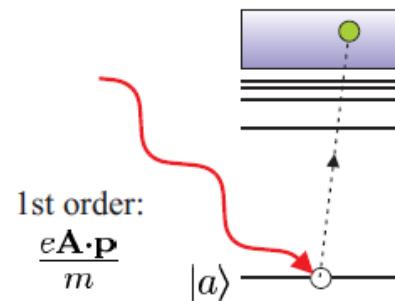
- Interaction Hamiltonian

(derivation again via force on "atom in electric and magnetic field")

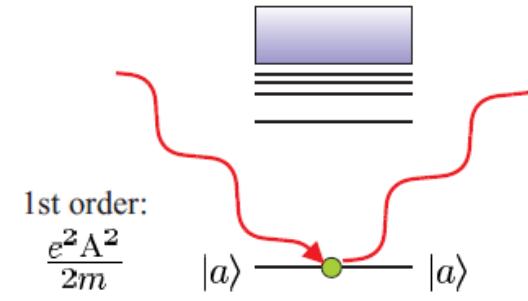
$$\mathcal{H}_e^{\text{int}} = \frac{e}{m_e} \mathbf{p} \cdot \mathbf{A} + \frac{e^2 A^2}{2m_e}$$

$p$ : momentum of electrons  
 $A$ : vector potential

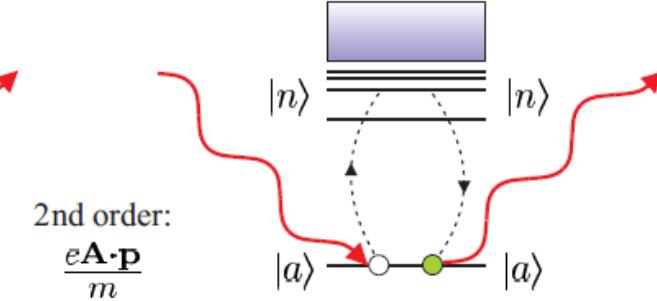
(a) Photoelectric absorption



(b) Thomson scattering



(c) Resonant scattering



*see begin of lecture*

# Interaction of polarized photons with matter

> Absorption & Resonant scattering (qm concept, Fermi's Golden rule)

- Time-dependent perturbation theory (up to second order)

$$T_{if} = \frac{2\pi}{\hbar} \left| \underbrace{\langle f | \mathcal{H}_{\text{int}} | i \rangle}_{\mathcal{M}} + \sum_n \frac{\langle f | \mathcal{H}_{\text{int}} | n \rangle \langle n | \mathcal{H}_{\text{int}} | i \rangle}{\varepsilon_i - \varepsilon_n} \right|^2 \delta(\varepsilon_i - \varepsilon_f) \rho(\varepsilon_f)$$

- Interaction Hamiltonian

(derivation again via force on “atom in electric and magnetic field”)

$$\mathcal{H}_e^{\text{int}} = \frac{e}{m_e} \mathbf{p} \cdot \mathbf{A}$$

p: momentum of electrons  
A: vector potential

- Consider only the much stronger impact of electric field component of EM wave on electrons (begin of lecture: Charge scattering >> Spin scattering)

$$\vec{E} = - \frac{\partial \vec{A}}{\partial t}$$

wik plane wave

$$\vec{E}(\vec{r}) = \vec{E}_0 e^{i \vec{k} \cdot \vec{r}}$$

$\mathcal{M} = \langle b | \vec{p} \cdot \vec{e} e^{i \vec{k} \cdot \vec{r}} | a \rangle$

|a>, |b>  
atomic states

# Interaction of polarized photons with matter

- > Absorption & Resonant scattering (**qm concept**, Fermi's Golden rule)

Matrix elements for atomic transitions between states a and b

$$\mathcal{M} = \langle b | p \cdot \epsilon e^{i\vec{k} \cdot \vec{r}} | a \rangle$$

Dipole-Approximation : elimination of  $\vec{k}$ -dependence of  $\mathcal{M}$

$$\text{Expansion of } e^{i\vec{k} \cdot \vec{r}} = 1 + i\vec{k} \cdot \vec{r} - \frac{(\vec{k} \cdot \vec{r})^2}{2} + \dots$$

size of  $e^-$ -Radius :  $| \vec{r} | = 0.1 \text{ \AA}$  for p-core shells

$$\text{soft X-ray} \quad E_x \leq 1 \text{ keV} \rightarrow \lambda \geq 1 \text{ nm}$$

$$| \vec{k} | = \frac{2\pi}{\lambda} \leq 5 \cdot 10^9 \text{ m}^{-1}$$

$$| \vec{k} | | \vec{r} | \leq 0.05 \ll 1 \rightarrow e^{i\vec{k} \cdot \vec{r}} \approx 1$$

$$\rightarrow \mathcal{M} = \langle b | \vec{p} \cdot \vec{\epsilon} | a \rangle \text{ dipole approximation}$$

# Interaction of polarized photons with matter

- > Absorption & Resonant scattering (**qm concept**, Fermi's Golden rule)

Matrix elements for atomic transitions between states a and b

$$\mathcal{M} \simeq \langle b | p \cdot \epsilon | a \rangle \quad \vec{p} \rightarrow \vec{\tau} \quad (\text{"length operator"})$$

Reformulation of Matrix-elements

via commutation relation  $\vec{p} = \frac{m_i}{\hbar} [\gamma \vec{r}, \vec{\tau}]$

$$\begin{aligned} \mathcal{M} &= \langle b | \vec{p} \cdot \vec{\epsilon} | a \rangle = \frac{m_i}{\hbar} \langle b | [\gamma \vec{r}, \vec{\tau}] \vec{\epsilon} | a \rangle \\ &= \frac{m_i}{\hbar} ( \langle b | \gamma \vec{r} \cdot \vec{\tau} \vec{\epsilon} | a \rangle - \langle b | \vec{\tau} \gamma \vec{r} \vec{\epsilon} | a \rangle ) \quad [\vec{\epsilon}, \vec{\tau}] = 0 \\ &= \frac{m_i}{\hbar} ( E_b \langle b | \vec{\tau} \cdot \vec{\epsilon} | a \rangle - E_a \langle b | \vec{\tau} \cdot \vec{\epsilon} | a \rangle ) \quad [\gamma \vec{r}, \vec{\tau}] = 0 \\ &= \frac{m_i}{\hbar} ( E_b - E_a ) \langle b | \vec{\tau} \cdot \vec{\epsilon} | a \rangle = m_i \omega \langle b | \vec{\tau} \cdot \vec{\epsilon} | a \rangle \end{aligned}$$

Absorption cross-section in dipole approximation

$$\sigma^{\text{abs}} = 4\pi^2 \frac{e^2}{4\pi\epsilon_0\hbar c} \hbar\omega |\langle b | \epsilon \cdot r | a \rangle|^2 \delta[\hbar\omega - (E_b - E_a)] \rho(E_b)$$

# Interaction of polarized photons with matter

- > Absorption & Resonant scattering (**qm concept**, Fermi's Golden rule)

Polarization dependent Dipole Operator

$$P = \epsilon \cdot r$$

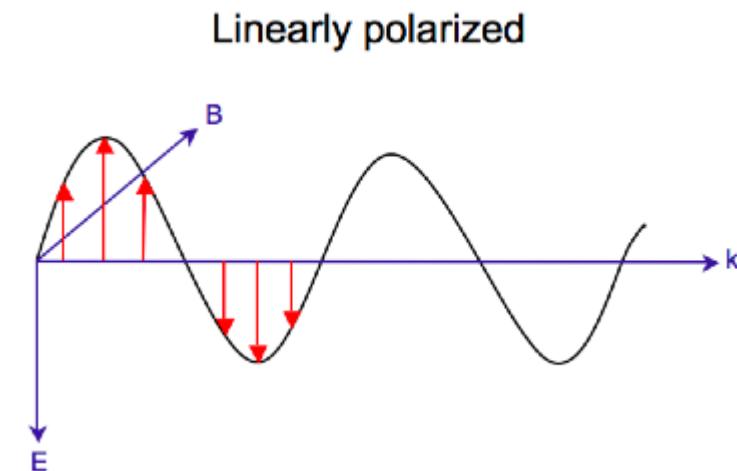
Electron position vector or length operator

$$\mathbf{r} = x\mathbf{e}_x + y\mathbf{e}_y + z\mathbf{e}_z$$

Linear polarized light       $\epsilon_x^0 = \epsilon_x = e_x$        $\epsilon_y^0 = \epsilon_y = e_y$        $\epsilon_z^0 = \epsilon_z = e_z$

$$\begin{aligned} P_z^0 &= \vec{\epsilon}_z \cdot \vec{r} = z = r \omega_s \Theta \\ &= \mp \sqrt{\frac{4\pi}{3}} Y_{1,0} \end{aligned}$$

$Y_{1,m}$ : "Kugelflächenfkt"  
spherical harmonics



# Interaction of polarized photons with matter

- > Absorption & Resonant scattering (**qm concept**, Fermi's Golden rule)

Polarization dependent Dipole Operator

$$P = \epsilon \cdot r$$

Circular polarized light ( $\vec{k} \parallel \vec{z}$ )

$$\vec{\epsilon}_z = \pm \frac{1}{\sqrt{2}} (\vec{\epsilon}_x + i \vec{\epsilon}_y) \quad (i = e^{i\pi/2})$$

$\vec{k}$ -direction

Definition of Helicity

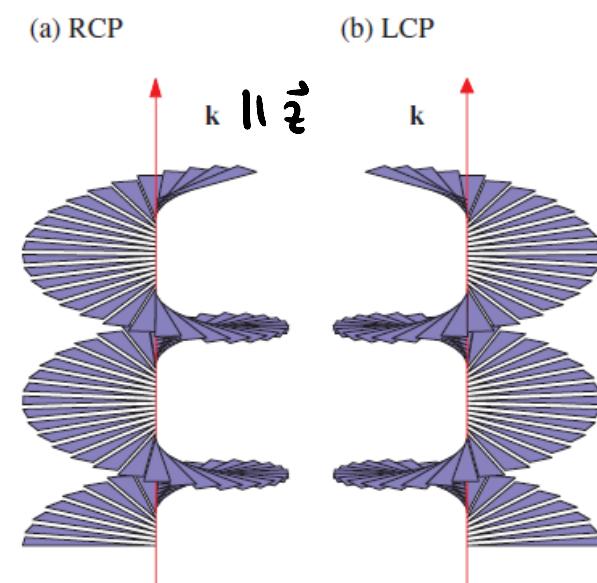
(photon angular momentum or spin  $\mathbf{L}_{\text{ph},z} \parallel z$ ):

$$|\mathbf{L}_{\text{ph},z}| = \pm q h$$

"+":  $q = +1$  right circularly polarized light (RCP)

"-":  $q = -1$  left circularly polarized light (LCP)

("0":  $q = 0$  lin. pol. Light)



# Interaction of polarized photons with matter

➤ Absorption & Resonant scattering (**qm concept**, Fermi's Golden rule)

→ Polarization dependent Dipole Operator for circularly polarized light:

$$\begin{aligned}
 P_z^\pm &= \vec{\epsilon}_z^\pm \cdot \vec{r} = \mp \frac{1}{\sqrt{2}} (x \pm iy) = \mp r \sin \theta e^{\pm i\phi} \\
 &= \mp \sqrt{\frac{4\pi}{3}} Y_{1,\pm 1}
 \end{aligned}$$

*Racah's spherical tensor operators* are defined as [181],

$$C_m^{(l)} = \sqrt{\frac{4\pi}{2l+1}} Y_{l,m}(\theta, \phi), \quad (C_m^{(l)})^* = (-1)^m C_{-m}^{(l)}.$$

Dipol operator :  $P_z^0 = \mp C_0^{(1)}$  lin pol.

$P_z^\pm = \mp C_{(\pm 1)}^{(1)}$  RCP(+), LCP(-)

# Interaction of polarized photons with matter

> Absorption (qm concept, Fermi's Golden rule)

→ Transition-Matrix-Elements with atomic wave functions (non-relativistic approx.)

$$|a\rangle = |R_{n,e}(r); l, m_e; s, m_s\rangle$$

$$|b\rangle = |R_{n',e'}(r'); l', m_e'; s', m_s'\rangle$$

$$\langle b | P_z^q | a \rangle = \delta_{\text{spin}}^{(\text{radial})} \langle R_{n',e'}(r') | r | R_{n,e}(r) \rangle \cdot \sum_{m_l, m_e, q} \langle l', m_e' | C_q^{(1)} | l, m_e \rangle$$

sp.in: electron spin direction is conserved

radial: transition strength :  $2p \rightarrow 3d$  only considered in lecture  
 L) the same strength for all transitions  $2p \rightarrow 3d$ !

# Interaction of polarized photons with matter

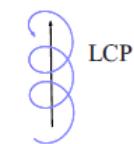
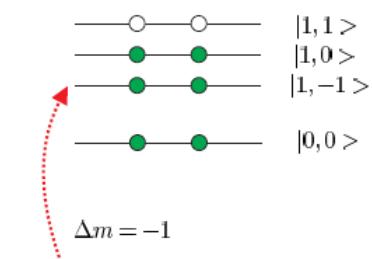
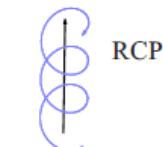
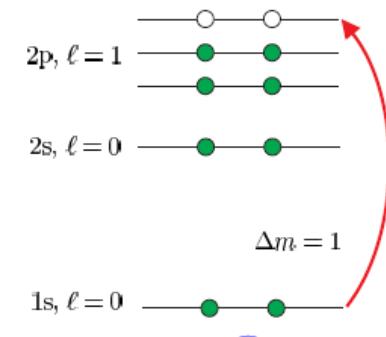
## > Absorption (qm concept, Fermi's Golden rule)

Non-vanishing matrix elements (use in today's excercise)

**Table 1** Nonvanishing angular momentum dipole matrix elements  $\langle L, M | C_q^{(1)} | l, m \rangle$ . The matrix elements are real, so that  $\langle L, M | C_q^{(1)} | l, m \rangle^* = \langle L, M | C_q^{(1)} | l, m \rangle$   $= (-1)^q \langle l, m | C_{-q}^{(1)} | L, M \rangle$ . Nonlisted matrix elements are zero.<sup>a</sup>

$\langle l+1, m   C_0^{(1)}   l, m \rangle$	$= \sqrt{\frac{(l+1)^2 - m^2}{(2l+3)(2l+1)}}$	Lin. pol
$\langle l-1, m   C_0^{(1)}   l, m \rangle$	$= \sqrt{\frac{l^2 - m^2}{(2l-1)(2l+1)}}$	
$\langle l+1, m+1   C_1^{(1)}   l, m \rangle$	$= \sqrt{\frac{(l+m+2)(l+m+1)}{2(2l+3)(2l+1)}}$	RCP
$\langle l-1, m+1   C_1^{(1)}   l, m \rangle$	$= -\sqrt{\frac{(l-m)(l-m-1)}{2(2l-1)(2l+1)}}$	
$\langle l+1, m-1   C_{-1}^{(1)}   l, m \rangle$	$= \sqrt{\frac{(l-m+2)(l-m+1)}{2(2l+3)(2l+1)}}$	LCP
$\langle l-1, m-1   C_{-1}^{(1)}   l, m \rangle$	$= -\sqrt{\frac{(l+m)(l+m-1)}{2(2l-1)(2l+1)}}$	

(a) Simplified energy level diagram



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## > Absorption (qm concept, Fermi's Golden rule)

Dipole selection rules (for states of the form  $|n, \ell, m_\ell, s, m_s\rangle$ )

$$\Delta \ell = \ell' - \ell = \pm 1$$

$$\Delta m_\ell = m'_\ell - m_\ell = q = 0, \pm 1$$

↑ Helicity

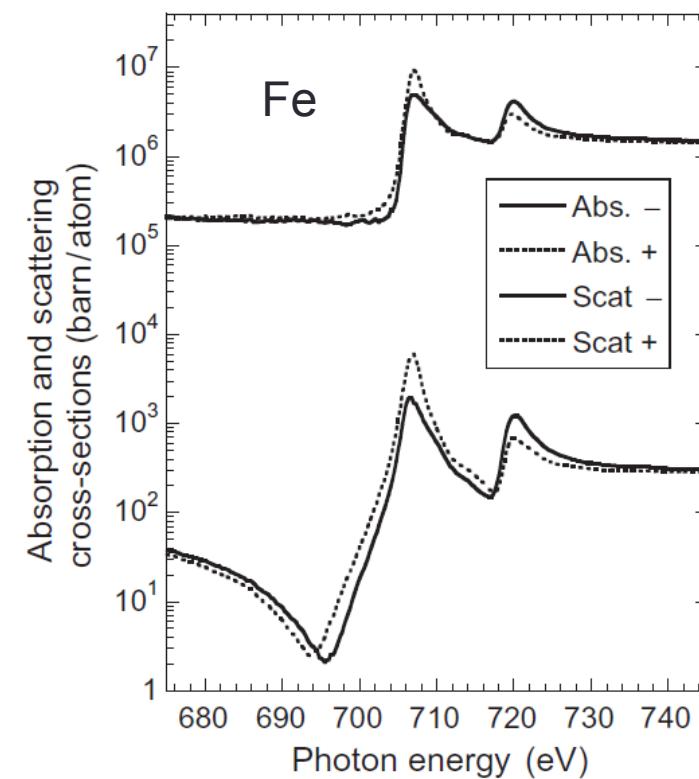
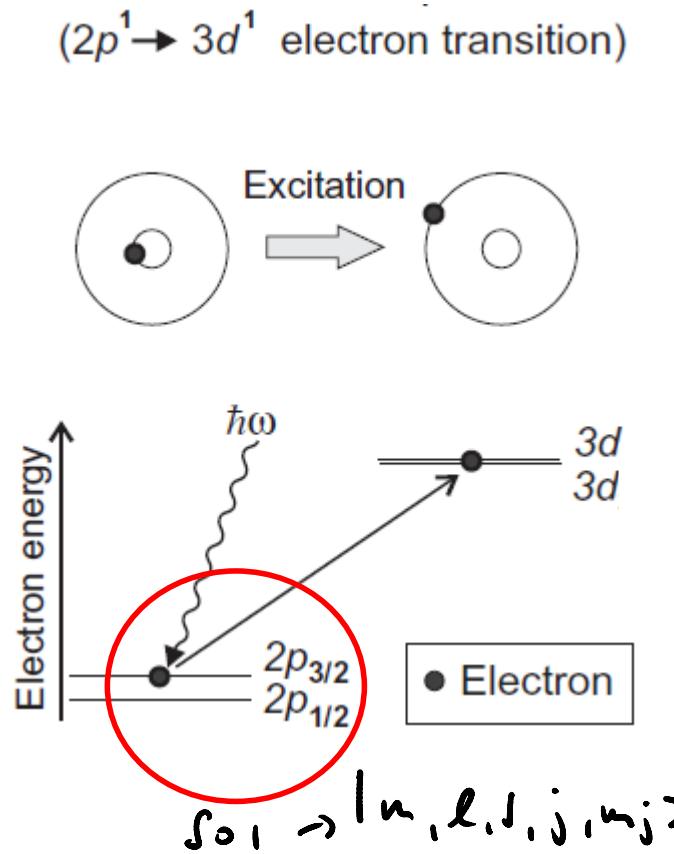
$$\Delta s = s' - s = 0$$

$$\Delta m_s = m'_s - m_s = 0$$

# Interaction of polarized photons with matter

- > Absorption & Resonant scattering (**qm concept**, Fermi's Golden rule)

Motivation: Understand polarization dependent  $2p \rightarrow 3d$  transition in ferromagnets,  
i.e., XMCD effect



# Interaction of polarized photons with matter

## > Absorption (qm concept, Fermi's Golden rule)

Atomic core shell states are split due to spin-orbit split interaction (use in today's lecture)  
 → Clebsch-Gordon coefficients C

$$|l, s, j, m_j\rangle = \sum_{m_l, m_s} C_{m_l, m_s; j, m_j} |l, s, m_l, m_s\rangle$$

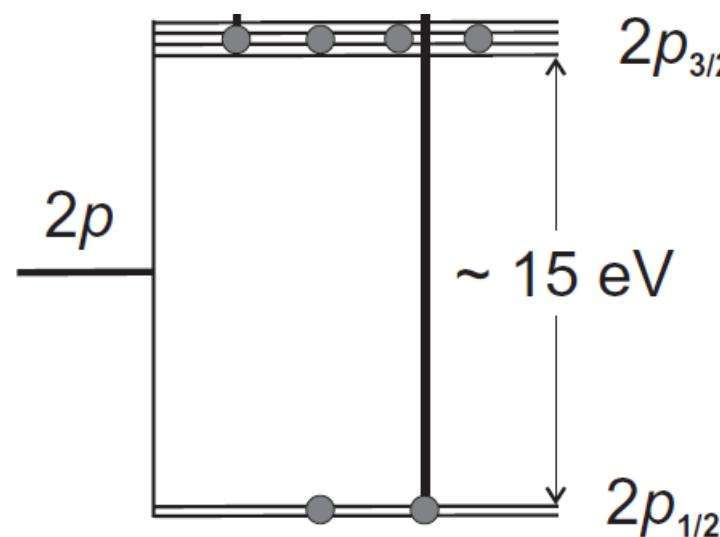
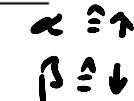


Table 2

$ l, s, j, m_j\rangle$ basis		$ l, m_l, s, m_s\rangle$ basis
$j$	$m_j$	$Y_{l, m_l} \chi^\pm$
$\frac{1}{2}$	$+\frac{1}{2}$	$\frac{1}{\sqrt{3}}(-Y_{1,0} \alpha + \sqrt{2} Y_{1,+1} \beta)$
	$-\frac{1}{2}$	$\frac{1}{\sqrt{3}}(-\sqrt{2} Y_{1,-1} \alpha + Y_{1,0} \beta)$
$\frac{3}{2}$	$+\frac{3}{2}$	$Y_{1,+1} \alpha$
	$+\frac{1}{2}$	$\frac{1}{\sqrt{3}}(\sqrt{2} Y_{1,0} \alpha + Y_{1,+1} \beta)$
	$-\frac{1}{2}$	$\frac{1}{\sqrt{3}}(Y_{1,-1} \alpha + \sqrt{2} Y_{1,0} \beta)$
	$-\frac{3}{2}$	$Y_{1,-1} \beta$

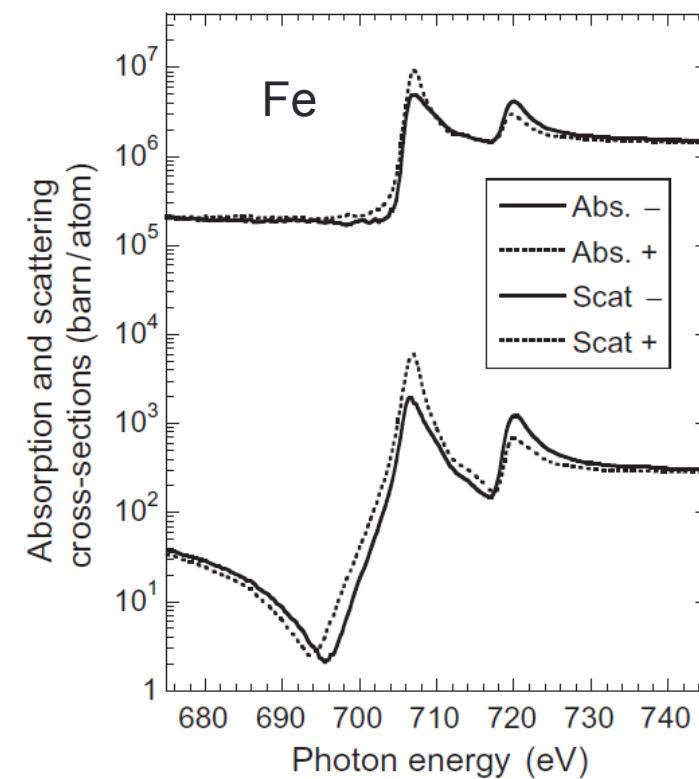
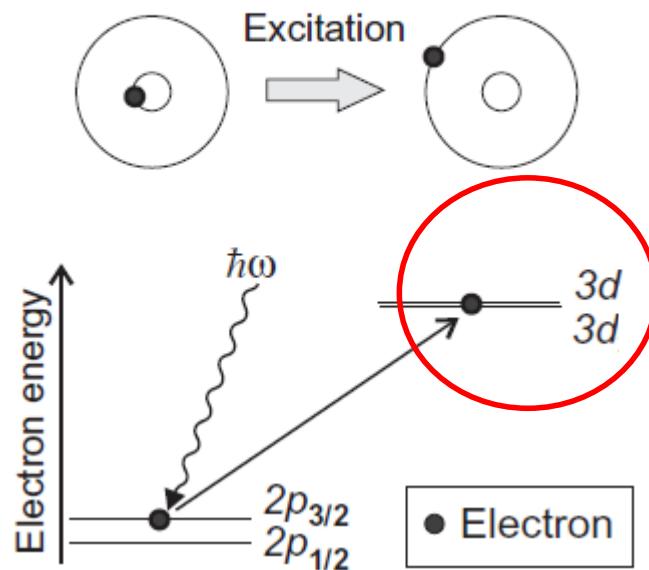


# Interaction of polarized photons with matter

- > Absorption & Resonant scattering (**qm concept**, Fermi's Golden rule)

Motivation: Understand polarization dependent  $2p \rightarrow 3d$  transition in ferromagnets,  
i.e., XMCD effect

( $2p^1 \rightarrow 3d^1$  electron transition)

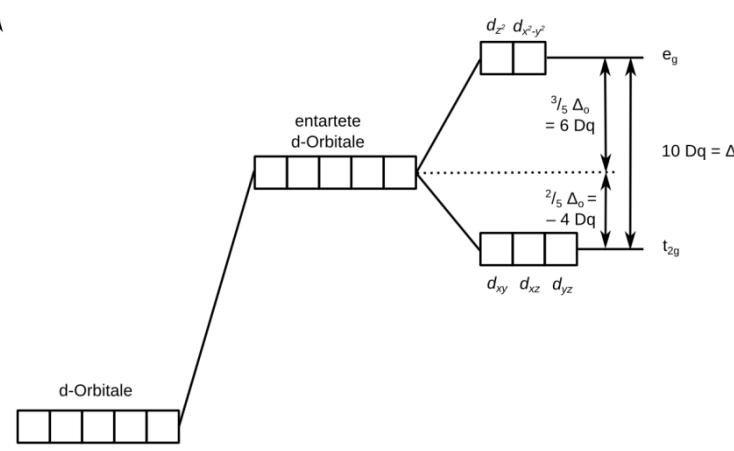


*d-States: so / negligible small but crystal field splitting*

# Interaction of polarized photons with matter

## > Absorption (qm concept), Fermi's Golden rule)

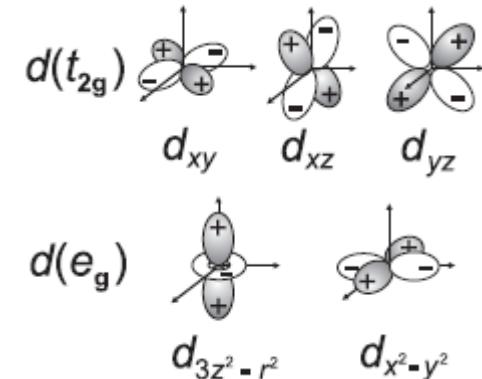
Itinerant  $d$ -states are split due to crystal field  
 (can be neglected to a good approximation as splitting is small  
 → use atomic wave functions without SOC; today's lecture)



Crystal field  
split d-states

l, m > basis

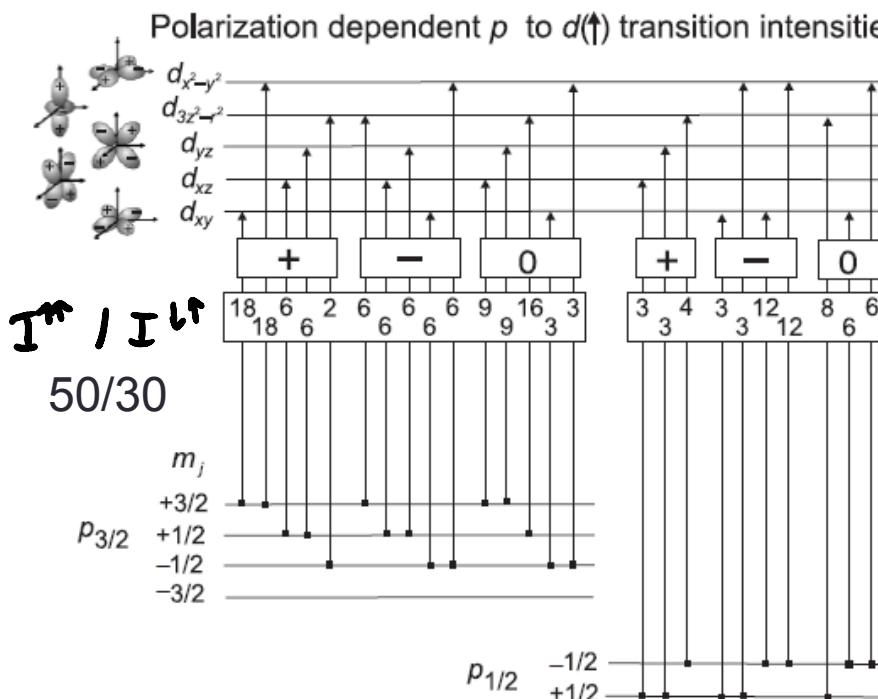
$$\begin{aligned}
 d_{xy} &= \sqrt{\frac{15}{4\pi}} \frac{xy}{r^2} &= \frac{i}{\sqrt{2}} (Y_{2,-2} - Y_{2,+2}) \\
 d_{xz} &= \sqrt{\frac{15}{4\pi}} \frac{xz}{r^2} &= \frac{1}{\sqrt{2}} (Y_{2,-1} - Y_{2,+1}) \\
 d_{yz} &= \sqrt{\frac{15}{4\pi}} \frac{yz}{r^2} &= \frac{i}{\sqrt{2}} (Y_{2,-1} + Y_{2,+1}) \\
 d_{x^2-y^2} &= \sqrt{\frac{15}{16\pi}} \frac{(x^2 - y^2)}{r^2} &= \frac{1}{\sqrt{2}} (Y_{2,-2} + Y_{2,+2}) \\
 d_{3z^2-r^2} &= \sqrt{\frac{5}{16\pi}} \frac{(3z^2 - r^2)}{r^2} &= Y_{2,0}
 \end{aligned}$$



# Interaction of polarized photons with matter

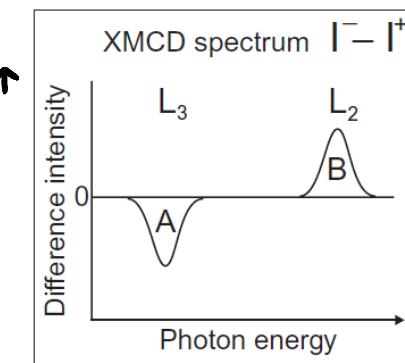
## > X-ray magnetic circular dichroism (XMCD) effect

### Crystal-field-split-d-states



- Strong ferromagnet: one subband is completely filled
- Spin is conserved during transition
- Calculate transition matrix elements for **Spin-Up** electrons & helicity  $q = \pm 1$  (RCP and LCP)

$$I^{\uparrow\uparrow} / I^{\uparrow\uparrow} \rightarrow \text{XMCD}: \Delta I = I^{\uparrow\downarrow} - I^{\uparrow\uparrow} \neq 0$$



$$\Delta I_{L_3} = \mathcal{A}R^2 \sum_{n,m_j} |\langle d_n, \chi^+ | C_{-1}^{(1)} | p_{3/2}, m_j \rangle|^2 - |\langle d_n, \chi^+ | C_{+1}^{(1)} | p_{3/2}, m_j \rangle|^2$$

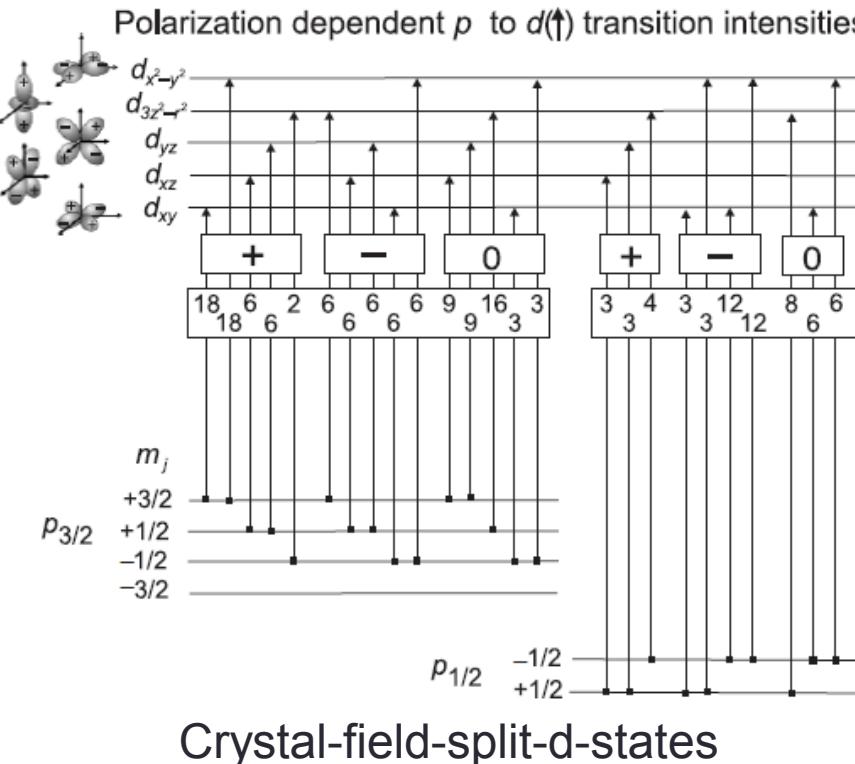
$$\Delta I_{L_2} = \mathcal{A}R^2 \sum_{n,m_j} |\langle d_n, \chi^+ | C_{-1}^{(1)} | p_{1/2}, m_j \rangle|^2 - |\langle d_n, \chi^+ | C_{+1}^{(1)} | p_{1/2}, m_j \rangle|^2$$

$$\Delta I_{L_3} = -\Delta I_{L_2} \quad \alpha 20$$

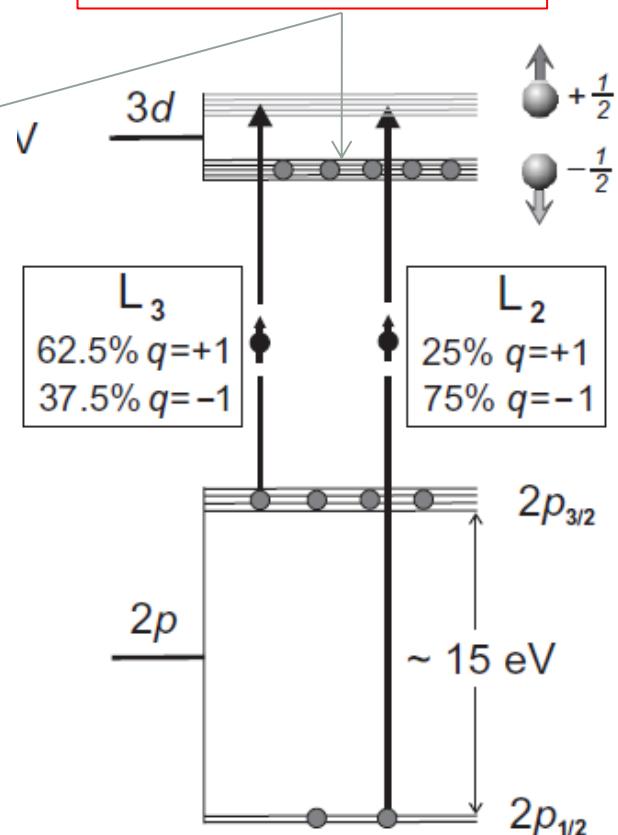


# Interaction of polarized photons with matter

## > X-ray magnetic circular dichroism (XMCD) effect



Strong ferromagnet:  
One subband is  
Completely filled



Atomic-exchange-split  
d-states (w/o SOC)

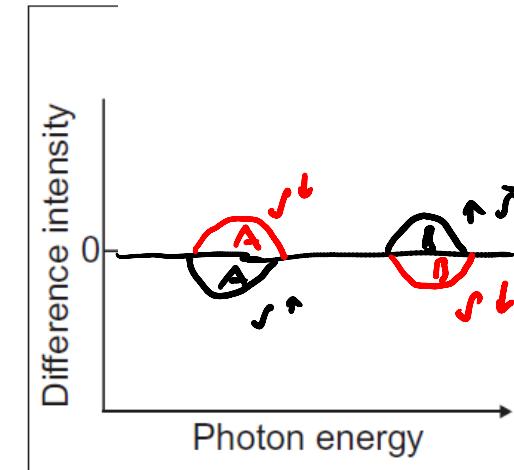
Same results for  $I_{L_3,\text{total}}:I_{L_2,\text{total}} = 2:1$ ,  
 $\Delta I_{L_3,\text{total}}:\Delta I_{L_2,\text{total}} = 1:-1$   
when using atomic d-states (w/o SOC); today's lecture

# Interaction of polarized photons with matter

## > X-ray magnetic circular dichroism (XMCD) effect

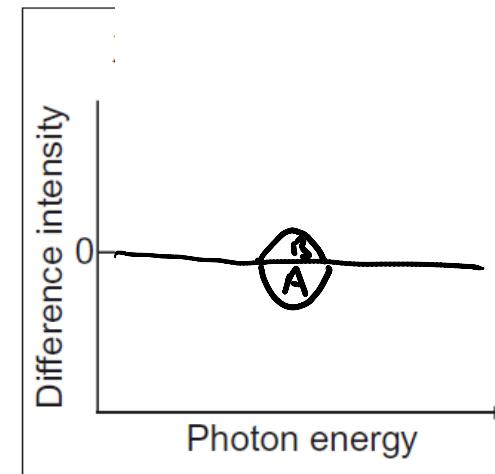
What is happening in a paramagnet?

→ No XMCD



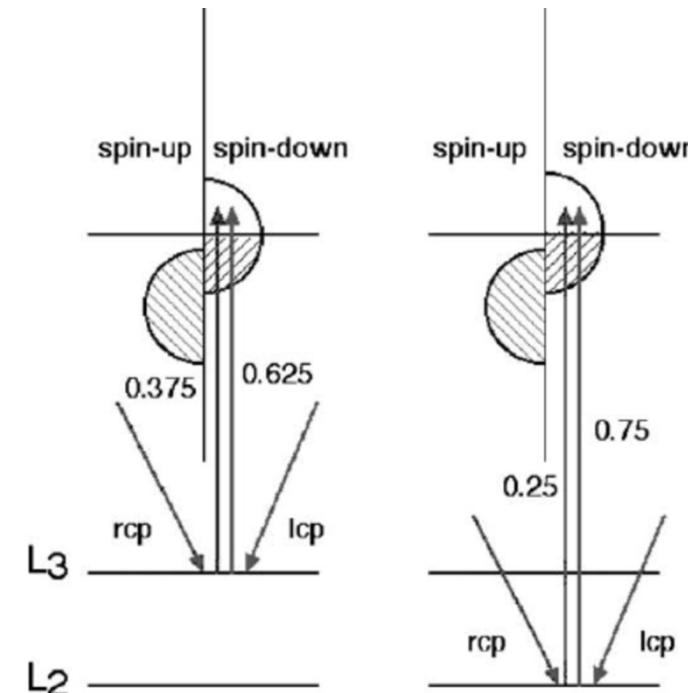
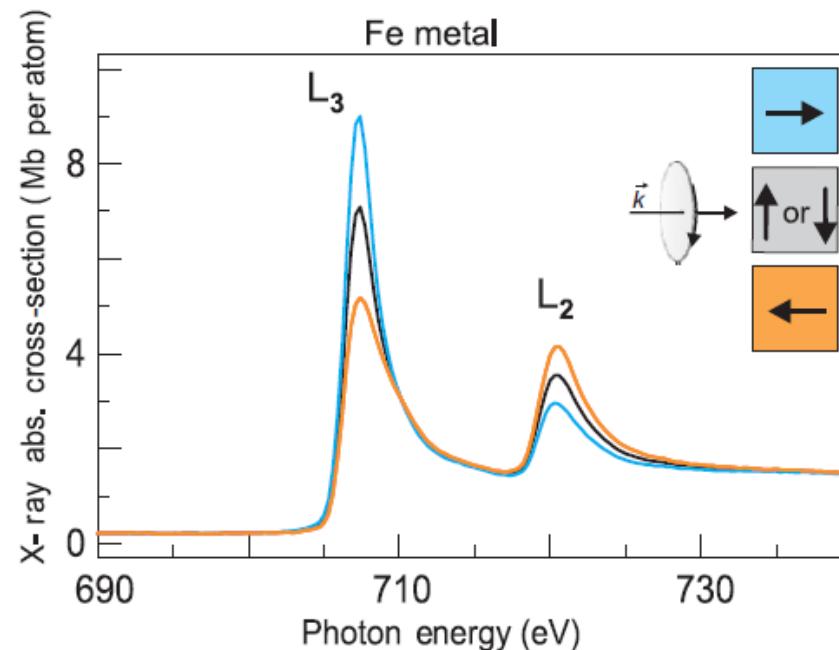
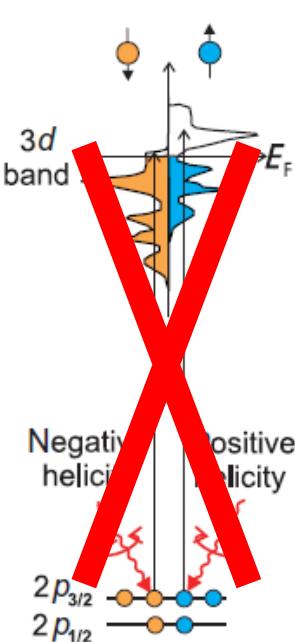
What is happening w/o Spin-Orbit-Coupling for the p-states?

→ No XMCD



# Interaction of polarized photons with matter

## > X-ray magnetic circular dichroism (XMCD) effect



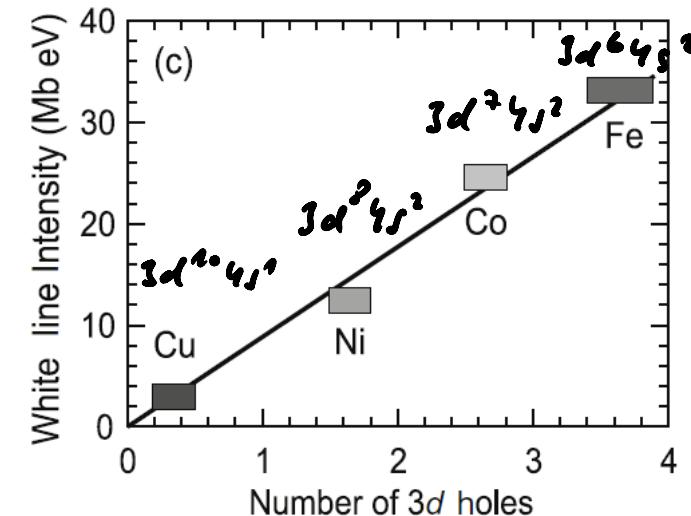
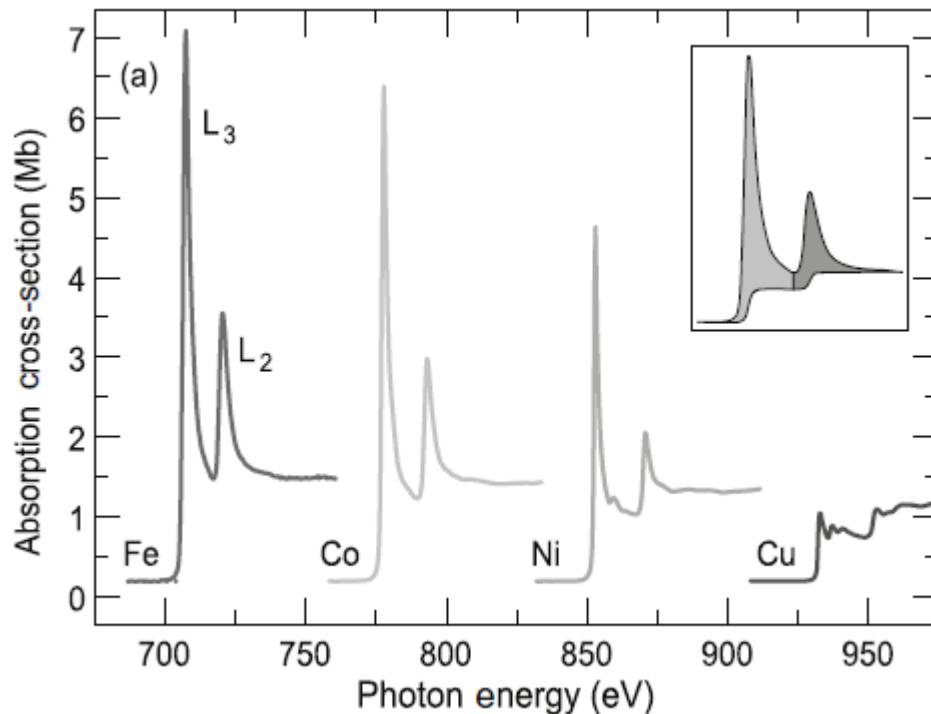
$$\Delta I_{\text{XMCD}} \propto \vec{n} \cdot \vec{L}_{\mu} \propto \cos \theta$$

(sketch in textbooks can be misleading!)  
 $\theta \neq (\vec{n}, \vec{L}_{\mu})$

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> (Orientation averaged) Sum rules  $\langle I \rangle = \frac{1}{3} (I_\alpha^{-1} + I_\alpha^0 + I_\alpha^{+1})$  ( $\alpha = z$ )

Density of d-states at  $E_F$   $\sigma^{\text{abs}} = 4\pi^2 \frac{e^2}{4\pi\epsilon_0\hbar c} \hbar\omega |\langle b | \epsilon \cdot r | a \rangle|^2 \delta[\hbar\omega - (E_b - E_d)] \rho(E_b)$

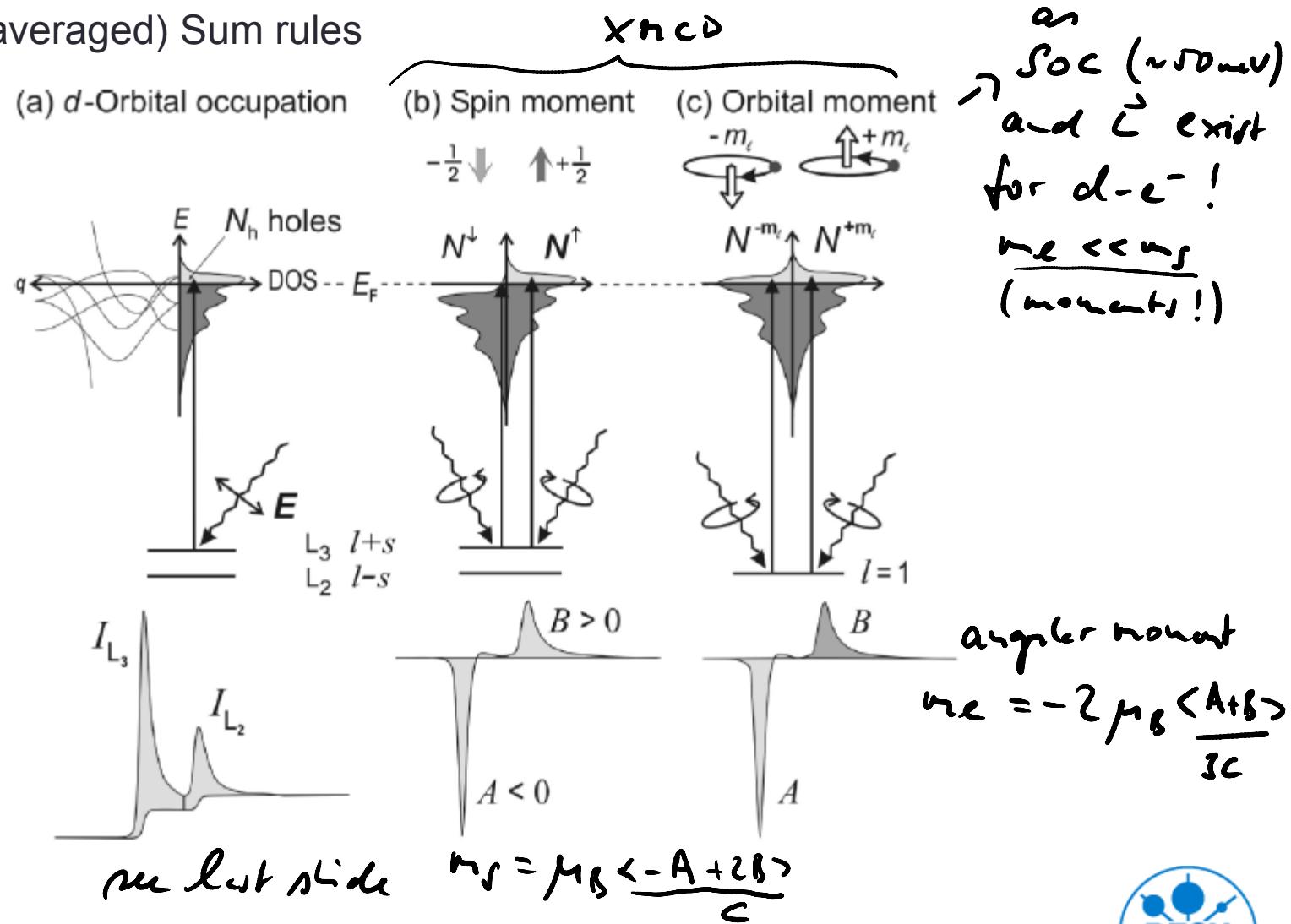


$$D_d(E_F) = \frac{\langle I_{L_3} + I_{L_2} \rangle}{C}$$

$p \rightarrow s$  transitions have to be considered as well but as  $D_s(E_F) \ll D_d(E_F)$   $p \rightarrow d$  channels dominate!

# Interaction of polarized photons with matter

## > (Orientation averaged) Sum rules



# Interaction of polarized photons with matter

## > History of XMCD

**1846 - M. Faraday:** polarisation of visible light changes when transmitted by a magnetic material

**1975 - Erskine and Stern** - first theoretical formulation of XMCD effect  
*excitation from a core state to a valence state for the  $M_{2,3}$  edge of Ni.*

**1987 - G. Schütz et al.** - first experimental demonstration of the XMCD at the K-edge of Fe

Application also for thin films ; example

### Spin-dependent x-ray absorption in Co/Pt multilayers and $\text{Co}_{50}\text{Pt}_{50}$

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The spin dependence of  $L_{2,3}$  absorption in 5d atoms oriented in a ferromagnetic matrix contains information on the spin density of the empty d-projected states of the absorbing atom. Spin-dependent absorption spectroscopy using circularly polarized synchrotron radiation was applied to study the polarization of the Pt atoms in the binary alloy  $\text{Co}_{50}\text{Pt}_{50}$  and Pt/Co layered structures, which are promising candidates for magneto-optical recording. The spin-dependent absorption signals for vapor-deposited 250(4 Å Co + 18 Å Pt) and 250(6 Å Co + 18 Å Pt) multilayers indicate a ferromagnetic coupling on Pt and Co atoms with a significant Pt polarization. This is reduced on average by about 60% with respect to the Pt polarization in the  $\text{Co}_{50}\text{Pt}_{50}$  alloy. The experimental results are discussed on the basis of spin-polarized band-structure calculations.

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