



# Surface Sensitive X-ray Scattering



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## Introduction

- Concepts of surfaces
- Scattering (Born approximation)

## Crystal Truncation Rods

- The basic idea
- How to calculate
- Examples

## Reflectivity

- In Born approximation
- Exact formalism (Fresnel)
- Examples

## Grazing Incidence Diffraction

- The basic idea
- Penetration depth
- Example

## Diffuse Scattering

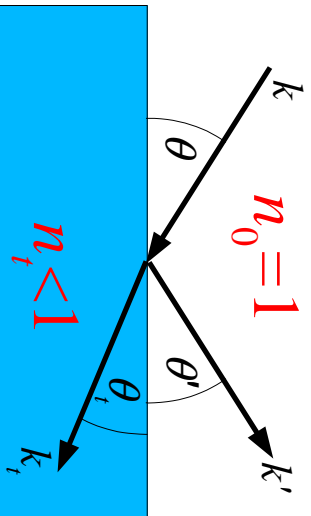
- Concepts of rough surfaces
- Correlation functions
- Scattering Born-approximation
- DWBA
- Examples

## Grazing Incidence Diffraction (GID) The basic idea

Mean value of the refractive index:

⇒ total external reflection

⇒ critical angle  $\alpha_c$



$$|n_t| = |1 - \delta + i\beta| < 1$$

$$\alpha_c \approx \sqrt{2\delta}$$

$$\theta > \alpha_c$$

- some X-rays penetrate the sample
- some X-rays are reflected (Fresnel)

$$\text{transmitted amplitude } t_f = \frac{2k_z}{k_z + k_{t,z}}$$

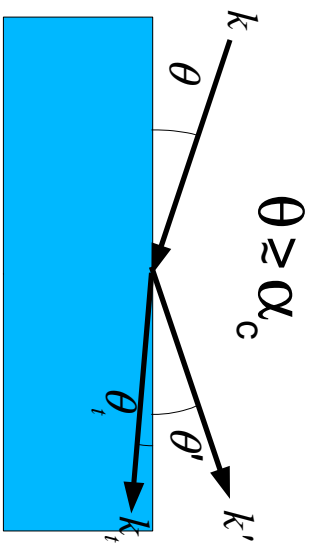
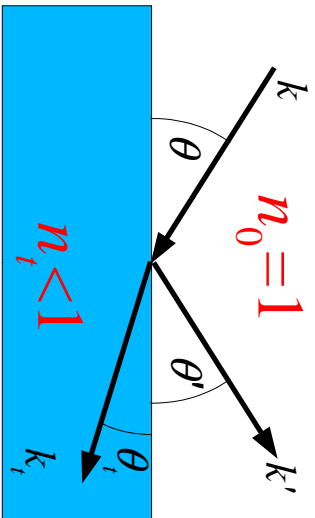
$$\text{reflected amplitude } r_f = \frac{k_z - k_{t,z}}{k_z + k_{t,z}}$$

$$k_z = k \sin \theta$$

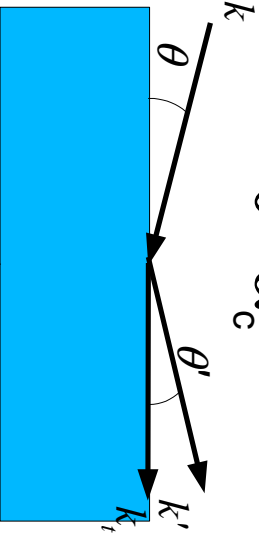
with

$$k_{t,z} = k_t \sin(\theta_t) = k \sqrt{n_t^2 - \cos^2 \theta}$$

$$k = 2\pi / \lambda$$



$$\theta \leq \alpha_c$$



- Evanescent Wave for  $\theta \leq \alpha_c$
- real part of  $k_{t,z}$  is zero
  - imaginary part still exists with finity penetration depth (see below)

$$k_{t,z} = k \sqrt{n_t^2 - \cos^2(\theta)} = k \sqrt{(1 - \delta + i\beta)^2 - \cos^2(\theta)} \approx k \sqrt{1 - 2\delta + 2i\beta - (1 - \sin^2\theta)}$$

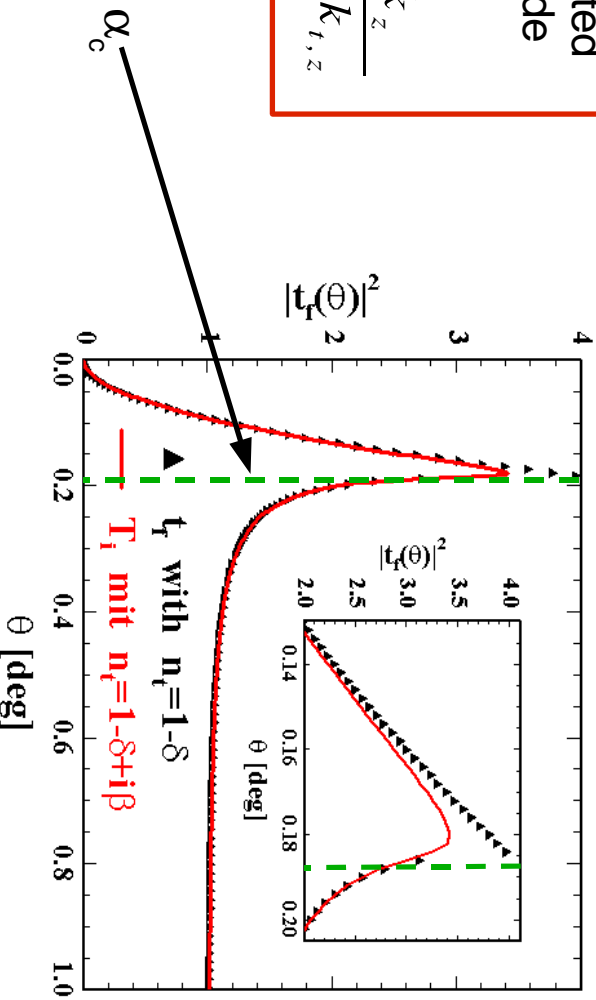
$$\approx k \sqrt{1 - 2\delta + 2i\beta - 1 + \theta^2} \approx k \sqrt{\theta^2 - 2\delta + 2i\beta} = k \sqrt{\theta^2 - \alpha_c^2 + 2i\beta} = k_{t,z}$$

for small  $\theta$  and  $\delta$  and  $\beta$

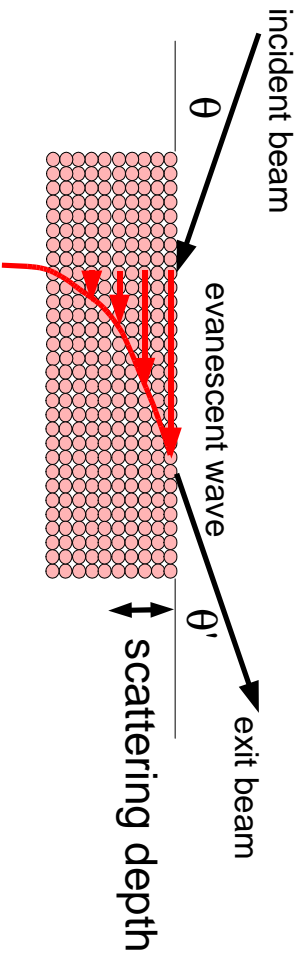
transmitted  
amplitude

$$t_f = \frac{2k_z}{k_z + k_{t,z}}$$

typical transmission coefficient @ 8keV photons

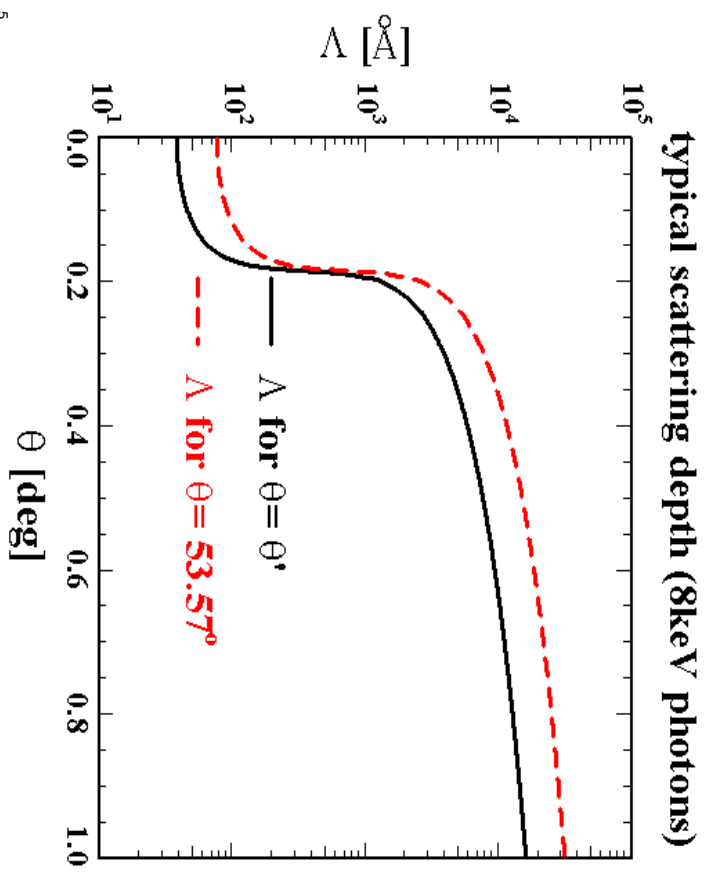


Amplification of the signal at the  
critical angle by a factor of 4



$$q_{zs} = k_{i,z}(\theta) + k_{i,z}(\theta')$$

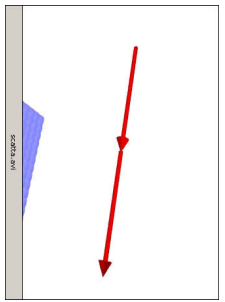
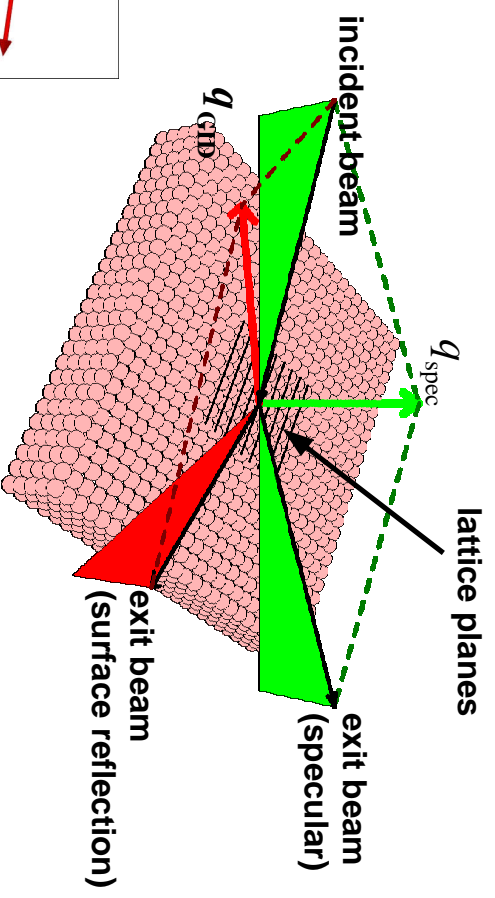
$$\Lambda = \frac{1}{|\text{Im}\{q_{zs}\}|}$$



Can be used to tune the sensitivity to the surface:  
 small angle => only sensity to surface  
 large angle => sensity to bulk

## Grazing Incidence Diffraction The experiment

Excite lattice planes perpendicular to the surface with the use of the evanescent wave



reflected intensity scales with transmission functions

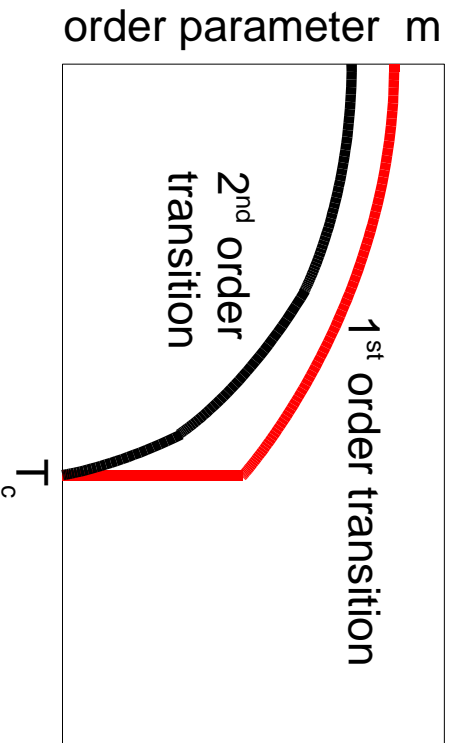
$$I(\mathbf{q}) \sim |t_f(\theta)|^2 |S(\mathbf{q})|^2 |t_f(\theta')|^2$$

$S(\mathbf{q})$  : structure factor of the reflection

## Example: Surface phase transition

### Phase transition

- Matter changes internal order with temperature
- usually: below phase transition temperature  $T_c \Rightarrow$  ordered  
above phase transition temperature  $T_c \Rightarrow$  disordered
- abrupt change of order :  
1<sup>st</sup> order transition
- continuous loss of order at increasing temperature :  
2<sup>nd</sup> order transition



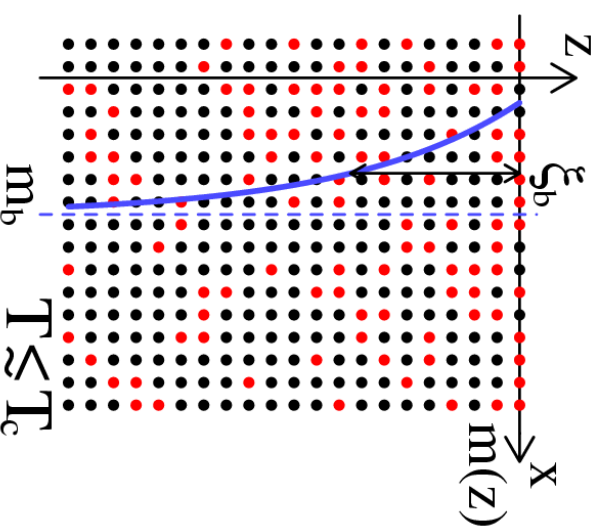
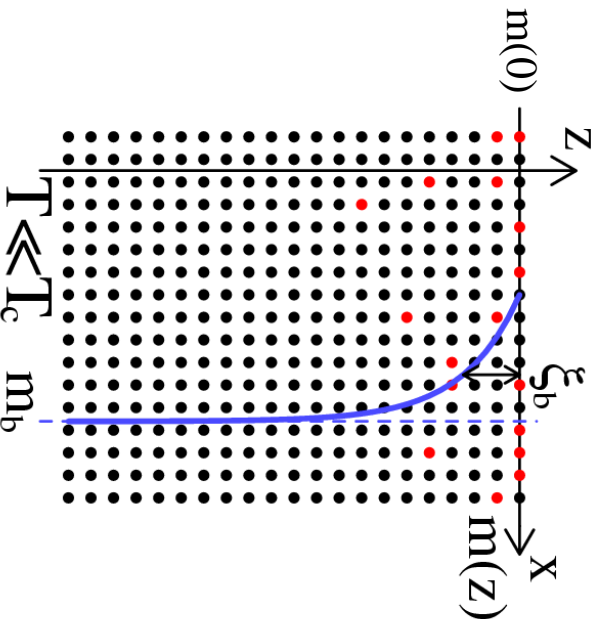
2<sup>nd</sup> order phase transitions:  
power laws of the order  
parameter with  
universal critical exponents

$$m \sim \left( -\frac{T - T_c}{T_c} \right)^\beta$$

$\beta = 0.325$  for Ising model

### Phase transition at the surface not identical to bulk (symmetry break at the surface)

Order parameter  $m$  depends on distance from surface :  $m(z)$

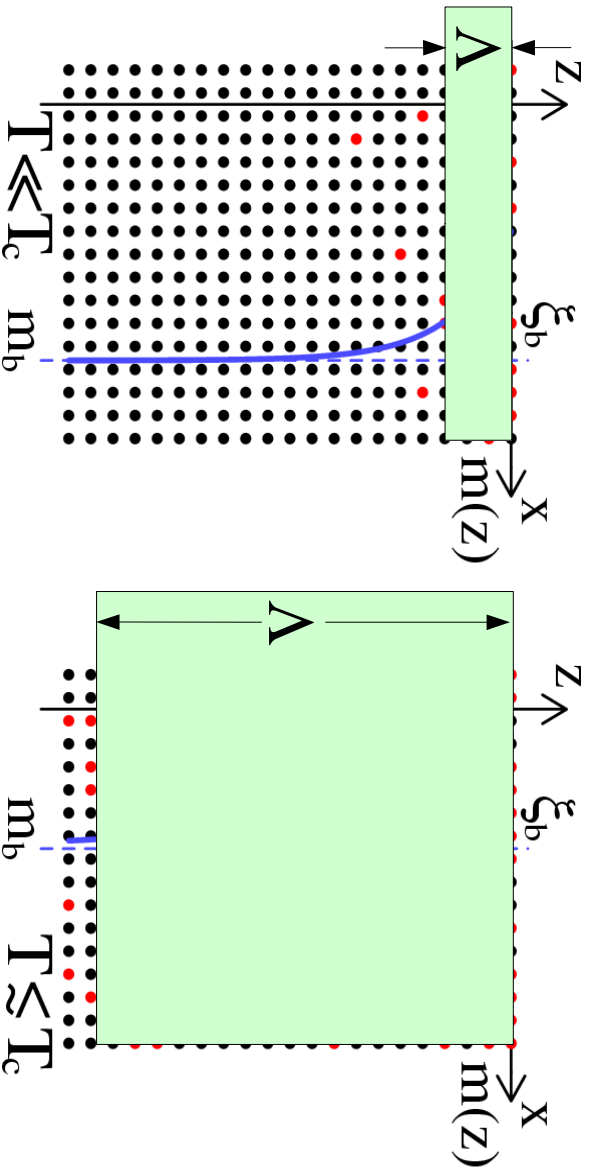


$$m(0) \sim \left( -\frac{T - T_c}{T_c} \right)^{\beta_{surf}}$$

$\beta_{surf} = 0.8$  for Ising model

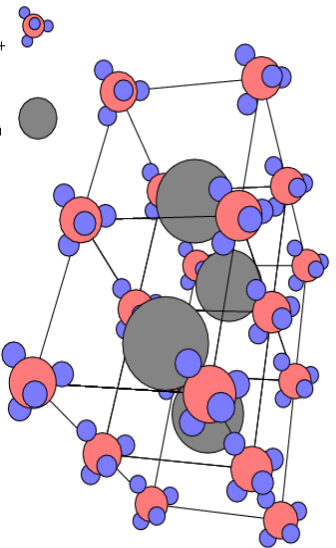
Using GID (changing scattering depth by changing the incident angle) the experiment can be sensitive to  $m(0)$  or  $m_{\text{bulk}}$

Small incident angle : small scattering depth  $\Lambda \Rightarrow m(0)$   
 Large incident angle : large scattering depth  $\Lambda \Rightarrow m_{\text{bulk}}$



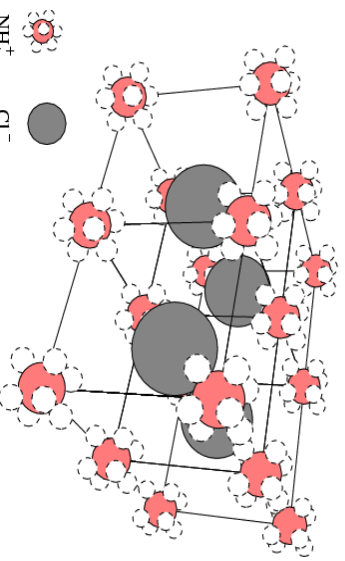
## System Ammonium Chloride : deuterated $\text{NH}_4\text{Cl}$

$\text{P4}\bar{3}\text{m}$



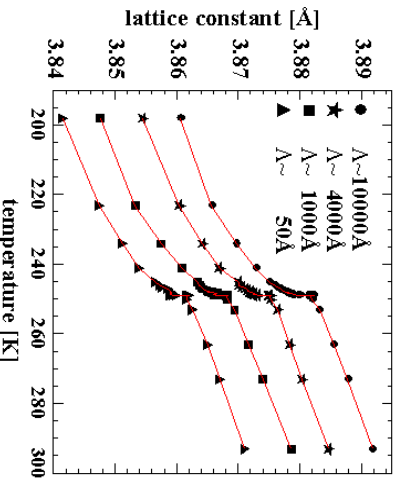
ordered low temperature phase

$\text{Pm}\bar{3}\text{m}$

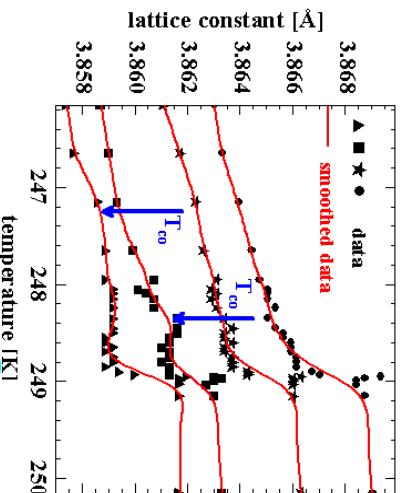


disordered high temperature phase

close look at  $T_c$

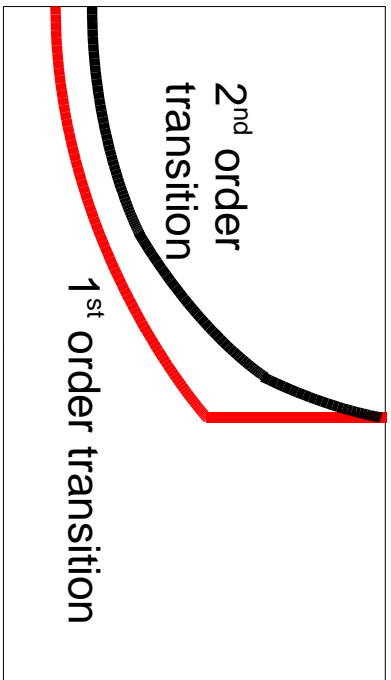


deuterated  $\text{NH}_4\text{Cl}$  100-GID reflection



close to  $T_c$  :  
 change  
 of scattering  
 $\Lambda$  dependent

order parameter  $m$



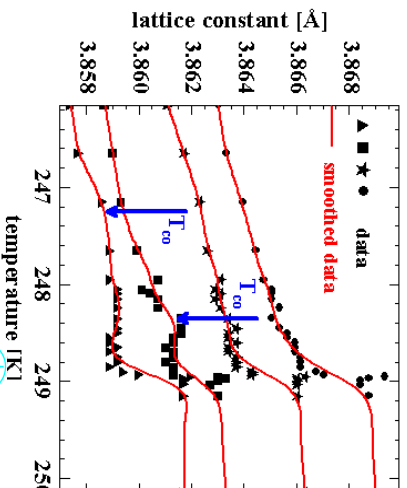
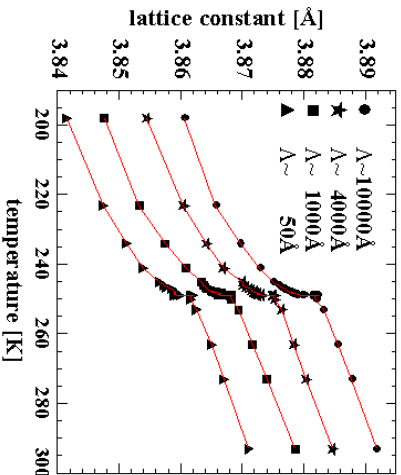
$T_c$

Looks like 2<sup>nd</sup> order

surface sensitive : 1<sup>st</sup> order  
surface phase transition ?

deuterated  $\text{NH}_4\text{Cl}$  100-GID reflection

close look at  $T_c$



close to  $T_c$  :  
change  
of scattering  
 $\Lambda$  dependent

## Summary

- **Grazing incidence diffraction** can be used to investigate crystalline surface regions
- The **surface-sensitivity** can be tuned via the **incident angle of the x-ray radiation**.
- The **scattered intensity** is amplified if the **incident angle is equal to the critical angle**.