

# Methoden moderner Röntgenphysik II: Streuung und Abbildung

---

Lecture 10	Vorlesung zum Haupt- oder Masterstudiengang Physik, SoSe 2016 G. Grübel, M. Martins, S. Roth, O. Seeck, T. Schneider
Location	Lecture hall AP, Physics, Jungiusstraße
Date	Tuesday                    12:30 - 14:00 Thursday                  8:30 - 10:00

# Methoden moderner Röntgenphysik II: Streuung und Abbildung

## Part I:

### **Basics of X-ray Physics**

by Gerhard Grübel (GG)

#### [Introduction](#)

Overview, Introduction to X-ray Scattering

#### [X-ray Scattering Primer](#)

Elements of X-ray Scattering

#### [Sources of X-rays, Synchrotron Radiation](#)

Laboratory Sources, Accelerator Bases Sources

#### [Reflection and Refraction from Interfaces](#)

Snell's Law, Fresnel Equations

#### [Kinematical Diffraction \(I\)](#)

Diffraction from an Atom, a Molecule, from Liquids, Glasses, ...

#### [Kinematical Diffraction \(II\)](#)

Diffraction from a Crystal, Reciprocal Lattice, Structure Factor, ...

# Methoden moderner Röntgenphysik II: Streuung und Abbildung

## Small Angle Scattering, and Soft Matter

Introduction, Form Factor, Structure Factor, Applications, ...

## Anomalous Diffraction

Introduction into Anomalous Scattering, ...

## Introduction into Coherence

Concept, First Order Coherence, ...

## Coherent Scattering

Spatial Coherence, Second Order Coherence, ...



## Applications of Coherent Scattering

Imaging and Correlation Spectroscopy, ...

# The Concept of Coherence: Classical Light

First Order Coherence

Coherence and Emission Spectrum

Spatial Coherence

Second Order Coherence

Chaotic Light

Basic concepts: **The quantum theory of light**

Rodney Loudon, Oxford University Press (1990)

**Quantum optics**

Marlan O. Scully, M. Suhail Zubairy,  
Cambridge University Press (1997)

Courtesy: Andreas Hemmerich



## • Reminder: First order coherence

Normalized autocorrelation function:

**Correlation of amplitudes**

$$g^{(1)}(\tau) \equiv \frac{\langle E(t + \tau)E^*(t) \rangle}{\langle E(t) \rangle^2}$$

Properties

$$(1) \quad g^{(1)}(0) = 1$$

$$(2) \quad g^{(1)}(-\tau) = g^{(1)*}(\tau)$$

Longitudinal coherence

$$\xi_l = \lambda/2 \lambda/\Delta\lambda$$

Michelson-interferometer

Spatial coherence

$$d \cong \lambda/\theta$$

# Second Order Coherence

Normalized autocorrelation function:

$$g^{(2)}(\tau) \equiv \frac{\langle I(t + \tau)I(t) \rangle}{\langle I(t) \rangle^2}$$

degree of second order coherence

## Correlation of intensities

$$(1) \quad g^{(2)}(-\tau) = g^{(2)}(\tau)$$

$$(3) \quad g^{(2)}(\tau) \leq g^{(2)}(0)$$

$$(2) \quad g^{(2)}(0) \geq 1$$

$$(4) \quad g^{(2)}(\tau \rightarrow \infty) = 1 \text{ if correlations vanish}$$

Proof (2):

$$\left( \frac{1}{N} \sum_{n=1}^N I_n \right)^2 = \frac{1}{N^2} \left( \sum_n I_n^2 + \sum_{n \neq m} I_n I_m \right) \leq \frac{1}{N^2} \left( \sum_n I_n^2 + \sum_{n \neq m} \frac{I_n^2 + I_m^2}{2} \right)$$

(inequality of arithmetic and geometric means)

$$= \frac{1}{N^2} \sum_{n,m} \frac{I_n^2 + I_m^2}{2} = \frac{1}{N} \sum_{n,m} I_n^2$$

$$\Rightarrow g^{(2)}(0) = \frac{\langle I(t)^2 \rangle}{\langle I(t) \rangle^2} = \frac{1}{N} \sum_{n,m} I_n^2 / \left( \frac{1}{N} \sum_{n=1}^N I_n \right)^2 \geq 1$$

## Proof (3):

$$(< I(t + \tau)I(t) >)^2 = \left( \frac{1}{N} \sum_{n=1}^N I(t_n + \tau)I(t_n) \right)^2 \leq \left( \frac{1}{N} \sum_{n=1}^N I(t_n + \tau)^2 \right) \left( \frac{1}{N} \sum_{n=1}^N I(t_n)^2 \right) = (< I(t)^2 >)^2$$

(Cauchy-Schwarz inequality)

## Proof (4):

$$\tau \rightarrow \infty \Rightarrow < I(t + \tau)I^*(t) > = < I(t + \tau) > < I(t) > = < I(t) >^2$$

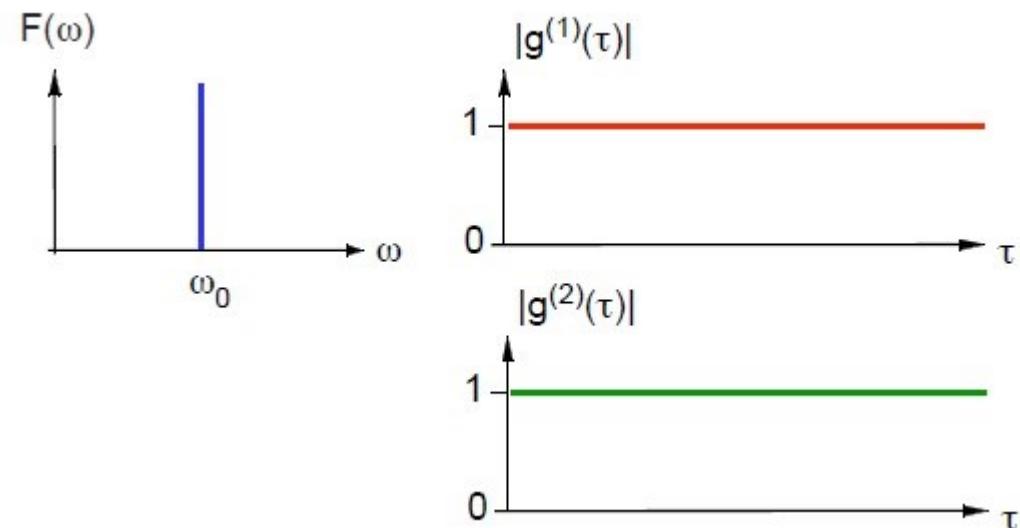
Example: **monochromatic light**

$$E(t) = E_0 e^{i(\omega_0 t + \phi)}$$

$$I(t) = E_0 E_0^*$$

$$|g^{(1)}(\tau)| = 1$$

$$g^{(2)}(\tau) \equiv \frac{< I(t + \tau)I^*(t) >}{< I(t) >^2} = 1$$



# Chaotic Light

$$E(t) = E_0 \sum_{n=1}^N e^{i\phi_n(t)}, \quad \phi_n(t) = \text{random phase, uniform at any time } t$$

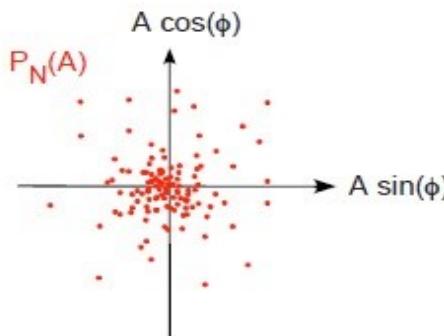
$$g^{(1)}(\tau) = \sum_{n=1}^N \langle e^{i(\phi_n(t+\tau)-\phi_n(t))} \rangle = 0 \quad \text{if } n \neq m,$$

Theory of stochastic processes:

Probability for  $\sum_n^N e^{i\phi_n}$  to fall within unit areas at the point  $(A, \Phi)$  in the complex plane:

$$P_N(A) = \frac{1}{N} \pi e^{-\frac{A^2}{N}}$$

Probability for measuring an intensity  $\in [I, I + dI]$ :  $P(I)dI = \frac{1}{\langle I \rangle} e^{-I/\langle I \rangle} dI$



moments:  $\langle I^n \rangle \equiv \int_0^\infty dI P(I) I^n = n! \langle I \rangle^n$

$$\Delta I \equiv (\langle I^2 \rangle - \langle I \rangle^2)^{\frac{1}{2}} = \langle I \rangle$$

Note: for chaotic light:  $g^{(2)}(\tau) = 1 + |g^{(1)}(\tau)|^2$  Siegert relation

$E(t) = \sum_{n=1}^N E_n(t)$ , with  $E_n(t), E_m(t)$  uncorrelated for  $n \neq m$ :

?

$$\begin{aligned}
 & \langle E(t+\tau)E(t)E^*(t)E(t+\tau)^* \rangle = \sum_{n=1}^N \langle E_n(t+\tau)E_n(t)E_n^*(t)E_n^*(t+\tau) \rangle \\
 & \quad + \sum_{n \neq m}^N \langle E_n(t+\tau)E_n(t)E_m^*(t)E_m^*(t+\tau) \rangle \\
 & \quad + \sum_n^N \langle E_n(t+\tau)E_n^*(t+\tau)E_m^*(t)E_m(t) \rangle \\
 & = N \langle E_n(t+\tau)E_n(t)E_n^*(t)E_n^*(t+\tau) \rangle \\
 & \quad + N(N-1) \langle E_n(t+\tau)E_n^*(t) \rangle \langle E_m(t)E_m^*(t+\tau) \rangle \\
 & \quad + N(N-1) \langle E_n(t+\tau)E_n^*(t+\tau) \rangle \langle E_m^*(t)E_m(t) \rangle
 \end{aligned}$$

*Only fields for each atom contribute*

$N \gg 1$

$$\begin{aligned}
 & \cong N^2 |\langle E_n(t+\tau)E_n^*(t) \rangle|^2 + N^2 \langle E_m^*(t)E_m(t) \rangle^2 = N^2 \langle E_m^*(t)E_m(t) \rangle^2 (|g^{(1)}(\tau)|^2 + 1) \\
 & \quad = \langle I \rangle (|g^{(1)}(\tau)|^2 + 1)
 \end{aligned}$$

## An example of chaotic light: collisional broadened source revisited

$$E(t) = E_0 \sum_{n=1}^N e^{i\phi_n(t)}, \quad \phi_n(t) = -\omega_n t + \phi_n, \phi_n = \text{random phase} \Rightarrow$$

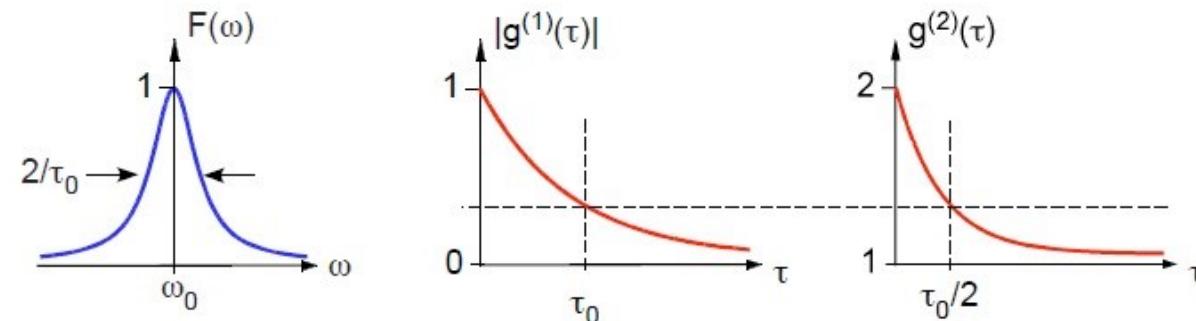
$$g^{(1)}(\tau) = \sum_{n=1}^N \langle e^{i(\phi_n(t+\tau)-\phi_n(t))} \rangle = \sum_{n=1}^N \langle e^{i\omega_n \tau} \rangle = \int_{-\infty}^{+\infty} d\omega \ e^{i\omega \tau} P(\omega)$$

Wiener-Khinchin

Example: assume Lorentzian spectrum (collision broadened light source)

$$P(\omega) = \frac{\tau_0}{\pi} \frac{1}{[1+(\omega_0 - \omega)^2 \tau_0^2]} \Rightarrow g^{(1)}(\tau) = e^{-i\omega_0 \tau - \frac{|\tau|}{\tau_0}}$$

$$g^{(2)}(\tau) = 1 + e^{\frac{-2|\tau|}{\tau_0}}$$



## Measurement of $g^{(2)}(\tau)$ : Hanbury Brown & Twiss (1956)

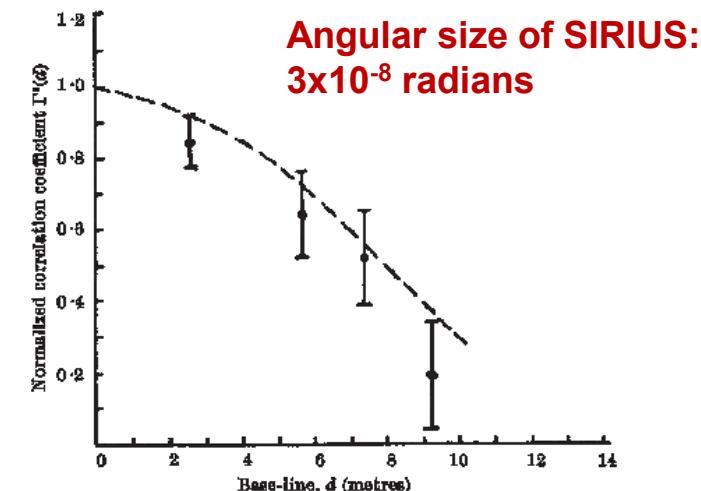
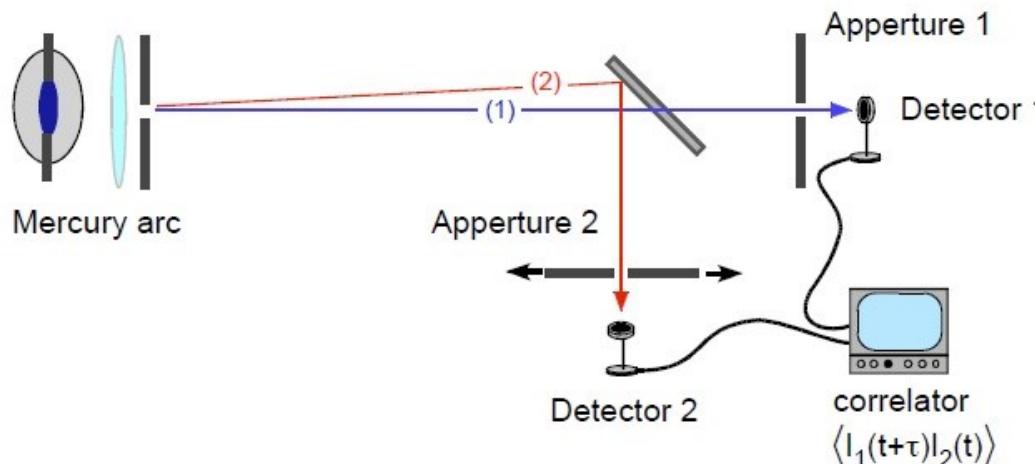


Fig. 2. Comparison between the values of the normalized correlation coefficient  $T^*(d)$  observed from Sirius and the theoretical values for a star of angular diameter  $0.0003''$ . The errors shown are the probable errors of the observations

Variation of aperture 2 allows a measurement of the transverse coherence length  
 ⇒ Determination of the opening angle of the source

# Coherence: Applications

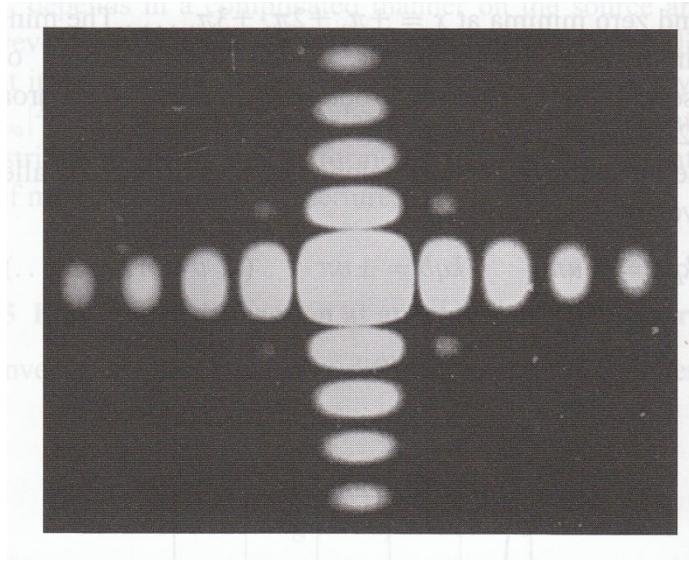
Interference Patterns

X-ray Speckle

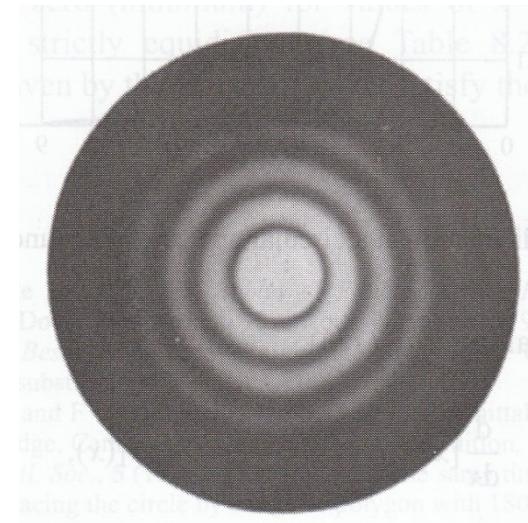
Imaging

X-Ray Photon Correlation Spectroscopy (XPCS)

# Fraunhofer Diffraction

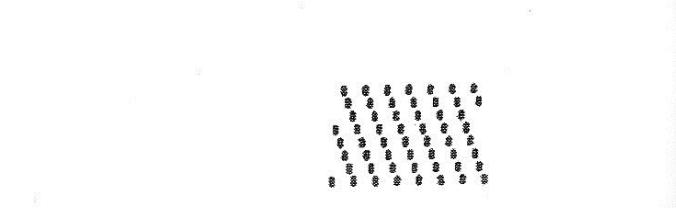
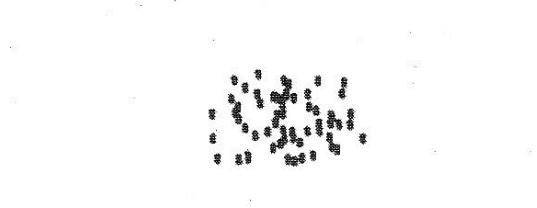
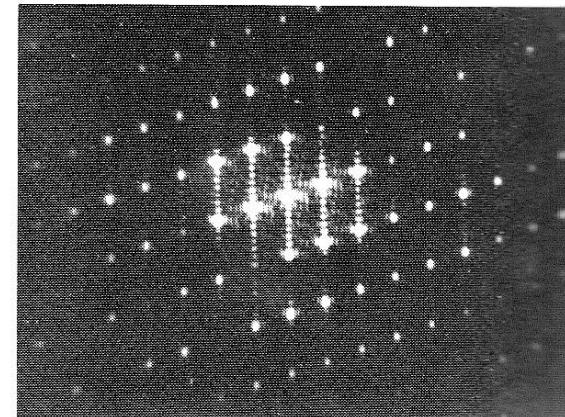
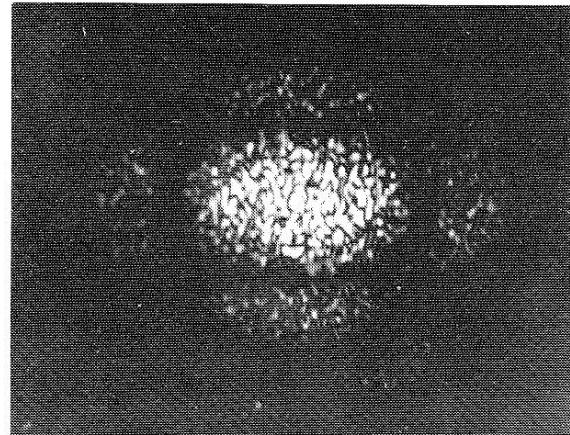


Fraunhofer diffraction of a rectangular aperture  $8 \times 7 \text{ mm}^2$ , taken with mercury light  $\lambda=579\text{nm}$  (from Born&Wolf, chap. 8)



Fraunhofer diffraction of a circular aperture, taken with mercury light  $\lambda=579\text{nm}$  (from Born&Wolf, chap. 8)

# Speckle Pattern

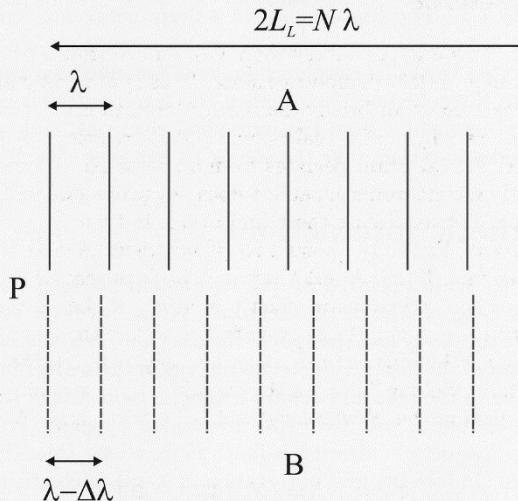


Random arrangement of apertures: speckle

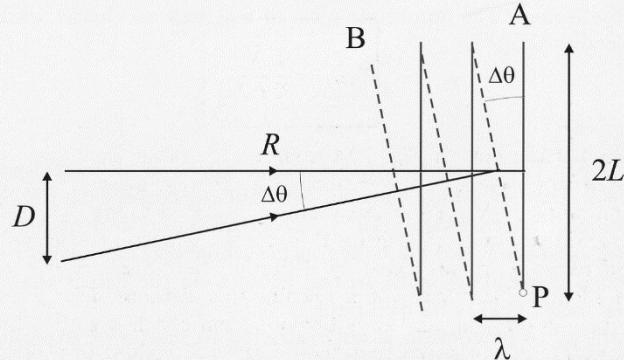
Regular arrangement of apertures

# Coherence Lengths (0.1 nm X-Rays)

(a) Longitudinal coherence length,  $L_L$



(b) Transverse coherence length,  $L_T$



## Longitudinal coherence:

Two waves are in phase at point P. How far can one proceed until the two waves have a phase difference of  $\pi$ :

$$\xi_L = \left(\frac{\lambda}{2}\right) \left(\frac{\lambda}{\Delta\lambda}\right)$$

$$\lambda = 0.1 \text{ nm} \quad \frac{\Delta\lambda}{\lambda} = 10^{-4} \quad \Rightarrow \xi_L \approx 1 \mu\text{m}$$

## Transverse coherence:

Two waves are in phase at P. How far does one have to proceed along A to produce a phase difference of  $\pi$ :

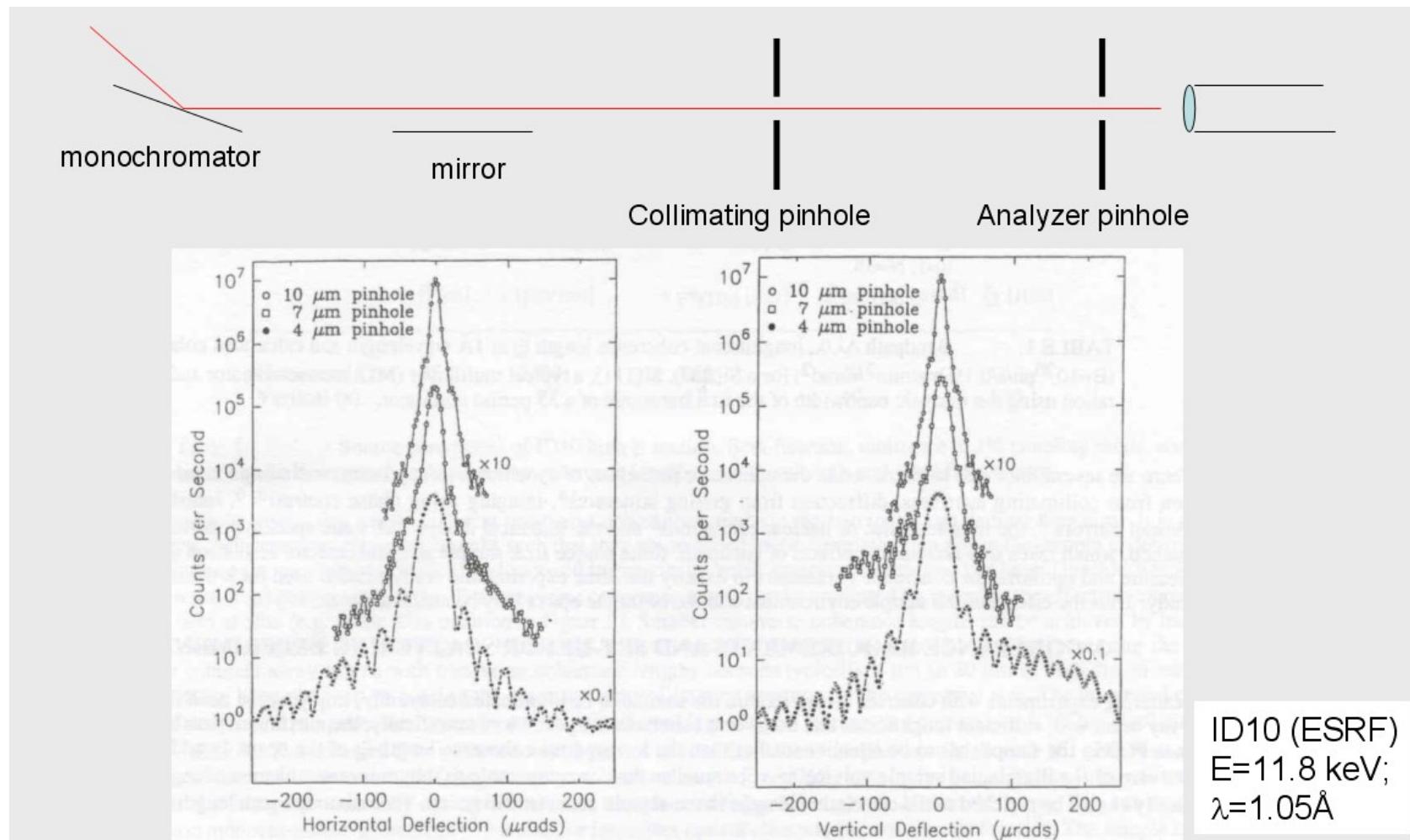
$$2\xi_t \Delta\theta = \lambda$$

$$\xi_t = \left(\frac{\lambda}{2}\right) \left(\frac{R}{D}\right)$$

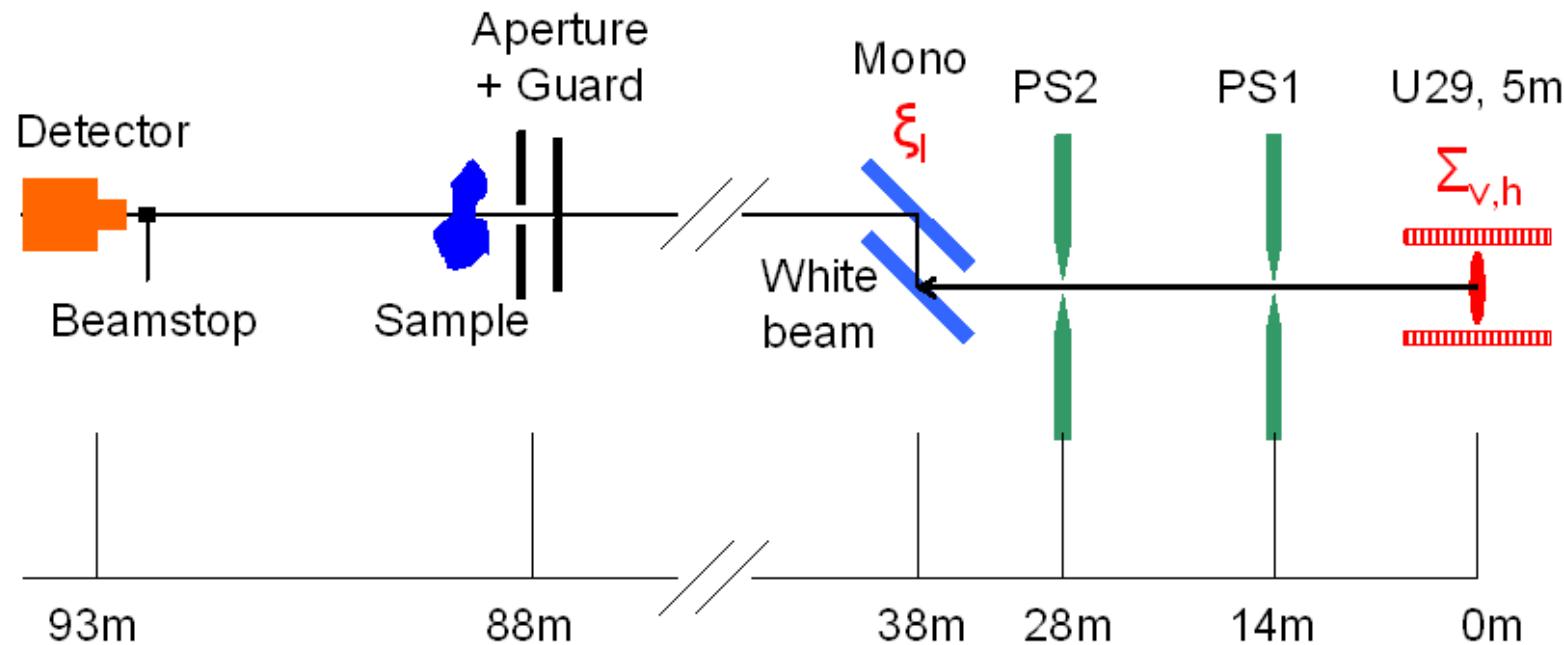
$$\lambda = 0.1 \text{ nm}, R = 100 \text{ m}, D = 20 - 150 \mu\text{m} \quad \Rightarrow \xi_t \approx 100 \mu\text{m}$$



# Fraunhofer Diffraction ( $\lambda = 0.1\text{nm}$ )



# Coherence Lengths of a Storage Ring Beamline



$$\frac{\Delta\lambda}{\lambda} = 10^{-4}$$

$$\Sigma_v \approx 5 - 10 \mu\text{m}$$

$$\Sigma_h \approx 100 - 200 \mu\text{m}$$



# Speckle

If coherent light is scattered from a disordered system it gives rise to a random (grainy) diffraction pattern, known as “**speckle**”. A speckle pattern is an interference pattern and related to the exact spatial arrangement of the scatterers in the disordered system.

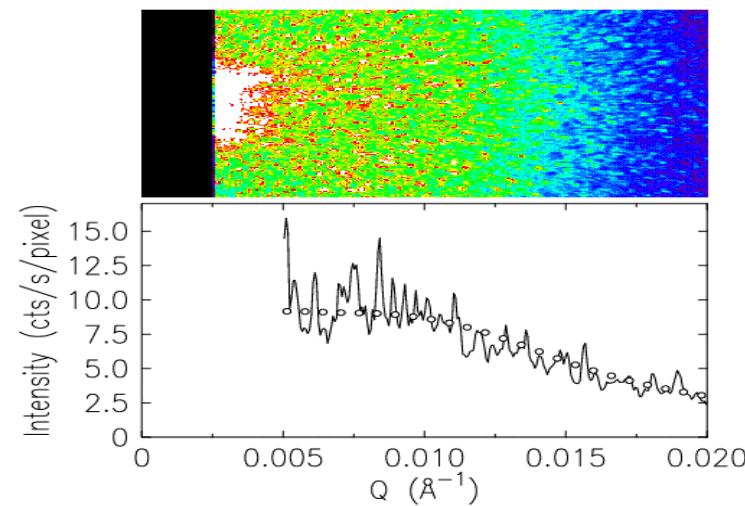
$$I(Q, t) \propto S_C(Q, t) \propto \left| \sum e^{iQ R_j(t)} \right|^2$$

$j$  in coherence volume  $V_c = \xi_t^2 \xi_l$

Incoherent Light:

$S(Q, t) = \langle S_c(Q, t) \rangle_{V \gg V_c}$  ensemble average

Aerogel  
 $\lambda = 1\text{\AA}$   
 CCD (22  $\mu\text{m}$ )

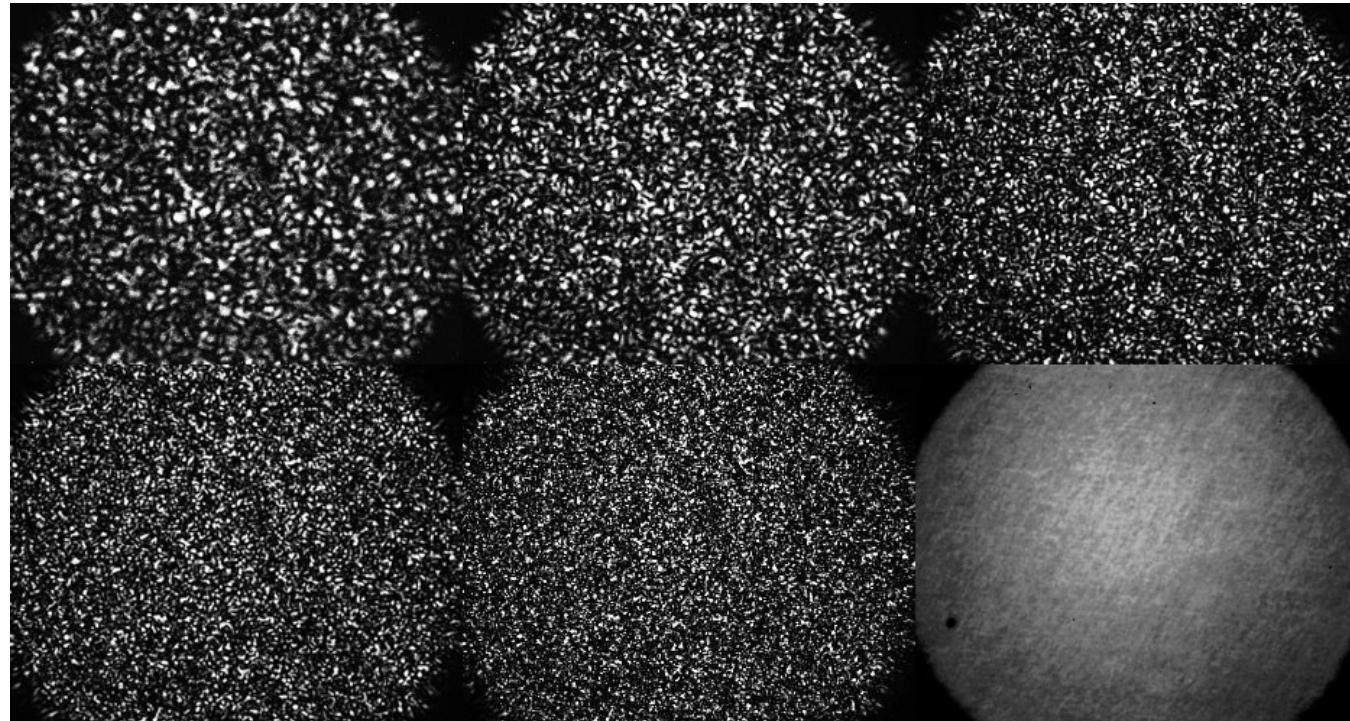


Abernathy, Grübel, et al.  
 J. Synchrotron Rad. 5, 37,  
 1998



# Speckle size

Taken from: Photonic Crystal group, Instituto de Ciencia de Materiales de Madrid



Speckle size  $s$  as a function of different beam diameter  $d$

$$s \approx \frac{\lambda L}{d}$$

$L$ : sample-detector distance  
 $d$ : beam diameter

# Speckle Statistics

If the source is fully coherent and the scattering amplitudes and phases of the scattering are statistically independent and distributed over  $2\pi$  one finds for the probability amplitude of the intensities:

$$P(I) = \left( \frac{1}{\langle I \rangle} \right) e^{\frac{-I}{\langle I \rangle}}$$

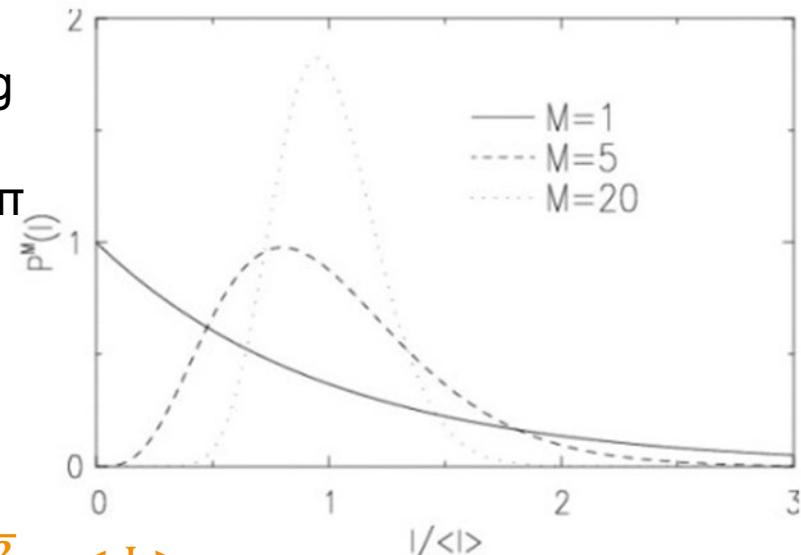
**Mean:**  $\langle I \rangle$       **Std. Dev.**  $\sigma$ :  $\sqrt{\langle I^2 \rangle - \langle I \rangle^2} = \langle I \rangle$

**Contrast:**  $\beta = \sigma^2 / \langle I \rangle^2 = 1$

Partially coherent illumination: the speckle pattern is the sum of  $M$  independent speckle patterns

$$P_M(I) = M^M \cdot \frac{\left(\frac{1}{\langle I \rangle}\right)^{M-1}}{\Gamma(M)\langle I \rangle} \cdot e^{-\frac{MI}{\langle I \rangle}}$$

**Mean:**  $\langle I \rangle$ ;  $\sigma = \frac{\sqrt{I}}{\sqrt{M}}$        $\beta = \frac{1}{M}$



# Speckle

If coherent light is scattered from a disordered system it gives rise to a random (grainy) diffraction pattern, known as “speckle”. A speckle pattern is an interference pattern and related to the exact spatial arrangement of the scatterers in the disordered system.

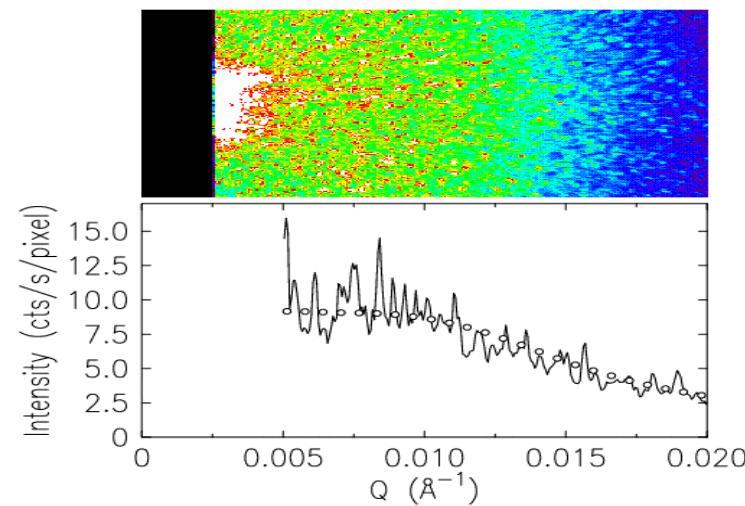
$$I(Q, t) \propto S_C(Q, t) \propto \left| \sum e^{iQR_j(t)} \right|^2$$

$j$  in coherence volume  $V_c = \xi_t^2 \xi_l$

Incoherent Light:

$S(Q, t) = \langle S_c(Q, t) \rangle_{V \gg V_c}$  ensemble average

Aerogel  
 $\lambda = 1\text{\AA}$   
 CCD (22  $\mu\text{m}$ )

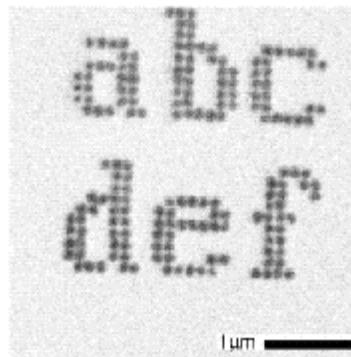


Abernathy, Grübel, et al.  
 J. Synchrotron Rad. 5, 37,  
 1998

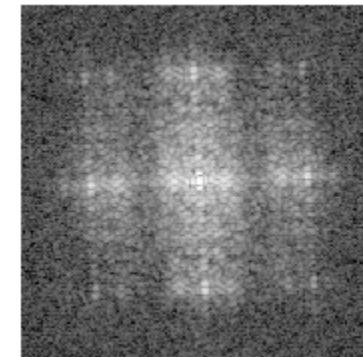


# Speckle Reconstruction

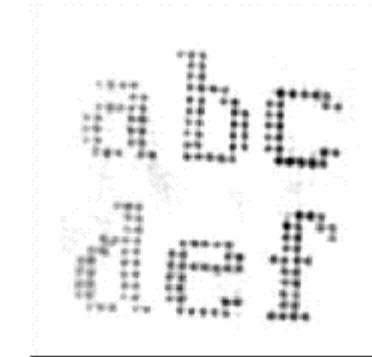
Reconstruction (phasing) of a speckle pattern: “oversampling” technique



gold dots on SiN membrane  
(0.1  $\mu\text{m}$  diameter, 80 nm thick)



$\lambda=17\text{\AA}$  coherent beam at X1A  
(NSLS),  $1.3 \cdot 10^9$  ph/s 10  $\mu\text{m}$  pinhole  
24  $\mu\text{m} \times 24 \mu\text{m}$  pixel CCD



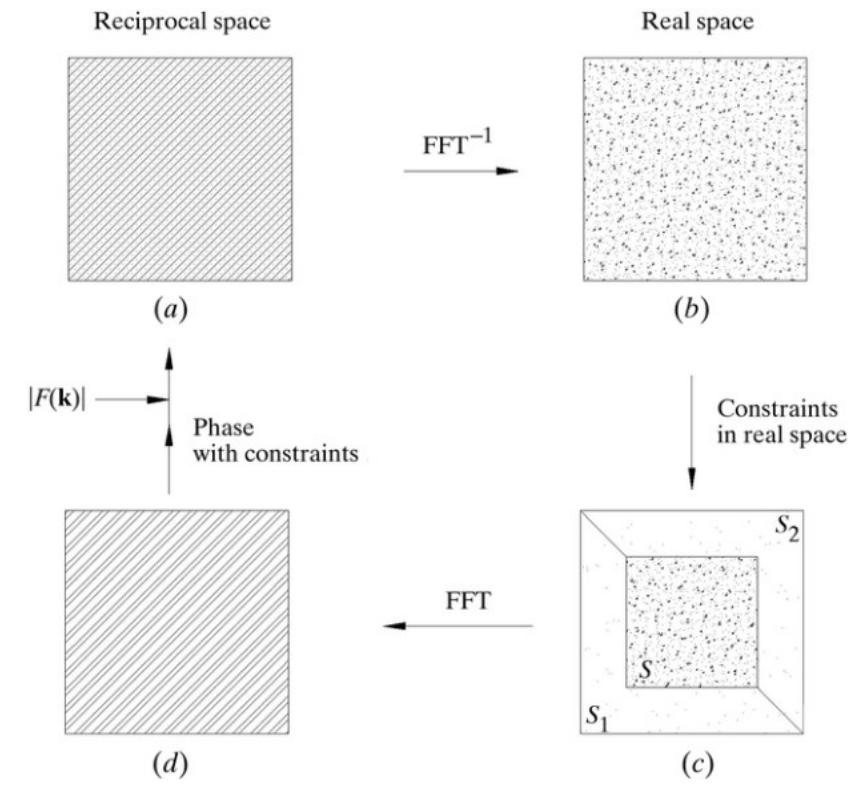
reconstruction  
“oversampling” technique

Miao, Charalambous, Kirz, Sayre, Nature, 400, July 1999

Other examples: Nanocrystalline materials (Williams et al., PRL90,175501,2003; He et al., PRB67,174114,2003 , Robinson et al., PRL87,195505-1)

# Lensless or Coherent Diffraction Imaging

Lensless imaging (coherent diffractive imaging) techniques aim to **reconstruct the real-space structure** of objects from **its diffraction pattern (or hologram)** by the use of constraints and phase-retrieval algorithms (e.g. Gerchberg-Saxton-Fienup) or by holographic reconstruction using Fresnel back propagation.



- Pychography
- Plain-Wave CDI
- Holographic imaging
- Keyhole imaging
- ...

# Speckle

If coherent light is scattered from a disordered system it gives rise to a random (grainy) diffraction pattern, known as “speckle”. A speckle pattern is an interference pattern and related to the exact spatial arrangement of the scatterers in the disordered system.

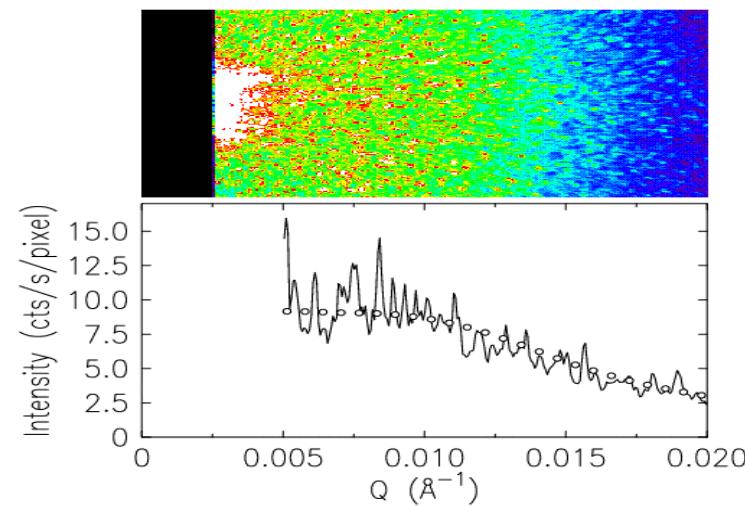
$$I(Q, t) \propto S_C(Q, t) \propto \left| \sum e^{iQ R_j(t)} \right|^2$$

$j$  in coherence volume  $V_c = \xi_t^2 \xi_l$

Incoherent Light:

$S(Q, t) = \langle S_c(Q, t) \rangle_{V \gg V_c}$  ensemble average

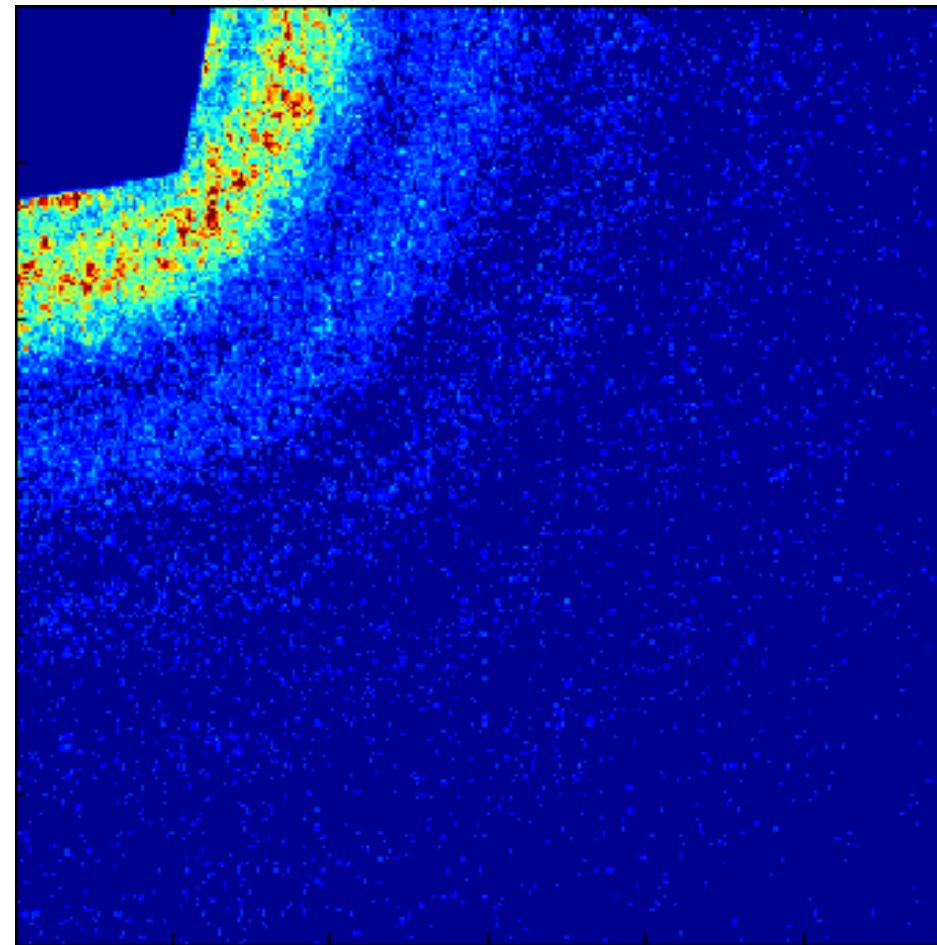
Aerogel  
 $\lambda = 1\text{\AA}$   
 CCD (22  $\mu\text{m}$ )



Abernathy, Grübel, et al.  
 J. Synchrotron Rad. 5, 37,  
 1998

# Fluctuating Speckle Patterns

Silica: 2610 Å,  $\frac{\Delta R}{R} = 0.03$ , 10 vol% in glycerol,  $T = -13.6$  °C,  $\eta \approx 56000$  cp



V. Trappe  
& A. Robert

# X-Ray Photon Correlation Spectroscopy (XPCS)

$$g_2(Q, t) = \frac{\langle I(Q, 0) \bullet I(Q, t) \rangle}{\langle I(Q) \rangle^2}$$

$$I(Q, t) = |E(Q, t)|^2 = \left| \sum b_n(Q) e^{iQ \bullet r_n(t)} \right|^2$$

Note:  $E(Q, t) = \int dr' \rho(r') e^{iQ \bullet r'(t)}$   $\rho(r')$ : charge density

If  $E(Q, t)$  is a zero mean, complex Gaussian variable:

$$g_2(Q, t) = 1 + \beta(Q) \frac{\langle E(Q, 0) E^*(Q, t) \rangle^2}{\langle I(Q) \rangle^2} \quad \langle \rangle: \text{ensemble av.}; \quad \beta(Q): \text{contrast}$$

$$g_2(Q, t) = 1 + \beta(Q) |f(Q, t)|^2 \quad \text{with } f(Q, t) = S(Q, t)/S(Q, 0)$$

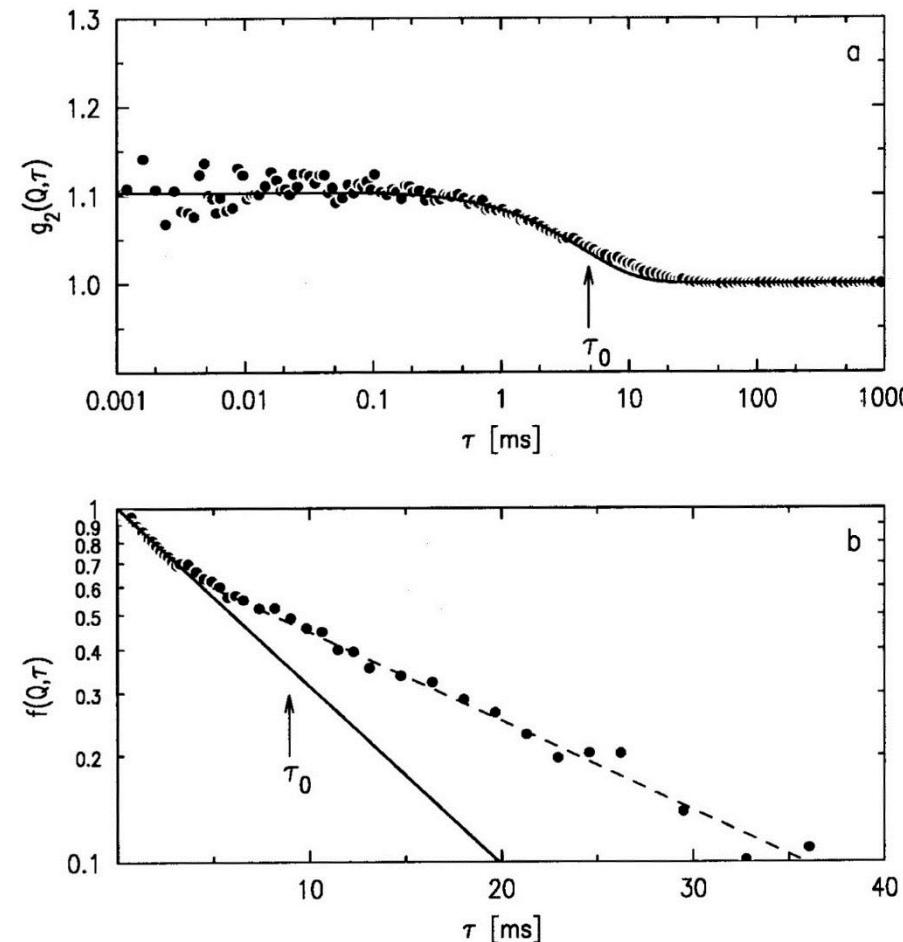
$S(Q, 0)$ : static structure factor

N: number of scatterers

$$S(Q, t) = \frac{1}{N \{b^2(Q)\}} \sum_{m=1}^N \sum_{n=1}^N \langle b_n(Q) b_m(Q) e^{iQ[r_n(0) - r_m(t)]} \rangle$$

# Time Correlation Function $g_2(Q,t)$

$$g_2(Q, t) = 1 + \beta(Q)|f(Q, t)|^2 \text{ and } f(Q, t) = e^{(-\Gamma t)} = e^{\left(\frac{-t}{\tau_0}\right)}$$



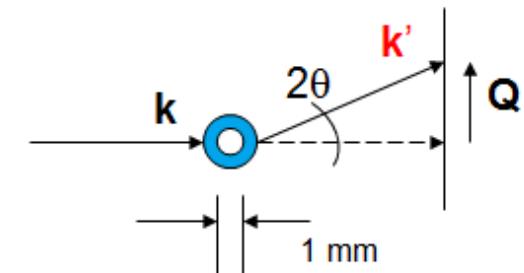
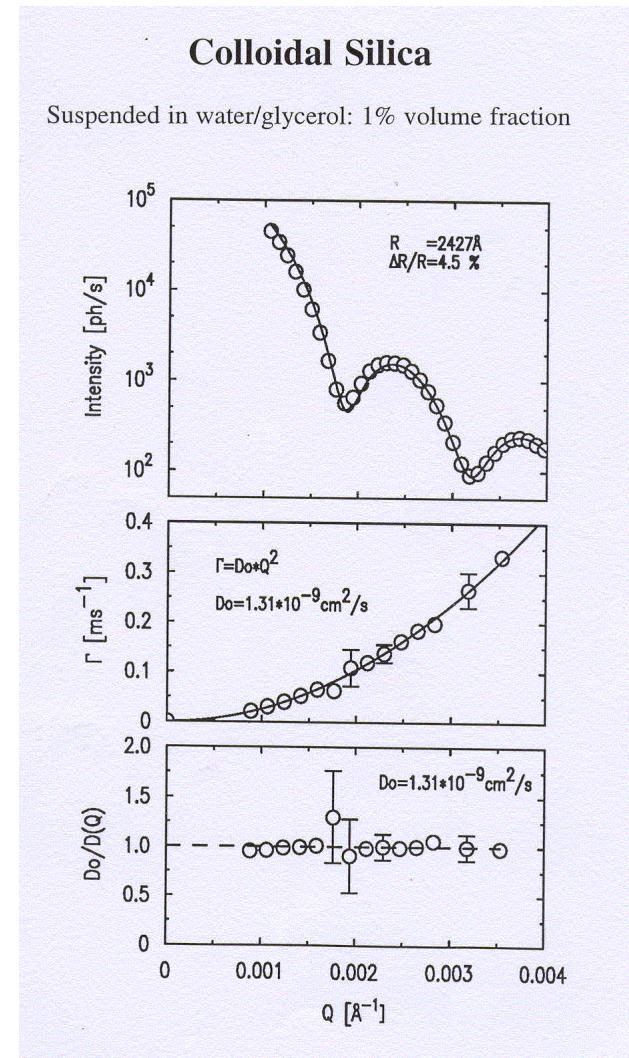
# Dynamics in a Dilute, Non-interacting System

$$I \sim |F(Q)|^2 S(Q)$$

$$\sim \left[ \frac{\sin QR - QR \cos QR}{(QR)^3} \right]^2$$

$$\Gamma = D_0 Q^2$$

$$D_0 = \frac{k_B T}{6\pi\eta R}$$



$$\mathbf{Q} = \mathbf{k}' - \mathbf{k}$$

$$Q = 2k \sin \theta$$

$$k = 2\pi/\lambda$$

G. Grübel, A. Robert, D. Abernathy  
8th Tohwa University International  
Symposium on "Slow Dynamics in  
Complex Systems", 1998, Fukuoka, Japan



# Outlook

Imaging Holographic Imaging, Ptychography,....

Impact of FEL sources.....

XPCS Equilibrium, non-equilibrium dynamics delay line techniques at FEL sources.....