

- Coherence of light and matter:  
from basic concepts to modern applications

Part II

Script 2

Vorlesung im GrK 1355

WS 2013

A. Hemmerich & G. Grübel

Location: SemRm 052, Gebäude 69, Bahrenfeld  
Thursdays 12.15 – 13.45

G.Grübel (GR), A.Hemmerich (HE)

# Literature

Basic concepts: [The quantum theory of light](#)

Rodney Loudon, Oxford University Press (1990)

[Quantum Optics](#)

Marlan O. Scully, M. Suhail Zubairy, Cambridge University Press (1997)

[Dynamic Light Scattering with Applications](#)

B.J. Berne and R. Pecora, John Wiley&Sons (1976)

[Elements of Modern X-Ray Physics](#)

J. A. Nielsen and D. McMorrow, J. Wiley&Sons (2001)

Matter Waves:

[Bose-Einstein Condensation in Dilute Gases](#)

C. J. Pethick and H. Smith, Cambridge University Press (2002)

# Lecture Notes

Part I:

[http://photon.physnet.uni-hamburg.de/fileadmin/user\\_upload/ILP/Hemmerich/teaching.html/Coherence.pdf](http://photon.physnet.uni-hamburg.de/fileadmin/user_upload/ILP/Hemmerich/teaching.html/Coherence.pdf)

Part II:

[http://photon-science.desy.de/research/studentsteaching/lectures\\_seminars/ws\\_13\\_14/coherence\\_of\\_light\\_grk1355/.....](http://photon-science.desy.de/research/studentsteaching/lectures_seminars/ws_13_14/coherence_of_light_grk1355/.....)

- Coherence of light and matter:  
from basic concepts to modern applications

## Part II: G. Grübel

### Coherence based X-ray techniques

Overview, Introduction to X-ray Scattering, Sources of Coherent X-rays, Speckle pattern and their analysis

### Imaging techniques

Phase Retrieval, Sampling Theory, Reconstruction of Oversampled Data, Fourier Transform Holography, Applications

### X-ray Photon Correlation Spectroscopy (XPCS)

Introduction, Equilibrium Dynamics (Brownian Motion), Surface Dynamics, Non-Equilibrium Dynamics

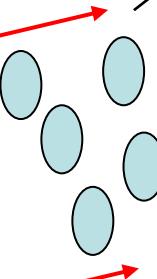
### Imaging and XPCS at FEL Sources

# • Introduction: Experimental Set-Up

source (visible light, x-rays,...)

source parameters: source size,  $\lambda$ ,  $\Delta\lambda/\lambda$ , ...

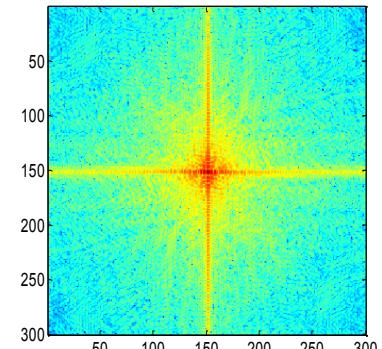
coherence properties:  
(incoherent, partially coherent,  
coherent)



sample

interacts with radiation  
(e.g. x-rays)

L

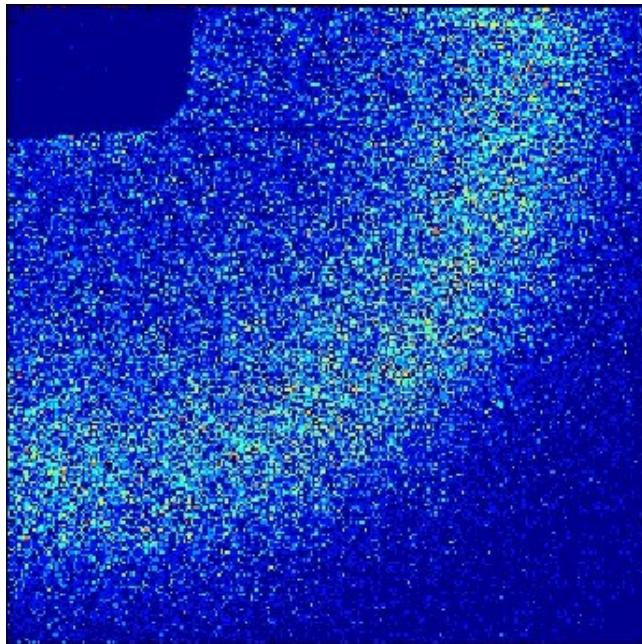


detector

# Introduction: Scattering with coherent X-rays

If coherent light is scattered from a disordered system it gives rise to a random (grainy) diffraction pattern, known as “speckle”. A speckle pattern is an interference pattern and related to the exact spatial arrangement of the scatterers in the disordered system.

$$I(Q,t) \sim S_c(Q,t) \sim | \sum e^{iQRj(t)} |^2$$



$j$  in coherence volume  $c = \xi_t^2 \xi_i$

Incoherent Light:

$$S(Q,t) = \langle S_c(Q,t) \rangle_{V \gg c}$$

ensemble average

# Coherence based X-ray techniques:

## An X-ray Scattering Primer

# Scattering of X-rays: A primer

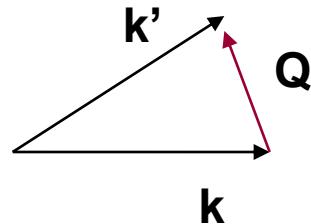
consider a monochromatic plane (electromagnetic) wave with wavevector  $\mathbf{k}$ :

$$\mathbf{E}(\mathbf{r},t) = \epsilon E_0 \exp\{i(\mathbf{k}\cdot\mathbf{r} - \omega t)\}$$

with  $|\mathbf{k}| = 2\pi/\lambda$ ,  $\lambda[\text{\AA}] = hc/E$ ,  $\omega = 2\pi/\nu$

elastic scattering:

$$\hbar \mathbf{k}' = \hbar \mathbf{k} + \hbar \mathbf{Q}$$



## Scattering by a single electron:

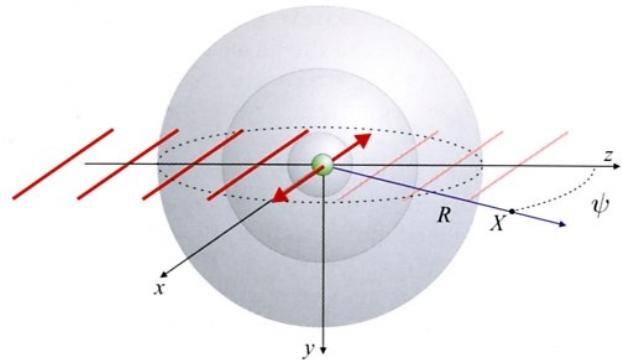
$$E_{\text{rad}}(R,t)/E_{\text{in}} =$$

$$-(e^2/4\pi\epsilon_0 mc^2) \exp(i\mathbf{k}\cdot\mathbf{R})/R \cos\psi$$



thomson scattering length  $r_o$

$$(=2.82 \cdot 10^{-5} \text{ \AA})$$



## scattering by a single atom:

scattering amplitude by  
an ensemble of electrons

phase factor

$$-r_o f^o(Q) = -r_o \sum_{r_j} \exp(iQ \cdot r_j)$$

↑  
(atomic) formfactor

↑  
position of scatterers

$$f^o(Q \rightarrow 0) = Z, f^o(Q \rightarrow \infty) = 0$$

form factor of an atom:

$$f(Q, \hbar\omega) = f^o(Q) + f'(\hbar\omega) + i f''(\hbar\omega)$$

↑  
dispersion corrections:      level structure      absorption effects

scattering intensity:

$$I_s = r_o^2 f(Q) f^*(Q) P$$

# • Scattering from an atom:

scattering amplitude of an atom  $\equiv$  atomic form factor  $f_0(Q)$  [in units of  $r_0$ ]

$\rho(r)$ : electronic number density  $\equiv$  charge density

$$f_0(Q) = \int \rho(r) \exp(iQr) dr$$

$$= \begin{cases} Z & Q \rightarrow 0 \\ 0 & Q \rightarrow \infty \end{cases}$$

note: atomic form factor is FT of electronic charge distribution

$f_0(Q/4\pi)$  tabulated:

$$f_0(Q/4\pi) = \sum_{j=1}^4 a_j \exp -b_j(Q/4\pi)^2 + c$$

	$a_1$	$b_1$	$a_2$	$b_2$	$a_3$	$b_3$	$a_4$	$b_4$	$c$
C	2.3100	20.8439	1.0200	10.2075	1.5886	0.5687	0.8650	51.6512	0.2156
O	3.0485	13.2771	2.2868	5.7011	1.5463	0.3239	0.8670	32.9089	0.2508
F	3.5392	10.2825	2.6412	4.2944	1.5170	0.2615	1.0243	26.1476	0.2776
Si	6.2915	2.4386	3.0353	32.333	1.9891	0.6785	1.5410	81.6937	1.1407
Cu	13.338	3.5828	7.1676	0.2470	5.6158	11.3966	1.6735	64.820	1.5910
Ge	16.0816	2.8509	6.3747	0.2516	3.7068	11.4468	3.683	54.7625	2.1313
Mo	3.7025	0.2772	17.236	1.0958	12.8876	11.004	3.7429	61.6584	4.3875

table 4.1: J. Als-Nielsen & D. McMorrow

note:

$$f = f_0(Q) + f' + f''$$

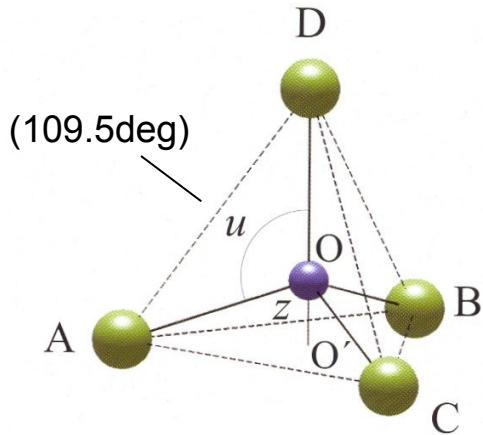
corrections  $f'$  and  $f''$  arise from the fact that the electrons are bound in the atom

# Scattering from a molecule:

$$F^{\text{mol}}(Q) = \sum_j f_j(Q) \exp(iQr_j)$$

example:  $\text{CF}_4$ :

assume  $OA=OB=OC=OD=1$ ;  $z=OO'=\cos(u)=1/3$

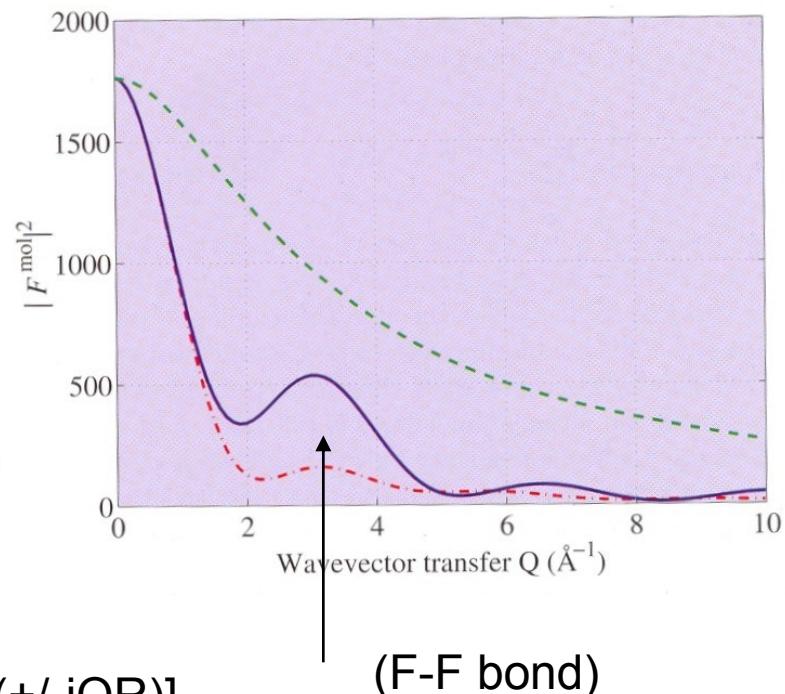


$$Qr_j = Qr_j \cos(u) = (1/3)Qr_j$$

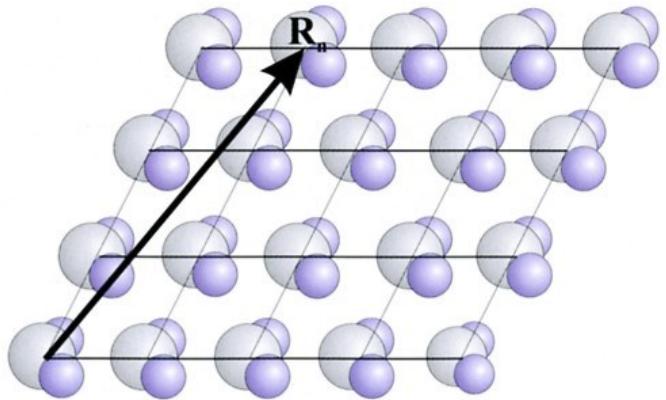
Assume:  $Q \parallel \text{C-F bond}$

$$\begin{aligned} F^{\text{mol}} &= f^c(Q) + f^F(Q) [\exp(iQR) + 3\exp(iQr_j)] \\ &= f^c(Q) + f^F(Q) [3\exp(-/+iQR/3) + \exp(+/-iQR)] \end{aligned}$$

- $\text{CF}_4$
- - - -  $\text{CF}_4$        $Q \text{ not } \parallel \text{C-F}$
- - - - molybdenum  
(also 42 electrons)



## scattering by a crystal:



$$r_j = R_n + r_j$$

lattice vector + atomic position in lattice

$$F_{\text{crystal}}(Q) = \sum_{rj} f_j(Q) \exp(iQr_j) \sum_{Rn} \exp(iQR_n)$$

unit cell structure factor

lattice sum

$$I_s = r_o^2 F(Q) F^*(Q) P$$

lattice sum  $\equiv$  phase factor of order unity or  $N$  (number of unit cells) if

$$Q \bullet R_n = 2\pi \times \text{integer } (\$)$$

## evaluation of lattice sums:

construct reciprocal space such that:

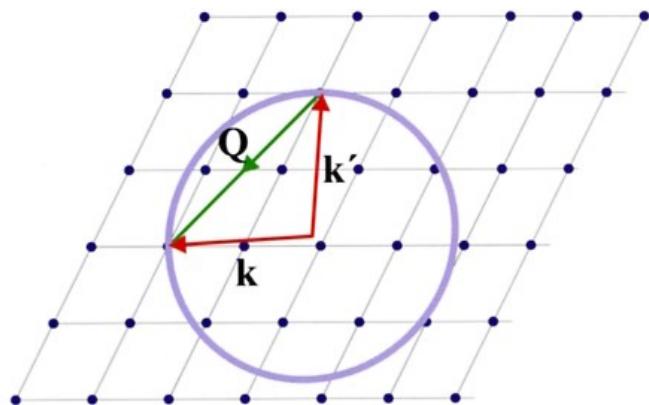
$$\mathbf{a}_i \bullet \mathbf{a}_j^* = 2\pi \delta_{ij}$$

with  $a_i$  defining a

reciprocal lattice such that

$$\mathbf{G} = h \mathbf{a}_1^* + k \mathbf{a}_2^* + l \mathbf{a}_3^*$$

and  $\mathbf{G}$  fullfills (\$) for  $\mathbf{Q} = \mathbf{G}$  (Laue condition)



$$\mathbf{k} + \mathbf{Q} = \mathbf{k}'$$

Ewald sphere

$$\sin(\theta/2) = (Q/2) / k$$

Laue condition  $\equiv$  Bragg's law

## lattice sum:

$$|\sum_{R_n} \exp(iQR_n)|^2$$

$$\rightarrow N v_c^* \delta(Q - G)$$

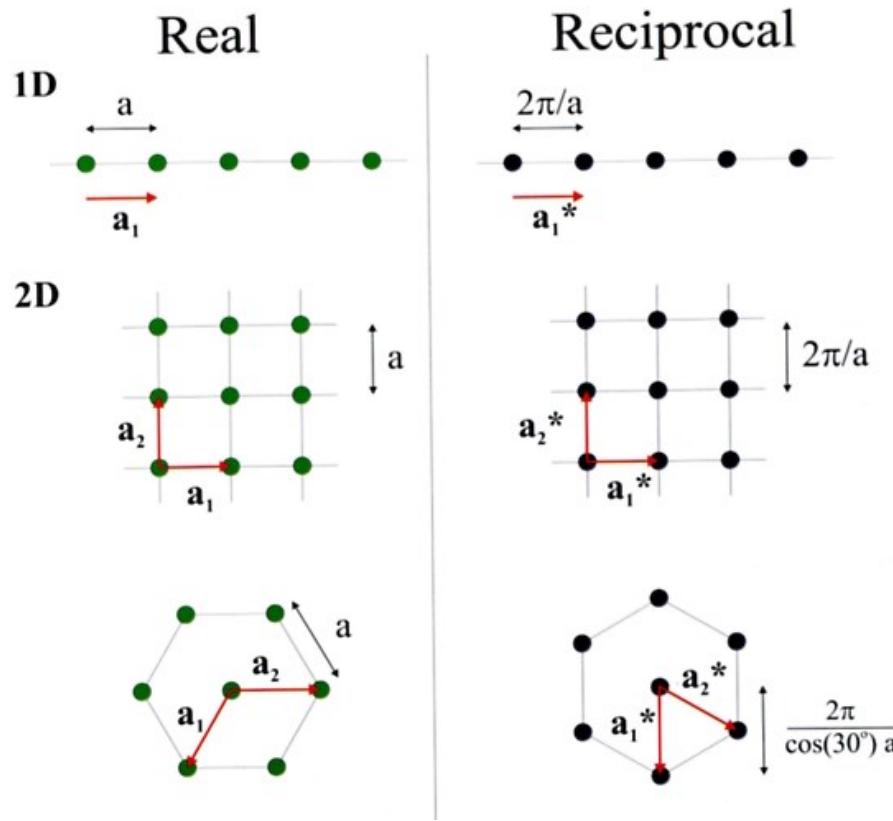
N number of unit cells;  $v_c^*$  unit cell volume in reciprocal space

## construction of reciprocal space:

(real space lattice constants  $a_1, a_2, a_3$ );

$$v_c = a_1 \bullet (a_2 \times a_3)$$

$$a_1^* = 2\pi/v_c (a_2 \times a_3) \quad a_2^* = 2\pi/v_c (a_3 \times a_1) \quad a_3^* = 2\pi/v_c (a_1 \times a_2)$$



# The unit cell structure factor

$$F^{uc}(Q) = \sum_{rj} F_j^{\text{mol}}(Q) \exp(iQr_j)$$

example: fcc lattice (*use conventional cubic unit cell*)

$$r_1 = 0, r_2 = \frac{1}{2}a(\underline{y} + \underline{z}), r_3 = \frac{1}{2}a(\underline{z} + \underline{x}), r_4 = \frac{1}{2}a(\underline{x} + \underline{y})$$

$$G = ha_1^* + ka_2^* + la_3^*$$

$$a_1^* = 2\pi/v_c (a_2 \times a_3) = 2\pi/a^3 [ay \times az] = 2\pi/a [y \times z] = 2\pi/a x$$

$$a_2^* = 2\pi/v_c (a_2 \times a_3) = 2\pi/a^3 [az \times ax] = 2\pi/a [z \times x] = 2\pi/a y$$

$$a_3^* = 2\pi/v_c (a_2 \times a_3) = 2\pi/a^3 [ax \times ay] = 2\pi/a [x \times y] = 2\pi/a z$$

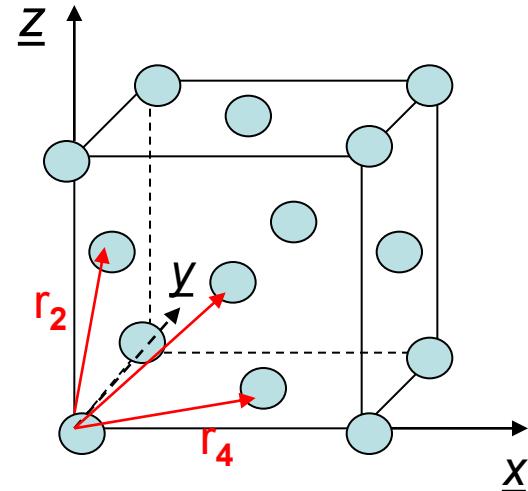
$$v_c = a^3$$

$$G \bullet r_1 = 2\pi/a (hx + ky + lz) \bullet 0 = 0$$

$$G \bullet r_2 = 2\pi/a (hx + ky + lz) \bullet 1/2a(y + z) = \pi (k+l)$$

$$G \bullet r_3 = 2\pi/a (hx + ky + lz) \bullet 1/2a(z + x) = \pi (h+l)$$

$$G \bullet r_4 = 2\pi/a (hx + ky + lz) \bullet 1/2a(x + y) = \pi (h+k)$$



# • The unit cell structure factor for a fcc lattice

$$F_{hkl}^{\text{fcc}}(Q) = \sum_{j=1-4} f(Q) \exp(iQr_j) = f(Q) [ \exp(iGr_1) + \dots \exp(iGr_4) ]$$

$$F_{hkl}^{\text{fcc}}(Q) = f(Q) [ 1 + \exp(i\pi(k+l)) + \exp(i\pi(h+l)) + \exp(i\pi(h+k)) ]$$

$$= \begin{cases} 4 & \text{if } h,k,l \text{ are all even or odd} \\ 0 & \text{otherwise} \end{cases}$$

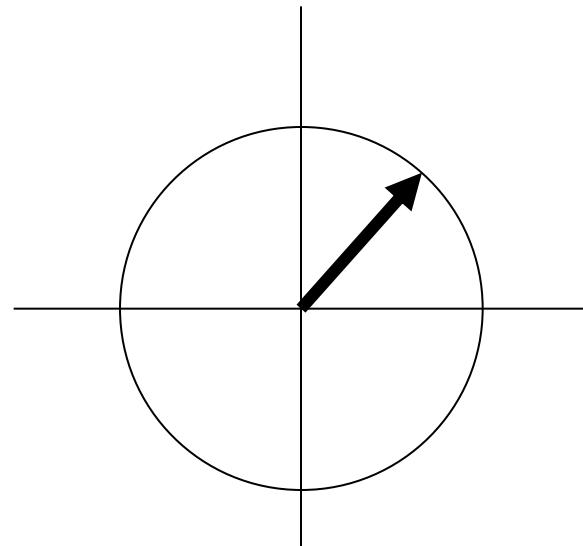
$$I_{hkl}^{\text{fcc}}(Q) = F(Q) \bullet F^*(Q)$$

Reflections:

100 forbidden

111 allowed

200 allowed



# Coherence based X-ray techniques:

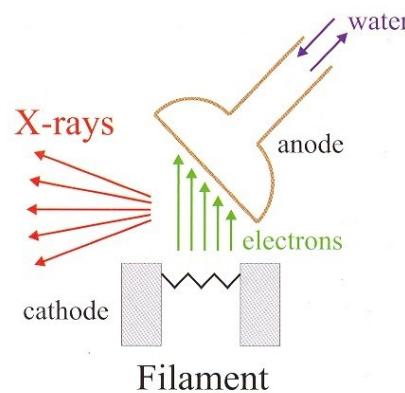
## Sources of Coherent X-rays

# Sources of X-Rays

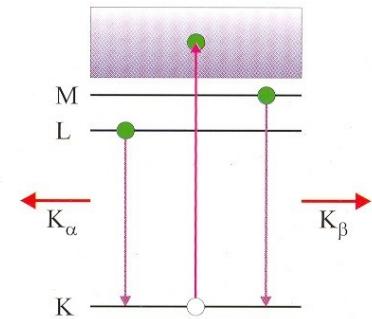
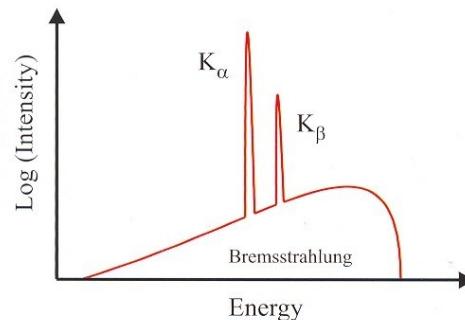
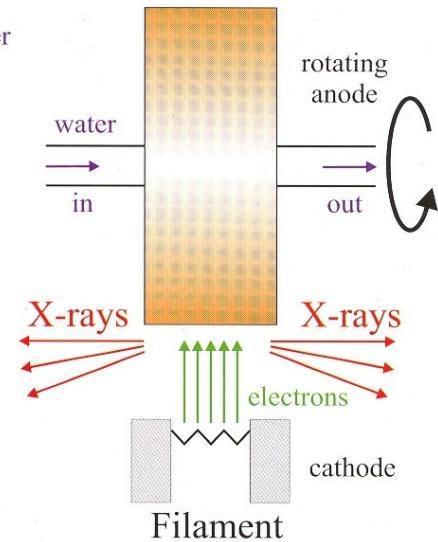
- 1895 discovered by W.C. Röntgen
- 1912 First diffraction experiment (v. Laue)
- 1912 Coolidge tube (W.D. Coolidge, GE)
- 1946 Radiation from electrons in a synchrotron, GE, Physical Review, 71,829(1947)



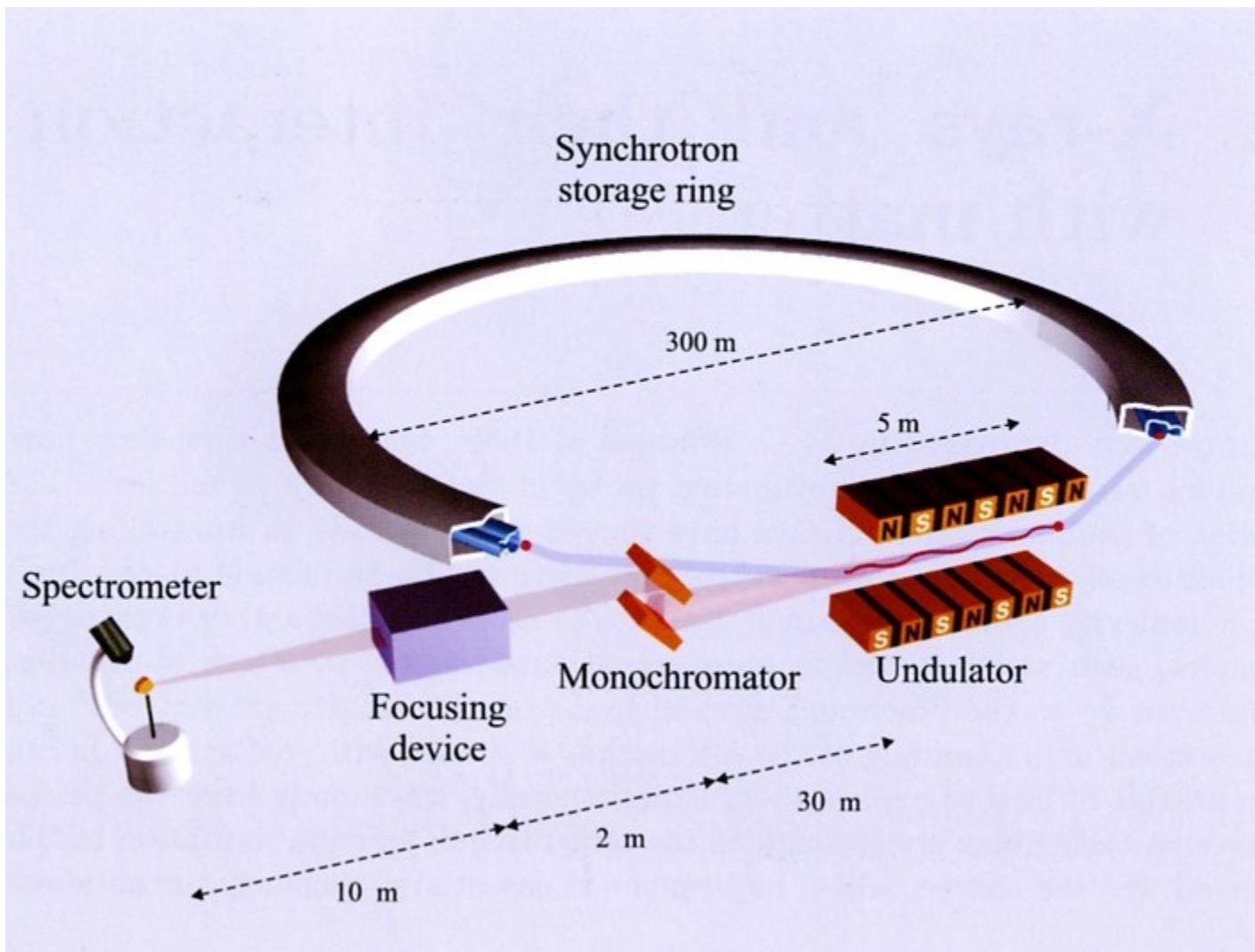
Coolidge Tube



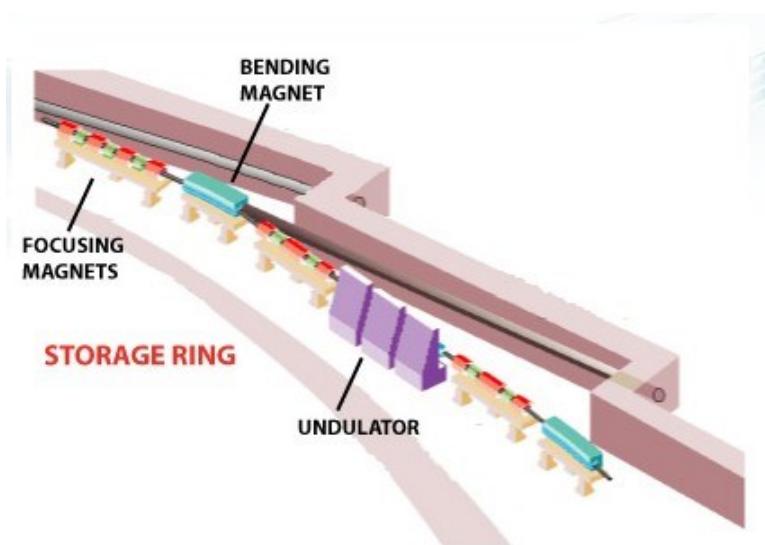
Rotating Anode



# A storage ring facility



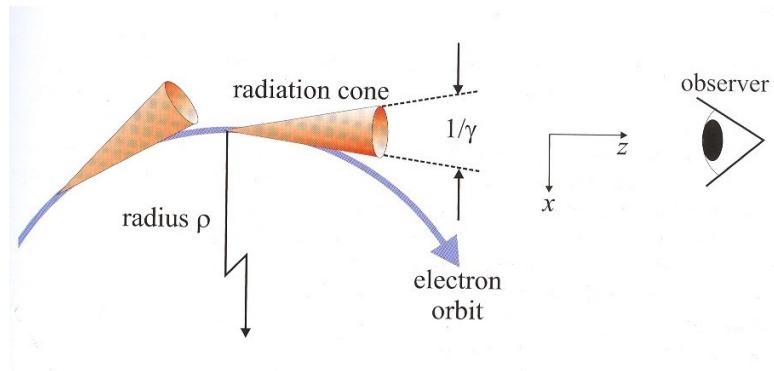
# Synchrotron Radiation Primer



Energy  $E_e$  of an electron at speed  $v$ :

$$E_e = mc^2/\sqrt{1-(v/c)^2} = \gamma mc^2$$

For 5GeV and  $mc^2=0.511$  MeV get  $\gamma \approx 10^4$



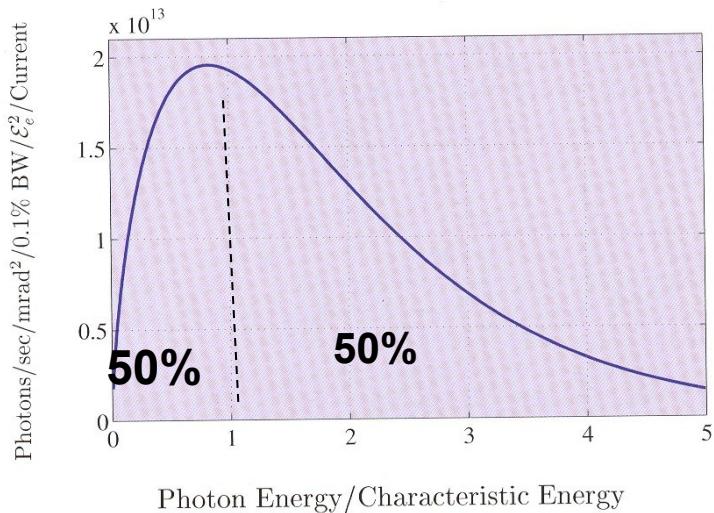
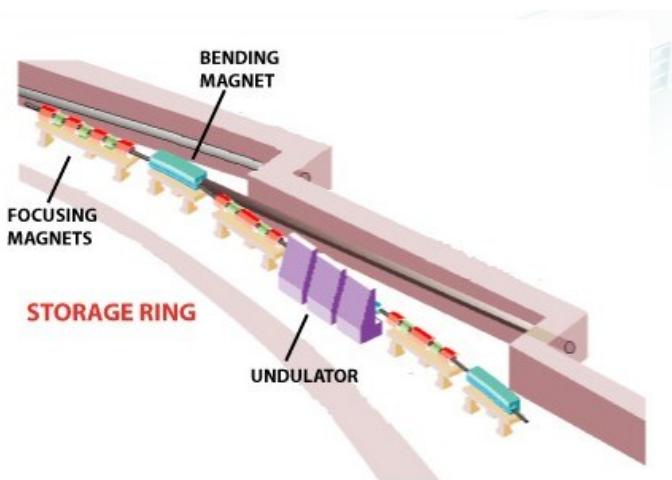
Centrifugal=Lorentz force yields for radius:

$$\rho = \gamma mc/eB = 3.3 E[\text{GeV}]/B[\text{T}] \approx 25 \text{ m}$$

$$E_e \approx 6 \text{ GeV}, B=0.8 \text{ T}$$

Opening angle is of order  $1/\gamma \approx 0.1$  mrad

# Bending magnets



Characteristic energy  $\hbar\omega_c$  for bend or wiggler:

$$\hbar\omega_c \text{ [keV]} = 0.665 E_e^2 \text{[GeV]} B(T) \approx 20 \text{ keV}$$

$$\text{Flux} \sim E^2$$

Energy loss by synchrotron radiation per turn:

$$\Delta E \text{ [keV]} = 88.5 E^4 \text{[GeV]}/\rho \text{[m]}$$

For 1 GeV and  $\rho=3.33$  m:  $\Delta E = 26.6$  keV/turn

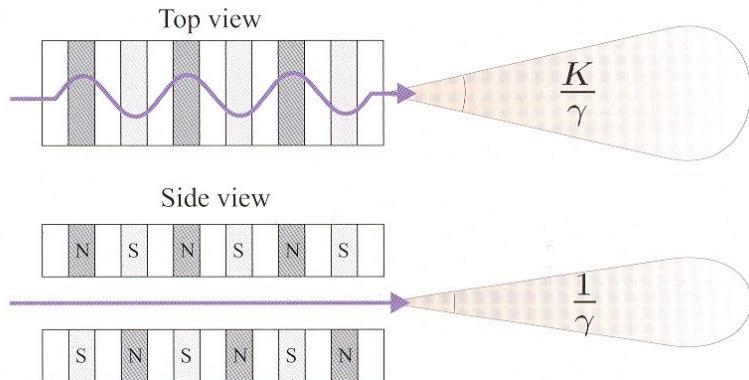
For  $I=500$  mA  $\equiv 0.5$  Cb/s  $= 0.5 \times 6.25 \times 10^{18}$  e<sup>-</sup>/s

$$\rightarrow P = 0.5 \times 6.25 \times 10^{18} \text{ e}^-/\text{s} \times 26.6 \text{ keV}$$

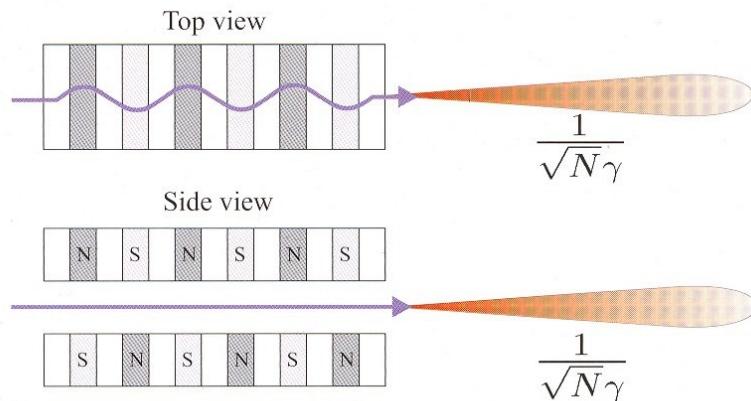
$$= 8.3125 \times 10^{22} \times 1.6 \times 10^{-19} = 13.3 \text{ KJ/s} = 13.3 \text{ KW}$$

# • Insertion Devices (wiggler and undulators)

(a) Wiggler



(b) Undulator



## Wiggler:

$$P[\text{kW}] = 0.633 E_e^2 [\text{GeV}] B^2 [\text{T}] L [\text{m}] I [\text{A}]$$

$$\text{Flux} \sim E^2 \times N$$

N: number poles

## Undulator:

$$k = eB / mc \quad k_u = 0.934 \lambda_u [\text{cm}] \text{Bo} [\text{T}]$$

with  $\lambda_u$  undulator period

## undulator fundamental:

$$\lambda_0 = \lambda_u / 2\gamma^2 \left\{ (1 + k^2/2 + (\gamma^2)) \right\}$$

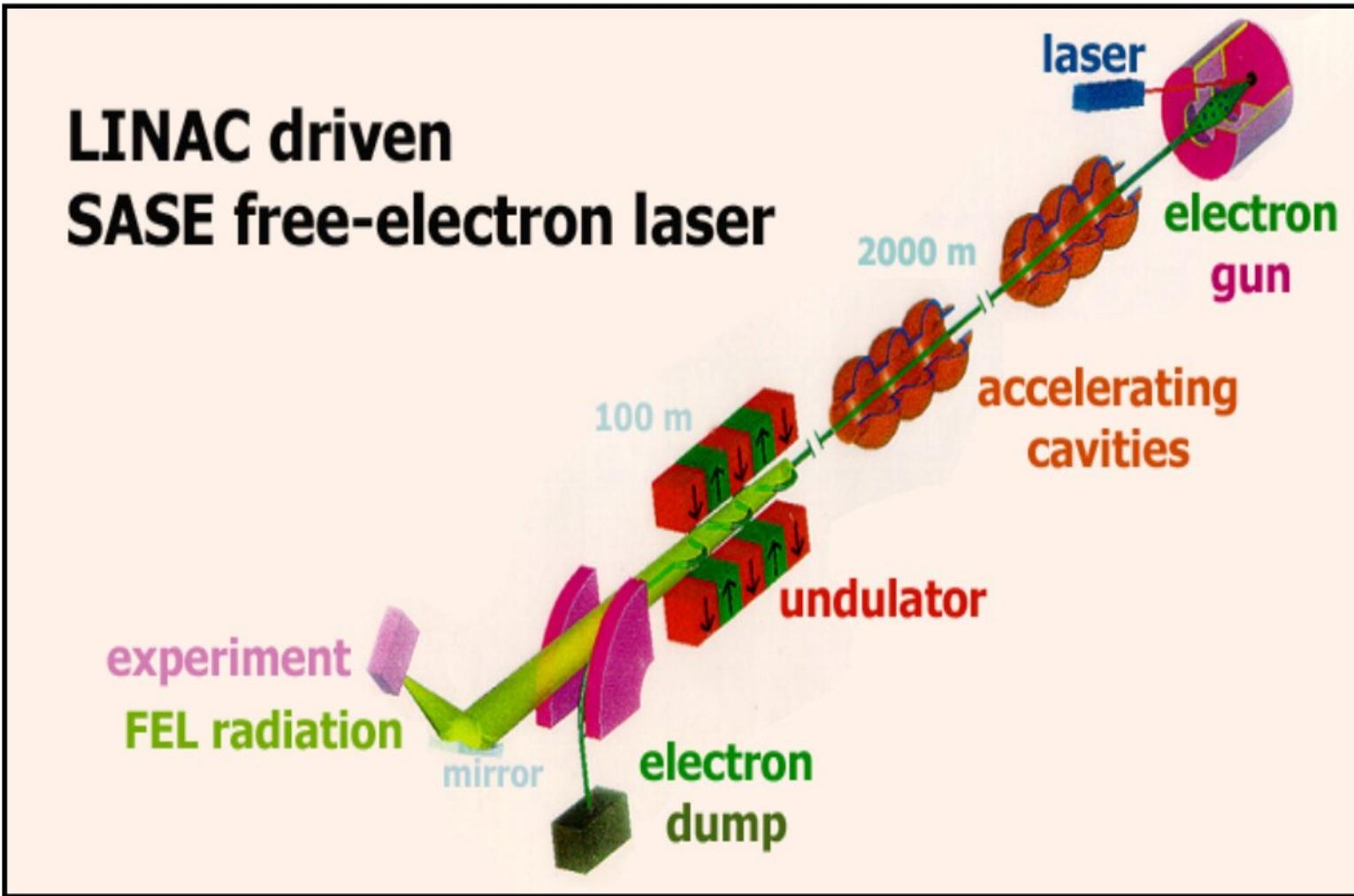
~~on axis~~

$$\text{Flux} \sim E^2 \times N^2$$

## bandwidth:

$$\Delta\lambda/\lambda \sim 1/nN$$

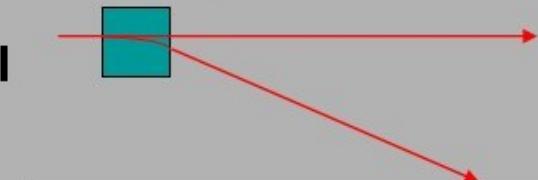
# A Free Electron Laser (FEL)



# Synchrotron and FEL sources

Dipole magnet  
Synchrotron radiation

$$dF \sim E^2 I$$



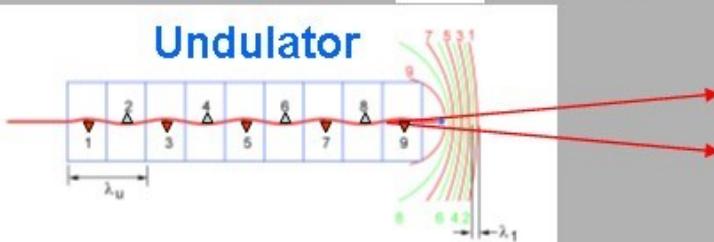
$$\sim 2N E^2 I$$



$$\sim N^2 E^2 I$$

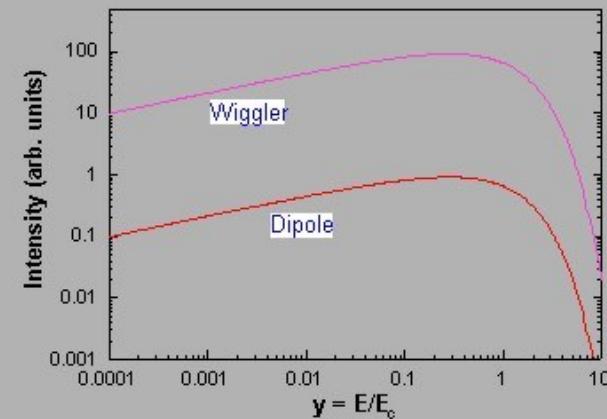
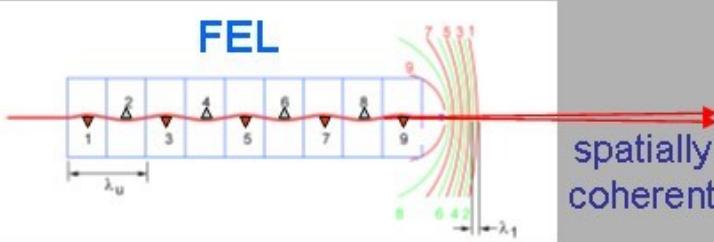
$$\sim n_e$$

Undulator



$$\sim n_e^2$$

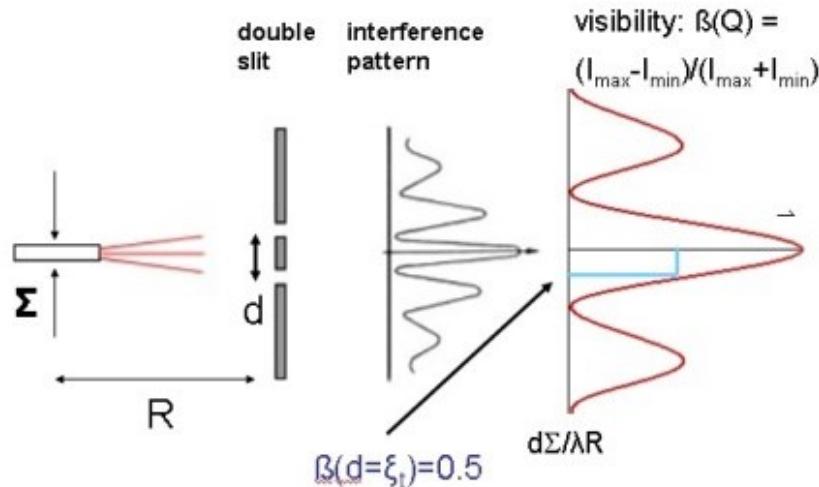
FEL



The radiation emitted by a single electron in subsequent oscillations in an undulator is in phase. Radiation from different electrons is NOT (positional disorder in bunch).

"Phasing" is achieved via positional order in the bunch (micro-bunching) with a period equal to the x-ray wavelength.

# Coherence parameters of an undulator source



Coherent Flux:

$$F_c = (\lambda/2)^2 \cdot B$$

$$= 3.5 \cdot 10^{10} \text{ ph/s}$$

$$B = 10^{20} \text{ ph/s/mm}^2/\text{mrad}^2/0.1\% \text{ bw}$$

$$\Delta\lambda/\lambda = 10^{-4}; \lambda = 1 \text{ \AA}$$

Temporal Coherence:

longitudinal coherence length

$$\xi_l = \lambda(\lambda/\Delta\lambda) = 1 \text{ \mu m}$$

$$\Delta\lambda/\lambda = 10^{-4}; \lambda = 1 \text{ \AA}$$

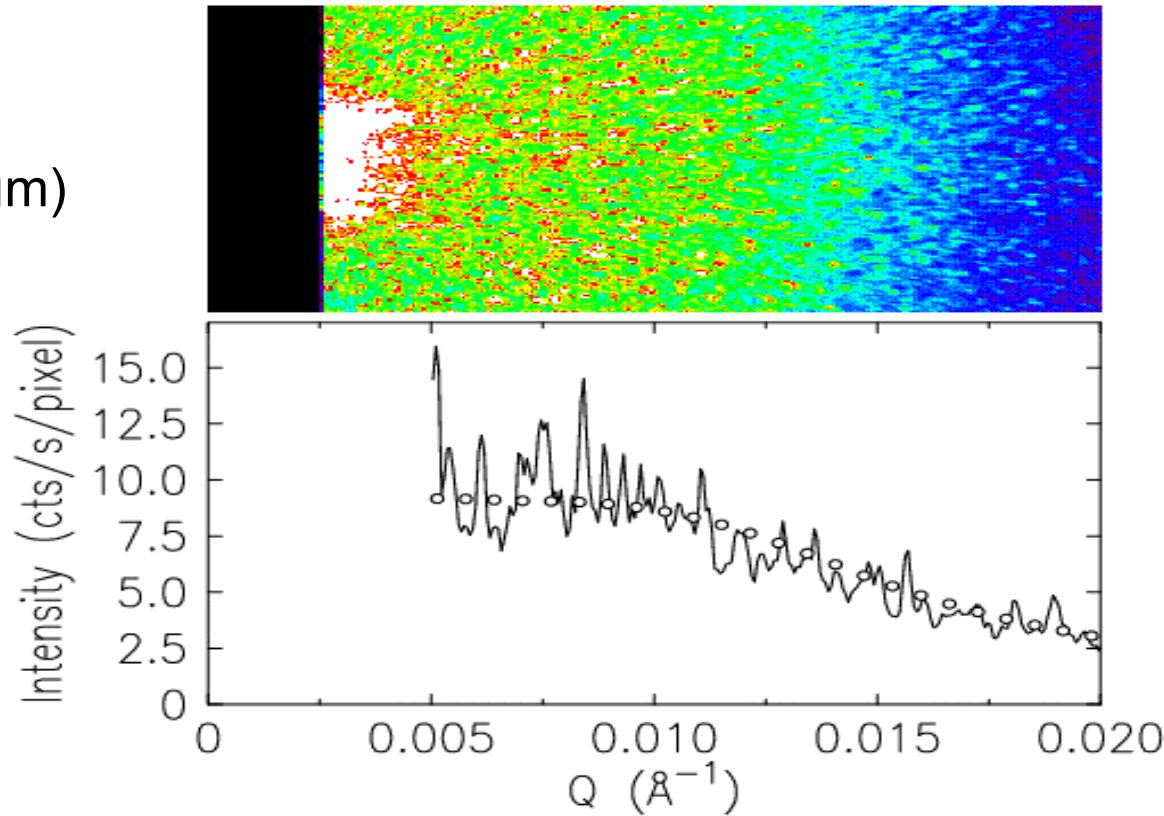
Transverse coherence length:

$\xi_t^2 \cdot \xi_l$  defines coherence volume

$$\begin{aligned} \xi_t = (\lambda/2) (R/\Sigma) &= 2.5 \mu\text{m (h)}, \Sigma_x = 1\text{mm} \\ &= 25 \mu\text{m (h)}, \Sigma_z = 0.1\text{mm} \\ &(\lambda = 1 \text{ \AA}, R = 50\text{m}) \end{aligned}$$

- Speckle pattern from a porous silica gel

Aerogel  
 $\lambda=1\text{\AA}$   
CCD ( $22 \times 22 \mu\text{m}$ )



Abernathy, Grübel, et al. J. Synchrotron Rad. 5, 37, 1998

# • Statistical Analysis of Speckle Pattern (1)

If the source is fully coherent and the scattering amplitudes and phases of the scattering are statistically independent and distributed over  $2\pi$  one finds for the probability amplitude of the intensities:

$$P(I) = (1/\langle I \rangle) \exp(-I/\langle I \rangle)$$

Mean:  $\langle I \rangle$

Std.Dev.  $\sigma$ :  $\sqrt{\langle I^2 \rangle - \langle I \rangle^2} = \langle I \rangle$

Contrast:  $\beta = \sigma^2/\langle I \rangle^2$

partially coherent illumination:

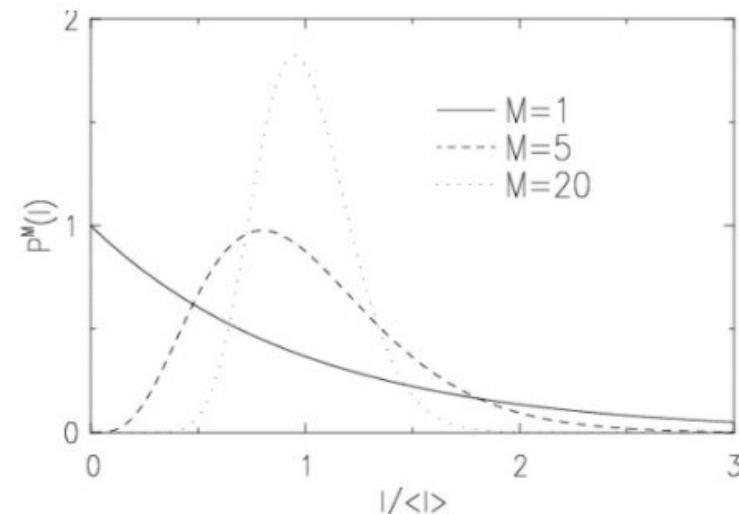
the speckle pattern is the sum of  $M$  independent speckle pattern

$$P_M(I) = M^M \cdot (I/\langle I \rangle)^{M-1} / (\Gamma(M)\langle I \rangle) \cdot \exp(-MI/\langle I \rangle)$$

Mean:  $\langle I \rangle$

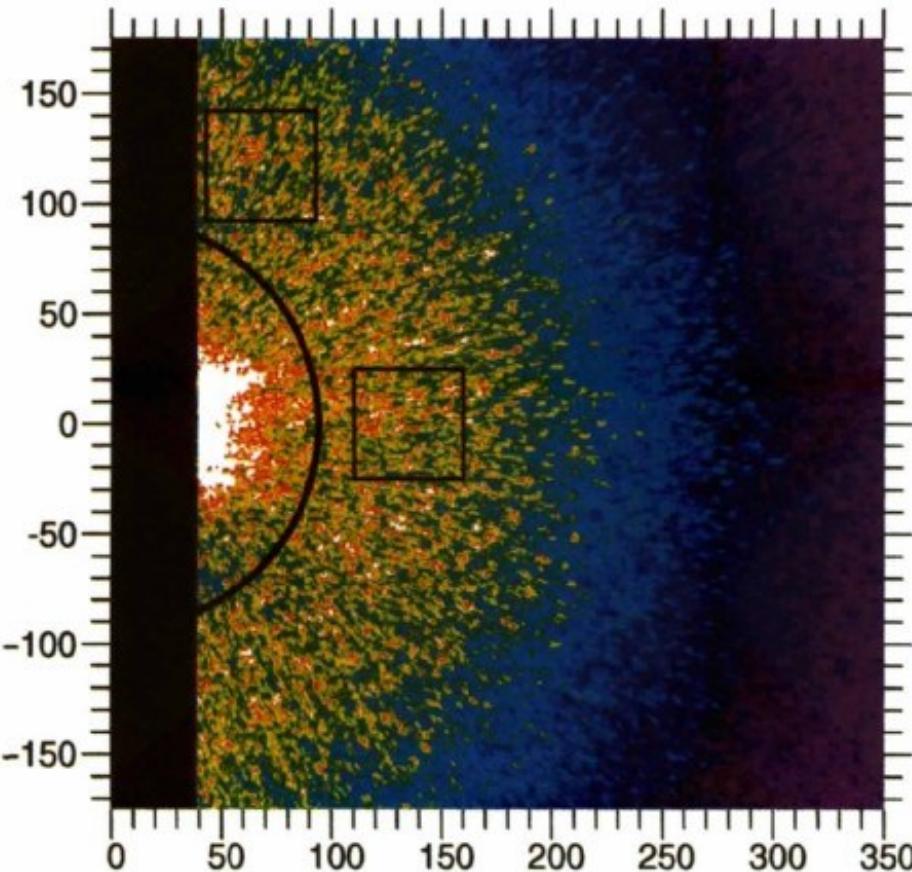
$$\sigma = \langle I \rangle/M^{1/2}$$

$$\beta = 1/M$$



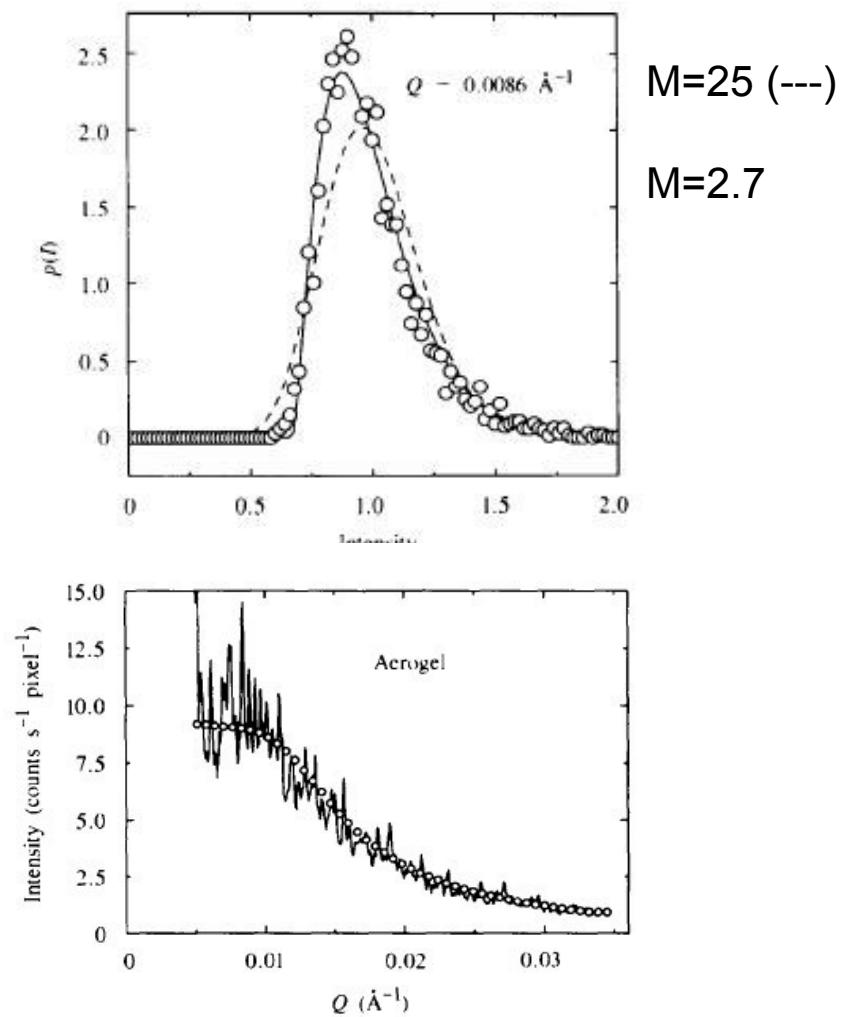
# Statistical Analysis of Speckle pattern (2)

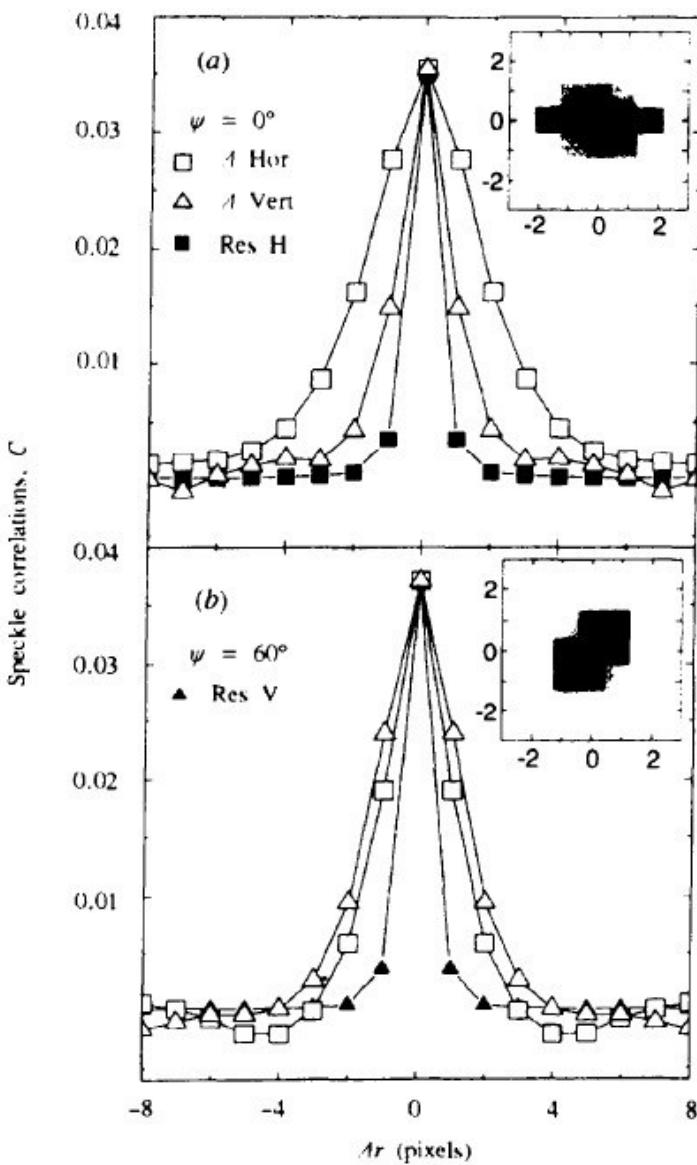
Aerogel,  $\lambda=1\text{\AA}$ , CCD (22  $\mu\text{m}$ )



normalized two-point correlation function:

$$C(\mathbf{r}_1, \mathbf{r}_2) = [ \langle I(\mathbf{r}_1) \bullet I(\mathbf{r}_2) \rangle / \langle I(\mathbf{r}_1) \rangle \bullet \langle I(\mathbf{r}_2) \rangle ] - 1$$

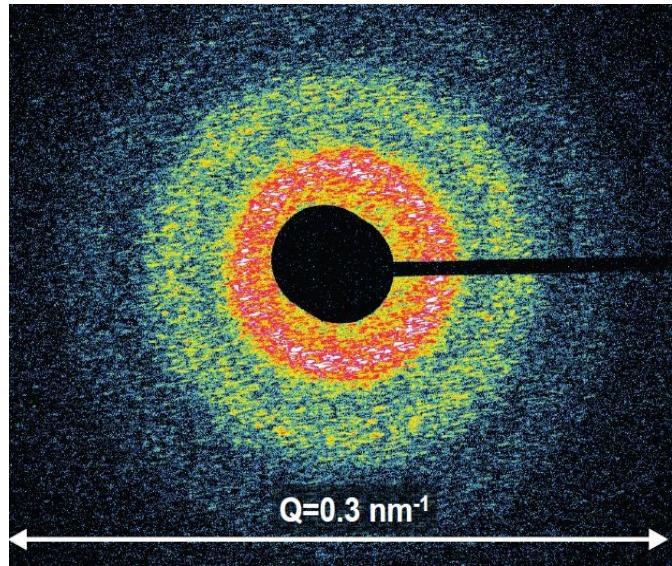




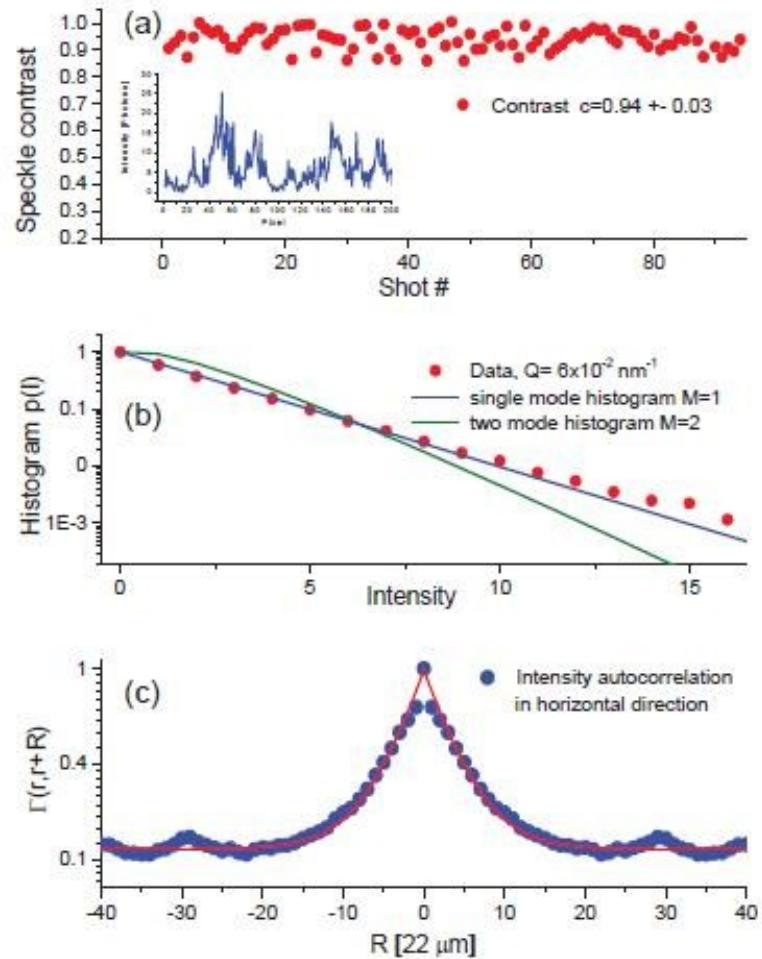
$$C(\mathbf{r}_1, \mathbf{r}_2) = [ \langle \mathbf{l}(\mathbf{r}_1) \bullet \mathbf{l}(\mathbf{r}_2) \rangle / \langle \mathbf{l}(\mathbf{r}_1) \rangle \bullet \langle \mathbf{l}(\mathbf{r}_2) \rangle ] - 1$$

width:  $\Delta C$ ; contrast:  $\beta = C(\mathbf{r}, \mathbf{r})$

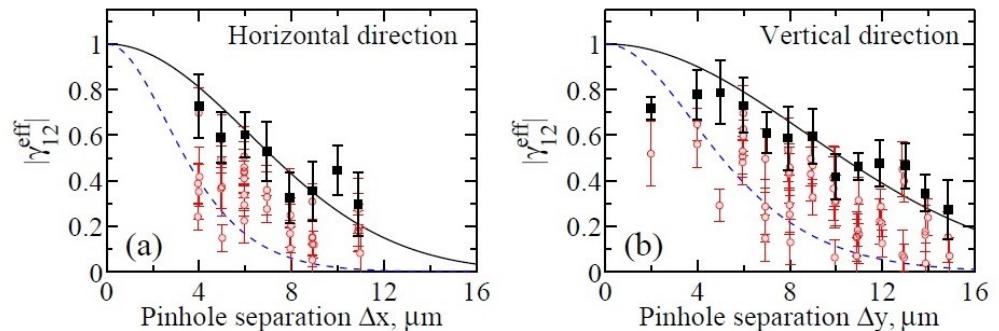
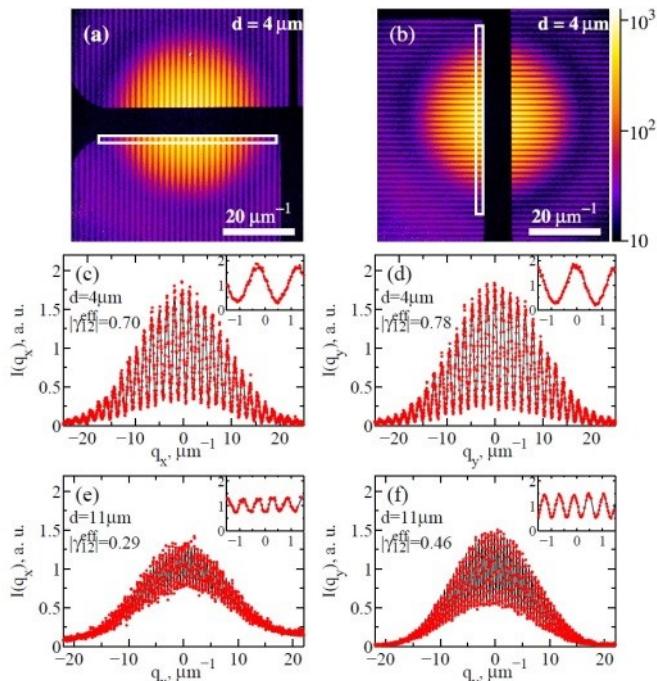
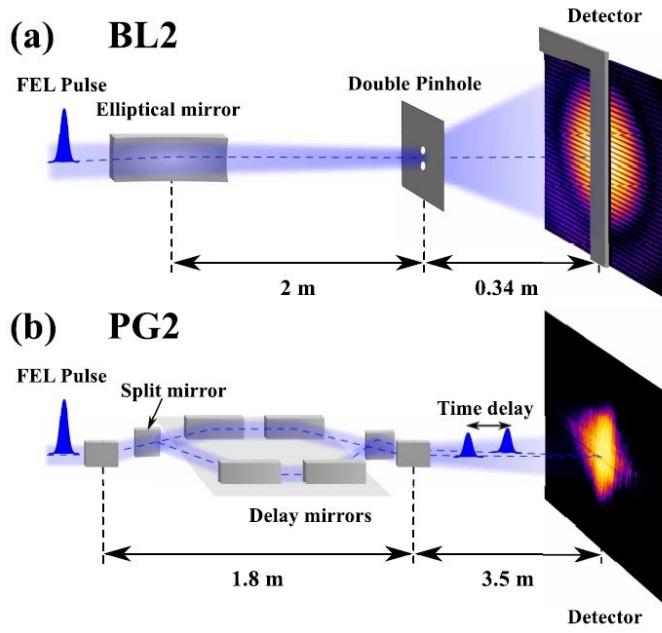
# The Linac Coherent Light Source (LCLS)



Single pulse hard X-ray speckle pattern captured from nano-particles in a colloidal liquid (photon wavelength  $\lambda = 1.37 \text{ \AA}$ )



# Coherence properties of the FLASH FEL



A. Singer, F. Sorgenfrei, A. P. Mancuso, N. Gerasimova, O. M. Yefanov, J. Gulden, T. Gorniak, T. Senkbeil, A. Sakdinawat, Y. L. D. Attwood, S. Dzirarzhyski, D. D. Mai, R. Treusch, E. Weckert, T. Salditt, A. Rosenhahn, W. Wurth, and I. A. Vartanyants  
*OPTICS EXPRESS*, 20/17, 17482 (2012)

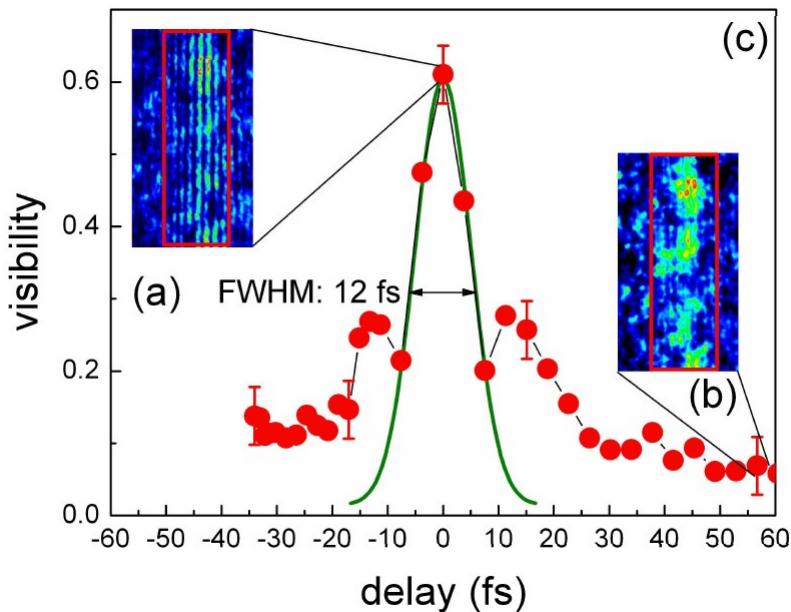
# Spatio-temporal coherence properties of FEL pulses

## Two beam interference:

$$\text{fringe spacing} = \lambda / \sin \alpha$$

$$\text{coherence length } l_c = \sqrt{2\ln 2/\pi} (\lambda^2/\Delta\lambda)$$

$$\text{coherence time } \tau_c = \sqrt{2\ln 2/\pi} (\lambda^2/\Delta\lambda)/c$$



**FLASH:  $\lambda = 24 \text{ nm}$**

$\alpha = 0.18 - 0.7 \text{ mrad}$

$\tau_c = 7.5 \text{ fs}$

R. Mitzner, B. Siemer, M. Neeb, T. Noll, F. Siewert  
S. Roling, M. Rutkowski, A.A. Sorokin, M. Richter,  
P. Juranic, K. Tiedtke, J. Feldhaus, W. Eberhardt,  
and H. Zacharias

OPTICS EXPRESS 16 (2008) 19909

Imaging techniques:

Lensless Imaging, Fourier Transform  
Holography