

# Methoden moderner Röntgenphysik II: Struktur und Dynamik kondensierter Materie

Vorlesung zum Haupt/Masterstudiengang Physik  
SS 2011  
G. Grübel, M. Martins, E. Weckert et al.

SemRm3, Physik, Jungiusstrasse

Di: 14:00-15:30

Do: 11:20-12:50

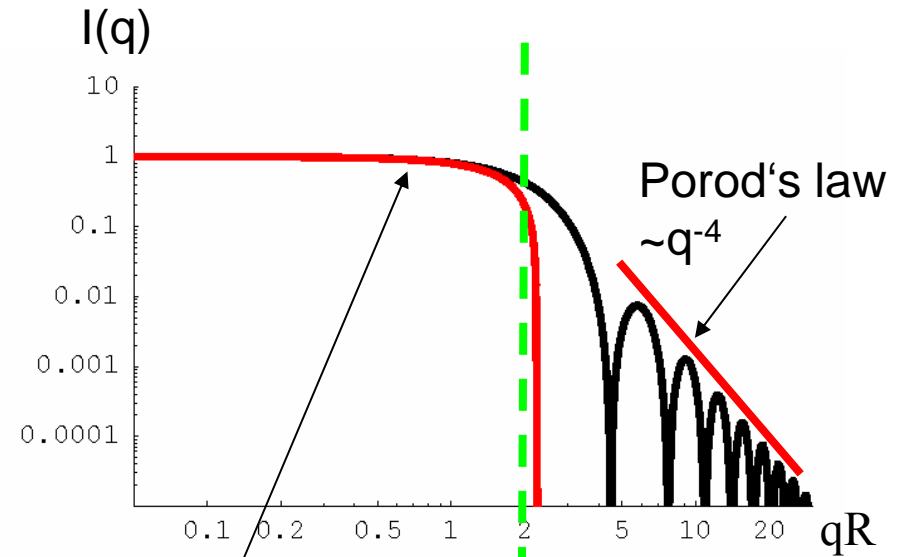
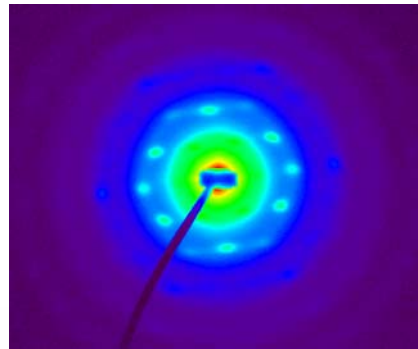
**S. Roth (SR)**

**21.4.2011, 28.4.2011 & 3.5.2011 finden statt**

**26.4.2011 fällt aus**

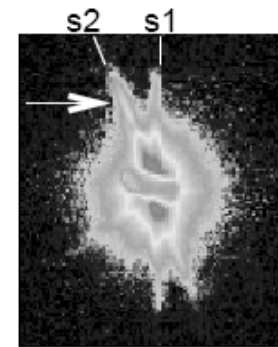
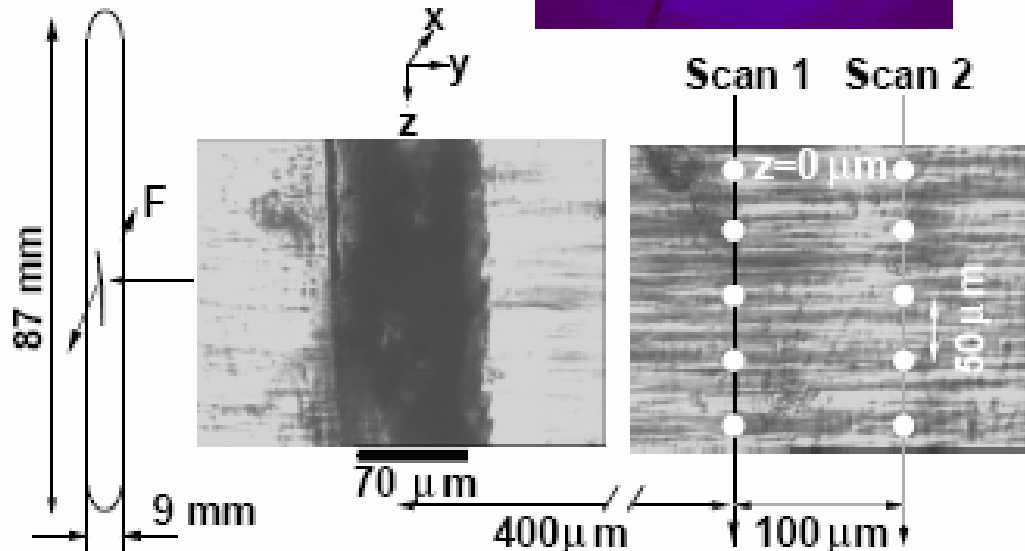
# Summary last lecture

- Approximations for Form factor:  
Very useful to get first-hand information about multiple length scales
- Influence of form factor (concentrated samples)
- Examples:
  - Colloidal crystals
  - Cracks & crazes



Guinier

$$I(q) = I(0) \cdot \exp\left(-\frac{1}{3} R_G^2 q^2\right)$$



$q = 0.79 \text{ nm}^{-1}$

**Questions?**

## Outline

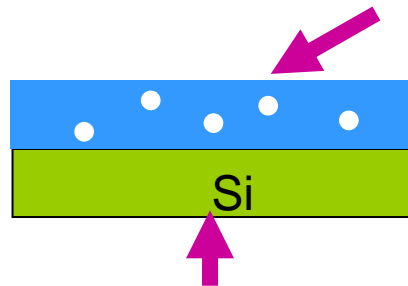
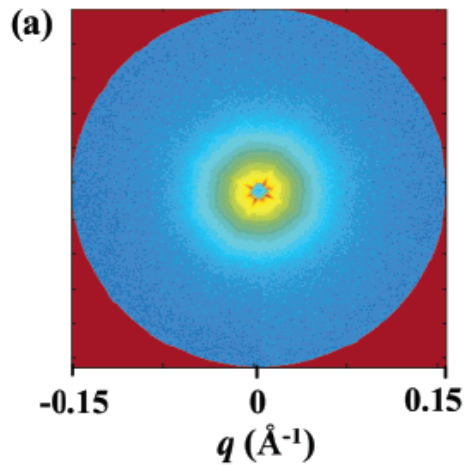
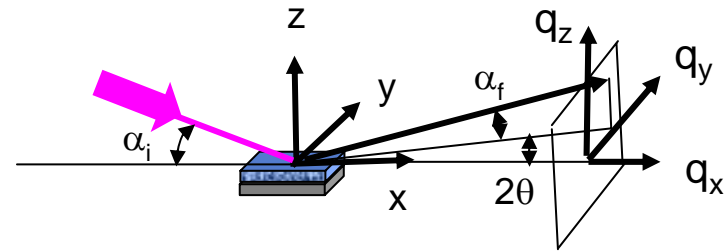
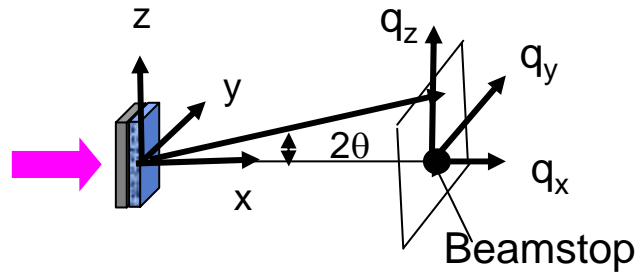


- SAXS versus GISAXS
- GISAXS – theory
- Instrumentation
- Application examples:
  - Gold on glass
  - in-situ growth of colloidal crystals
  - Polymer nanochannels

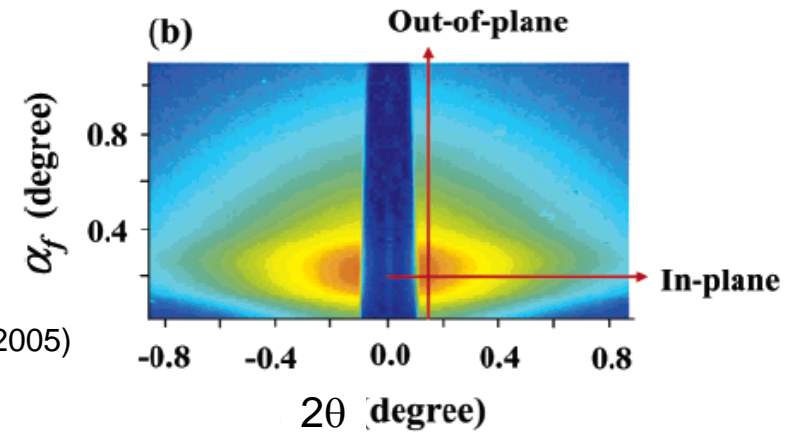
***Au on glass!***

**It is important to understand the basic features of the different GISAXS pattern and cuts.**

# T-SAXS vs. GISAXS



Lee et al., *Macromolecules*, 38, 8991 (2005)

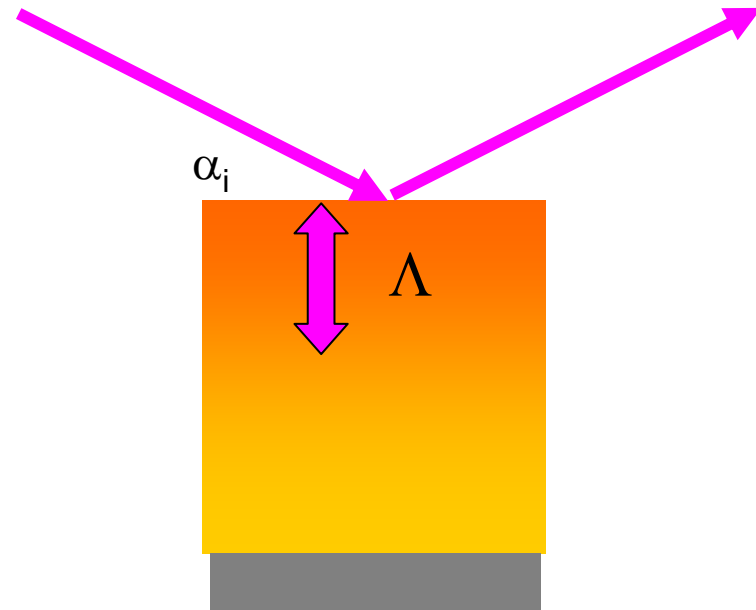
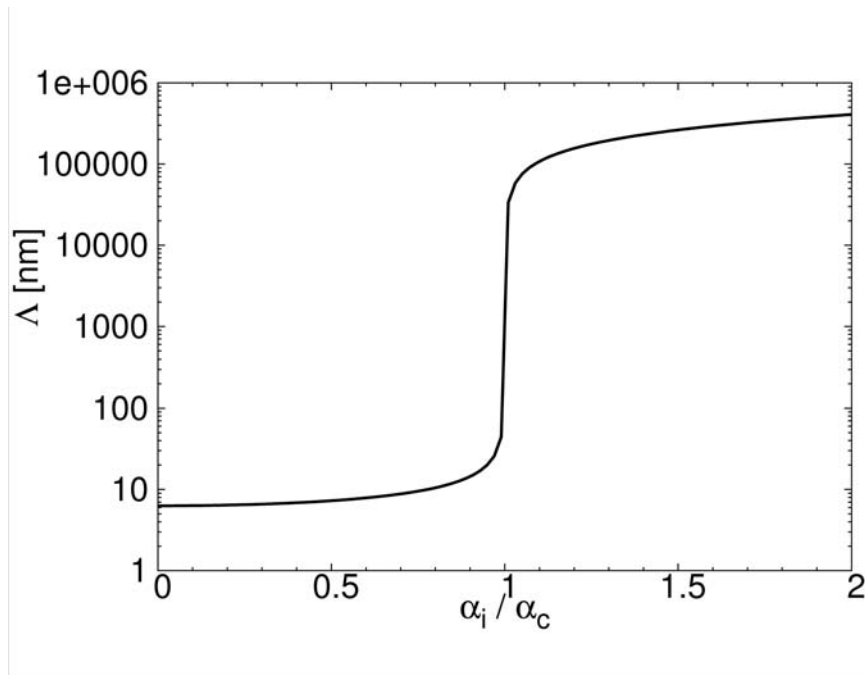


- Easy measurement
- Easy analysis
- In-plane information ( $q_y, q_z$ )
- Any possible scattering from substrate
- Transparency of substrate
- High energy

- Strong intensity
- Easy preparation of samples
- Full information ( $q_x, q_y, q_z$ )
- Scattering from surface / internal structure
- Scattering from reflected AND transmitted beam
- Refraction effects (DWBA)
- Special setup

# Surface sensitivity

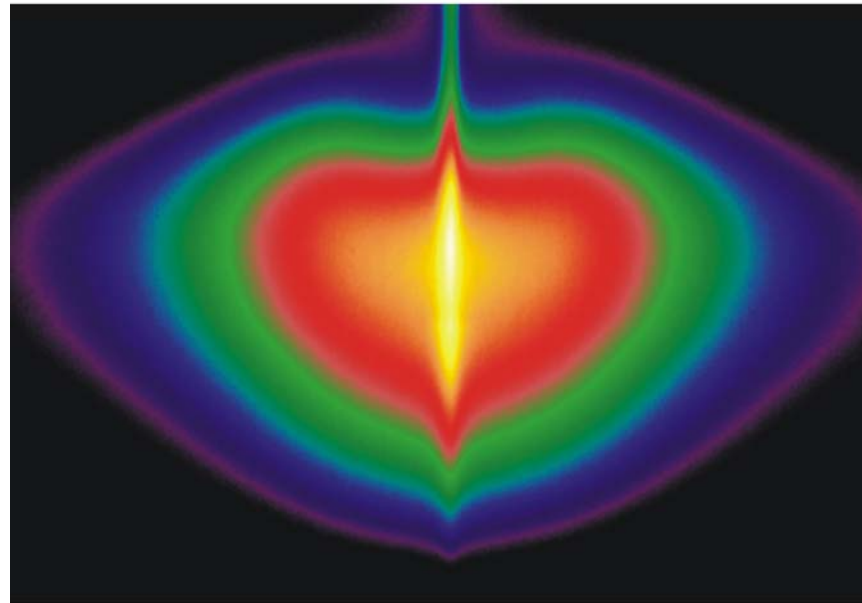
Scattering depth of x-rays: 
$$\Lambda = \frac{\lambda}{\sqrt{2\pi}} \cdot \frac{1}{\sqrt{\sqrt{(\alpha_i^2 - \alpha_c^2)^2 + 4\beta^2} - (\alpha_i^2 - \alpha_c^2)}}$$



Vary incident angle  $\alpha_i < \alpha_c$  to probe surface near region only or penetrate large sample volume

# History

- 1963 Yoneda – anomalous Scattering below  $\alpha_i$
- 1988 Sinha – rough multilayers
- 1989 Levine – kinetics of gold nanoparticles on glass
- 1996 Müller-Buschbaum – mesoscopic length scales in polymer films
- 2003 Müller-Buschbaum – combination with  $\mu$ focused beams
- Since 2006 „going nano“ ... PETRA III



BW4, CCD  
Au  
d=5nm  
t=3h  
T=300°C

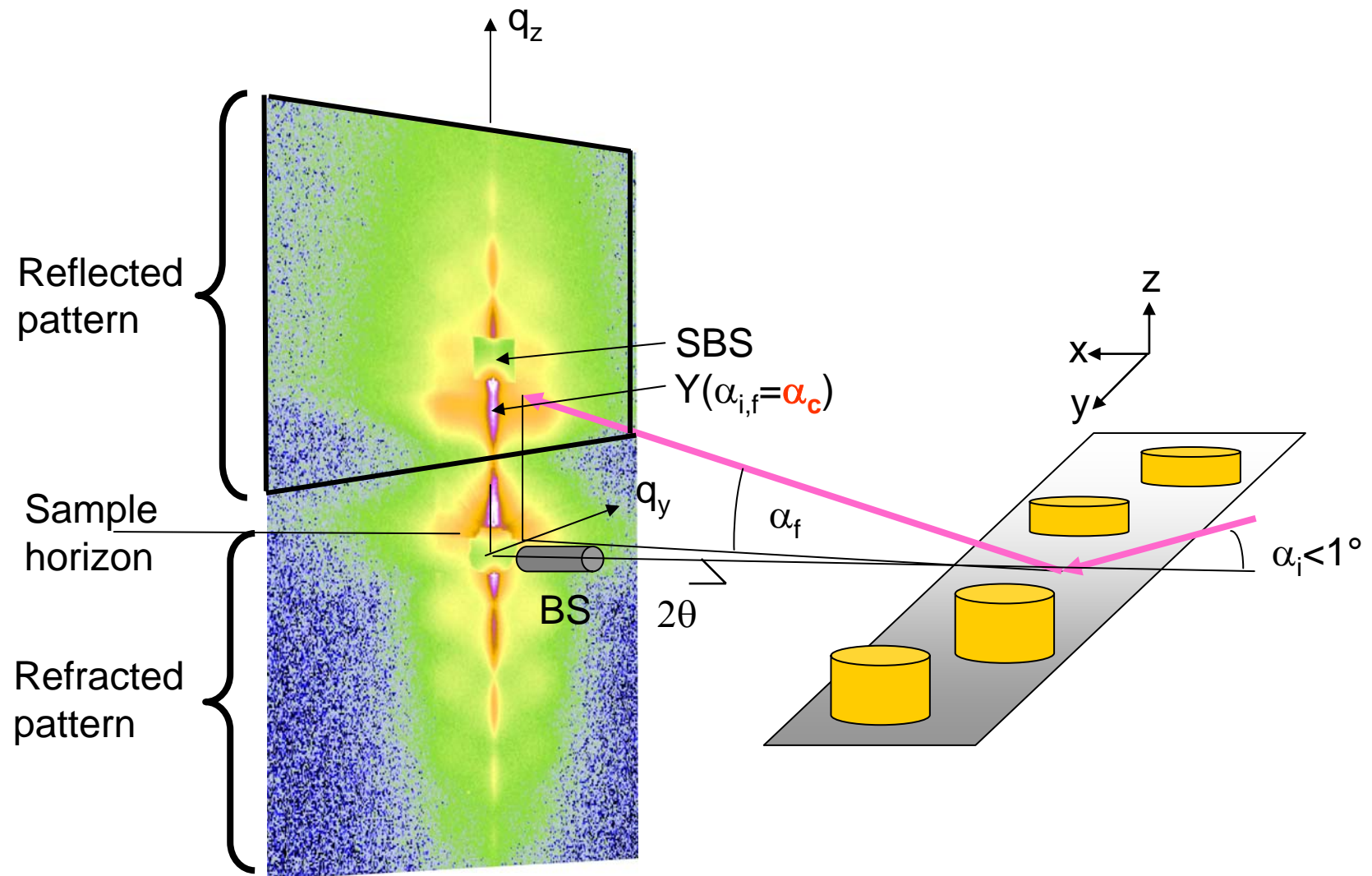
## Outline



- SAXS versus GISAXS
- GISAXS – theory
- Instrumentation
- Application examples:
  - Gold on glass
  - in-situ growth of colloidal crystals
  - Polymer nanochannels

***Au on glass!***

# Grazing incidence small-angle x-ray scattering





# History

- 1963 Yoneda – anomalous Scattering below  $\alpha_i$
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PHYSICAL REVIEW

VOLUME 131, NUMBER 5

1 SEPTEMBER 1963

## Anomalous Surface Reflection of X Rays

Y. YONEDA

*Department of Applied Physics, Faculty of Engineering, Kyushu University, Fukuoka, Japan*

(Received 9 January 1963; revised manuscript received 2 May 1963)

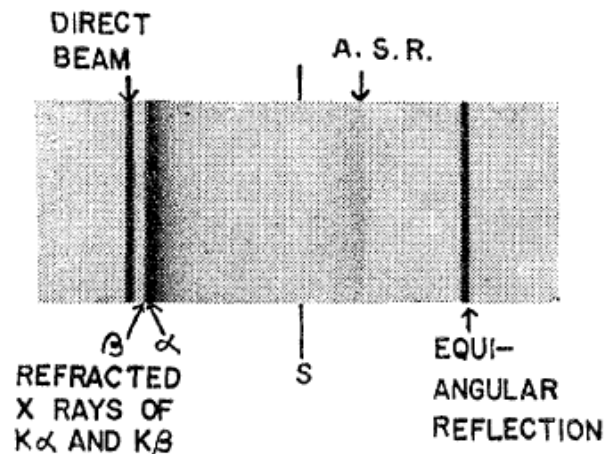


FIG. 2. Photograph of A.S.R. by a glass sample,  $\times 2$ .

# The first successful experiment

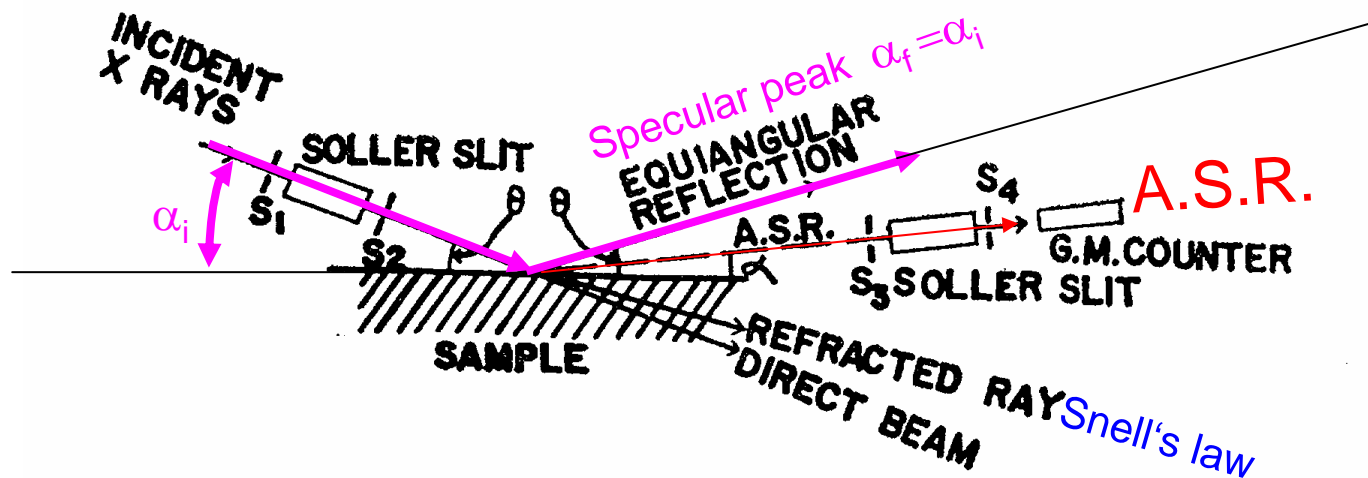


FIG. 1. Schematic view of the experimental arrangement in the incident plane.

Anomalous Surface Reflection  
(diffuse scattering)

Au, 20nm-200nm

Si

**Intensity between  $\alpha_f=0^\circ$  and  $\alpha_f=\alpha_i$  !!!**

**Why ?**

# Refractive index for x-rays

$$n = 1 - \delta + i\beta$$

real part

$$\delta = \frac{\lambda^2}{2\pi} r_0 \underbrace{\frac{NZ}{\rho_e}}_{\text{Number density of atoms} \times \text{Atomic number}}$$

imaginary part

$$\beta = \frac{\lambda}{4\pi} \mu$$

$e^{-\mu x}$   
(Lambert-Beers law)

	$r_0\rho_e [10^{10}\text{cm}^{-2}]$	$\mu_x [\text{cm}^{-1}]$
Vacuum	0	0
PS (C <sub>8</sub> H <sub>8</sub> ) <sub>n</sub>	9.5	4
Si	19.7	85
Au	131.5	4170

$$\alpha_c = \sqrt{2\delta}$$

**Critical angle**

$$\alpha_c(\text{Si}) = 0.2^\circ$$

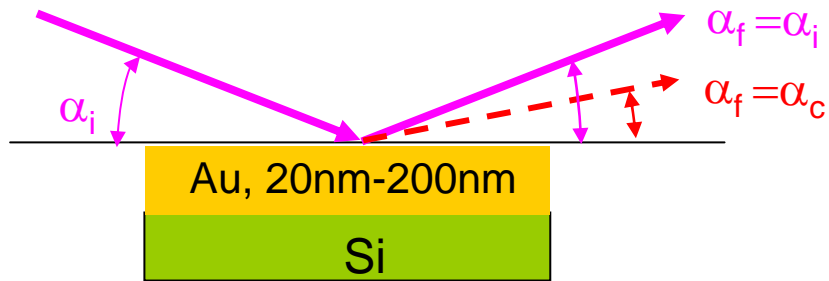
$$\alpha_c(\text{Au}) = 0.5^\circ$$

$$\lambda \approx 1\text{\AA} \Rightarrow \delta \sim 10^{-7} \dots 10^{-6}$$

Very small!

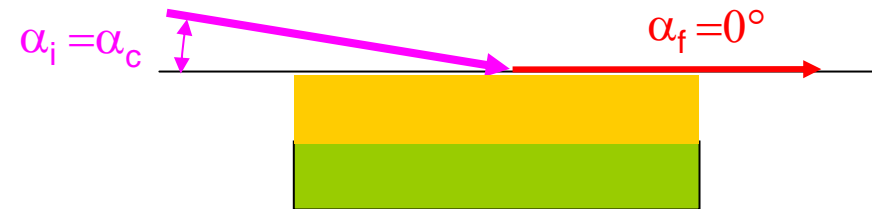
Matter:  $|n(\text{X-rays})| < 1$  optically less dense than vacuum (remember Bragg's law)

# Origin of intensity at $\alpha_c$

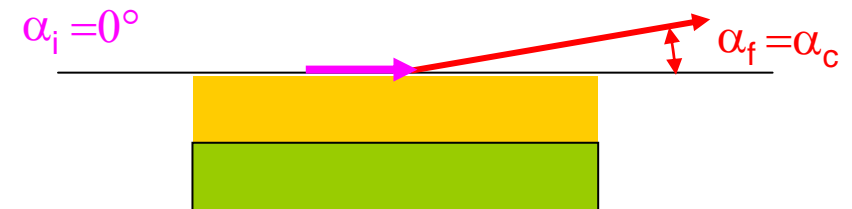


$$\alpha_f(\text{Au}) = 0.56^\circ = \alpha_c(\text{Au}, 1.8\text{\AA})$$

## Total external reflection

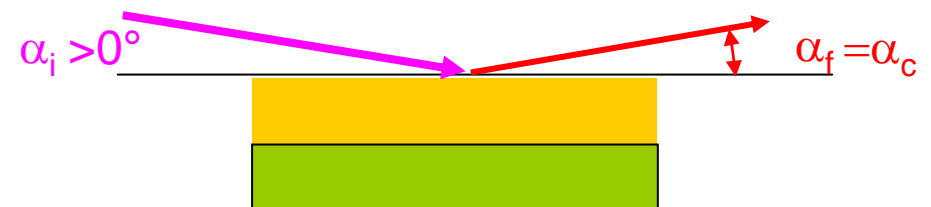


## Reciprocity theorem & critical angle



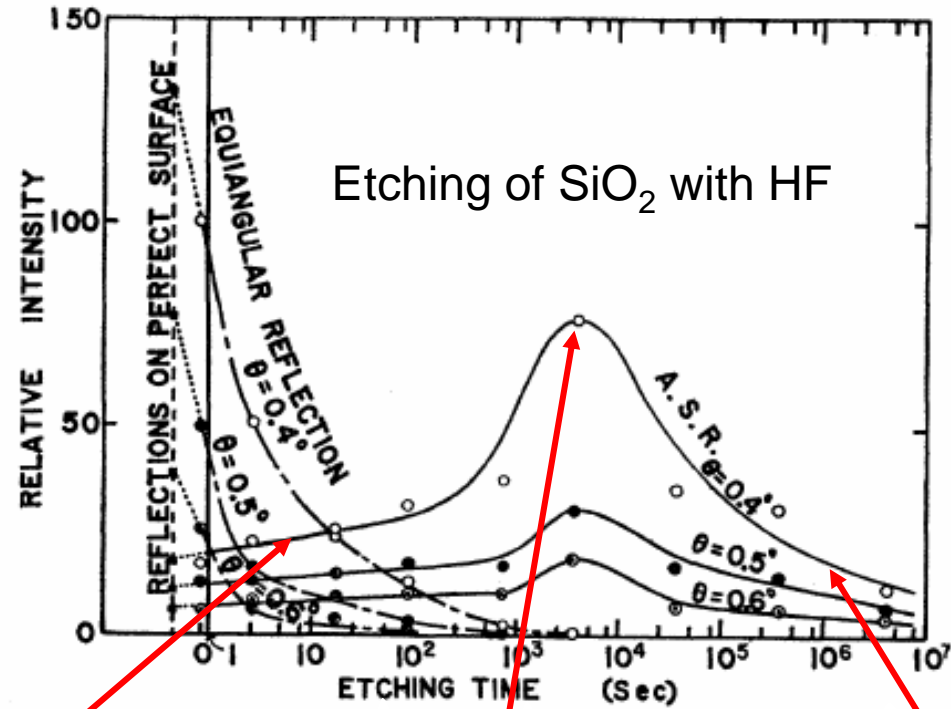
must stem from wave parallel to surface

## Yoneda



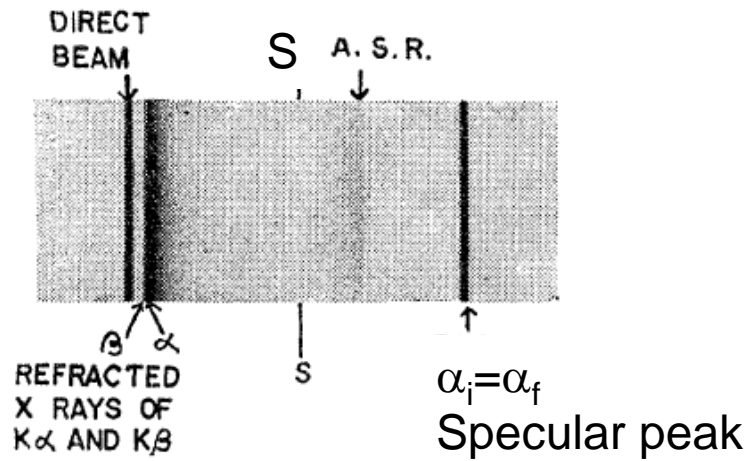
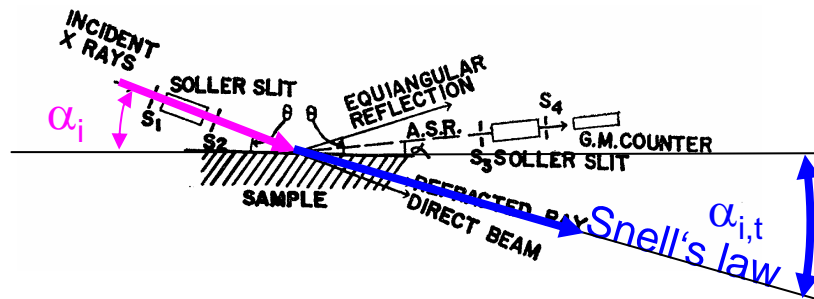
# Hint: Roughness of the sample

**Yoneda peak = Scattering effect!**

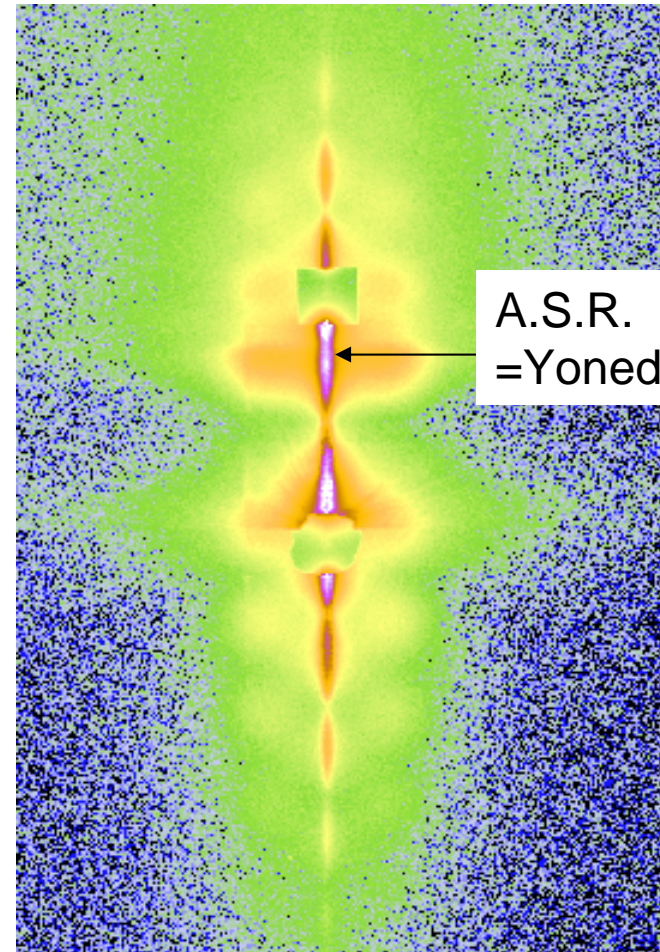


# Basically the same

1963



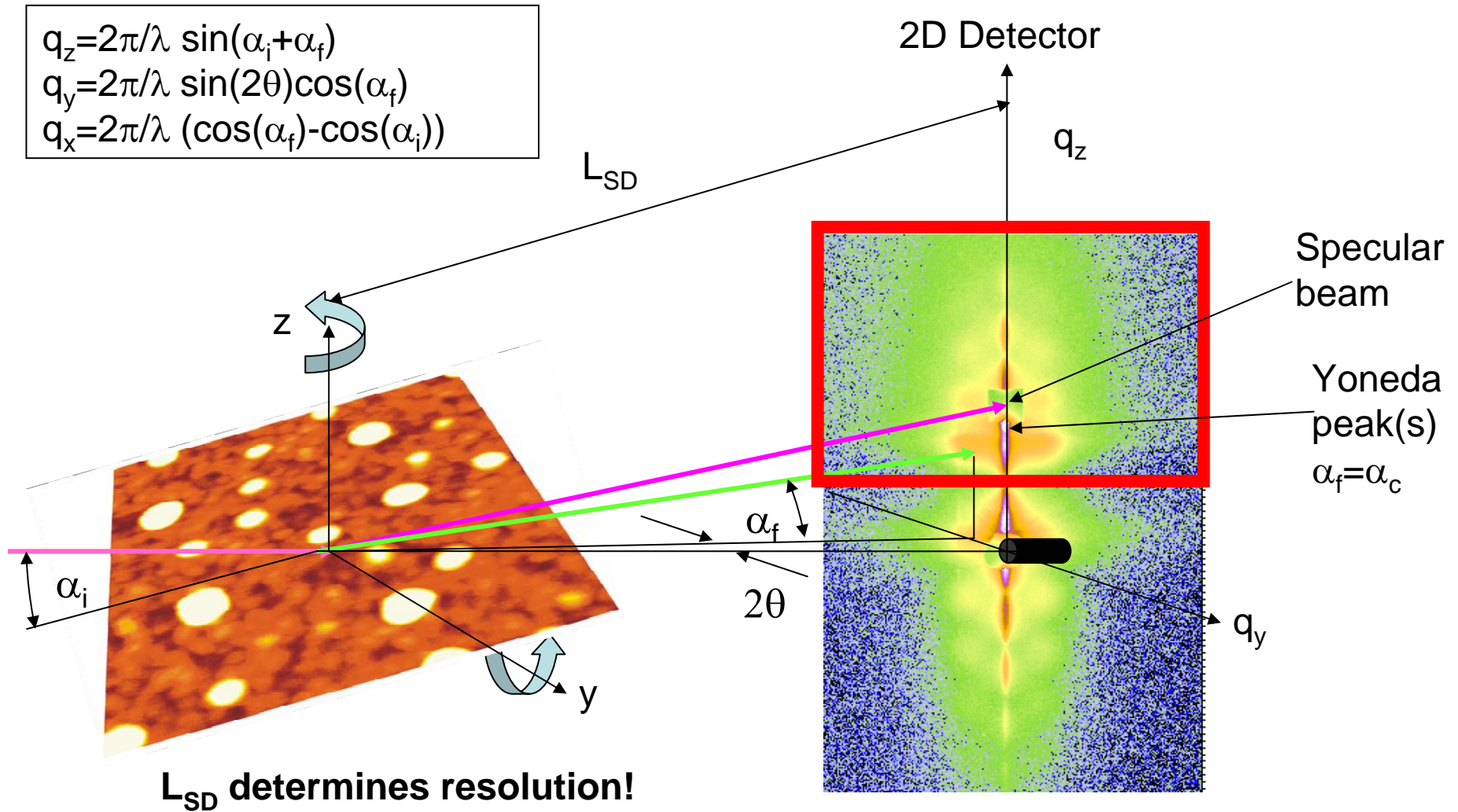
today



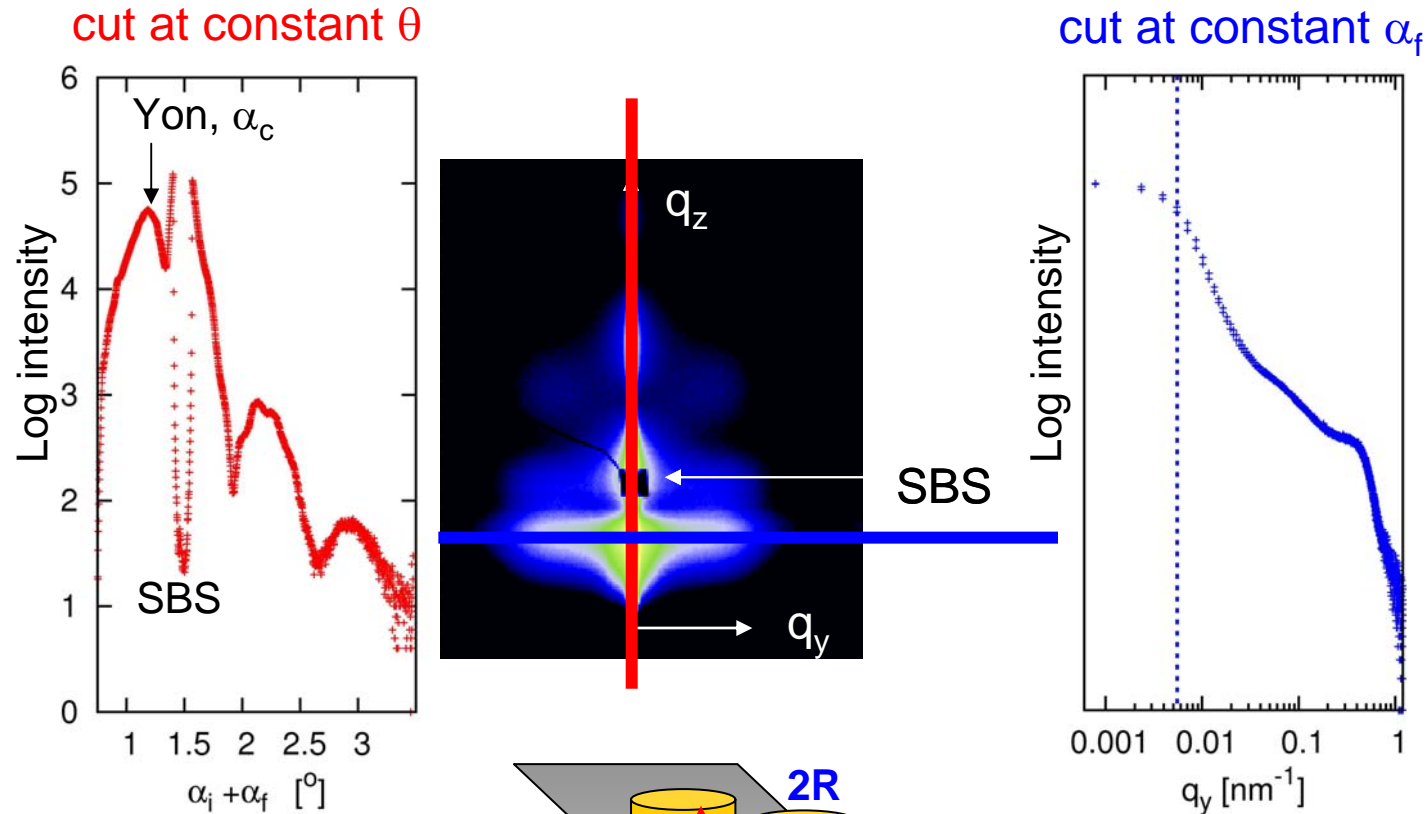
A.S.R.  
= Yoneda peak

# $\mu$ GISAXS – more details

## Grazing Incidence Small Angle X-Ray Scattering, GISAXS



# Grazing incidence small-angle x-ray scattering



Correlation perpendicular to surface,  
e.g. height of nanoparticles,  
roughness, layer thickness

In-plane structures, e.g.  
distances  $\xi$ , Radius  $R$

**Detector-scan**

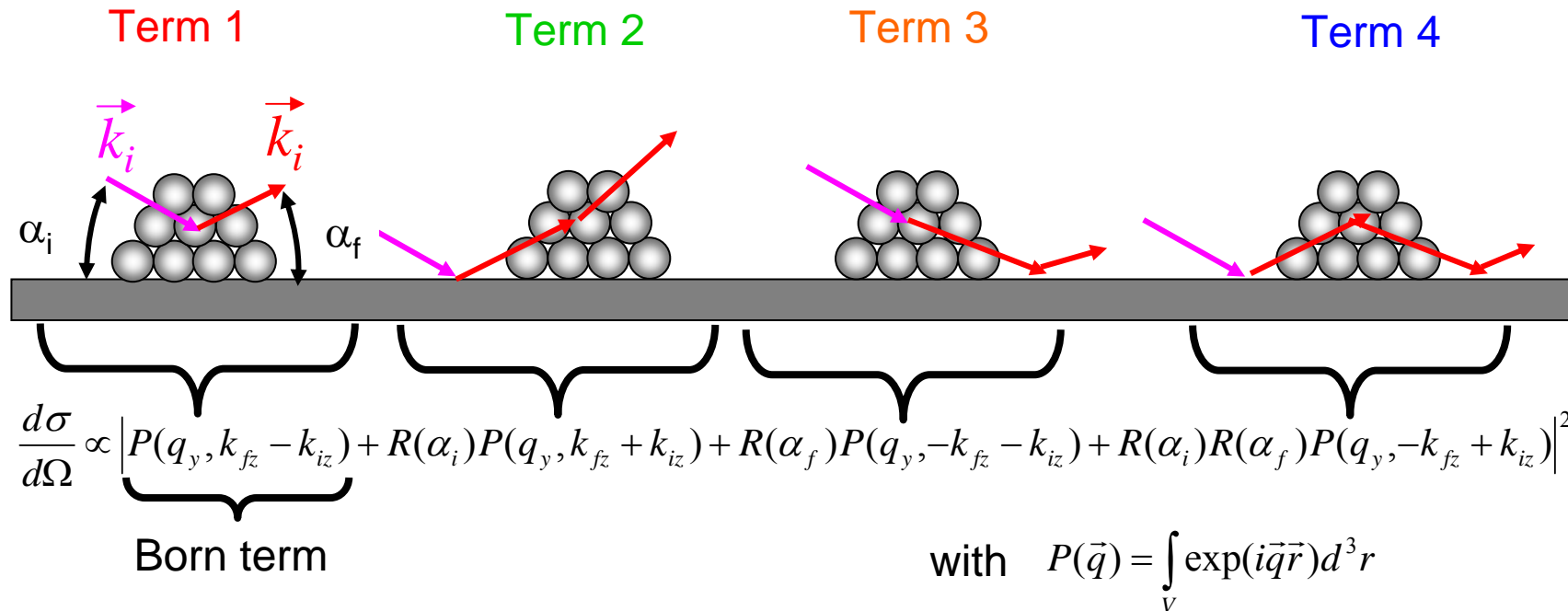
**Out-of-plane scan**

Salditt et al., Phys.Rev.B **51**, 5617 (1995)  
 Naudon et al., Physica B, **283**, 69 (2000)  
 Renaud et al., Science, **300**, 1416 (2003)  
 Müller-Buschbaum, Anal. Bioanal. Chem **376**, 3 (2003)



# Distorted Wave Born approximation

Form factor: multiple scattering

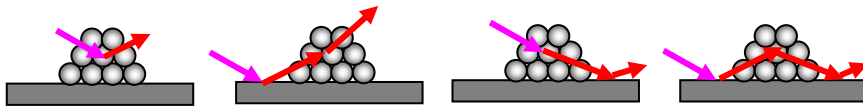


- Coherent interference between four waves along  $\alpha_f$
- Cross section depends on  $q_y$  and  $q_z$
- Weighting with the reflection coefficients in incidence and emergence

# Now for surfaces (nanoparticles)...

$$I(q_y, q_z) = c |P(q_y, q_z)|^2 \times S(q_y)$$

## Form factor: multiple scattering

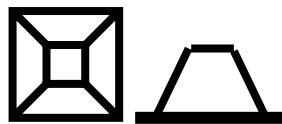


## Shape, size and orientation

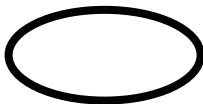
- cylinder



- pyramid



- ellipsoid



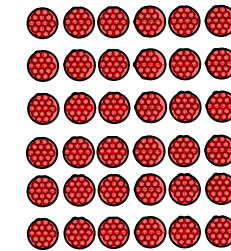
+ size distributions

## Interference function

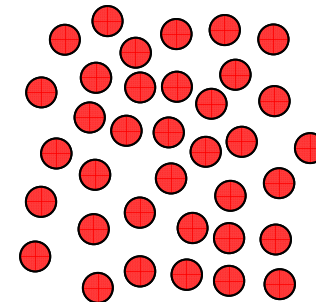
$S(q_y) = \text{FT}(\text{pair correlation function})$

Spatial arrangement of the particles

- lattice



- paracrystal

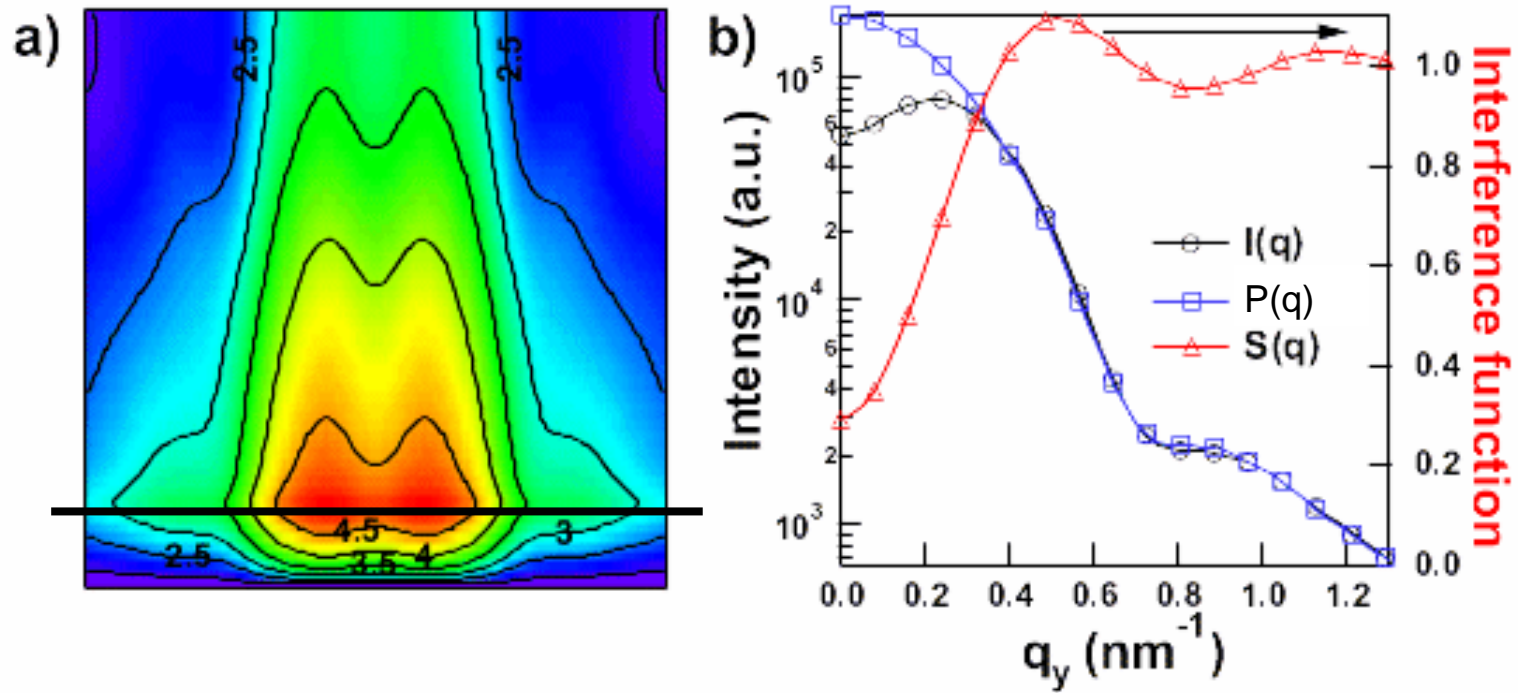


+ mean distance

+ fluctuation of distances

# Simulations: IsGISAXS (R. Lazzari)

$$I(q_y, q_z) = c |P(q_y, q_z)|^2 \times S(q_y)$$



## Outline

➤ SAXS versus GISAXS

➤ GISAXS – theory



➤ Instrumentation

➤ Application examples:

- Gold on glass

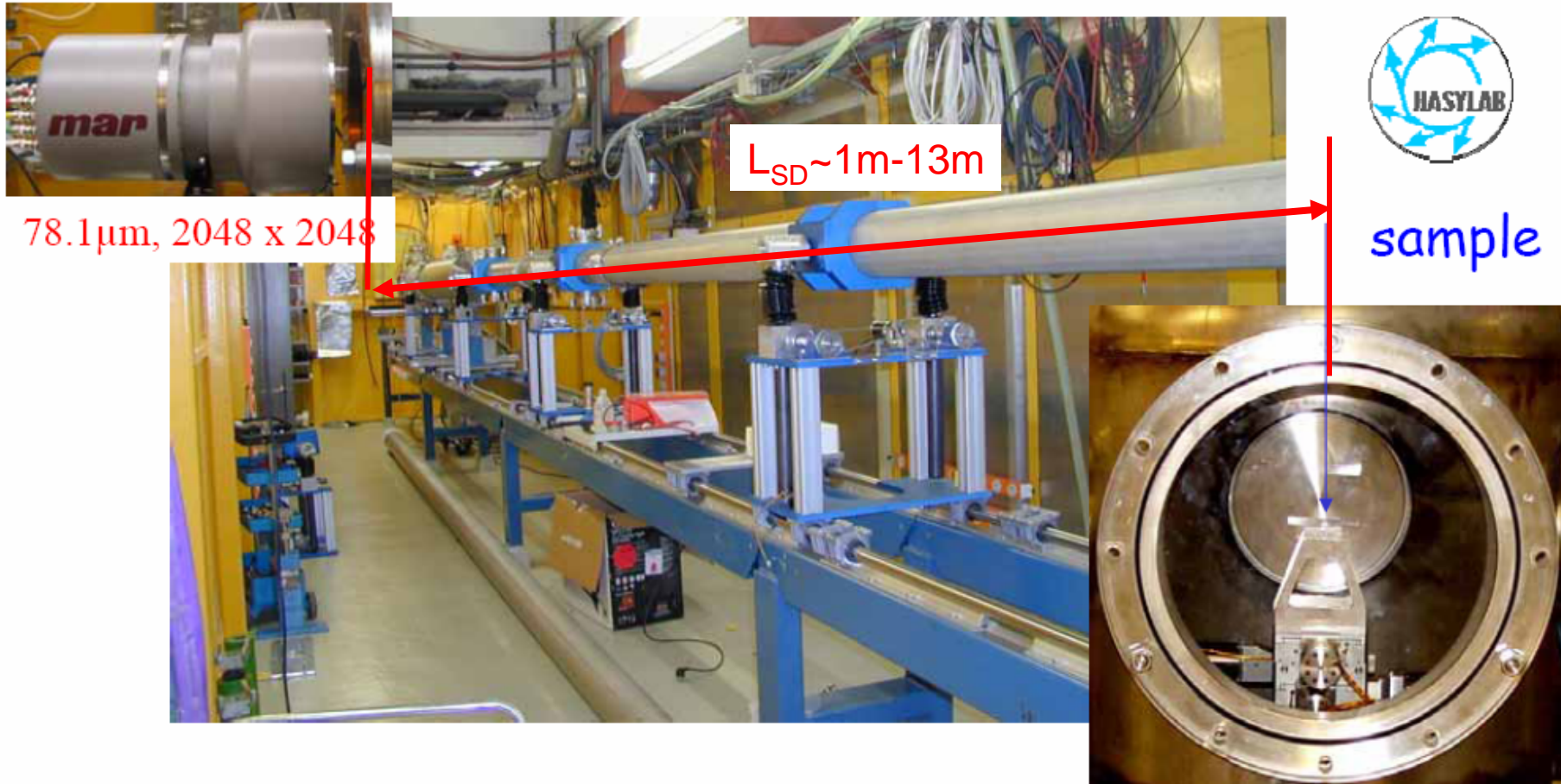
- in-situ growth of colloidal crystals

- Polymer nanochannels

***Au on glass!***

# BW4 / HASYLAB (Hamburg, Germany)

for GI(U)SAXS experiments at the BW4 beamline @ HASYLAB (DESY, Hamburg)

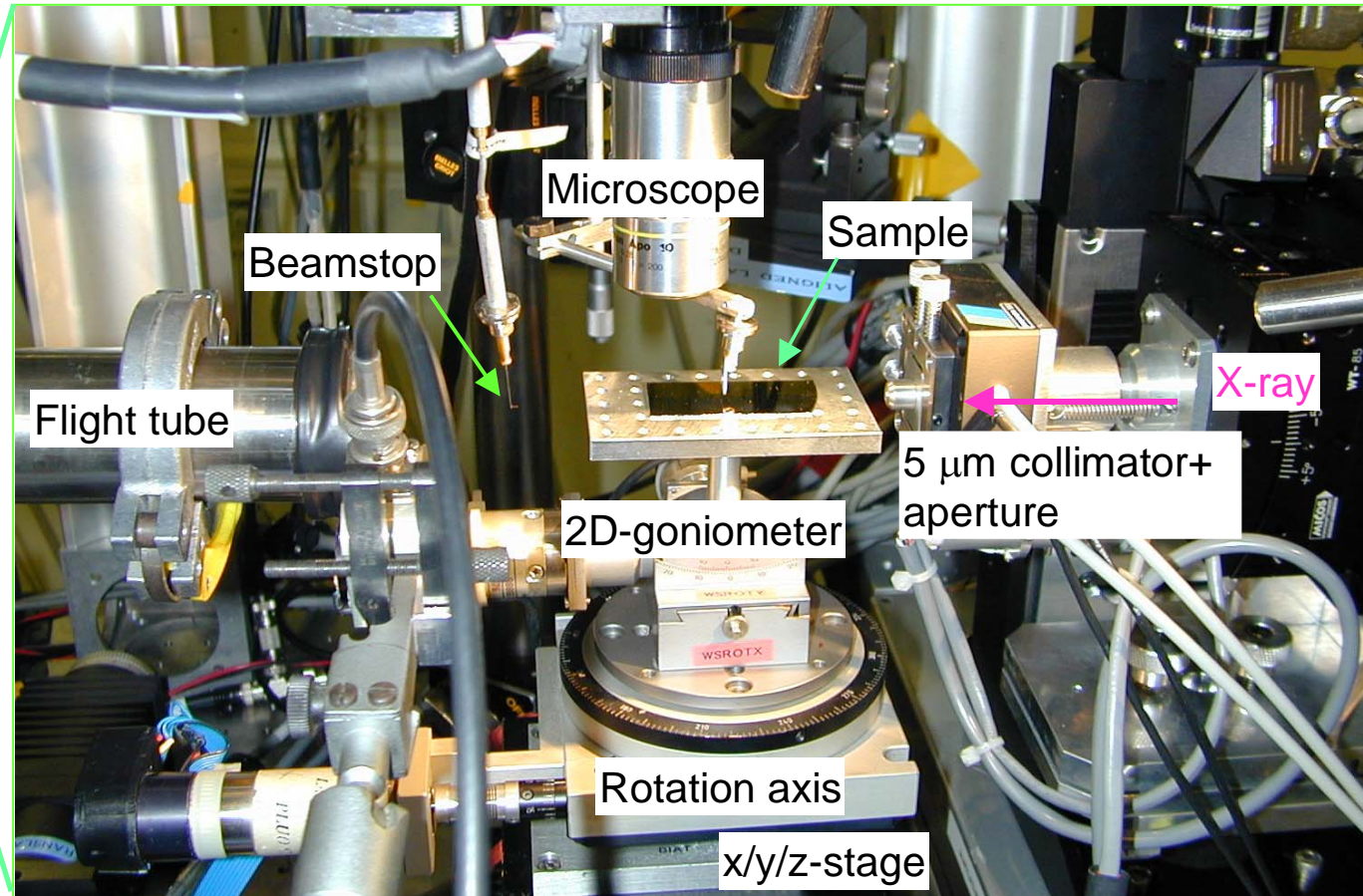
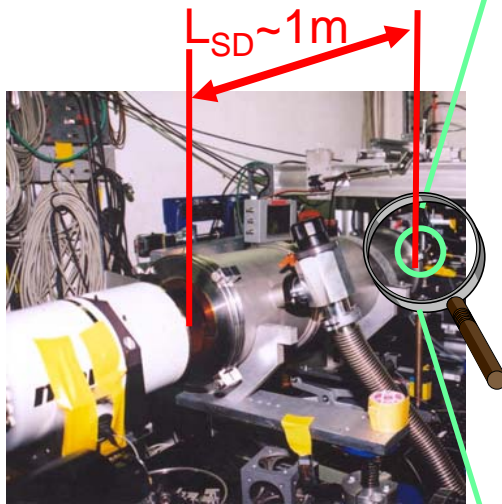


sample – detector distance up to **13 m** possible – optimized conditions for GI(U)SAXS

# ID13 / ESRF (Grenoble, France)

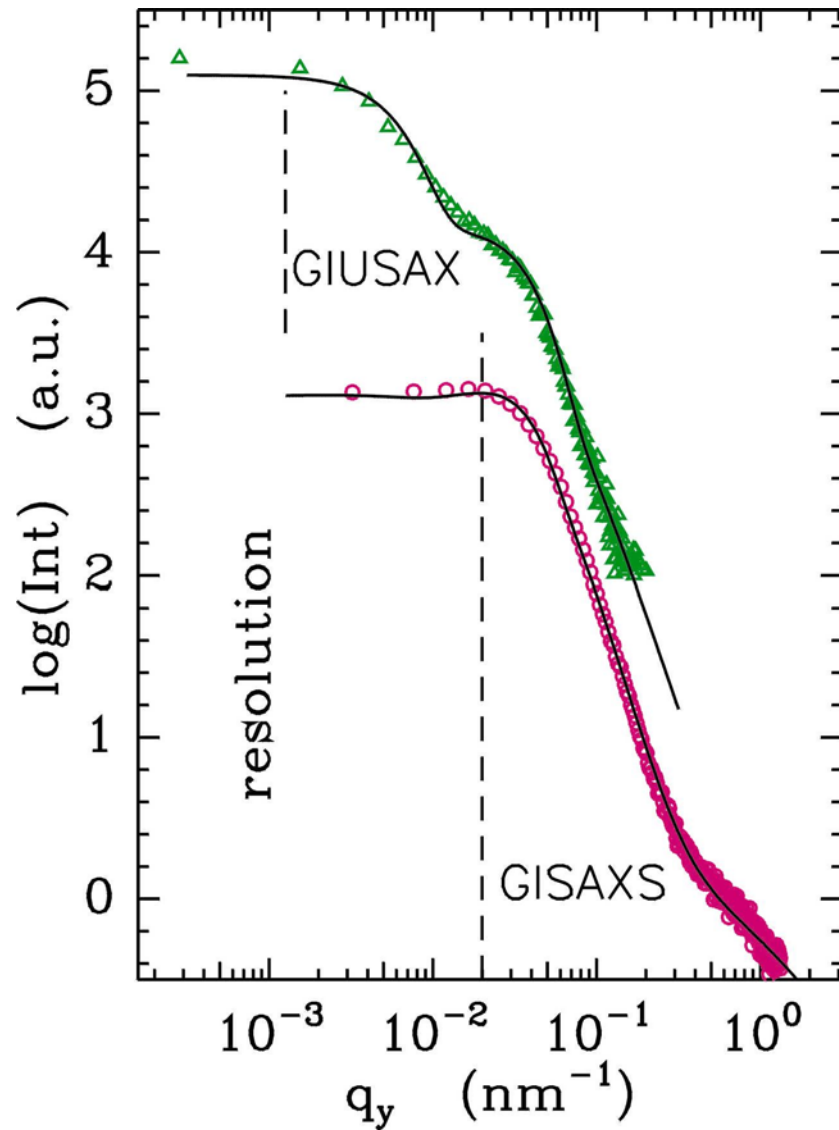
Mean information  $\Leftrightarrow$  local information

Combination of GISAXS with micro-focus beam



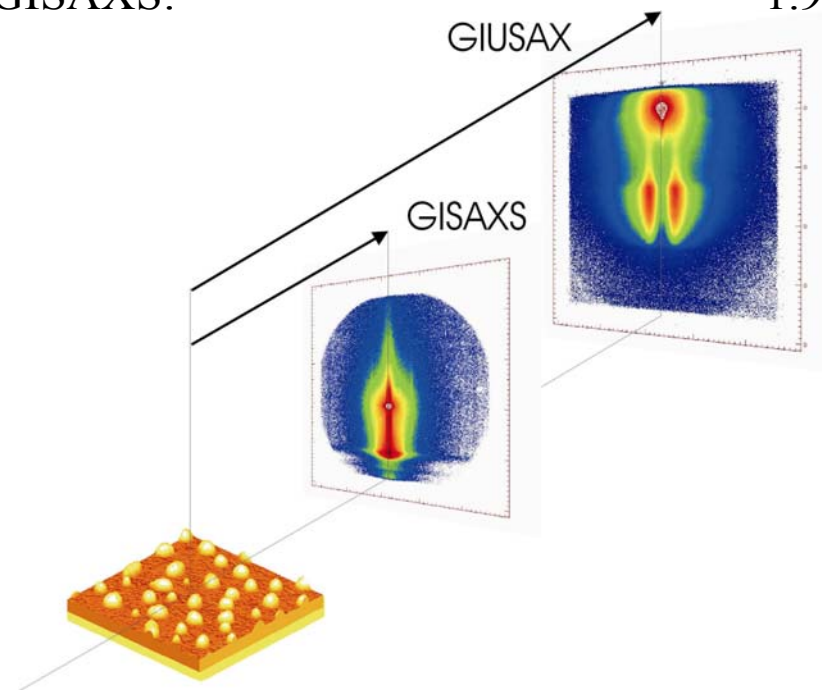
diameter of micro beam GISAXS experiment at ID13 (ESRF)  $5\mu\text{m}$   
footprint (x/y)  $300 \times 5\mu\text{m}^2 \rightarrow$  local information

# GISAXS & GIUSAXS



combination of GIUSAXS and GIUSAXS  
experiment:

GIUSAXS: sample-detector distance 12.8 m  
GISAXS: 1.9 m



## Outline

- SAXS versus GISAXS
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- Application examples:



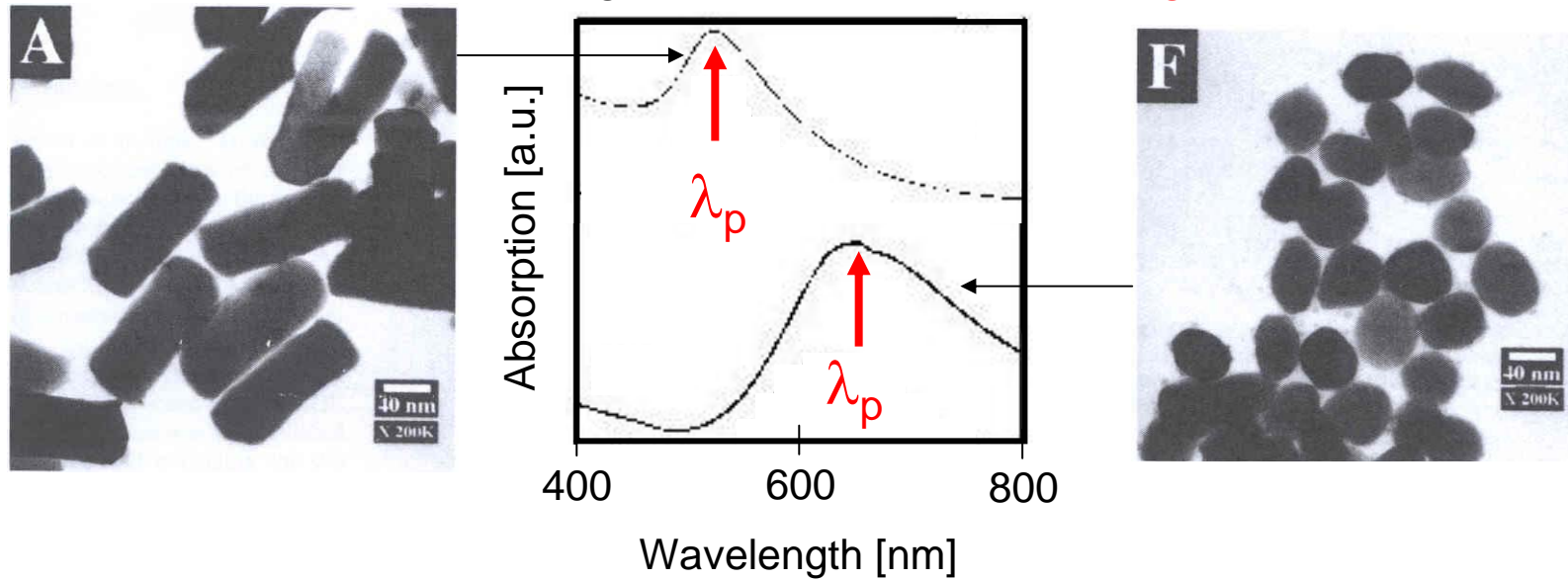
- Gold on glass
- in-situ growth of colloidal crystals
- Polymer nanochannels

***Au on glass!***



# Tempering Au nanoparticles

Optical properties: sharp resonances  $\leftrightarrow$  plasmon resonances  
(visible light) cluster arrangement & shape



J.C. Hulteen et al., J Phys. Chem. B 101, 7727 (1997)

# Annealing

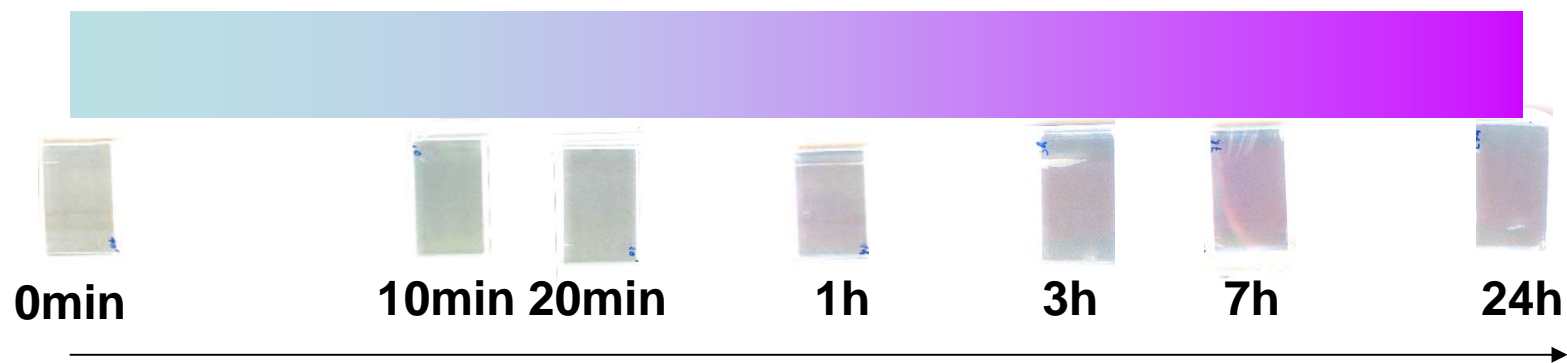
S.V.R., H. Walter (CSEM), R. Domnick (identif) et al., (in preparation)

Au on glass

Parameters: Au layer mass thickness: **3nm** , **5nm**, **8nm**

**Annealing time**

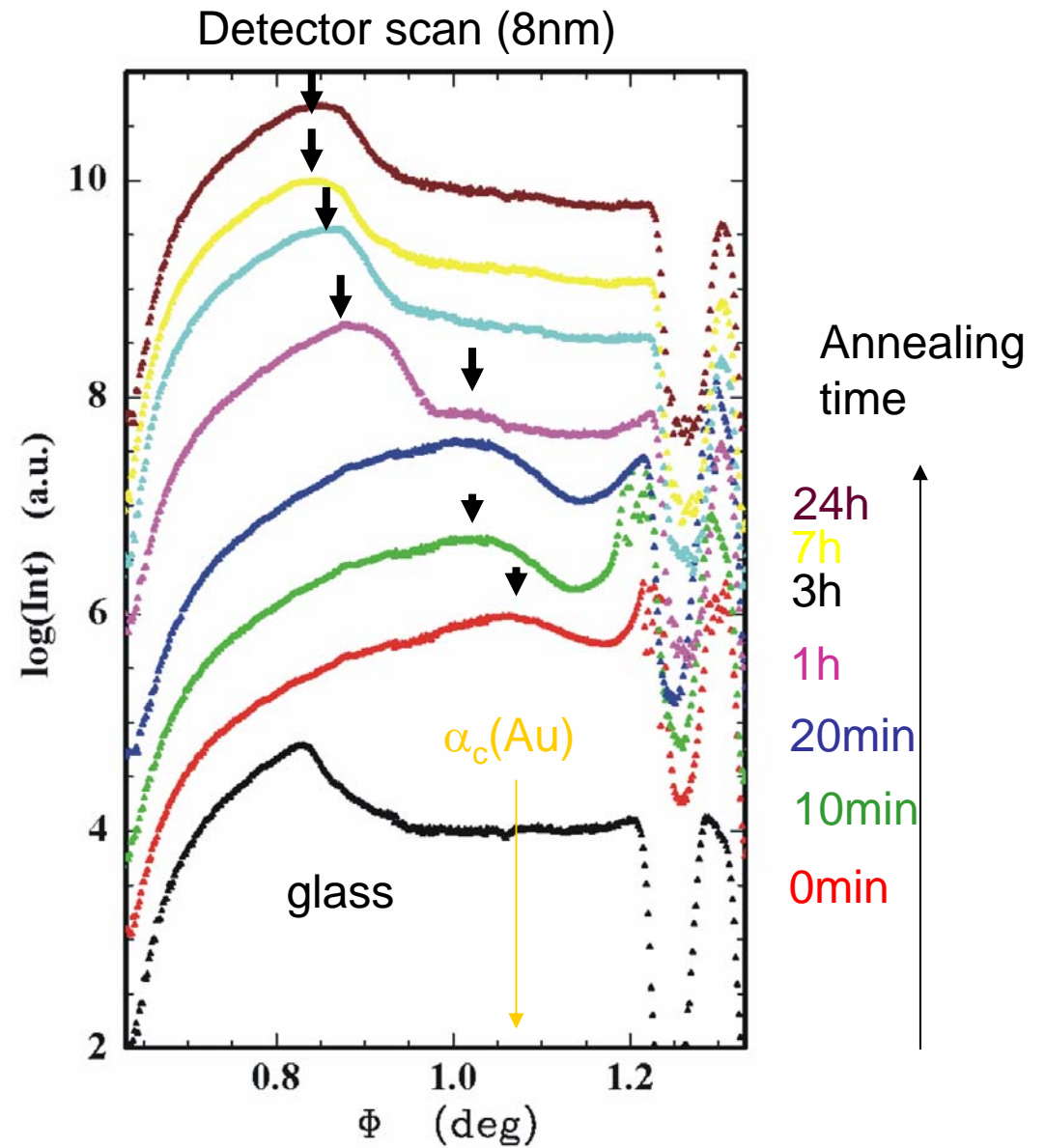
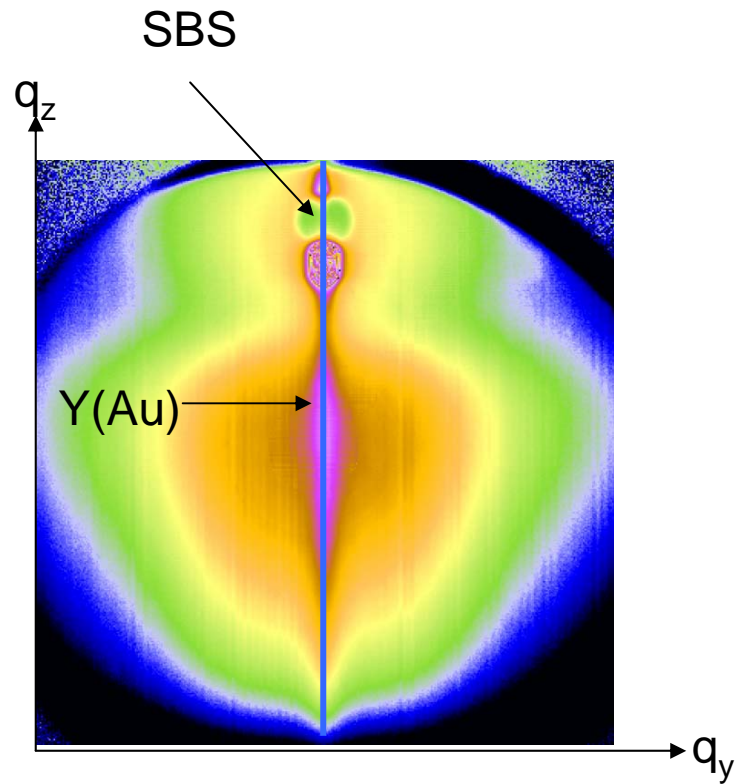
approaching critical coalescence thickness  
(cluster -> metal character)



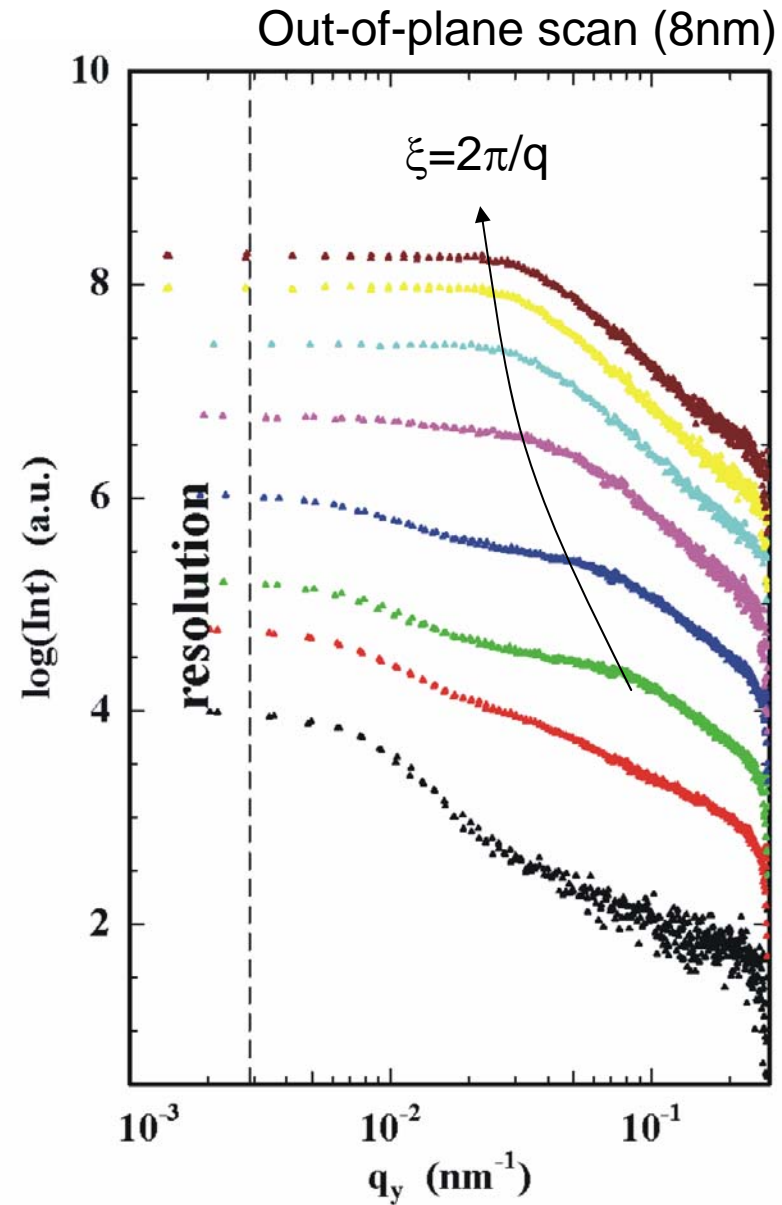
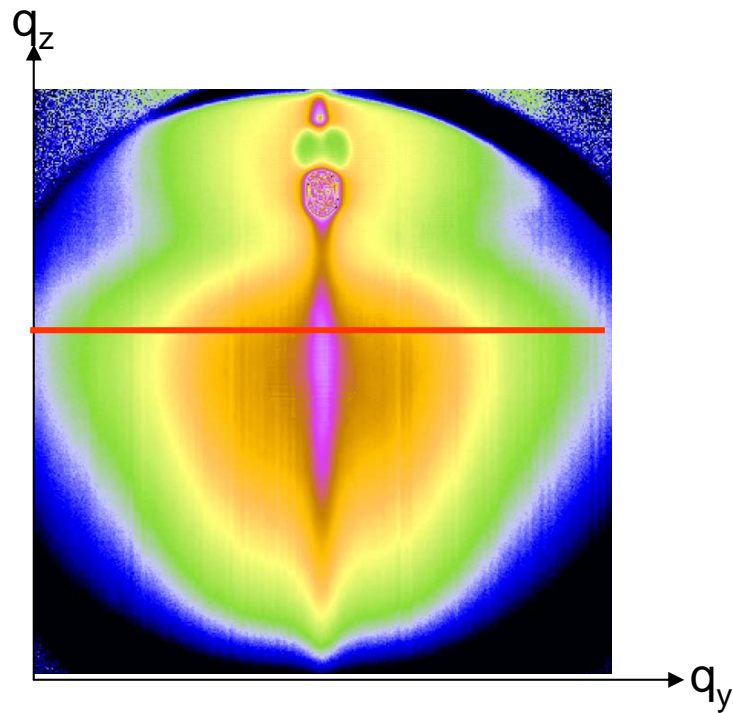
Log (Annealing time)

@  $T_{\text{anneal}}=300^{\circ}\text{C} < 1064^{\circ}\text{C}$  (bulk melting point)

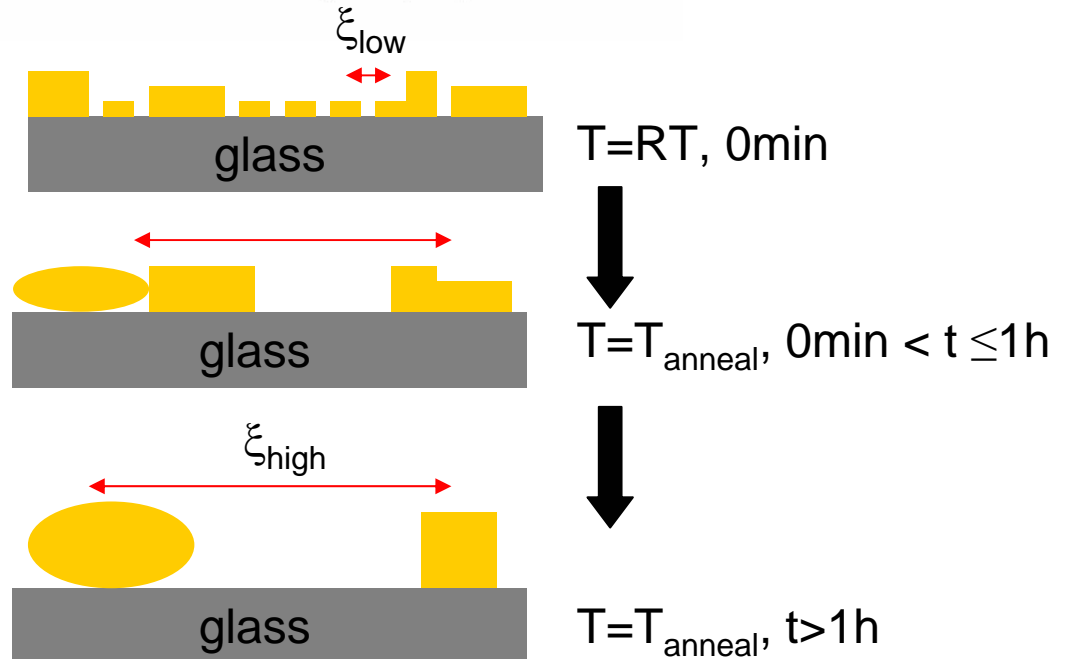
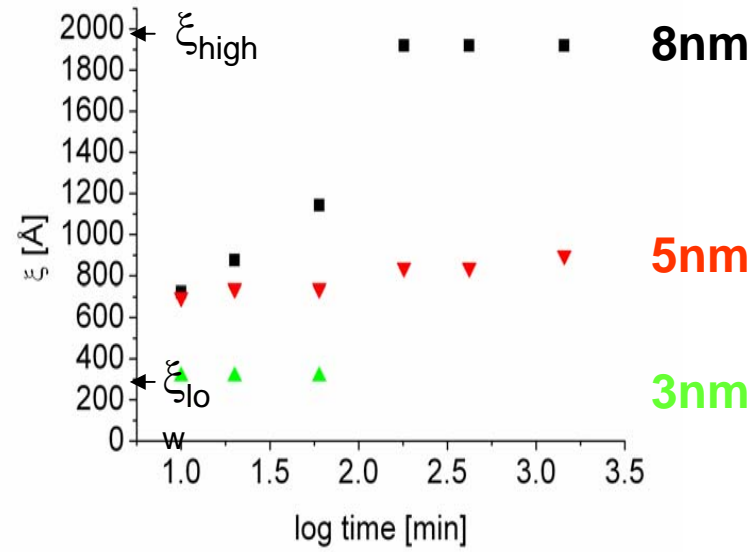
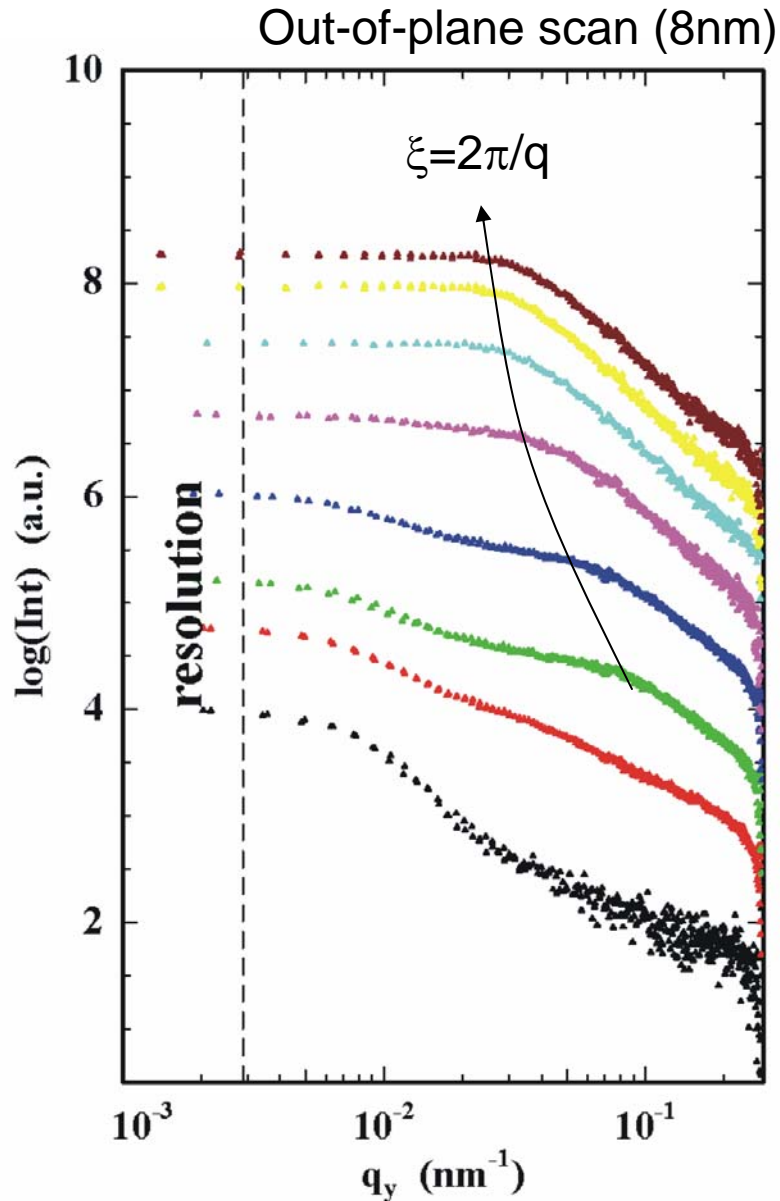
# Surface coverage



# In-plane ordering



# Lateral length scales



# Outline

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- Application examples:

- Gold on glass



- in-situ growth of colloidal crystals

- Polymer nanochannels

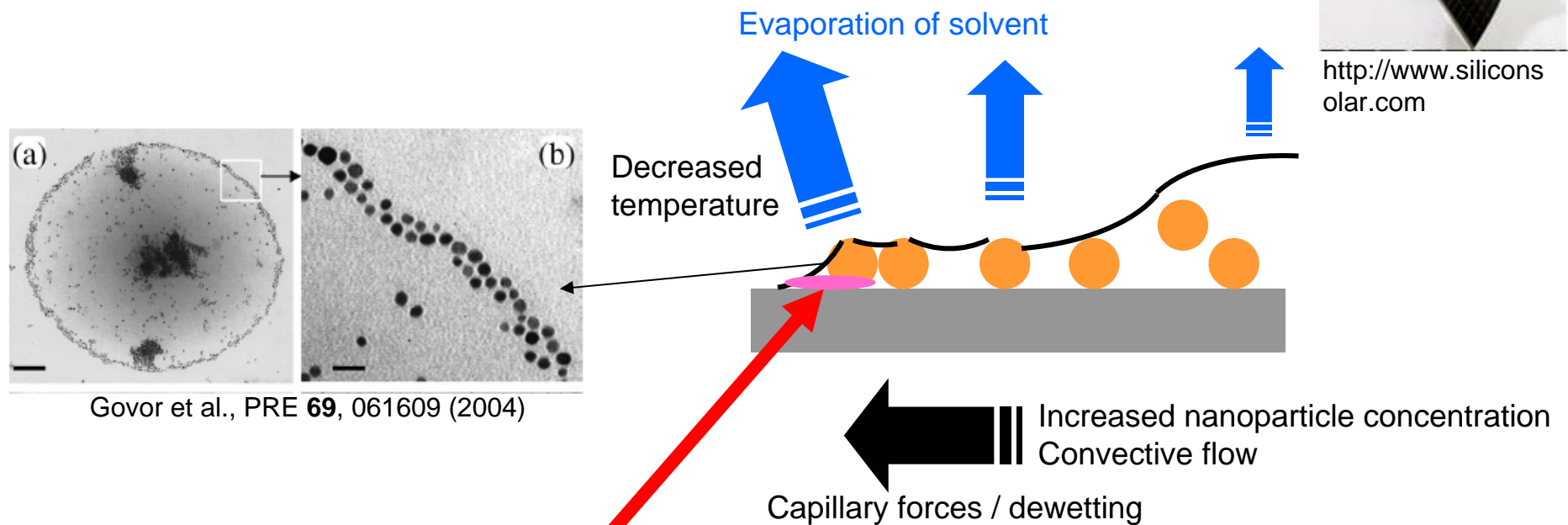
***Au on glass!***

# In-situ nanostructuring from solution

Circuits, solar cells -> printing: electrodes, **cost reduction**



<http://www.siliconsol.com>



**Control drying-up of colloidal solution layer during inkjet printing**

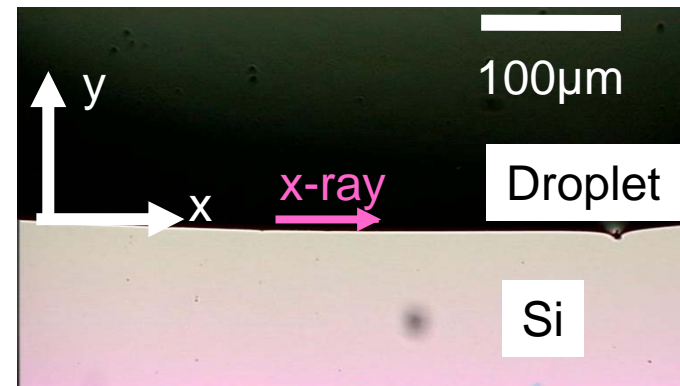
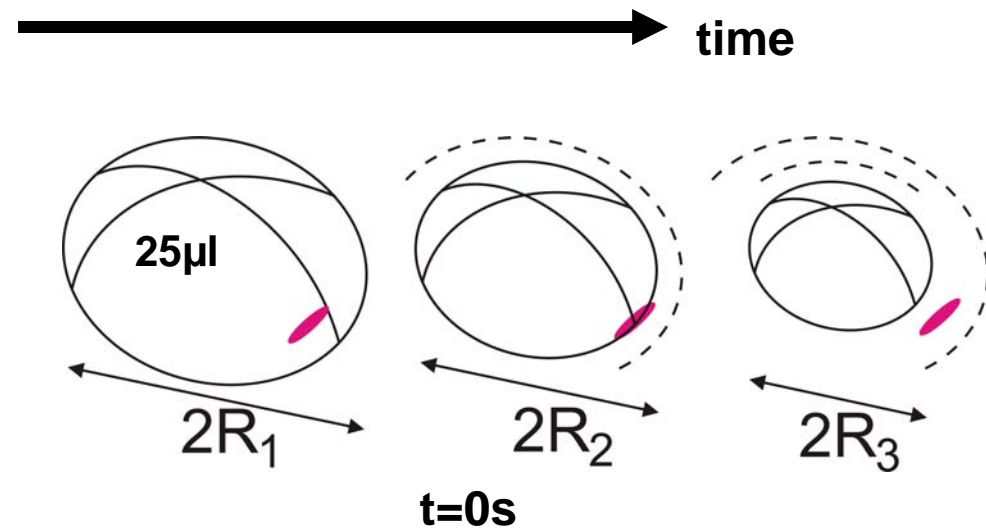
**Critical step: Transfer of order to substrate**

# Real-time results: nanoGISAXS/ ID13 ESRF

2nm Au spheres in water  
Slow evaporation time

300nm beam by Fresnel Zone plates

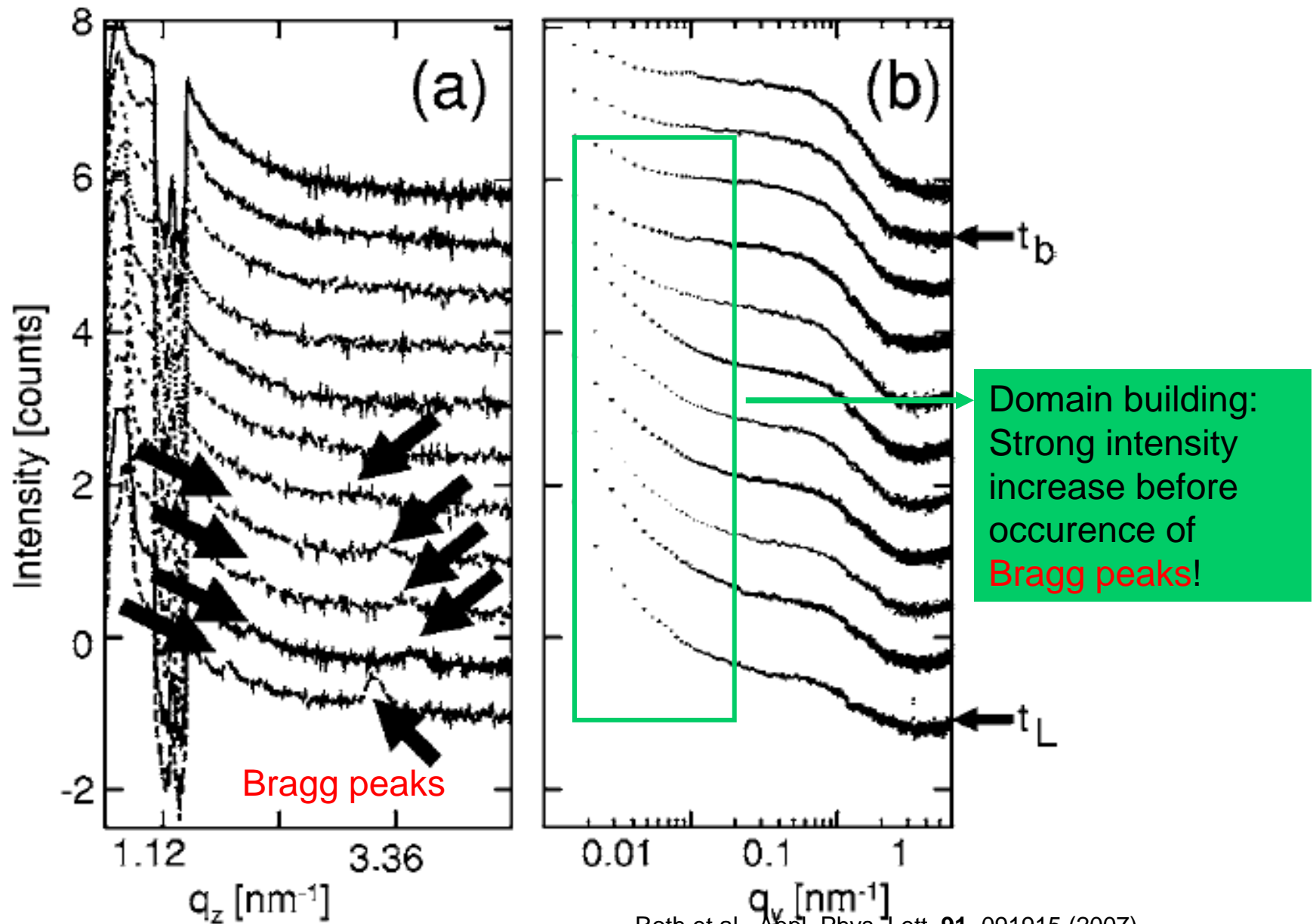
First nanobeam in-situ GISAXS



Roth et al., Appl. Phys. Lett. **91**, 091915 (2007)

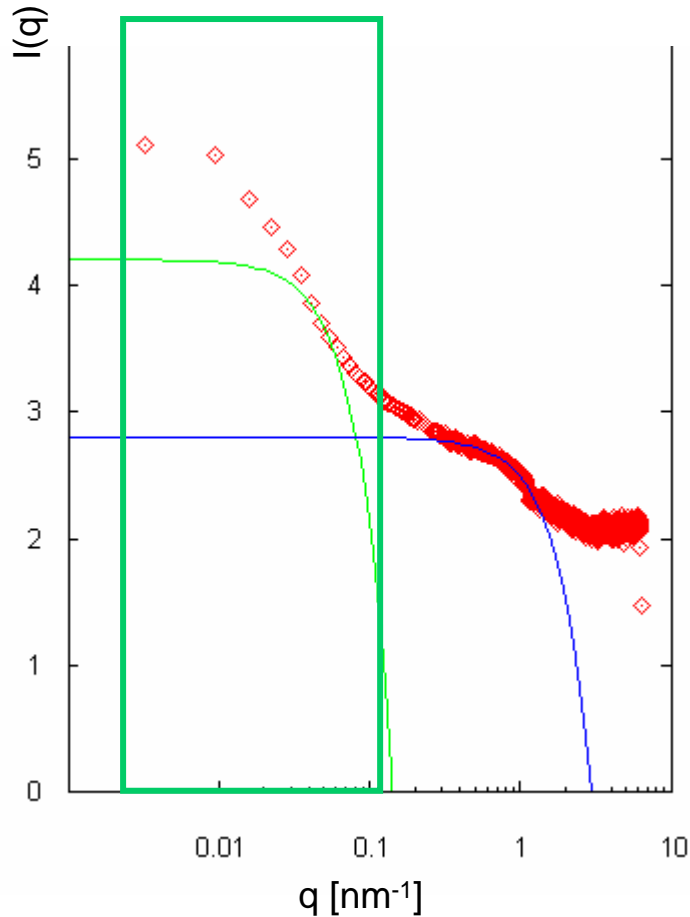


# Real-time results: nanoGISAXS/ ID13 ESRF



Roth et al., Appl. Phys. Lett. **91**, 091915 (2007)

# Guinier Approximation



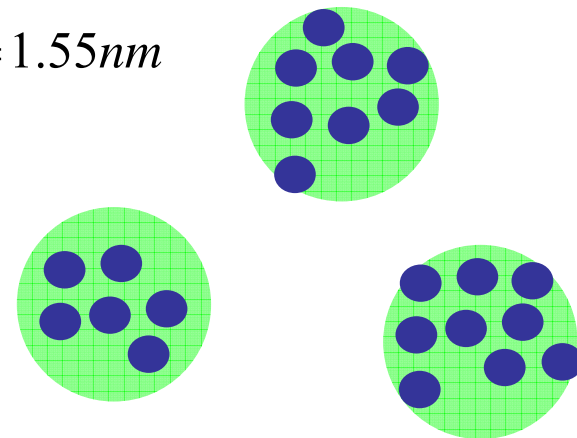
$$\lim_{q \rightarrow 0} I(q) = \Delta\rho^2 \cdot V^2 \cdot \exp\left(-q^2 \cdot \frac{R_g^2}{3}\right)$$

Radius of Gyration  $R_g$

Monodisperse spheres of radius  $R=2\text{nm}$ :

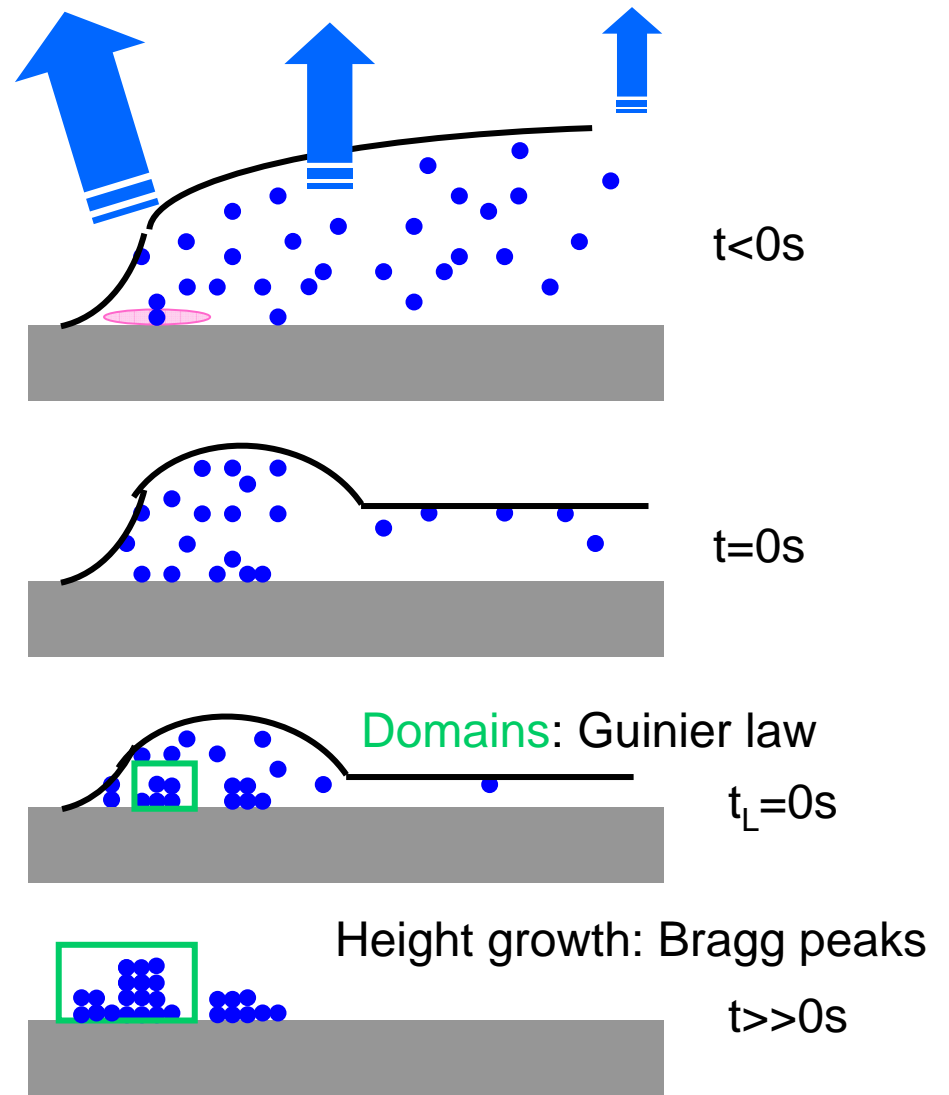
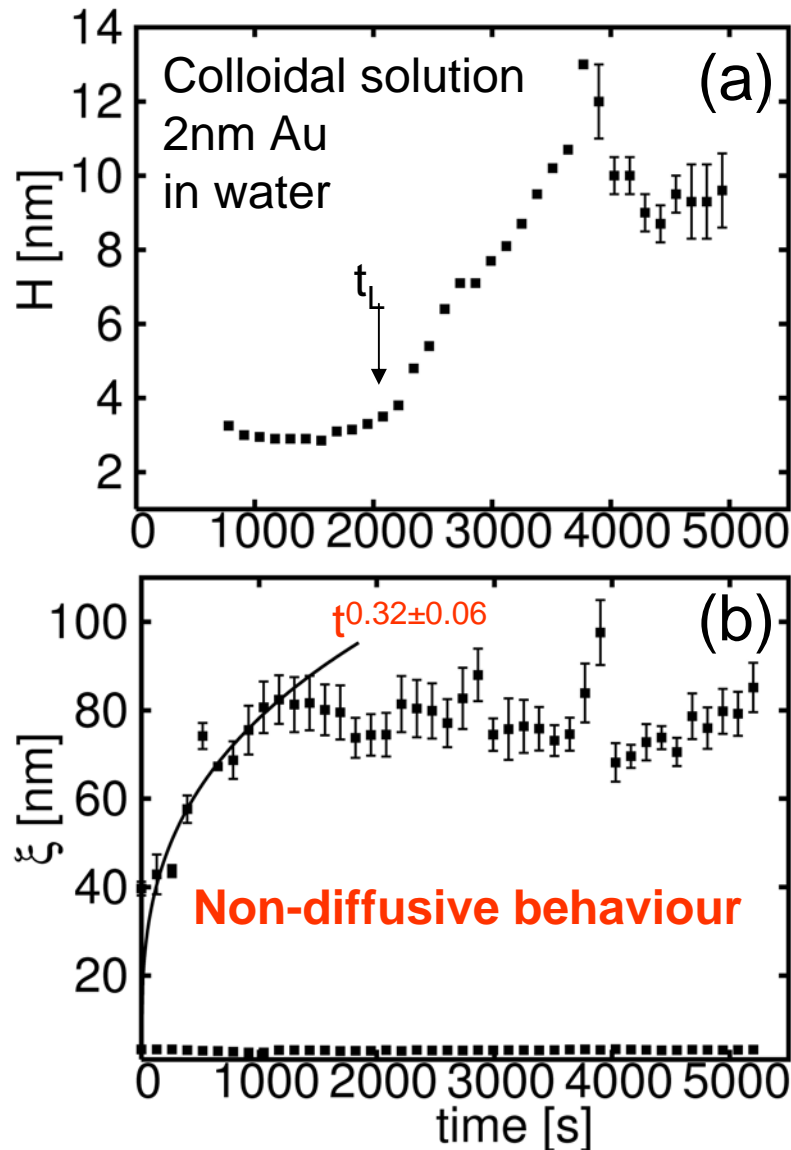
$$R_g = \sqrt{3/5} \cdot R = 1.55\text{nm}$$

**2nm Colloids**  
**domains**



Very useful to get a hand on length scales!  
Sometimes only valid in limited  $q$ -range

# Real-time results: nanoGISAXS/ ID13 ESRF



Roth et al., Appl. Phys. Lett. **91**, 091915 (2007)

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***Au on glass!***

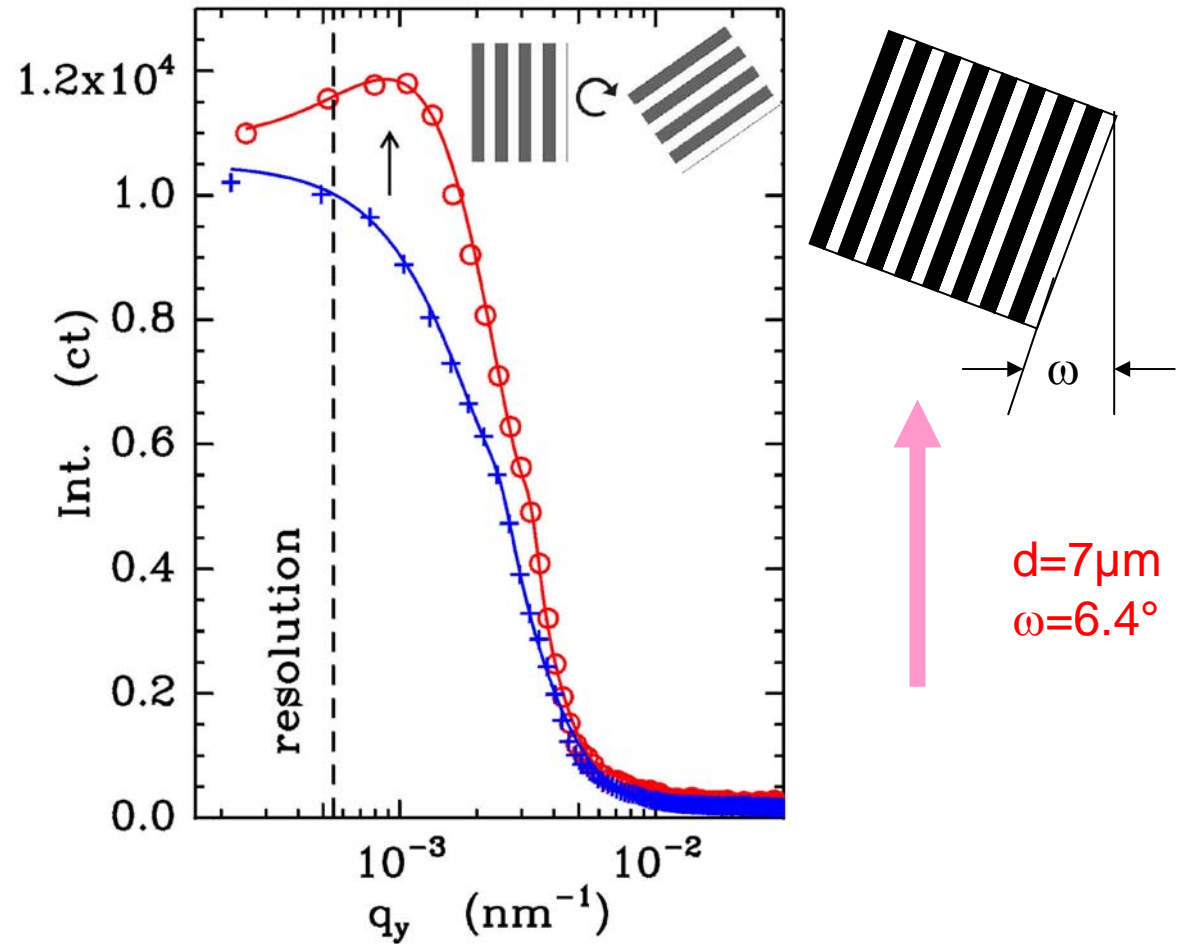
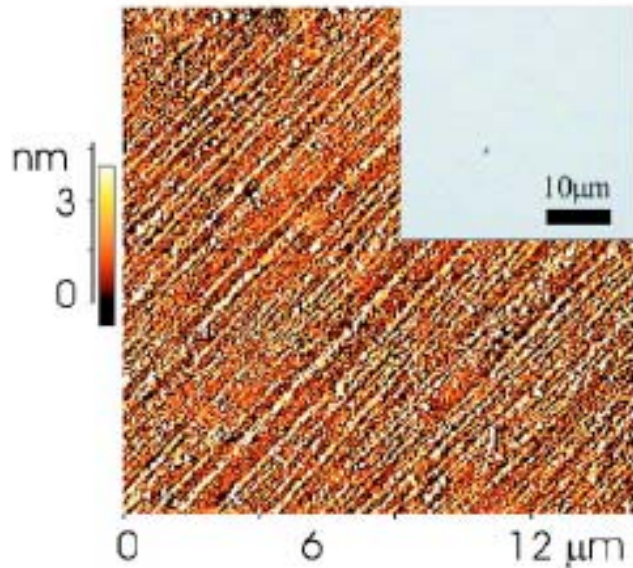


## Route to create large-area ordered polymeric nanochannel arrays

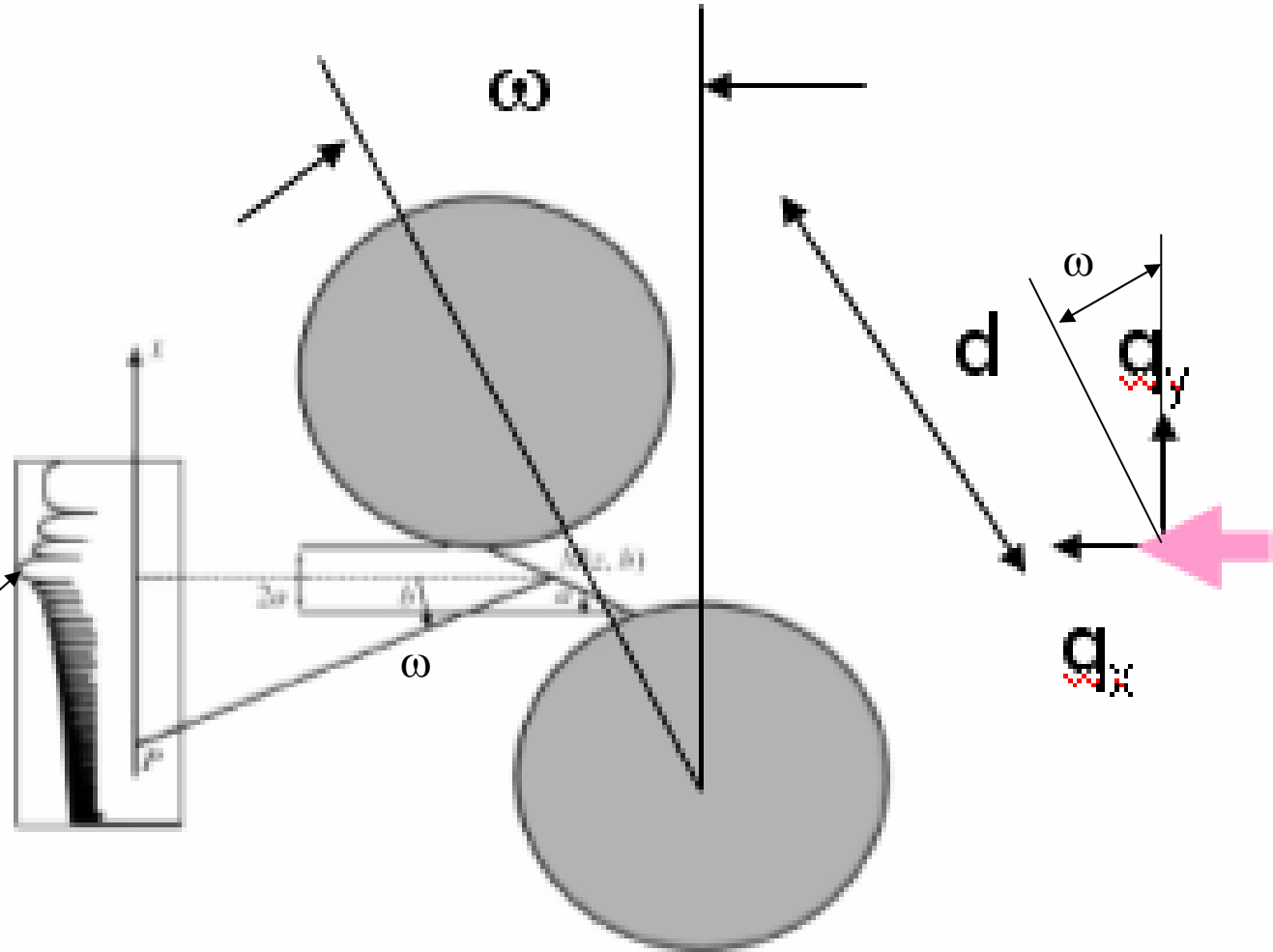
P. Müller-Buschbaum,<sup>a)</sup> E. Bauer, E. Maurer, and K. Schlögl  
 TU München, Physik-Department, LS E13, James-Franck-Str.1, 85747 Garching, Germany

S. V. Roth and R. Gehrke  
 HASYLAB at DESY, Notkestr. 85, 22603 Hamburg, Germany

BW4, GIUSAXS 13m,  $\alpha_i=0^\circ$   
 Polymeric nanochannels



GIUSAXS @  $\alpha_i=0^\circ$



Zero order

$$q_y \cos\omega + q_x \sin\omega = 0$$

In direction of d

$$q_x = 2\pi/\lambda (\cos(\alpha_f) - \cos(\alpha_i))$$

$$q_y + 2\pi/\lambda \cdot 1/2 \alpha_f^2 / \omega = 0$$

Calculate tilt angle  $\omega$

The End