

# Vorlesung zum Haupt/Masterstudiengang Physik

## Methoden Moderner Röntgenphysik II: Struktur und Dynamik Kondensierter Materie

Surfaces

(OHS)

Applications in Soft Matter

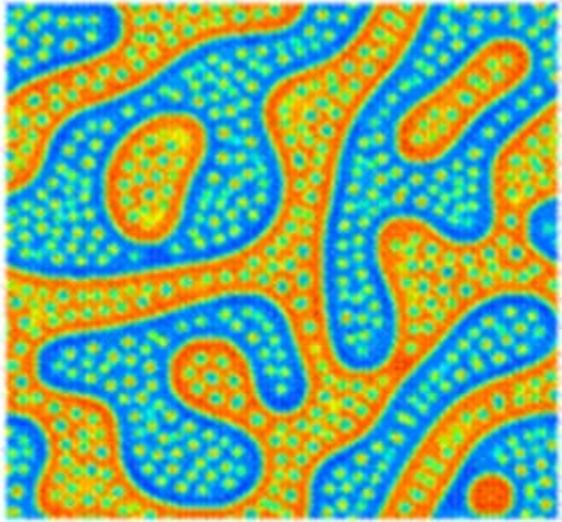
(MMAK / SVR)

Mottakin M. Abul Kashem / Stephan V. Roth (SVR)

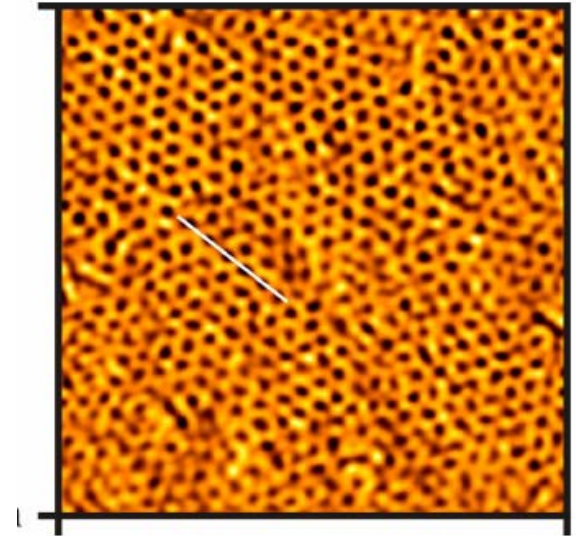
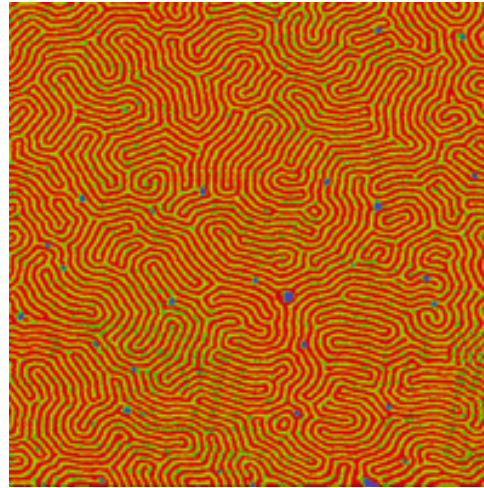
# Applications in Soft Matter

- 20.04.2010 An Introduction to Polymer Physics
- 22.04.2010 [Small-Angle X-ray Scattering and its Applications](#)
- 27.04.2010 Polymer, Colloidal and Nanocomposite Surfaces I
- 29.04.2010 Polymer, Colloidal and Nanocomposite Surfaces II

# Summary last lecture



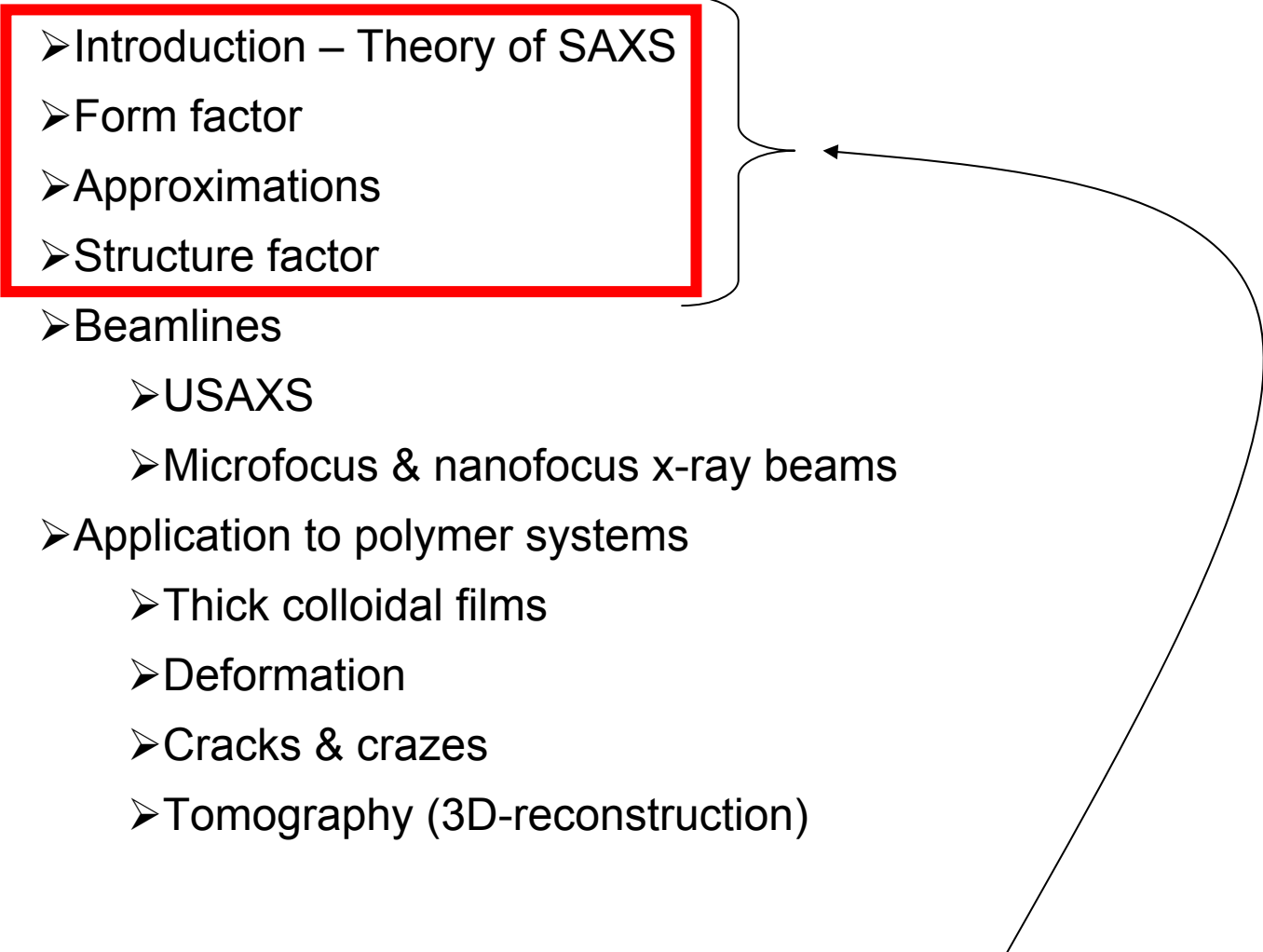
A blend of PS/PB



P(S-b-MMA)

**Questions?**

# Small-angle X-ray scattering

- Introduction – Theory of SAXS
  - Form factor
  - Approximations
  - Structure factor
- 
- Beamlines
    - USAXS
    - Microfocus & nanofocus x-ray beams
  - Application to polymer systems
    - Thick colloidal films
    - Deformation
    - Cracks & crazes
    - Tomography (3D-reconstruction)

Following [Lindner] and R. Gehrke, „SAXS“, Summer student lectures 2008

# Small-angle X-ray scattering

A Note in advance

There are many formulas and derivations inside this lecture!

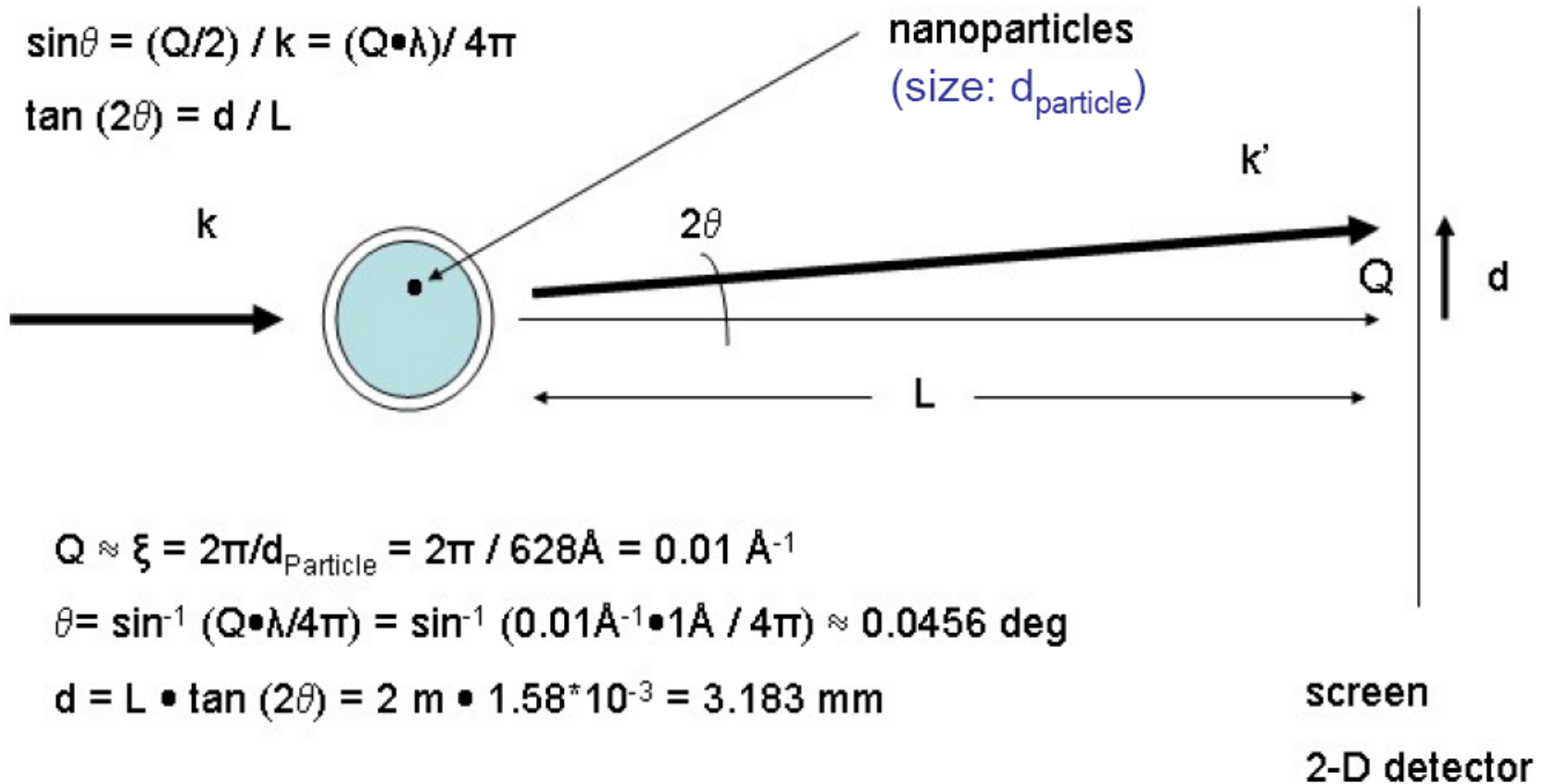
Our aim is NOT to follow in every detail the derivations etc., but more to explain the significance and practical application of these formulas!

# SAXS – rep. from lecture GG

Consider objects (nano-structures) of sub- $\mu\text{m}$  size

$$\sin\theta = (Q/2) / k = (Q \cdot \lambda) / 4\pi$$

$$\tan(2\theta) = d / L$$



$$Q \approx \xi = 2\pi/d_{\text{Particle}} = 2\pi / 628\text{\AA} = 0.01 \text{\AA}^{-1}$$

$$\theta = \sin^{-1}(Q \cdot \lambda / 4\pi) = \sin^{-1}(0.01\text{\AA}^{-1} \cdot 1\text{\AA} / 4\pi) \approx 0.0456 \text{ deg}$$

$$d = L \cdot \tan(2\theta) = 2 \text{ m} \cdot 1.58 \cdot 10^{-3} = 3.183 \text{ mm}$$

screen

2-D detector

# Scattering geometry

$$I_{\text{scattered}} = I_0 N \Delta\Omega (d\sigma/d\Omega)$$

$I_0$ : incident intensity

$N$ : number objects

$\Delta\Omega$ : solid angle

$(d\sigma/d\Omega)$ : differential cross section

$$(d\sigma/d\Omega)/V = r_o^2 n (\rho_p - \rho_s)^2 v^2 F(Q) S(Q)$$

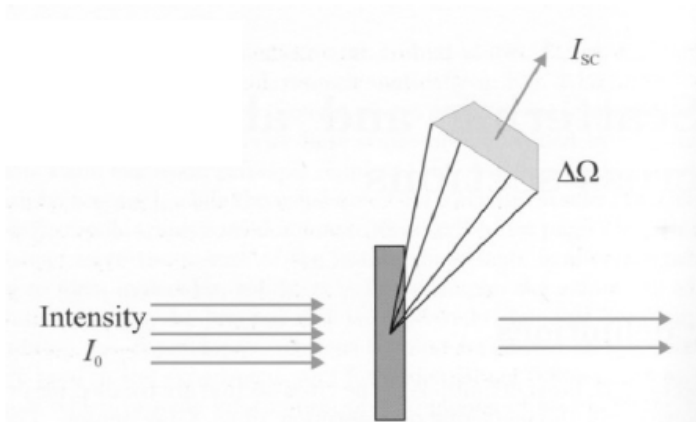
$n$ : volume fraction

$\rho$ : electron density

$v$ : particle volume

$F(Q)$  formfactor

$$F(Q) = \int d^3r \exp(i\mathbf{q}\mathbf{r}) \rho(\mathbf{r})$$

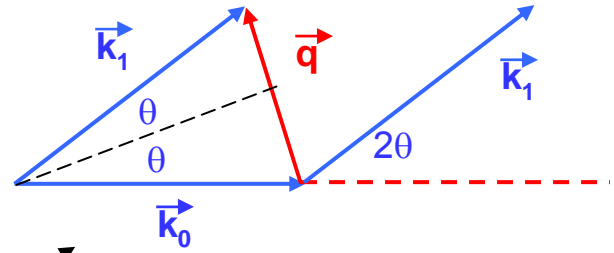
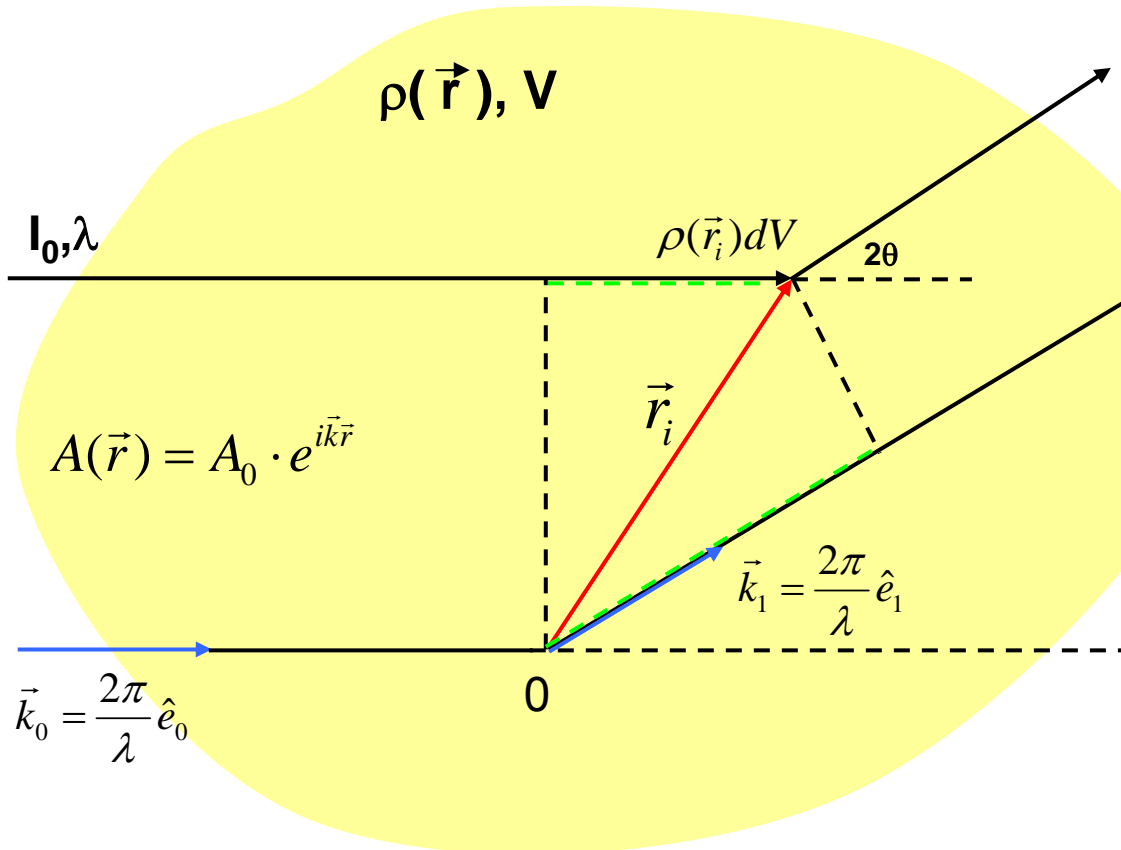


# Important approximations for Form factor

We will derive them, as they are frequently used:

- Guinier approximation
- Porod approximation

Single particle scattering, dilute Systems



Path Difference:  $\Delta d = \hat{e}_1 \cdot \vec{r}_i - \hat{e}_0 \cdot \vec{r}_i$

Phase Difference:

$$\Delta\phi_i = \frac{2\pi}{\lambda} (\hat{e}_1 - \hat{e}_0) \cdot \vec{r}_i = (\vec{k}_1 - \vec{k}_0) \cdot \vec{r}_i \equiv \vec{q} \cdot \vec{r}_i$$

$$A(\vec{q}) = A_0 \int_V \rho(\vec{r}) \cdot e^{-i\vec{q}\vec{r}} \cdot d^3r \equiv F\{\rho(\vec{r})\}$$

$$I(\vec{q}) = |A(\vec{q})|^2$$

$$|\vec{q}| = \frac{4\pi}{\lambda} \cdot \sin(\theta) \approx \frac{4\pi}{\lambda} \cdot \theta$$



# Form factor and structure factor

Single particle

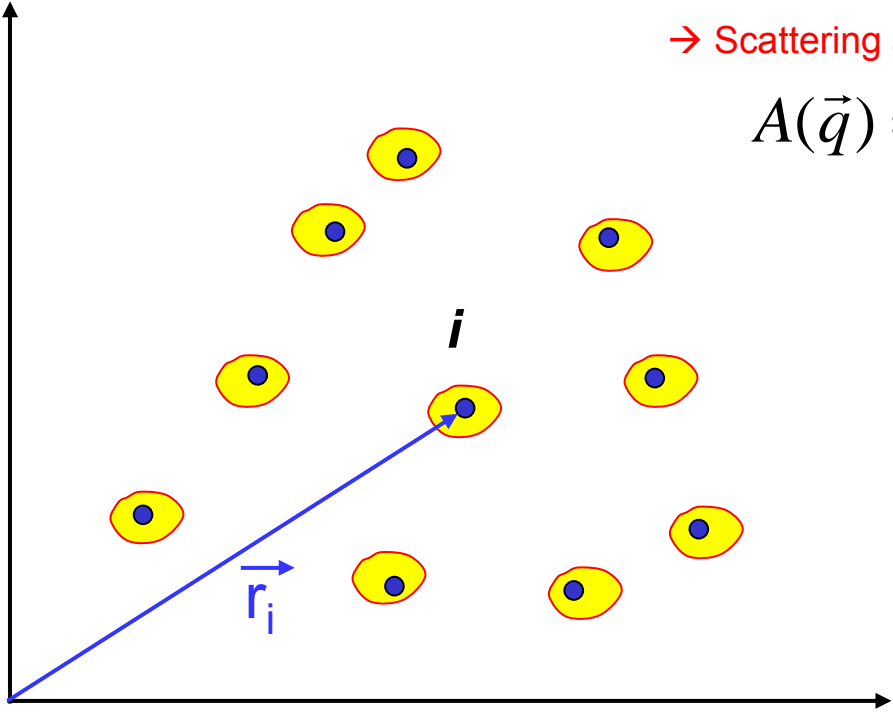
$$\rho_p(\vec{r})$$

$$A(\vec{q}) = A_0 \int_V \rho(\vec{r}) \cdot e^{i\vec{q}\vec{r}} \cdot d^3r = F\{\rho(\vec{r})\} \equiv F(\vec{q})$$

Particle distribution function  $P(\vec{r}) \rightarrow$  Electron density distribution

$$\rho(\vec{r}) = \sum_i \rho_p(\vec{r}_i) = \int \rho_p(\vec{r}) \cdot P(\vec{r} - \vec{r}') \cdot d^3r' \equiv \rho_p(\vec{r}) * P(\vec{r})$$

$\rightarrow$  Scattering amplitudes of the whole arrangement



$$\begin{aligned} A(\vec{q}) &= F\{\rho(\vec{r})\} \\ &= F\{\rho_p(\vec{r}) * P(\vec{r})\} \\ &= F\{\rho_p(\vec{r})\} \cdot F\{P(\vec{r})\} \equiv F(\vec{q}) \cdot S(\vec{q}) \end{aligned}$$

$\rightarrow$  Scattered Intensity

$$I(\vec{q}) = |A(\vec{q})|^2 = \underbrace{|F(\vec{q})|^2}_{\text{Formfactor}} \cdot \underbrace{|S(\vec{q})|^2}_{\text{Structurefactor}}$$

Now let's take a closer look into F(q)

# Single Particle Scattering in Dilute Systems

$$I_s = N \langle |F(\mathbf{q})|^2 \rangle$$

with  $F(\vec{q}) = \int_{V = \text{particle Volume}} \rho(\vec{r}) \cdot e^{-i\vec{q}\vec{r}} \cdot d^3r$

Incoherent superposition of single particle scattering (S=1)

$$|F(\vec{q})|^2 = F(\vec{q}) \cdot F^*(\vec{q}) = \iint_V \rho(\vec{r}_1) \rho(\vec{r}_2) e^{-i\vec{q}(\vec{r}_1 - \vec{r}_2)} d\vec{r}_1 d\vec{r}_2$$

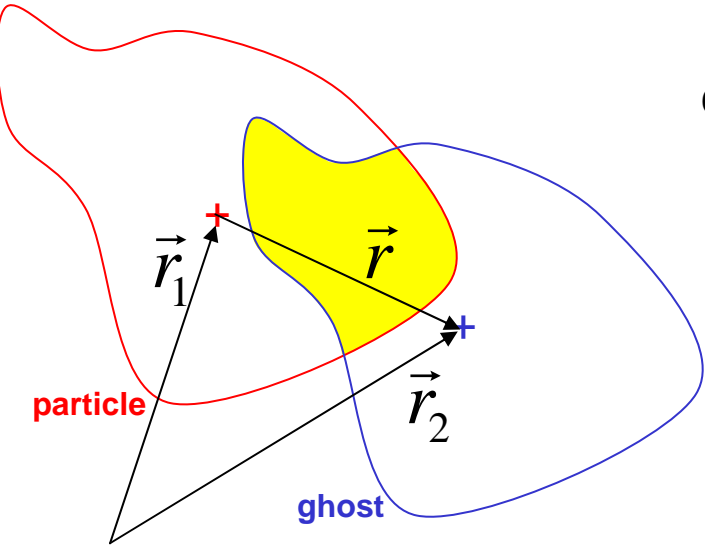
$$= \iint \underbrace{\rho(\vec{r}_1 - \vec{r}) \rho(\vec{r}_1)}_{\gamma(\vec{r})} d\vec{r}_1 e^{-i\vec{q}\vec{r}} d\vec{r}$$

substitute  $\mathbf{r}_1 - \mathbf{r}_2 = \mathbf{r}$

$$\gamma(\vec{r}) \equiv \int_V \rho(\vec{r}_1) \rho(\vec{r}_1 - \vec{r}) d\vec{r}_1$$

convolution square of the density distribution

$$\begin{aligned} \int \gamma(\vec{r}) e^{-i\vec{q}\vec{r}} d\vec{r} &= \int \gamma(\vec{r}) e^{-i\vec{q}\vec{r}} d^3r \\ &= \int \gamma(r) e^{-iqr \cos(\theta)} r^2 \sin(\theta) d\theta d\phi dr \\ &= \int \gamma(r) \frac{e^{iqr} - e^{-iqr}}{iqr} r^2 dr \cdot 2\pi \cdot 2 \frac{\sin(qr)}{qr} \end{aligned}$$



# Colloid: homogeneous sphere of radius R

A simple, but important calculation:

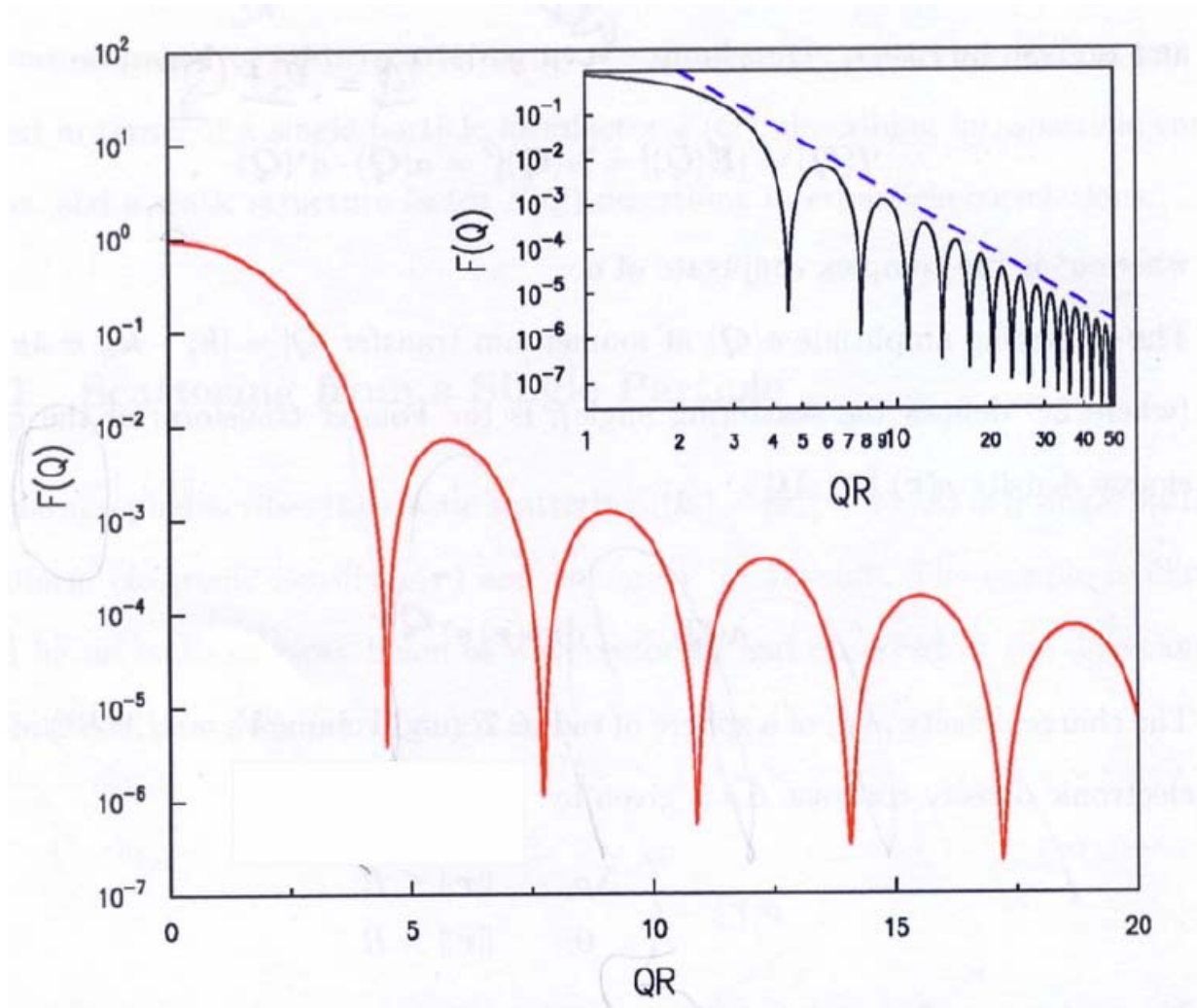
$$F(\vec{q}) = \int_{V=\text{particleVolume}} \rho(\vec{r}) \cdot e^{-i\vec{q}\vec{r}} \cdot d^3r = \int_0^R \int_0^{2\pi} \int_0^\pi \rho_0 \cdot e^{-i\vec{q}\vec{r}} r^2 \sin(\theta) d\theta d\varphi dr$$

$$F(\vec{q}) = \rho_0 2\pi \int_0^R \int_0^\pi e^{-iqr \cos(\theta)} r^2 \sin(\theta) d\theta d\varphi dr = \rho_0 2\pi \int_0^R \frac{e^{iqr} - e^{-iqr}}{qr} r^2 \sin(\theta) dr$$

$$F(\vec{q}) = \rho_0 2\pi \cdot \frac{2}{q} \int_0^R \sin(qr) r dr = \frac{4\pi\rho_0}{q} \left[ -\frac{r \cos(qr)}{q} \Big|_0^R + \int_0^R \frac{\cos(qr)}{q} dr \right]$$

$$F(\vec{q}) = \frac{4\pi\rho_0}{q} \left[ -\frac{R \cos(qR)}{q} + \frac{\sin(qR)}{q^2} \right] = 4\pi R^3 \rho_0 \frac{(\sin(qR) - qR \cos(qR))}{(qR)^3}$$

# Colloid: homogeneous sphere of radius R



See lecture 4 of GG

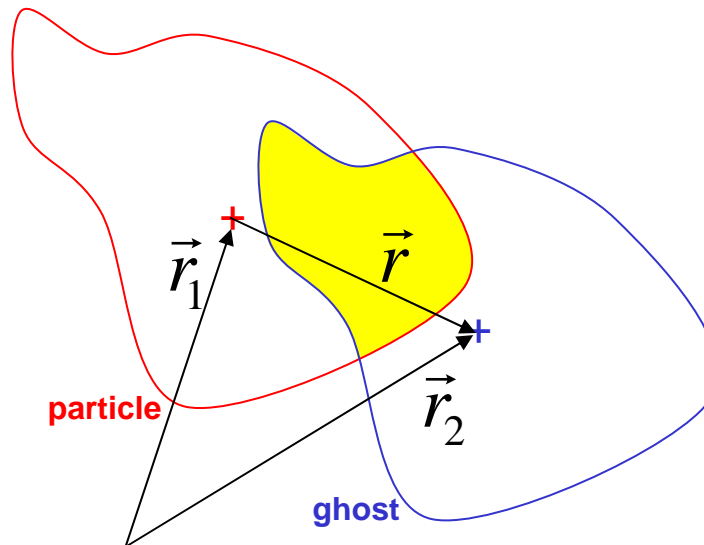
# Single Particle Scattering in Dilute Systems

For homogeneous particles  $\gamma(\mathbf{r})$  is just the overlapping volume

Average over all directions for a given  $r = |\mathbf{r}|$

$$I(q) = \left\langle |F(\vec{q})|^2 \right\rangle = 4\pi \int_0^\infty \gamma(r) \cdot r^2 \cdot \frac{\sin(qr)}{qr} \cdot dr$$

$\gamma(r) \cdot r^2 \equiv p(r) \equiv$  Pair Distance Distribution Function



# Guinier-Approximation

Two derivations: 1) From the formulas  
2) For polymer systems

$$I(q) = \langle |F(\vec{q})|^2 \rangle = 4\pi \int_0^\infty \gamma(r) \cdot r^2 \cdot \frac{\sin(qr)}{qr} \cdot dr \quad q \rightarrow 0 \quad \text{Forward scattering}$$

We use:  $\frac{\sin(qr)}{qr} = \frac{qr - \frac{1}{6}(qr)^3 + \dots}{qr} = 1 - \frac{1}{6}(qr)^2 + O((qr)^4)$  Taylor series expansion for  $qr \rightarrow 0$  or  $q \ll 1/r$  !

$$I(q) \approx 4\pi \int_0^\infty \gamma(r) \cdot r^2 \cdot \left(1 - \frac{1}{6}(qr)^2\right) \cdot dr = 4\pi \left( \int_0^\infty \gamma(r) \cdot r^2 dr - \int_0^\infty \gamma(r) \cdot r^2 \frac{1}{6}(qr)^2 dr \right)$$

$$I(q) = 4\pi \int_0^\infty \gamma(r) \cdot r^2 dr - 4\pi \frac{1}{3} q^2 \int_0^\infty \gamma(r) \cdot r^2 \frac{1}{2} r^2 dr = 4\pi \int_0^\infty \gamma(r) \cdot r^2 dr \cdot \left(1 - \frac{q^2 R_G^2}{3}\right)$$

$I(q=0)$   $R_G^2 \equiv \frac{1}{2} \int_0^\infty \gamma(r) r^4 dr \Big/ \int_0^\infty \gamma(r) r^2 dr$

$$I(q) = I(0) \cdot \left(1 - \frac{q^2 R_G^2}{3}\right) \approx I(0) \cdot e^{-\frac{q^2 R_G^2}{3}}$$

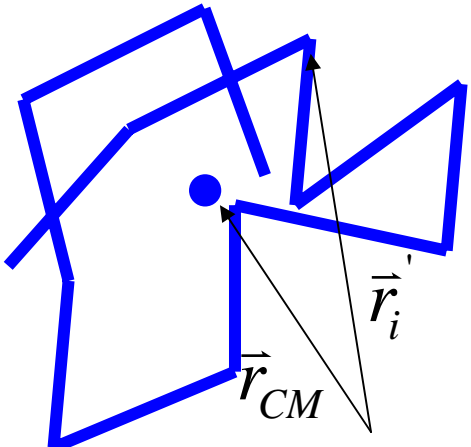
Radius of Gyration  $R_G = \sqrt{\frac{1}{M} \sum_1^N \langle m_i \vec{r}_i^2 \rangle}$

# Guinier-Approximation – for polymer systems

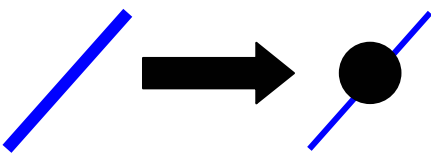
$$R_G = \sqrt{\frac{1}{M} \sum_1^N \langle m_i \vec{r}_i^2 \rangle} \quad \text{Say } M=N M_0 \quad R_G^2 = \frac{1}{N} \sum_1^N \langle \vec{r}_i^2 \rangle = \frac{1}{N} \sum_1^N \langle (\vec{r}'_i - \vec{r}_{CM})^2 \rangle$$

$$\vec{r}_{CM} = \frac{1}{N} \sum_1^N \langle \vec{r}_i \rangle \Rightarrow R_G^2 = \frac{1}{N} \sum_{i=1}^N \left\langle \left( \vec{r}'_i - \sum_{j=0}^N \vec{r}'_j \right)^2 \right\rangle$$

$$\Rightarrow R_G^2 = \frac{1}{2N^2} \sum_{j,i=1}^N \underbrace{\langle (\vec{r}'_i - \vec{r}'_j)^2 \rangle}_{|j-i|a^2} \quad a \sim \text{mean length}$$



Point-like colloid=segment,  $\delta$ -scatterers, N segments



$$\langle |F(\vec{q})|^2 \rangle = \iint_V \rho(\vec{r}_1) \rho(\vec{r}_2) e^{-i\vec{q}(\vec{r}_1 - \vec{r}_2)} d\vec{r}_1 d\vec{r}_2$$

Replace integral by discrete sum:  $\langle |F(\vec{q})|^2 \rangle = \frac{1}{N} \sum_{j,k=1}^N \langle e^{-i\vec{q}(\vec{r}_j - \vec{r}_k)} \rangle$

# Guinier-Approximation – for polymer systems

$$\langle |F(\vec{q})|^2 \rangle = \frac{1}{N} \sum_{j,k=1}^N \langle e^{-i\vec{q}(\vec{r}_j - \vec{r}_k)} \rangle \approx \frac{1}{N} \sum_{j,k=1}^N \left\langle \left( 1 - i\vec{q}(\vec{r}_j - \vec{r}_k) - \frac{1}{2} |\vec{q}(\vec{r}_j - \vec{r}_k)|^2 \right) \right\rangle$$

$$\downarrow$$

$$\langle \dots \rangle \rightarrow 0$$

$$\langle |\vec{q}(\vec{r}_j - \vec{r}_k)|^2 \rangle = |q^2| \underbrace{\langle |\vec{r}_j - \vec{r}_k|^2 \cos(\theta)^2 \rangle}_{\text{Only r-dependence, no } \theta\text{-dependence}} = \frac{1}{3} q^2 \langle |\vec{r}_j - \vec{r}_k|^2 \rangle$$

Only r-dependence, no  $\theta$ -dependence

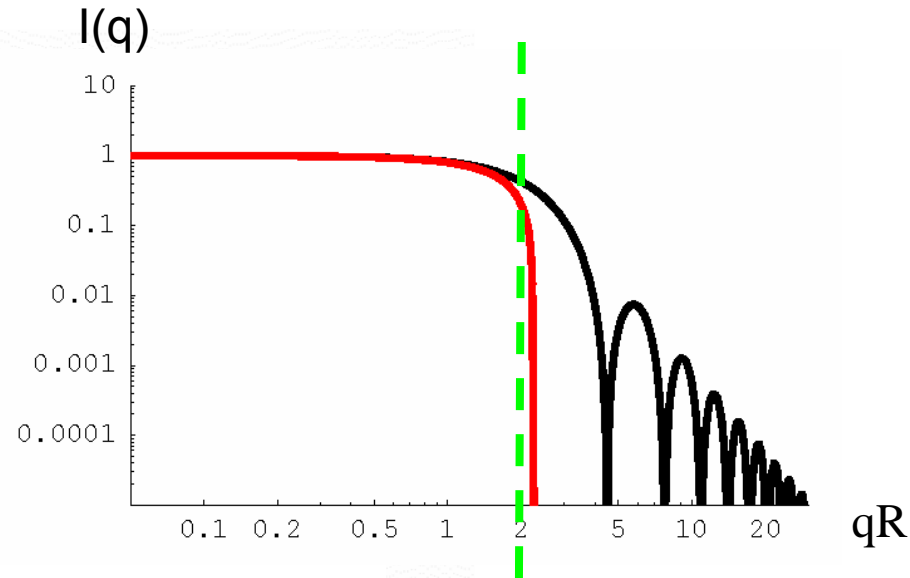
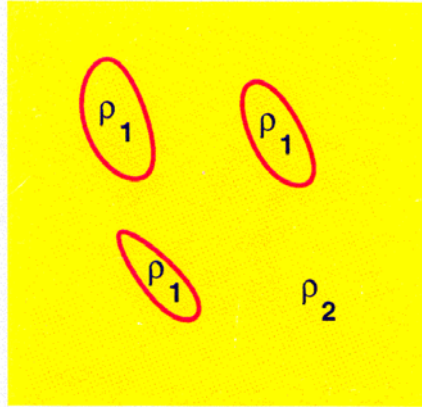
$$\langle |F(\vec{q})|^2 \rangle = \frac{1}{N} \sum_{j,k=1}^N \left( 1 - \frac{1}{6} q^2 \langle |\vec{r}_j - \vec{r}_k|^2 \rangle \right)$$

$$= \frac{1}{N} \left( N^2 - \frac{1}{3} q^2 \frac{1}{2} \sum_{j,k=1}^N \langle |\vec{r}_j - \vec{r}_k|^2 \rangle \right)$$

$$\langle |F(\vec{q})|^2 \rangle = \underbrace{\frac{1}{N} N^2}_{I(q=0)} \left( 1 - \frac{1}{3} q^2 \underbrace{\frac{1}{2N^2} \sum_{j,k=1}^N \langle |\vec{r}_j - \vec{r}_k|^2 \rangle}_{R_G^2} \right)$$



# Guinier approximation - spheres



$$I(q) = I(0) \cdot \exp\left(-\frac{1}{3} R_G^2 q^2\right)$$

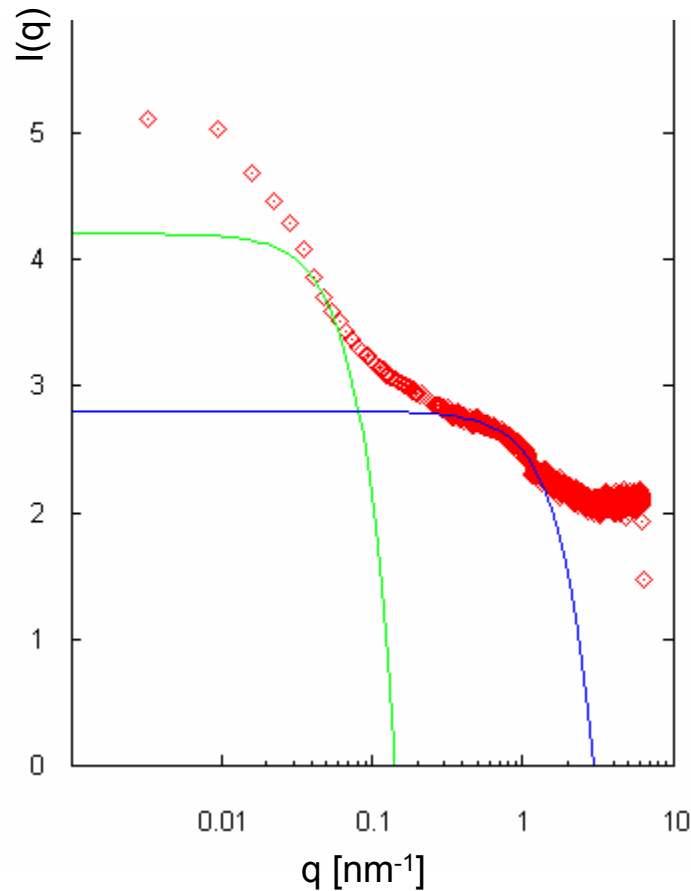
Radius of Gyration  $R_g$

Monodisperse spheres of radius  $R$ :

$$R_g = \sqrt{3/5} \cdot R$$

→  $\ln(I) = \ln I(0) - \frac{1}{3} R_G^2 \cdot q^2$

# Guinier Approximation



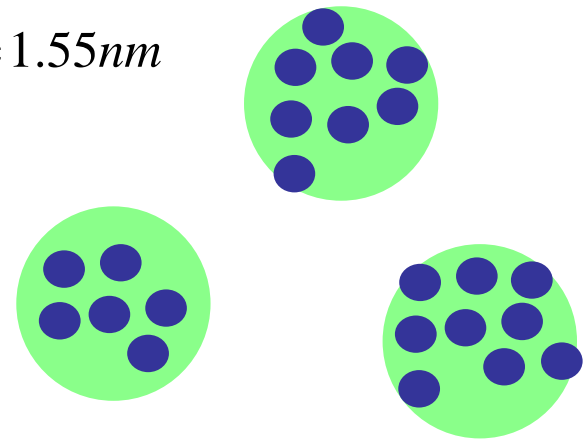
$$\lim_{q \rightarrow 0} I(q) = \Delta\rho^2 \cdot V^2 \cdot \exp\left(-q^2 \cdot \frac{R_g^2}{3}\right)$$

Radius of Gyration  $R_g$

Monodisperse spheres of radius  $R=2\text{nm}$ :

$$R_g = \sqrt{3/5} \cdot R = 1.55\text{nm}$$

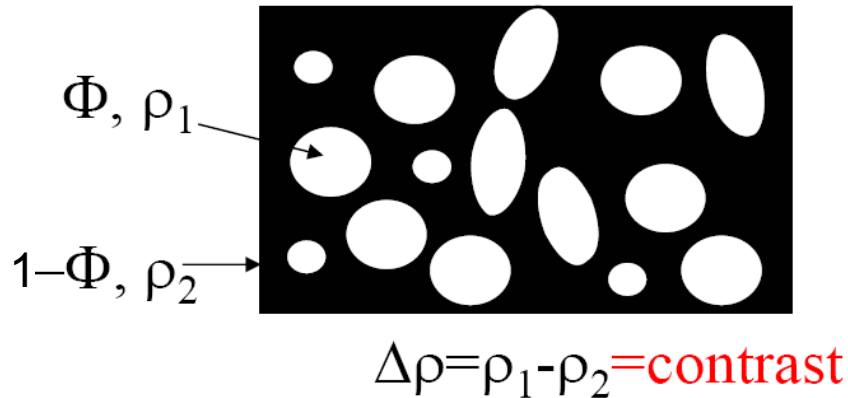
**2nm Colloids**  
**domains**



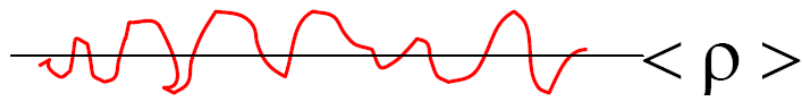
Very useful to get a hand on length scales!  
Sometimes only valid in limited  $q$ -range

# Porod's Theorems - I:

Two-phase system



$$\eta(\vec{r}) = \rho(\vec{r}) - \langle \rho \rangle$$



$$\langle \eta^2 \rangle = \langle \rho^2 \rangle - \langle \rho \rangle^2$$

Isotropic:

$$Q = \int I(q) q^2 dq = 2\pi^2 \cdot \Phi \cdot (1 - \Phi) \cdot (\Delta\rho)^2$$

$$F(\vec{q}) = \int_{\Phi V} \rho_1(\vec{r}) e^{-i\vec{q}\vec{r}} d^3 r + \int_{(1-\Phi)V} \rho_2(\vec{r}) e^{-i\vec{q}\vec{r}} d^3 r$$

$$F(\vec{q}) = \int_{\Phi V} (\rho_1 - \rho_2) e^{-i\vec{q}\vec{r}} d^3 r + \rho_2 \int_V e^{-i\vec{q}\vec{r}} d^3 r$$

$$F(\vec{q}) = \int_V \Delta\rho e^{-i\vec{q}\vec{r}} d^3 r + \rho_2 \delta(\vec{q})$$

Only density fluctuation contribute to the measured signal at finite  $q$ :

$$I_m(\vec{q}) = I(\vec{q}) - \langle \rho \rangle^2 \delta(\vec{q})$$

$$Q \equiv \int I_m(\vec{q}) d^3 q = (2\pi)^3 \langle \eta^2 \rangle$$

$Q$  is called „invariant“ because it does not depend on the structure but only on volume fraction and contrast

# Porod's Theorems - I: Derivation

Total intensity: 
$$\int I(\vec{q}) d\vec{q} = \int d\vec{q} \int \gamma(\vec{r}) e^{-i\vec{q}\vec{r}} d^3r = \int \gamma(\vec{r}) \delta(\vec{r}) d^3r = \gamma(0)$$

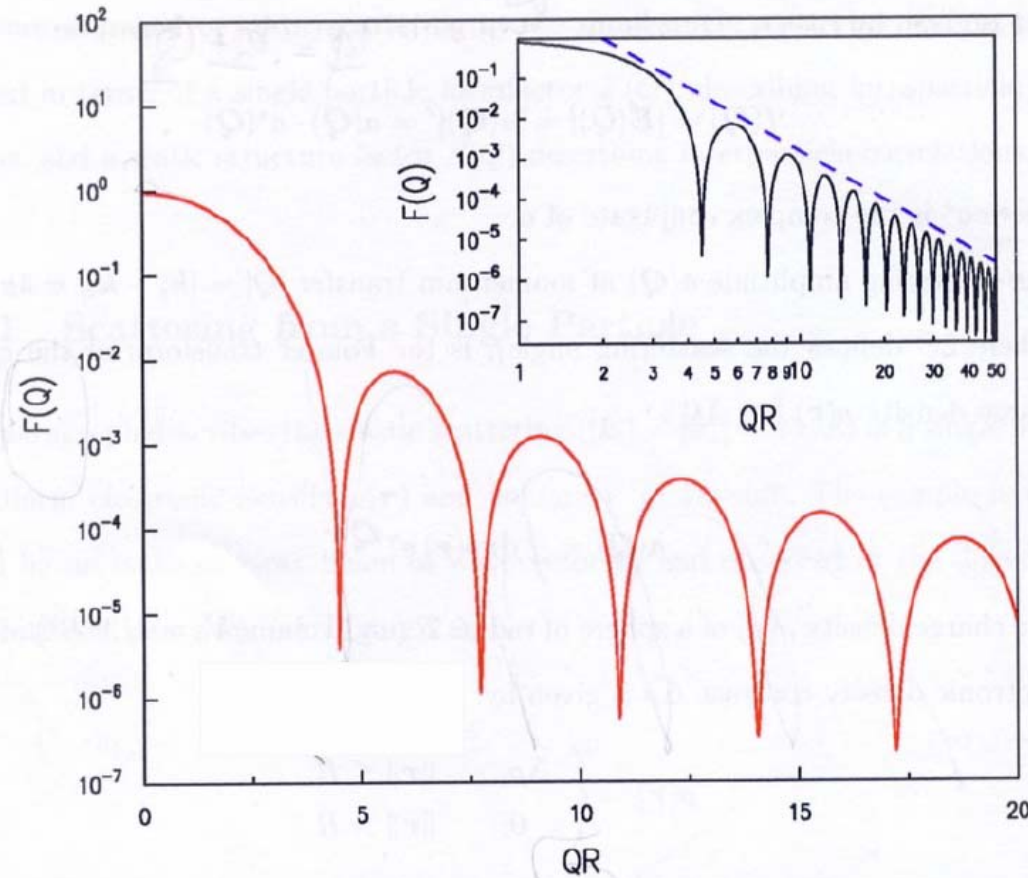
$$\int I_m(\vec{q}) d\vec{q} I_m(\vec{q}) = \langle \rho^2 \rangle - \langle \rho \rangle^2$$

$$\gamma(0) \equiv \int_V \rho(\vec{r}_1) \rho(\vec{r}_1) d\vec{r}_1 = \langle \rho^2 \rangle$$

# Porod's Theorems - II: large q

Scattered intensity:  $\sim \left| 4\pi R^3 \rho_0 \frac{(\sin(qR) - qR \cos(qR))}{(qR)^3} \right|^2$

Look at maxima of form factor



$$\sim \left| 4\pi \rho_0 \frac{(\sin(qR) - qR \cos(qR))}{(q)^3} \right|^2$$

$$\leq \left( 4\pi \rho_0 \frac{|\sin(qR)| + qR |\cos(qR)|}{(q)^3} \right)^2$$

$$\sim \left( 4\pi \rho_0 \frac{1 + qR}{(q)^3} \right)^2 \sim \left( 4\pi \rho_0 \frac{qR}{(q)^3} \right)^2$$

$$\sim \frac{R^2}{(q)^4}$$

Surface of sphere

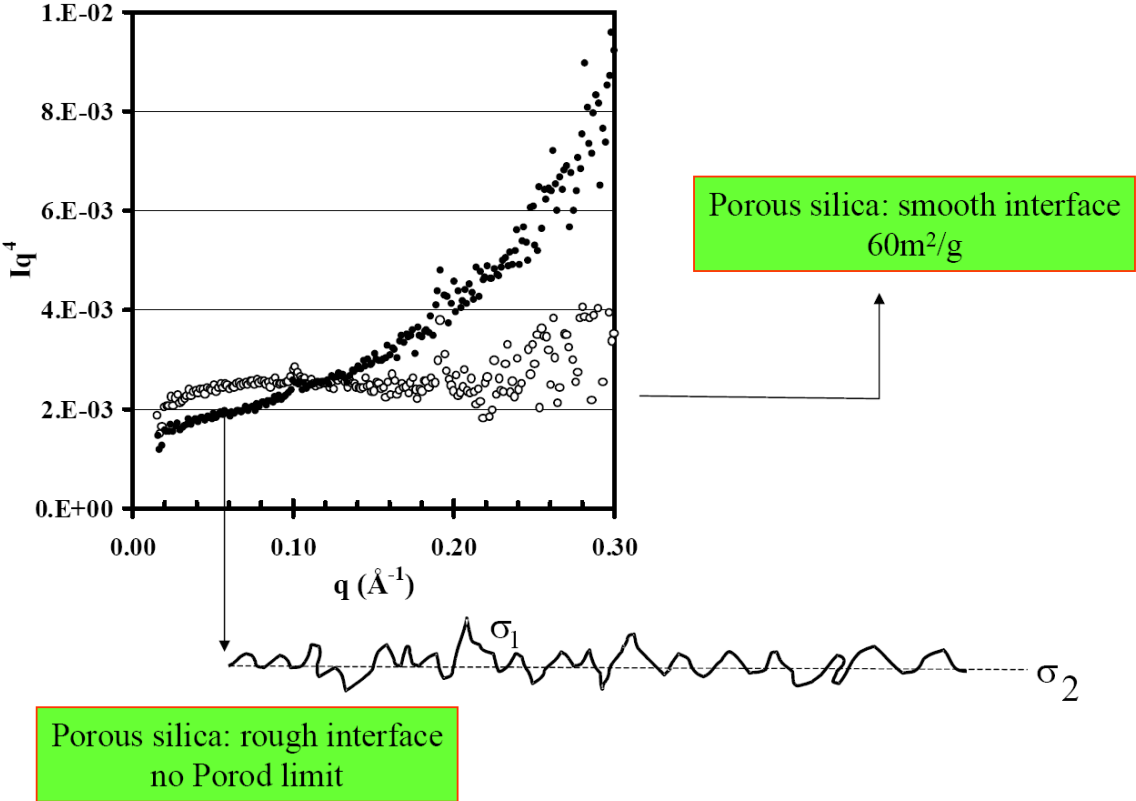
# General

Two phases with sharp interface

$$\lim_{q \rightarrow \infty} I(q) = \frac{2\pi(\Delta\rho)^2}{q^4} \cdot \frac{\sigma}{V}$$

For large  $q$  intensity decreases with  $q^{-4}$

Means to determine specific surface  $\sigma/V$

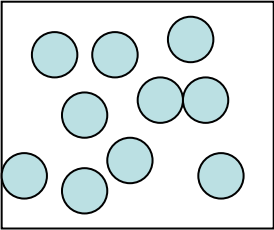
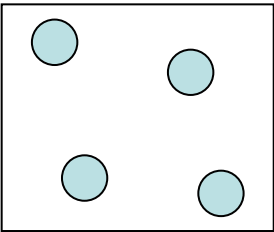


# Structure factor

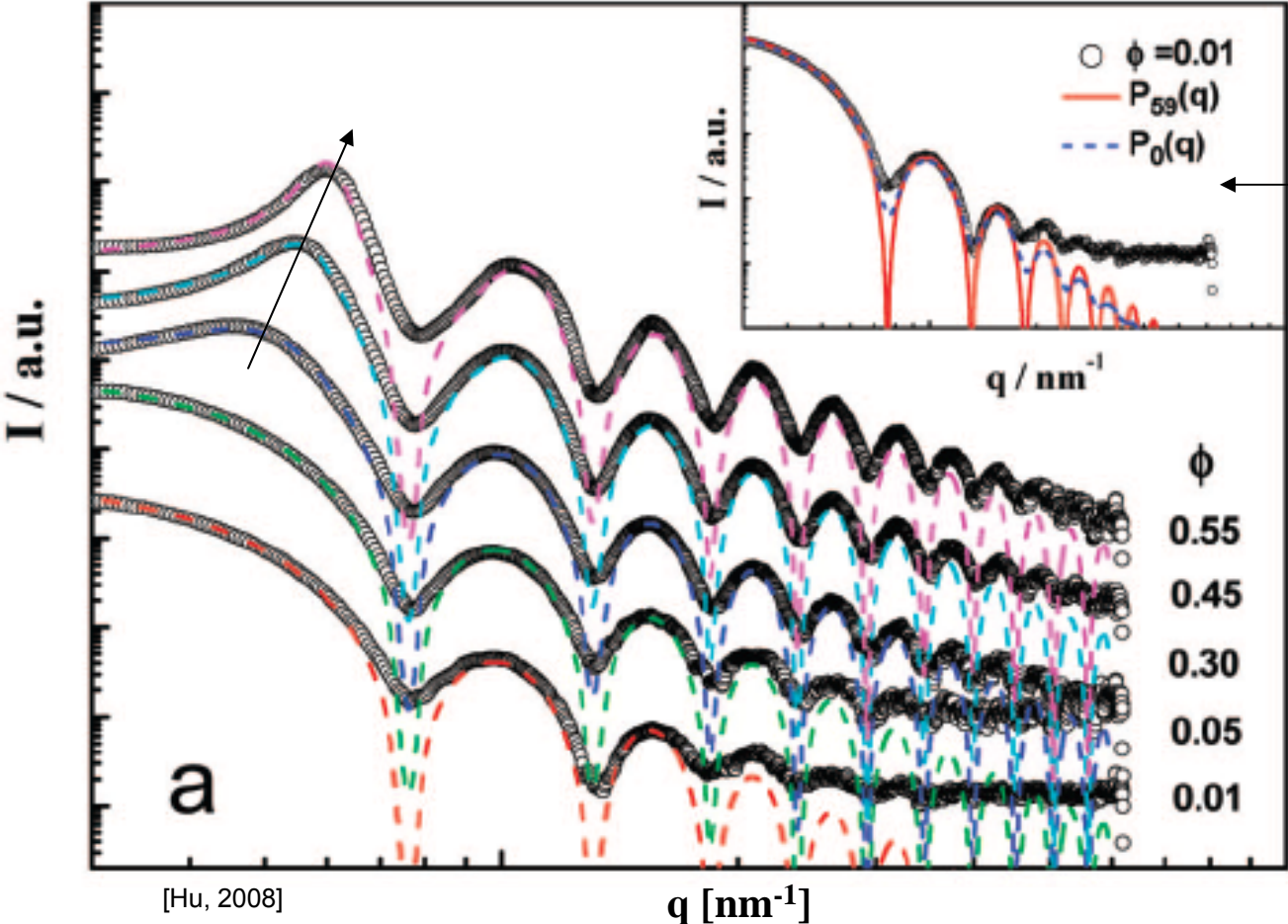
Latex spheres

$$I(q) = S(q)P(q)$$

Low  $\phi$      $P(q)$   
 High  $\phi$      $S(q)P(q)$



Gaussian distribution of particle sizes



Shift in maximum:  
 Decreasing distance

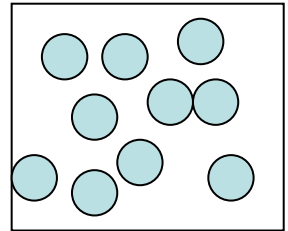
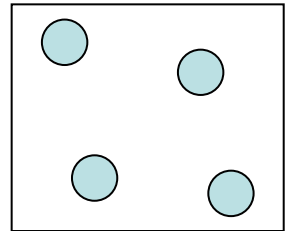
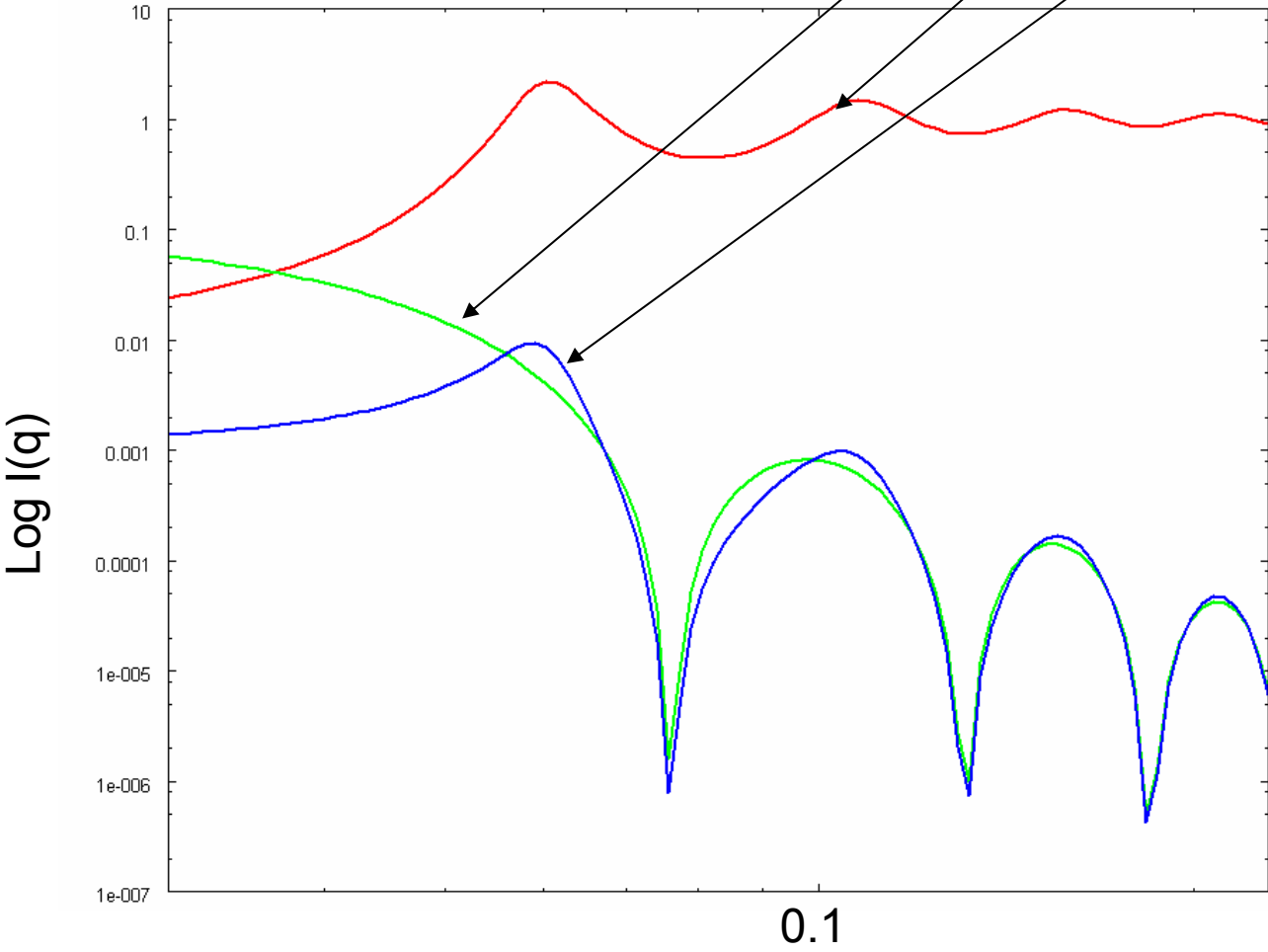
[Hu, 2008]

# Structure factor

Latex spheres

$$I(q) = S(q)P(q)$$

Low  $\phi$   $P(q)$   
 High  $\phi$   $S(q)P(q)$

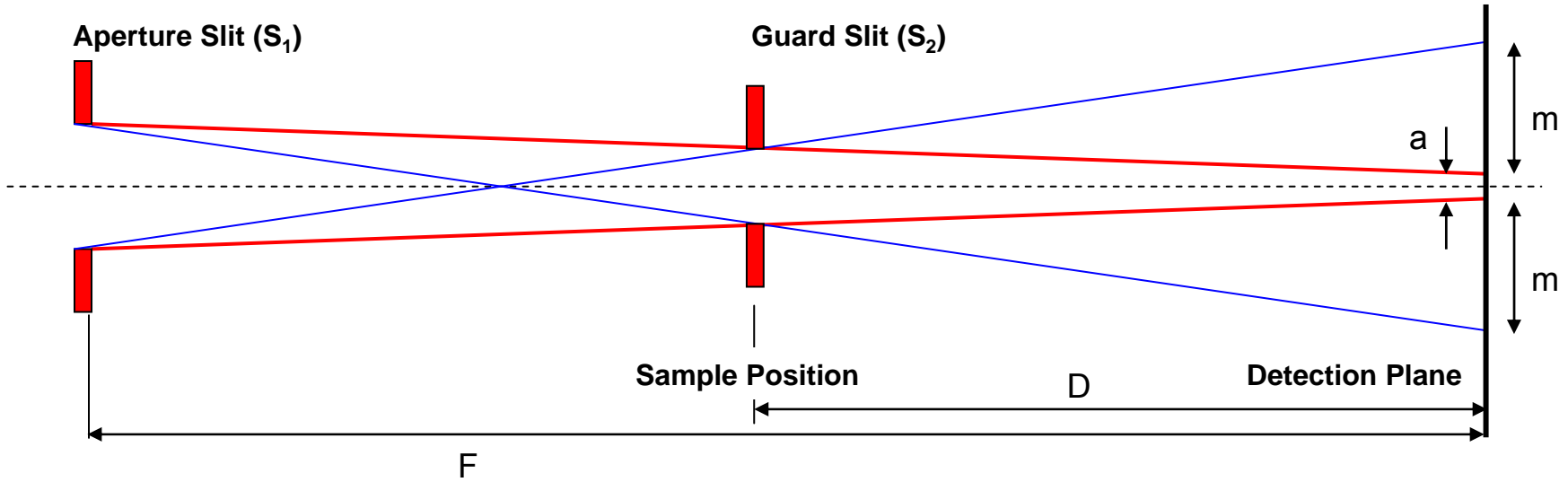




# Small-angle X-ray scattering

- Introduction – Theory of SAXS
- Form factor
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- Application to polymer systems
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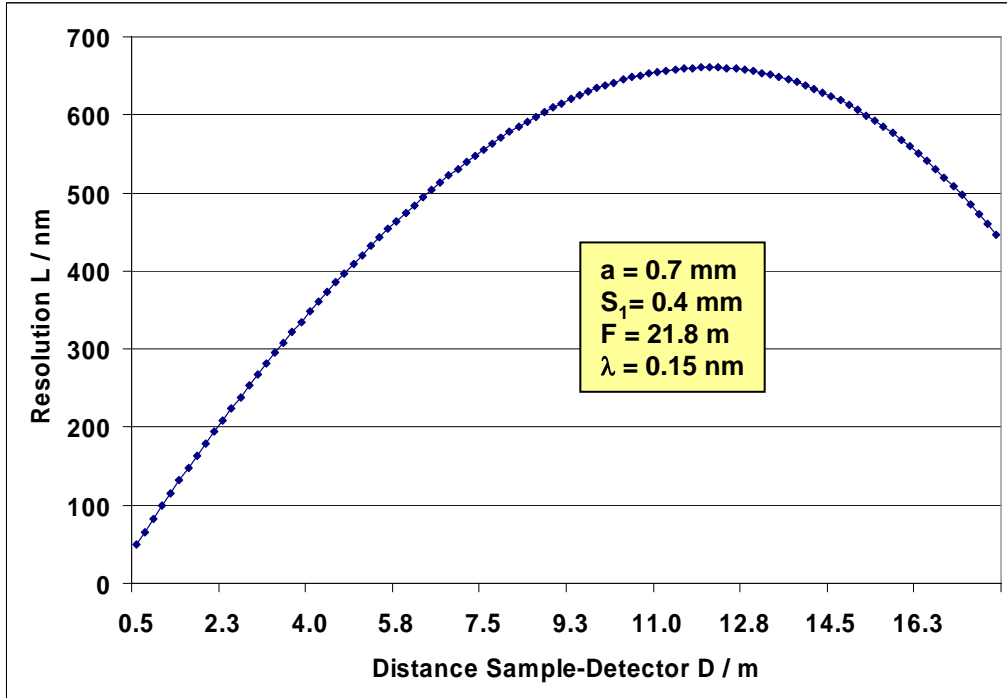
# Resolution of a SAXS Instrument



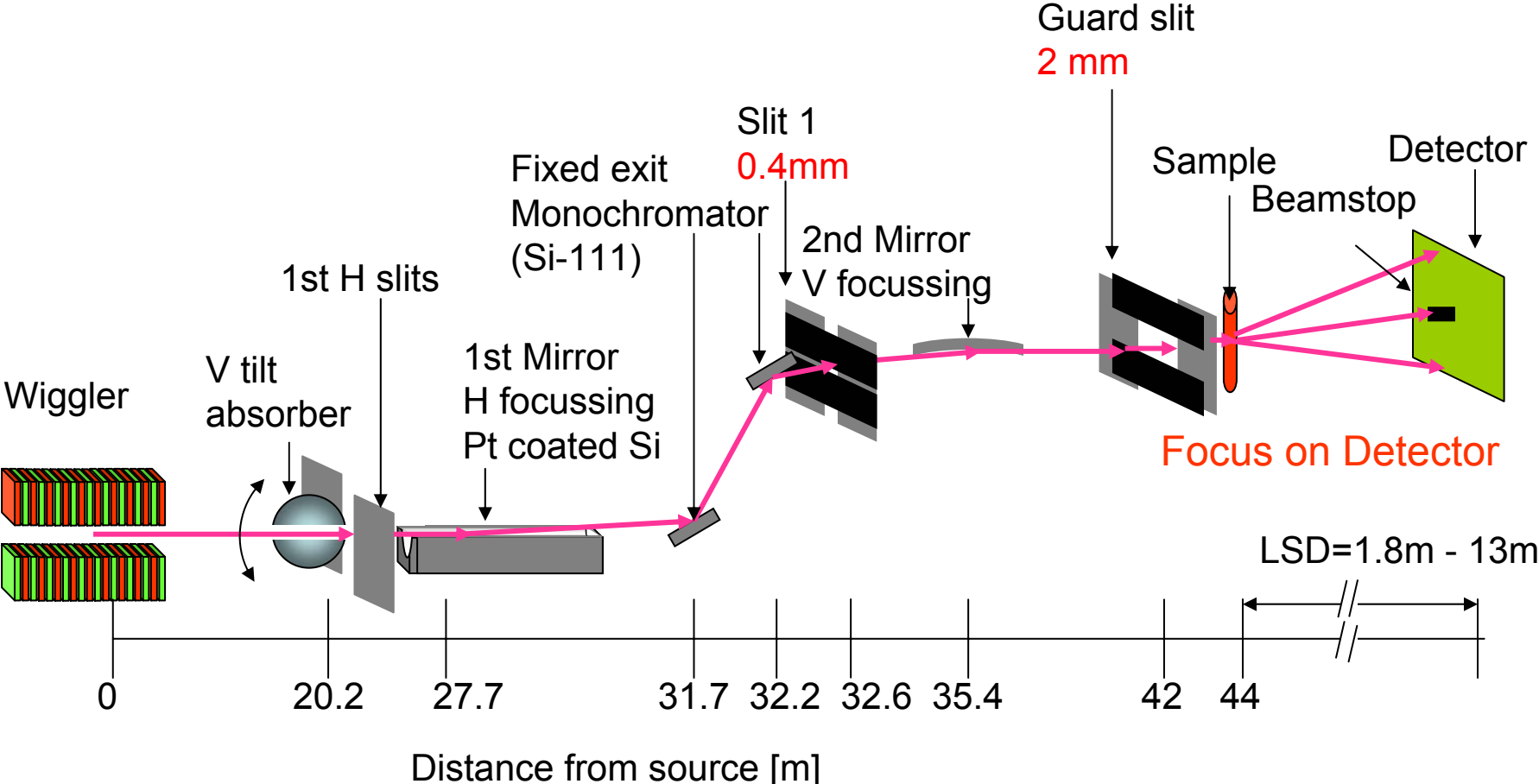
$$S_2 = \frac{S_1 - a}{F} \cdot (D + a)$$

$$m = S_2 \cdot \left( \frac{1}{2} + \frac{D}{2} \cdot \frac{S_1 + S_2}{(F - D) \cdot S_2} \right)$$

$$L_{res} = \frac{\lambda}{2 \cdot \sin \left( 0.5 \cdot \tan^{-1} \frac{m}{D} \right)}$$

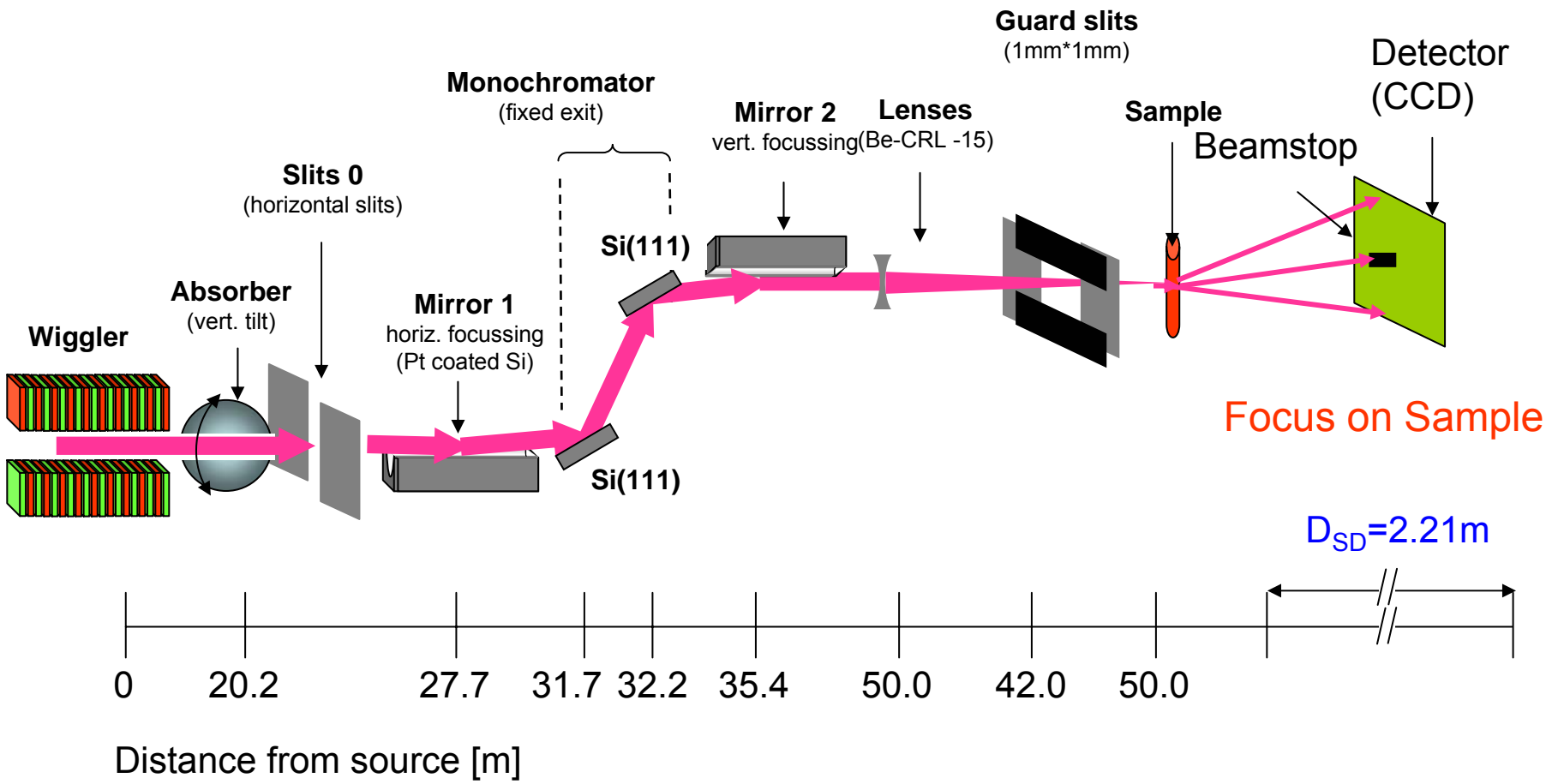


# SAXS Instrument - Example BW4 - USAXS

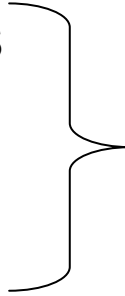


Resolution (maximum observed correlation distances) depends on sample to detector distance: 90 nm to 700 nm, photon flux  $5 \cdot 10^9 \text{ sec}^{-1}$  (monochromatic)

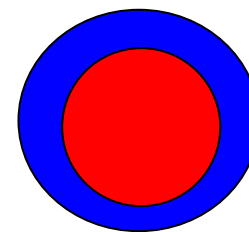
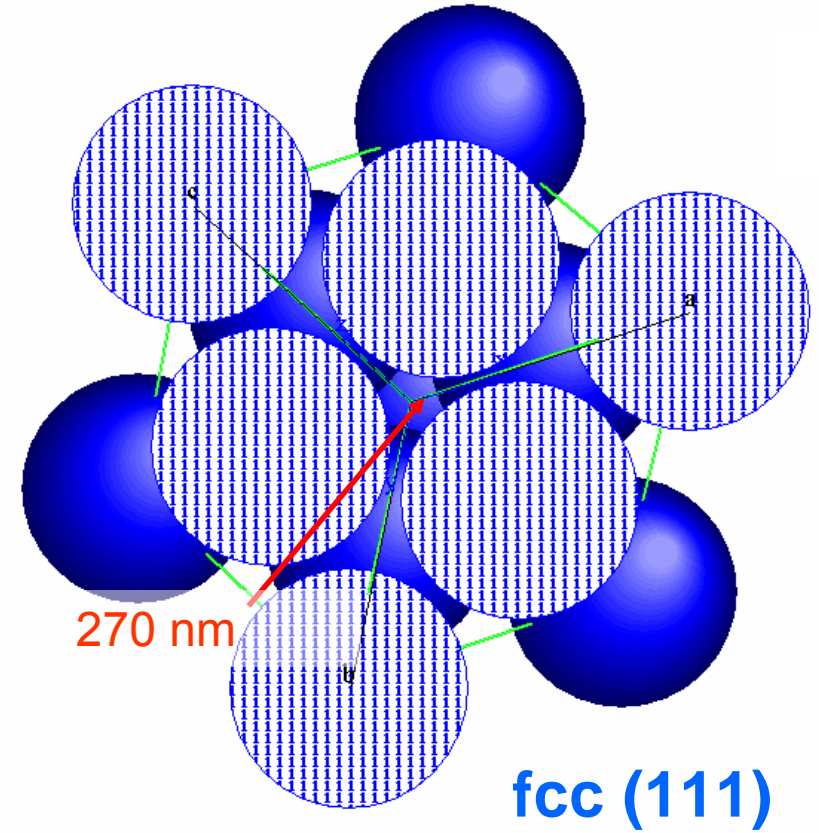
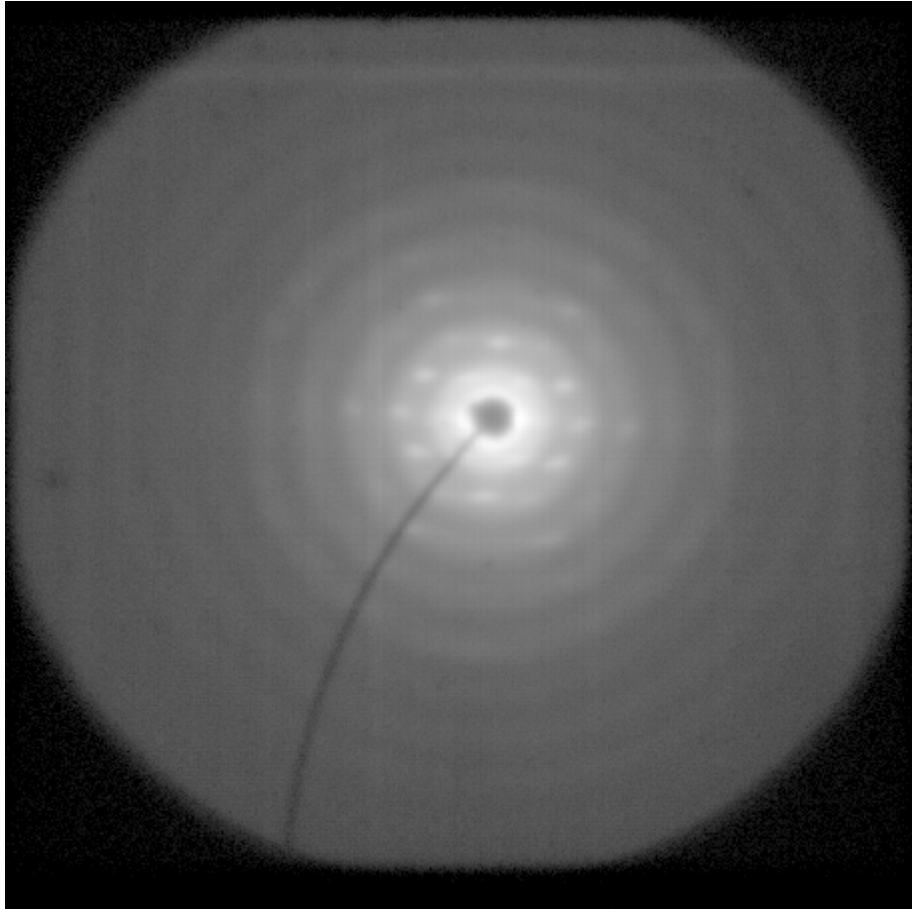
# Microfocus @ BW4



# Small-angle X-ray scattering

- Introduction – Theory of SAXS
  - Form factor
  - Approximations
  - Structure factor
- 
- Beamlines
    - USAXS
    - Microfocus x-ray beams
  - Application to polymer systems
    - Thick colloidal films
    - Deformation
    - Cracks & crazes
    - Tomography (3D-reconstruction)

# SAXS at Polymeric Core-Shell Particles (Photonic Crystals)

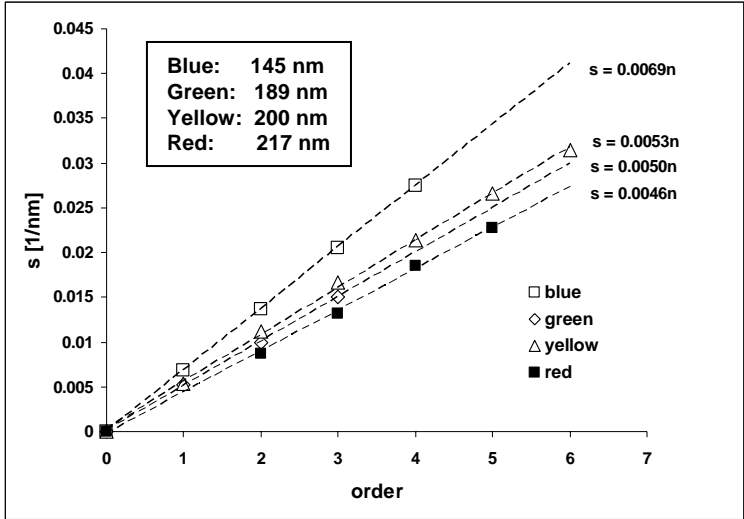
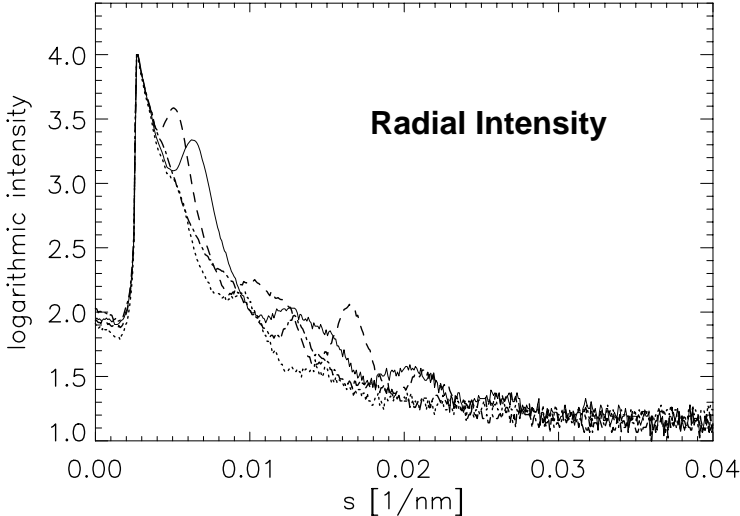
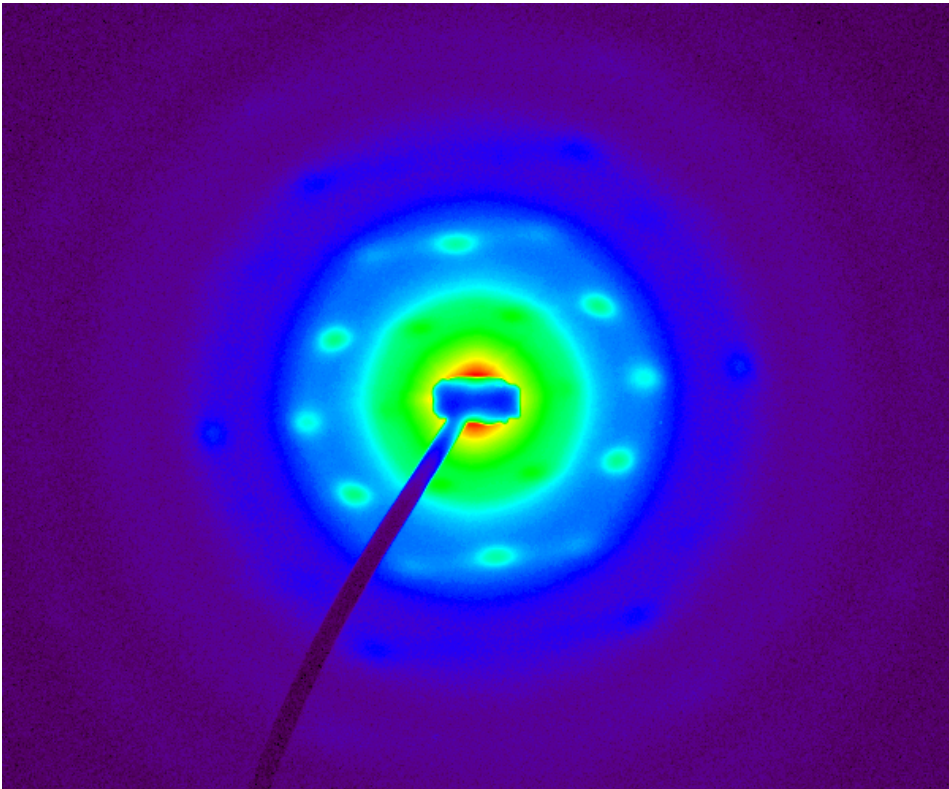


Core: PS (hard)  
Shell: PMMA (soft)

# Colloidal Crystals in Latex Films

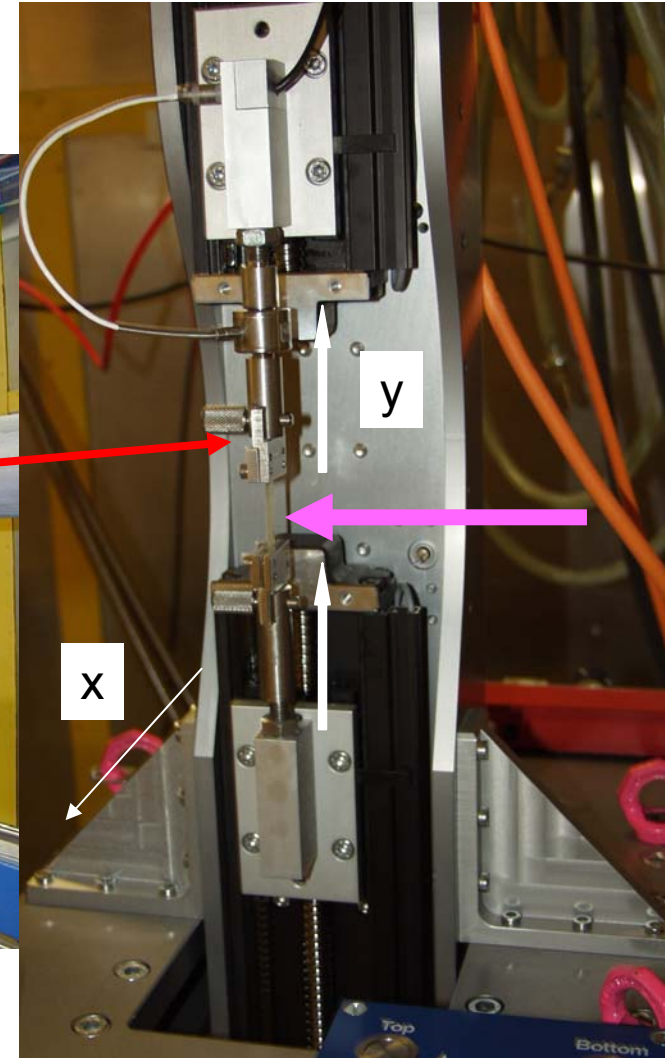
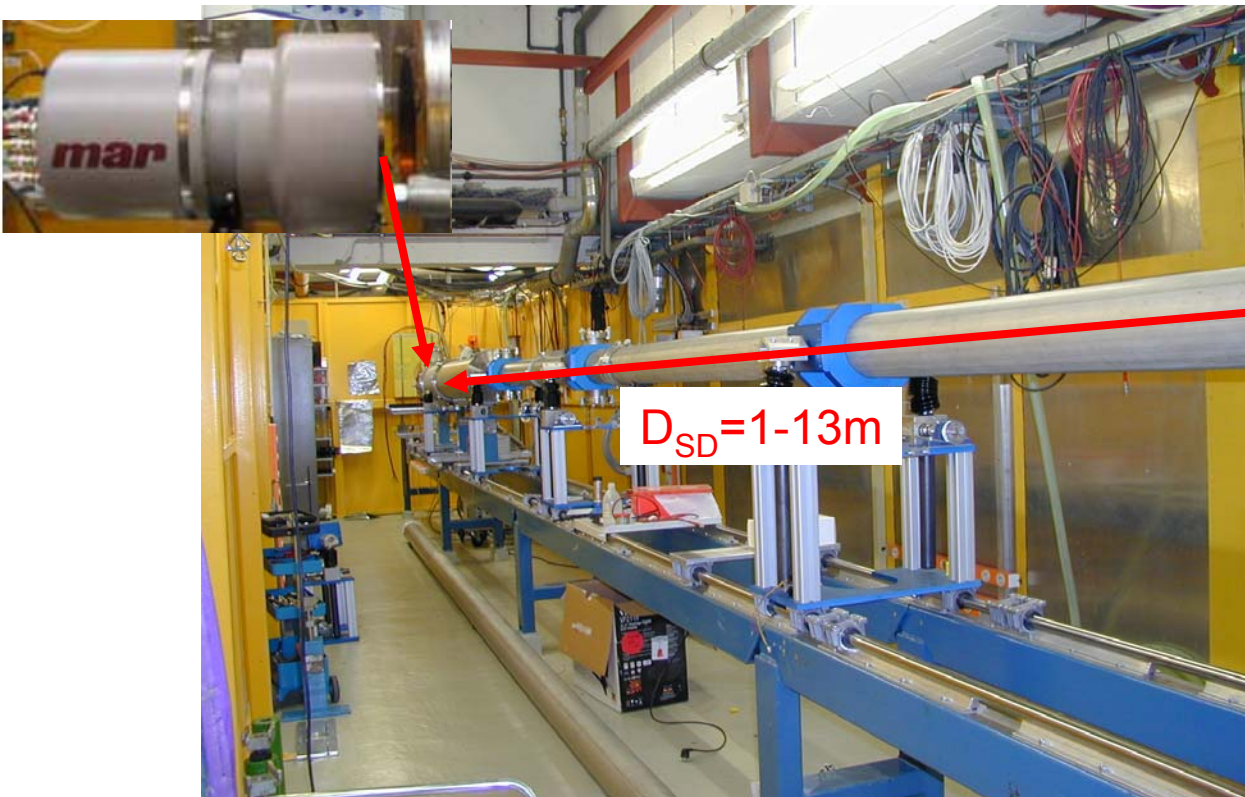
Core: Polystyrene  
 Shell: Polymethylmetacrylate - polyethylacrylate

Fcc lattice



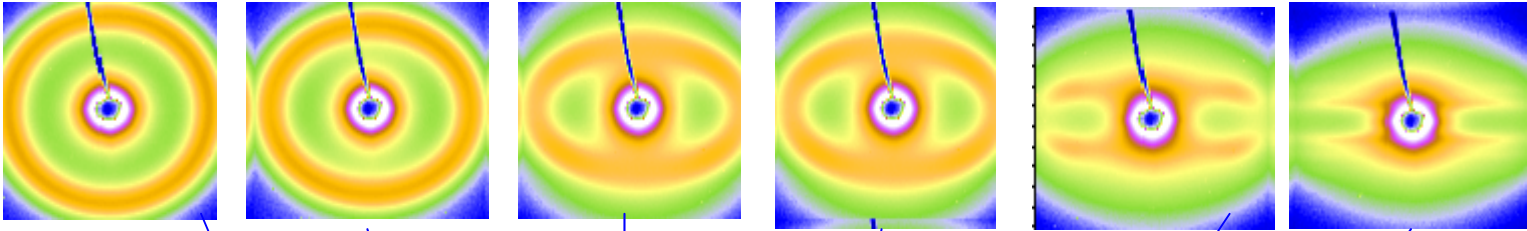
# Deformation of polymers - SBS

Triblock-copolymer

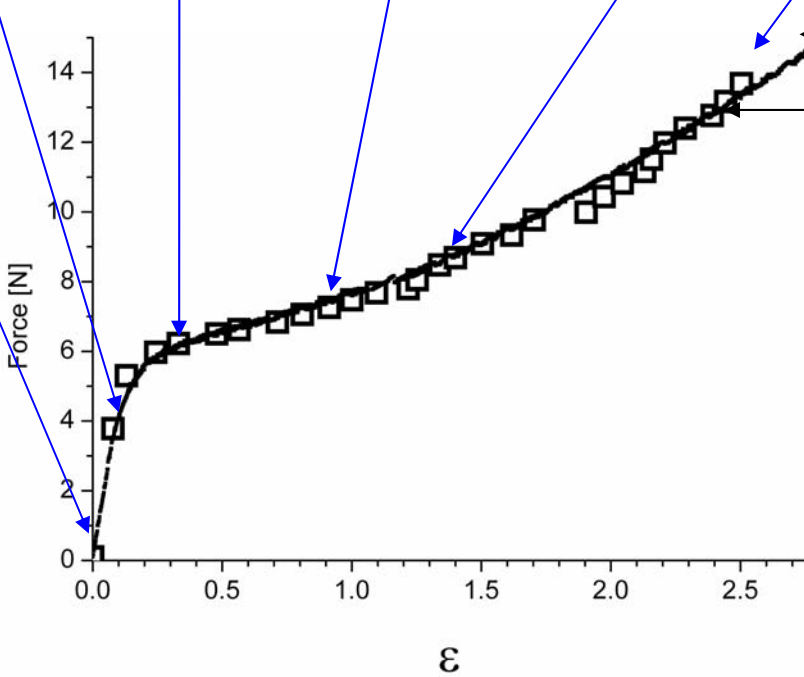
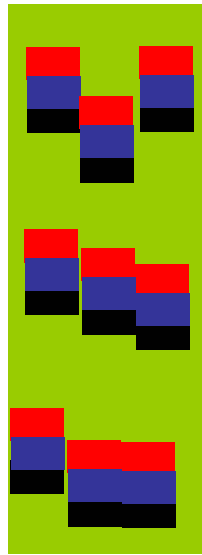
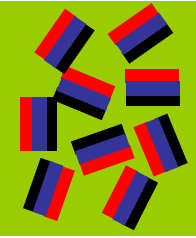




# Deformation of polymers - SBS



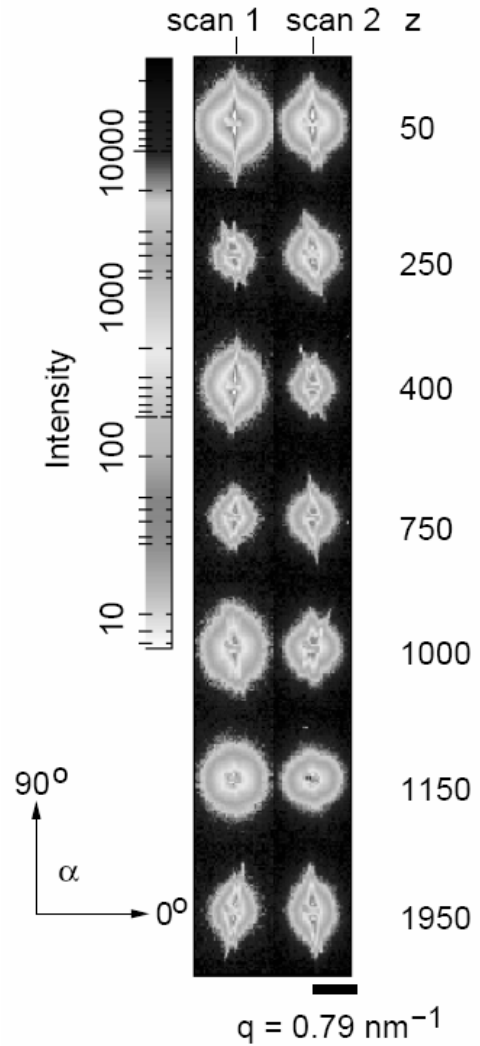
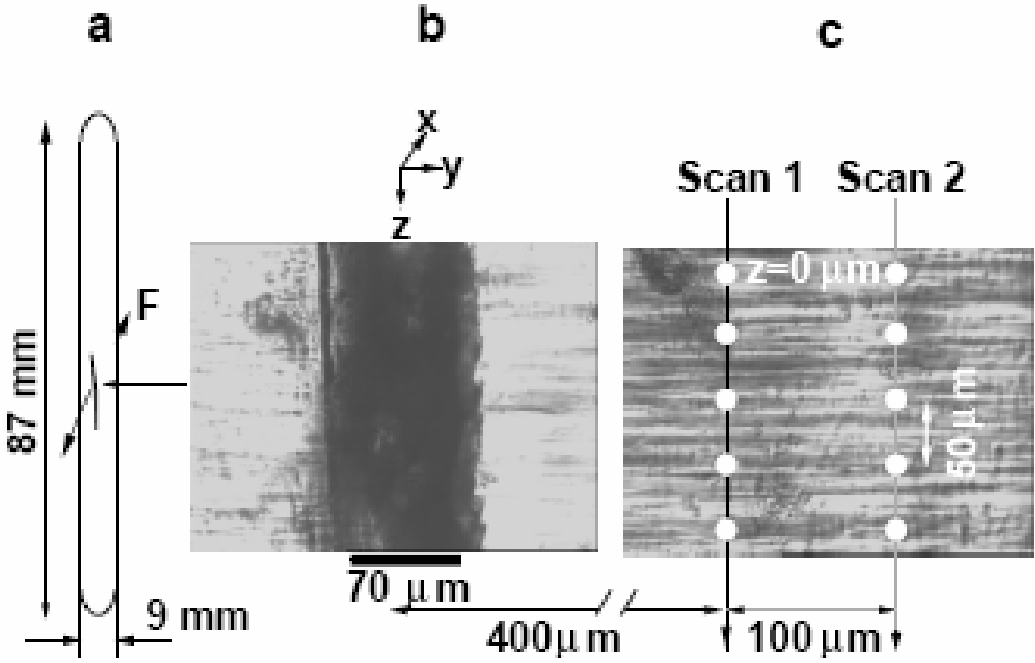
0.275nm<sup>-1</sup>



Clamp movement  
visual

$\Delta L / \Delta t = 0.05\text{mm/s}$   
 $t_{\text{acq}} = 50\text{s}$

# Deformation – cracks & crazes

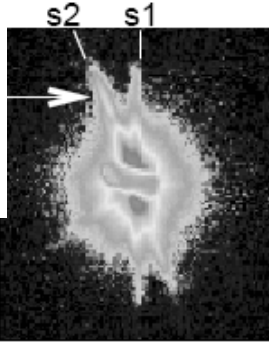
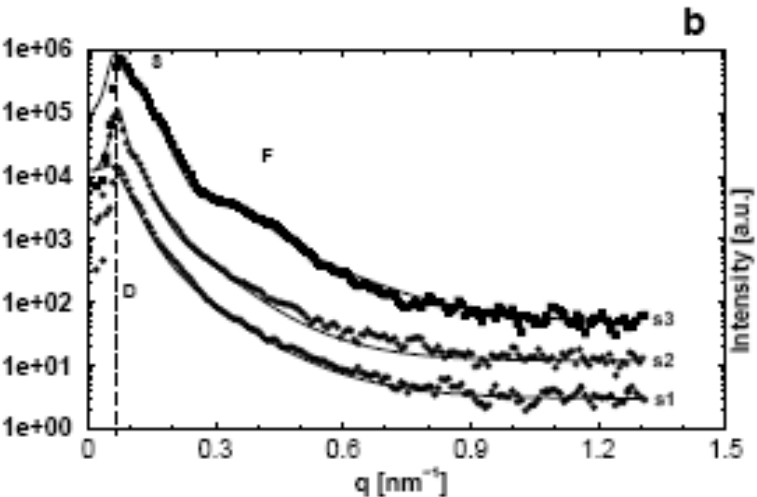
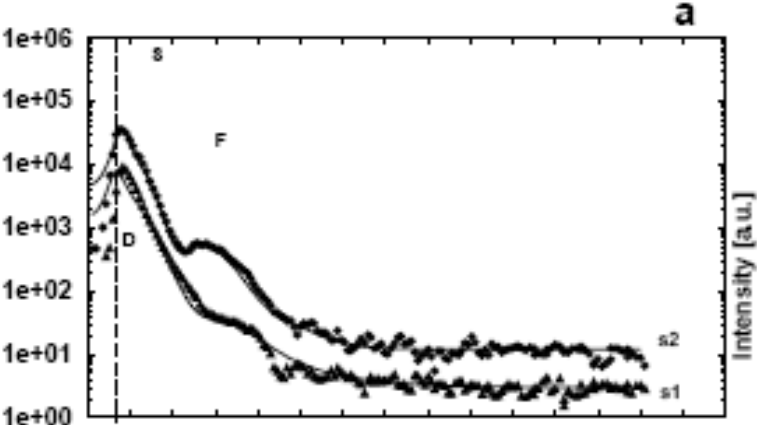


Roth et al., J. Appl. Cryst. 36, 684 (2003)

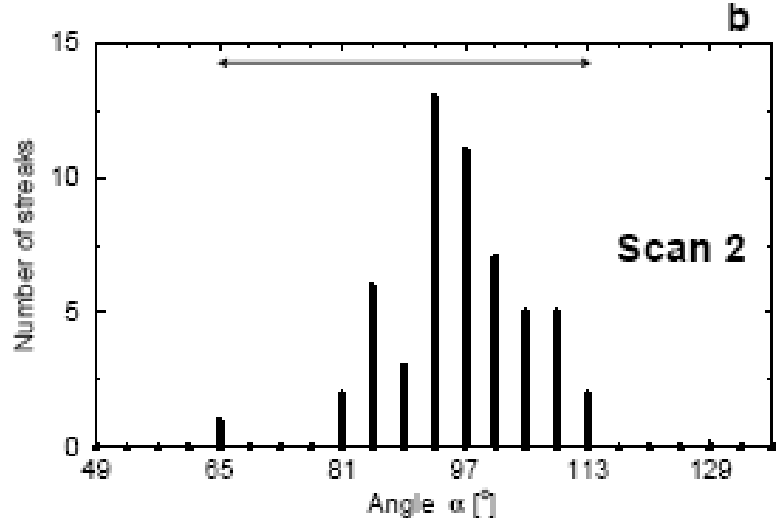
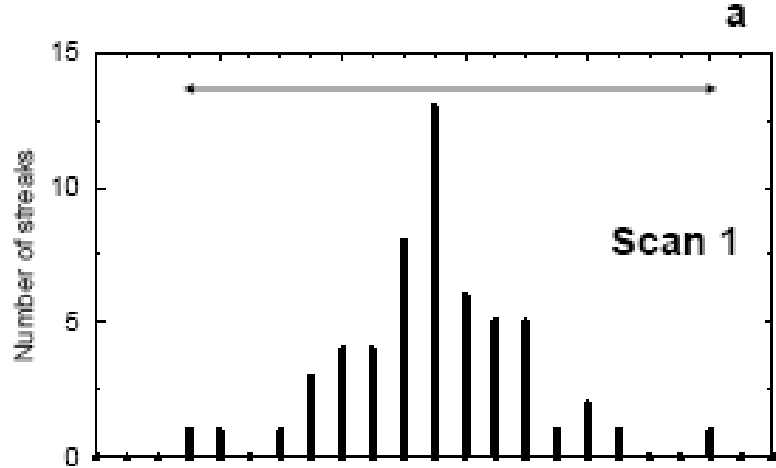
Strong overlap to materials science

# Deformation – cracks & crazes

Roth et al., J. Appl. Cryst. 36, 684 (2003)



$q = 0.79 \text{ nm}^{-1}$

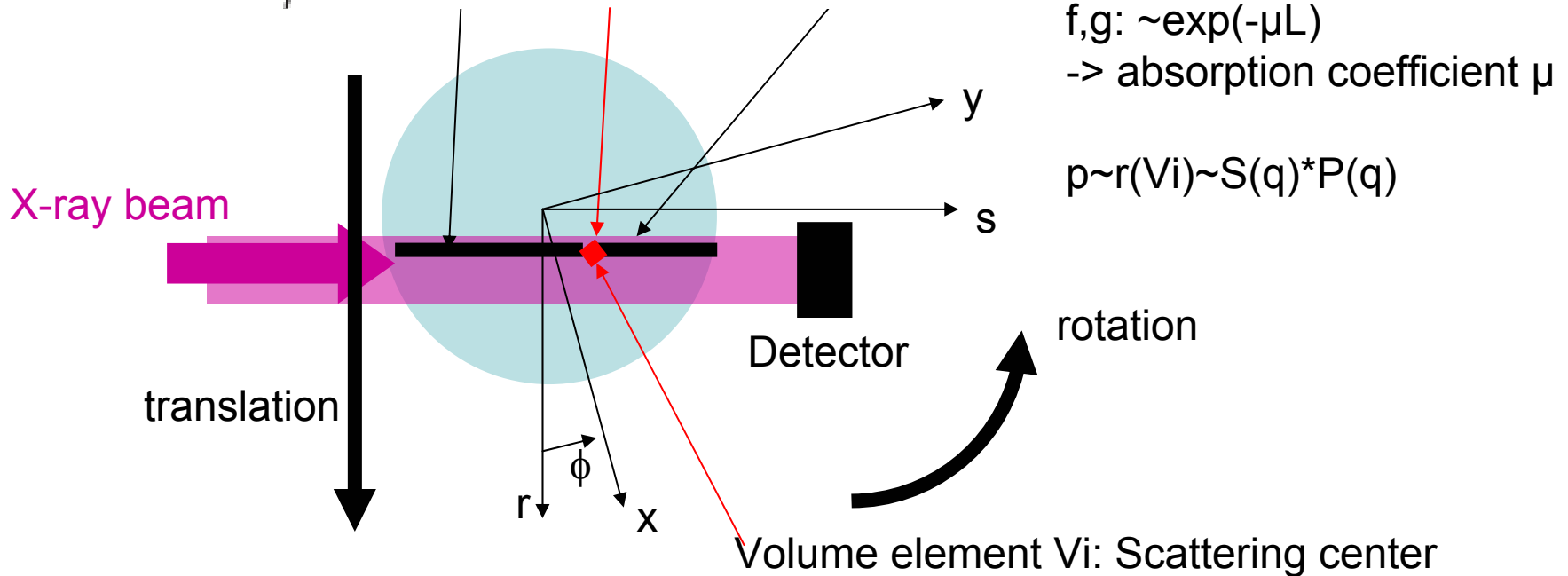


Statistics!  
Thick sample

# SAXS-Tomography

Tomography => 3D reconstruction of objects

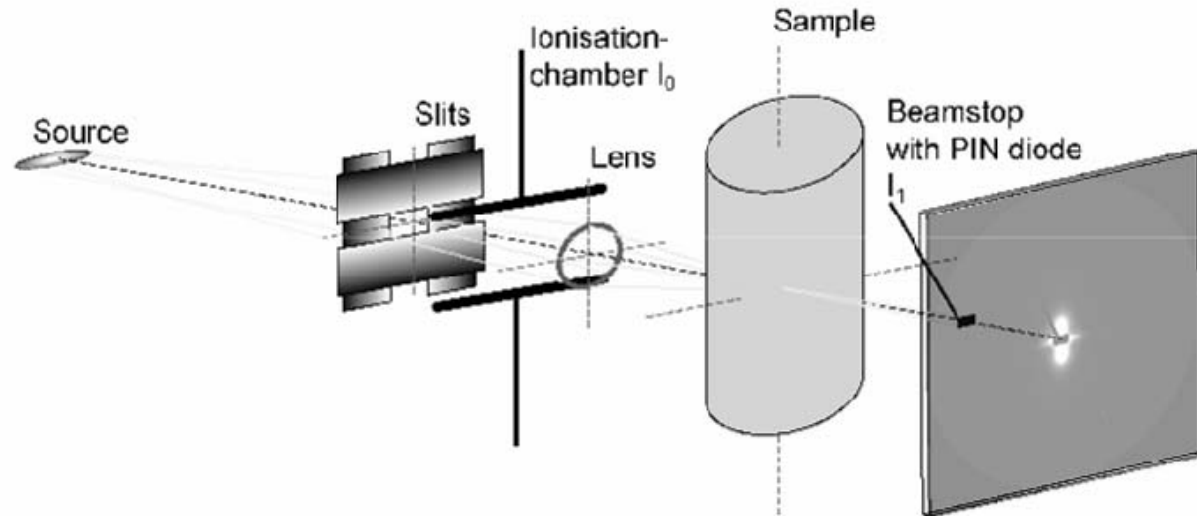
$$I_{\mathbf{q}}(r, \phi) = I_0 \int ds f(\phi, s, r) p_{\mathbf{q}, \phi}(x, y) g(\phi, s, r).$$



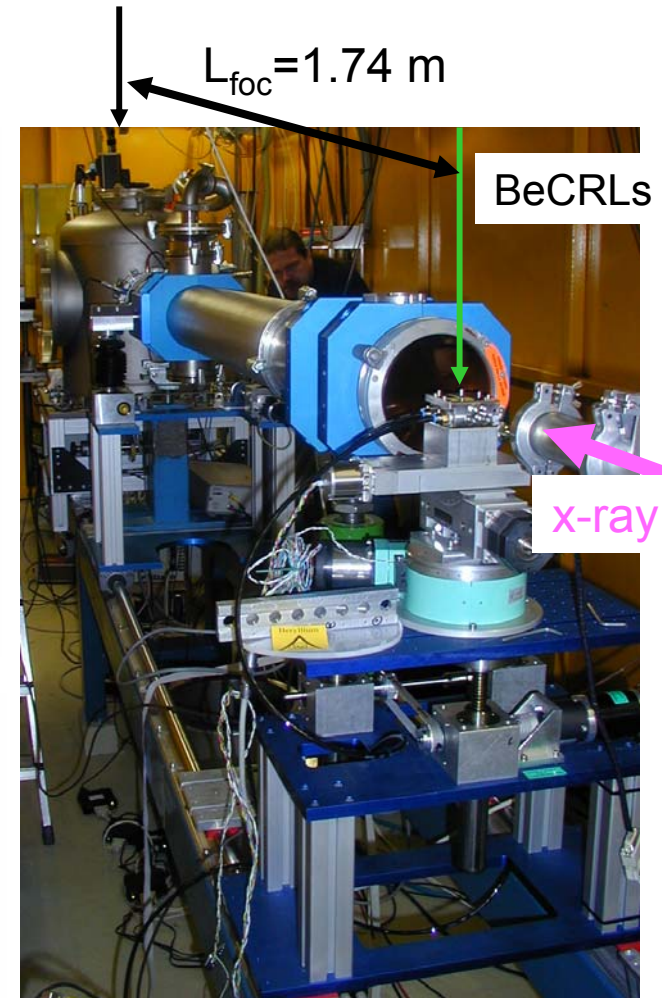
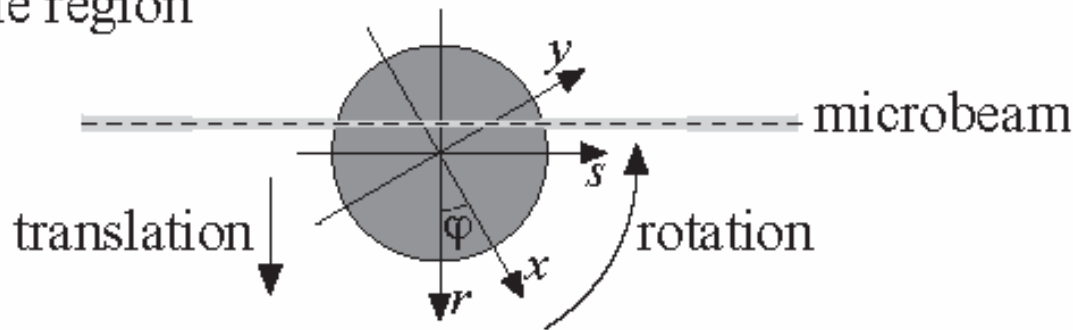
- Greek: *tomé* (cut) & *gráphein* (write, draw)
- Produce a virtual cut through object without actual slicing
- Mathematical technique for extracting a certain feature, e.g. absorption coefficient from the object, starting from integral of this feature.

# Tomographic Reconstruction of $\mu$ SAXS Pattern (BW4)

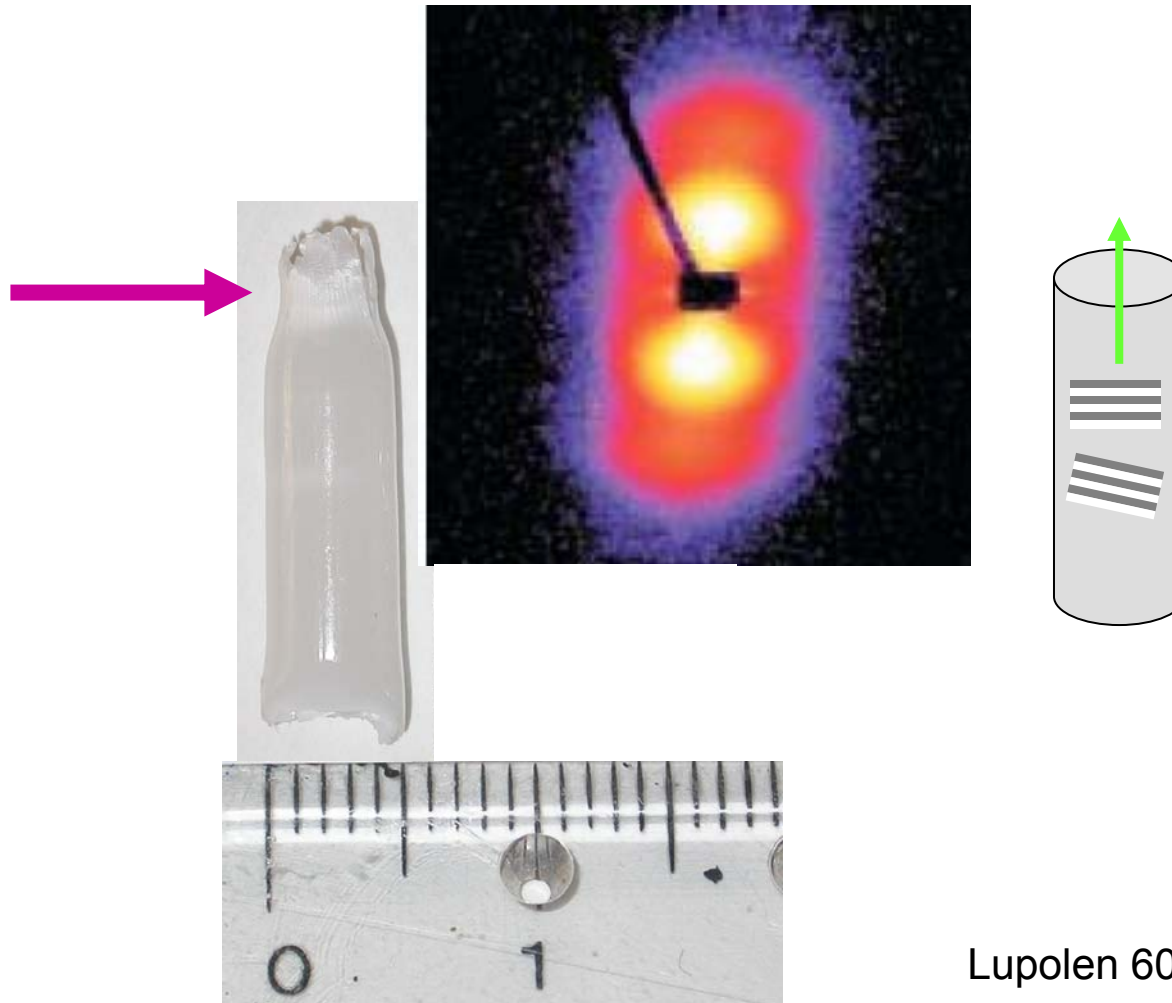
Irradiation direction of a volume element is varied step-by-step during the tomographic acquisition



top view of sample region



# Tomographic Reconstruction of $\mu$ SAXS Pattern (BW4)



Lupolen 6021D by BASF

Beam size  $60 \times 30 \mu\text{m}^2$

# Results

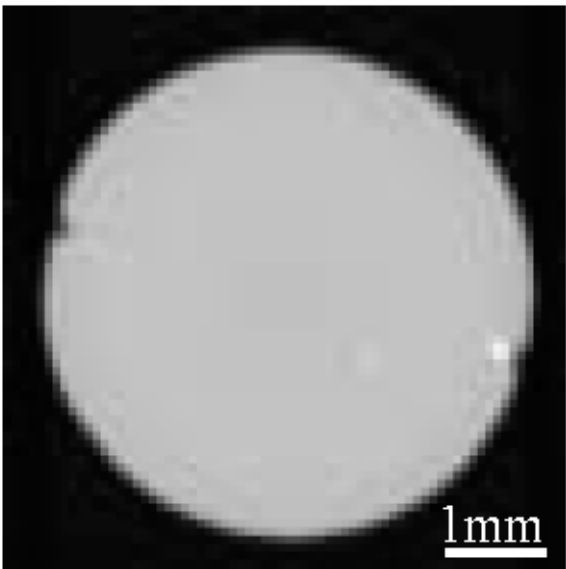
For each translation and rotation  $(r, \phi) \Rightarrow$  one value for  $I_q(r, \phi)$

Solve system of linear equations to extract  $p_{q, \phi}$

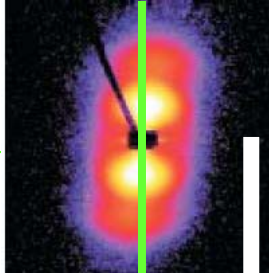
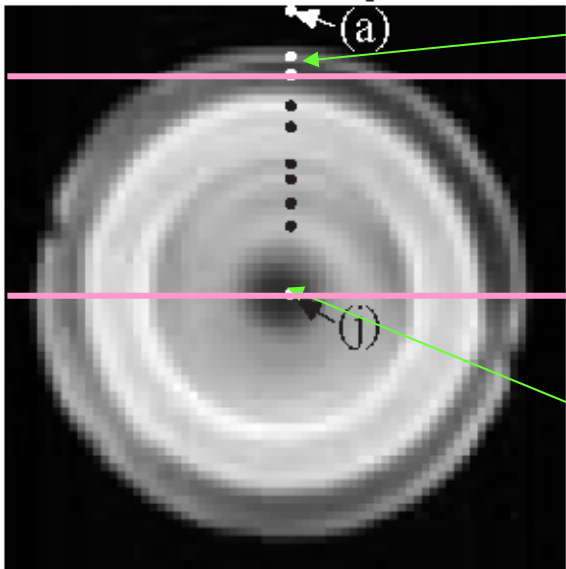
$$I_q(r, \phi) = I_1 \int ds p_{q, \phi} [x(s, r), y(s, r)] \sim \sum_k p_{q, \phi_j} [x(s_k, r_j), y(s_k, r_j)]$$

SAXS cross section

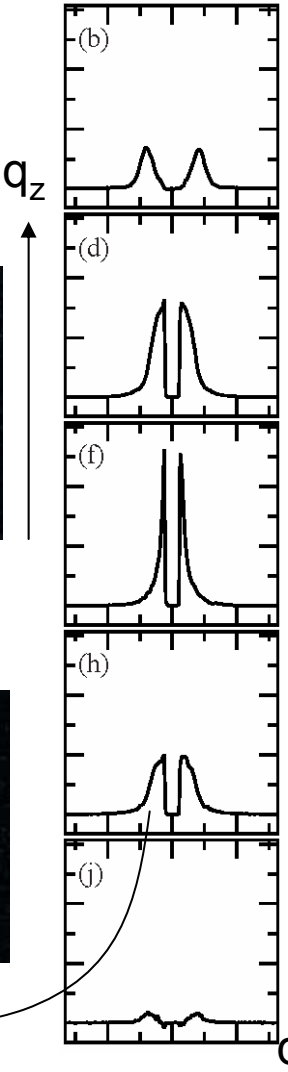
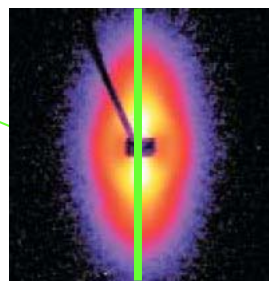
attenuation



scattered intensity



$q = 1 \text{ nm}^{-1}$



Integral of curve = grey scale

# Results

