

# Methoden moderner Röntgenphysik I: Struktur und Dynamik kondensierter Materie

Vorlesung zum Haupt/Masterstudiengang Physik  
WS 2008/9  
G. Grübel, M. Martins, E. Weckert et al.

Location: SemRm4, Physik, Jungiusstrasse  
Thursdays 10.15 – 11.45

G. Grübel (GG), A. Meents (AM), C. Gutt (CG), S. Roth (SR)

# Soft Matter Applications

Stephan V. Roth

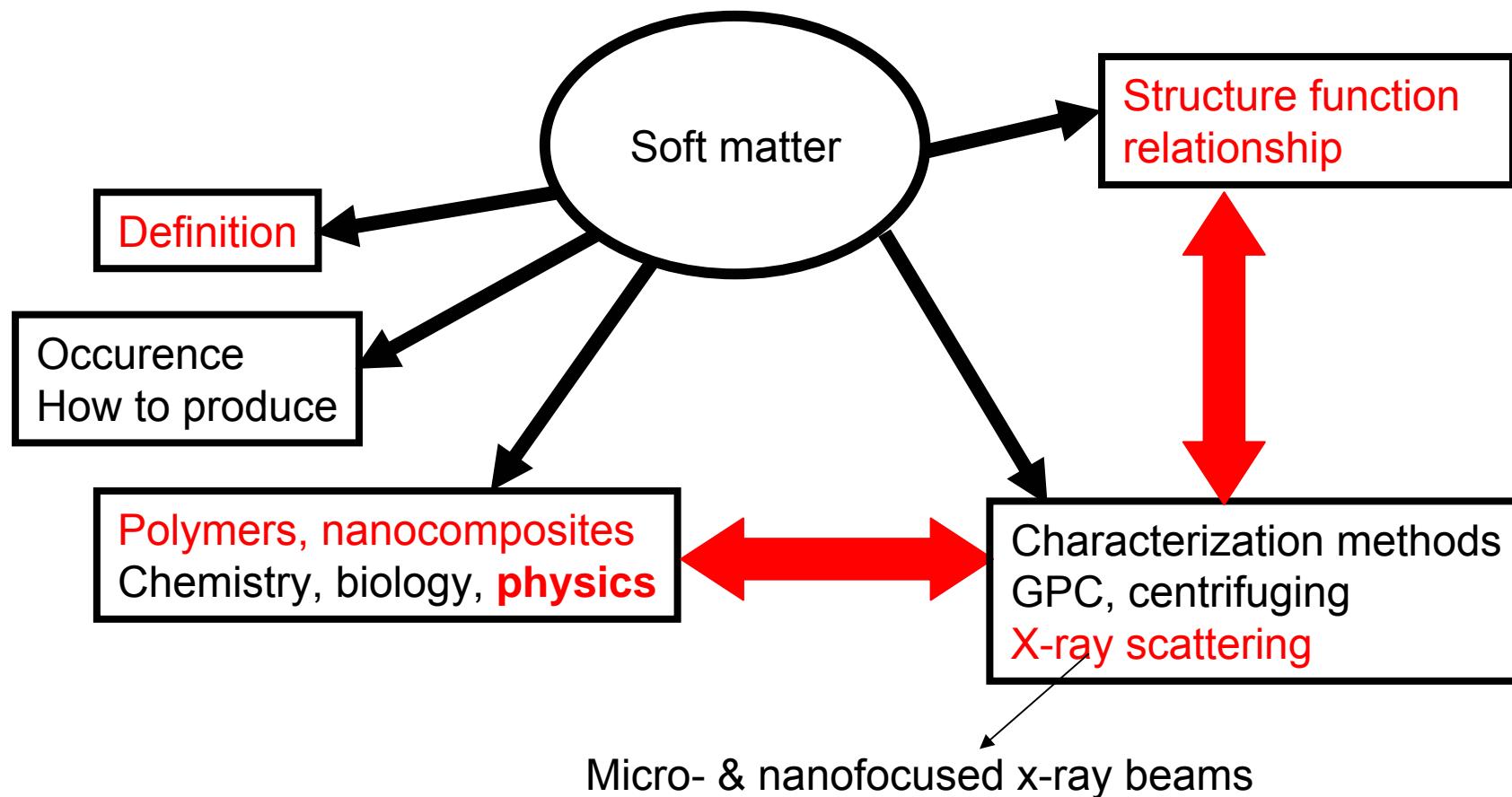
Introduction	(GG)
Modern Crystallography	(AM)
Coherence based techniques	(CG)
Soft matter applications	(SR)

- 22.01.2009 Basics, theory, preparation – a primer  
29.01.2009 Small-angle X-ray scattering and its applications  
03.02.2009 Polymer, colloidal and nanocomposite surfaces  
(Note change Thursday (5.)-> Tuesday) (3.)

Aim: Overview over use of soft matter and x-ray scattering of soft matter

# Aim

Overview over use of soft matter and x-ray scattering of soft matter

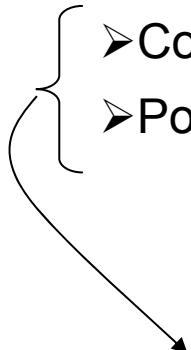


# Literature

- [Strobl] G. Strobl, "The Physics of Polymers", Springerverlag, Heidelberg (1997), ISBN 3-540-63203-4
- [Springer] T. Springer et al., "Streumethoden zur Untersuchung kondensierter Materie", Forschungszentrum Jülich GmbH, Jülich (1996), ISBN 3-89336-180-4
- [Lechner] M.D. Lechner et al., "Makromolekulare Chemie", Birkhäuser Verlag, Berlin (1993), ISBN 3-7643-2973-4
- [Lindner] P. Lindner et al., "Neutron, X-rays and light: Scattering Methods Applied to Soft Condensed Matter", North Holland, Amsterdam (2002), ISBN 0-444-51122-9
- [Stribeck] N. Stribeck, "X-ray Scattering of Soft matter", Springerverlag, Heidelberg (2007), ISBN 978-3-540-69856-2
- [Higgins] J.S. Higgins and H.C. Benoit, "Polymers and Neutron Scattering", Clarendon Press, Oxford (1996), ISBN 0 19 850063 7

# Basics, theory, preparation – a primer

- What is soft matter?
- Basic Definition – a try!
- Notion for description, constituents, architecture
- Characterization of polymers, molar masses, polydispersity
- Chain conformation, constitution, configuration, chain models
- Flory-Huggins-theory, mixture of polymers, Interaction, phase separation
- Colloids
- Polymer-Metal nanocomposites



Take care – here, metals (hard matter, actually) comes into play. But it's still soft matter – this is no contradiction, as you will see!

# Soft Matter

Soft matter is not hard matter!

Metallic alloys, ceramics...

- Polymers (bottles)
- { Colloids (wall paintings)
- Nanocomposites (Metal-Polymer-Composites, airplanes, credit cards)
- Fibres (Textiles)
- Wood (natural nanocomposite)
- Cells (Biology)
- Glue (quite complex!)
- ...

**Focus on specific examples**

Characteristics: Polydispersity, heterogeneity  
Stribeck: „Scattering, not diffraction“

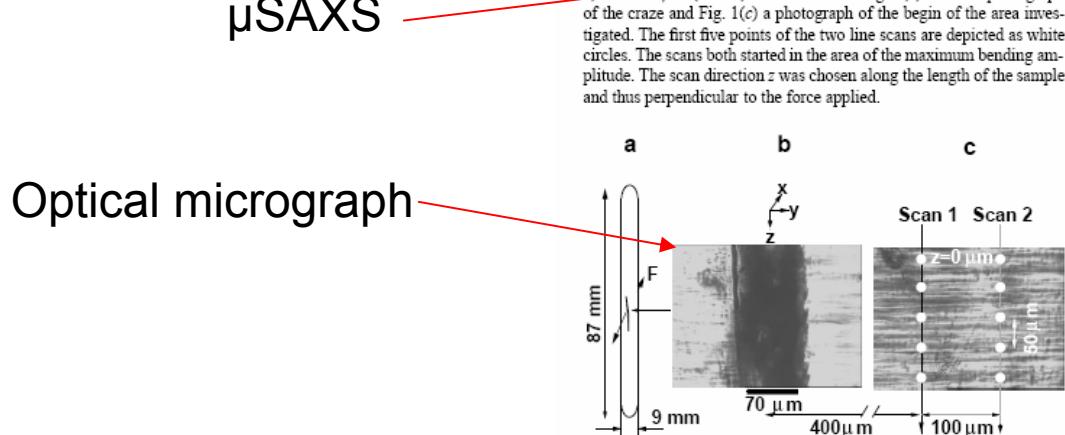
We will first start with polymers!

# Example: Cracks in industrial Polystyrene bulk material

same time a high SAXS resolution is ensured which allows for clearly resolving the first order collagen (65 nm) with a maximum resolvable real space structure of 90 nm given by the beamstop size. As the structures of the craze field are on the order of 10 nm - 100 nm (Brown & Kramer, 1981; Salomons *et al.*, 1999b) the SAXS resolution is sufficient to locally investigate the craze field.

### 2.3. Craze field scanning

The sample was investigated in transmission geometry with the beam parallel to the initial force direction ( $x$ ), see Fig. 1(a). Two line scans were performed with a step size of  $\Delta z = 50 \mu\text{m}$  and an overall scan length of 2 mm parallel to the craze in a distance of 400  $\mu\text{m}$  (scan 1) and 500  $\mu\text{m}$  (scan 2) from the craze. Fig. 1(b) shows a photograph of the craze and Fig. 1(c) a photograph of the begin of the area investigated. The first five points of the two line scans are depicted as white circles. The scans both started in the area of the maximum bending amplitude. The scan direction  $z$  was chosen along the length of the sample and thus perpendicular to the force applied.

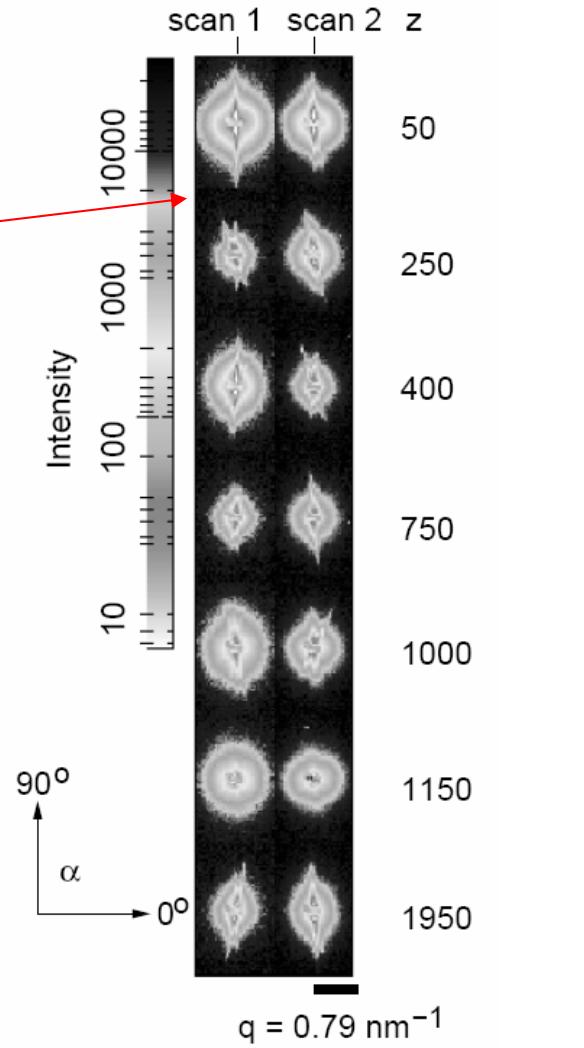


**Figure 1**  
(a) Sample geometry. The thickness in the area investigated was 1 mm. The force  $F$  was perpendicular to the sample surface. The crack is indicated as the kinked black line. (b) Photograph of the craze in the area of the maximum bending amplitude. The scale bar is 70  $\mu\text{m}$ . (c) Line scans through the craze field. The beam size was 5  $\mu\text{m}$  (indicated by the white spots), the step size 50  $\mu\text{m}$ . The craze field was measured in transmission geometry with the beam direction parallel to  $F$  ( $x$ -direction, Fig. 1a).

### 3. Results

#### 3.1. Data treatment

In Fig. 2 seven representative SAXS patterns are shown. The corresponding scan points are  $z = 50 \mu\text{m}, 250 \mu\text{m}, 400 \mu\text{m}, 750 \mu\text{m}, 1000$



Roth et al., J. Appl. Cryst. 36, 684 (2003)

Strong overlap to materials science

# Basic definition

Polymers:

- Macromolecules
- Build up of a large number of molecular units
- Covalent bonds

Molecules: N<sub>2</sub>, O<sub>2</sub>, H<sub>2</sub>, CH<sub>3</sub>,...

Standard example: Polyethylene (PE)

Note: Names are often abbreviated by capital letters, e.g.

Polypropylene - PP, Poly(methylmethacrylate) – PMMA, Polystyrole (PS),  
Poly(ethylene terephthalate) – PET, Poly(p-phenyleneterephthalamide) – PPTA:  
Kevlar

... and often have commonly used trade names: Kevlar, nylon...

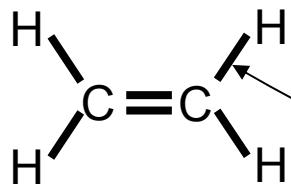
Difference in chemical constituents, architecture and hence in physical properties!

Amorphous, crystalline, glassy, viscous,...  
Deformation, cracks, SAXS, GISAXS...

# Basic definition

Standard example: Polyethylene (PE)

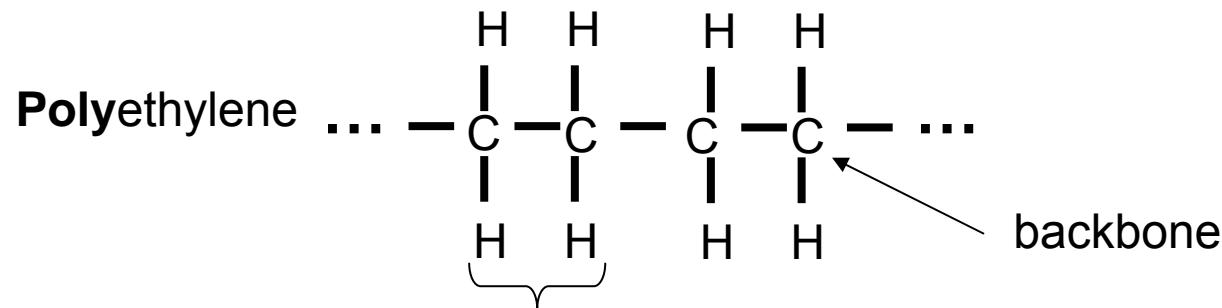
Ethylene:



Structure unit  
Monomeric unit

Covalent bond:

- Interaction between nearest neighbours dominates
- Binding and anti-binding orbitals: Spatial overlap of electron wave functions, binding energy
- Increased electron density between nuclei
- Strongly directed bonding (diamond, sp<sup>3</sup>-hybridisation)



Number of monomers: Degree of polymerization: N

Polymerization process: starts from low molar mass compounds

# Distribution functions

Polymerization reactions: mixture of macromolecules of different molecular weight

Monomer: molecular weight M

Distribution function:  $p(M)$  -> number density (number per ...)

$p(M)dM$  : fraction of polymers  $M \dots M+dM$

Normalization:

$$\int p(M)dM = 1$$

Number average molecular weight:

$$\bar{M}_n = \int p(M)MdM$$

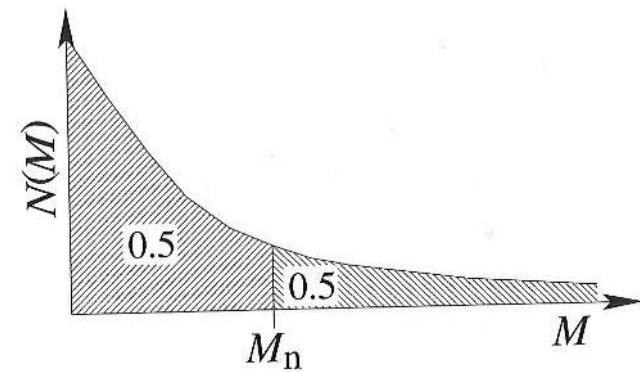
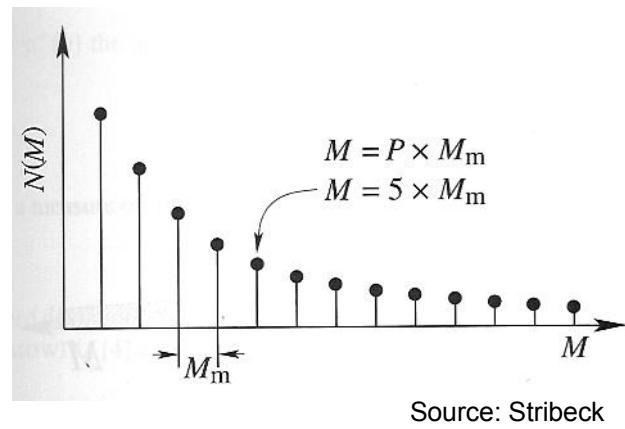
Strictly speaking: M -> discrete (monomer units), but degree of polymerization high

Weight average:

$$p'(M) = \frac{p(M)M}{\int p(M)MdM} \quad \bar{M}_w = \int p'(M)MdM = \frac{\int p(M)M \cdot MdM}{\int p(M)MdM}$$

Weight fractions

# Distribution functions



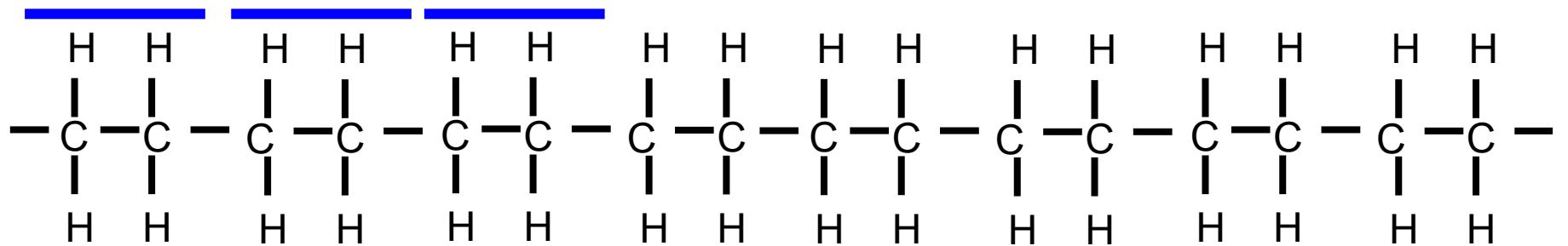
# Polydispersity

Note:  $\overline{M}_w > \overline{M}_n$  Characterize distribution of molecular weight  $U = \frac{\overline{M}_w}{\overline{M}_n} - 1$

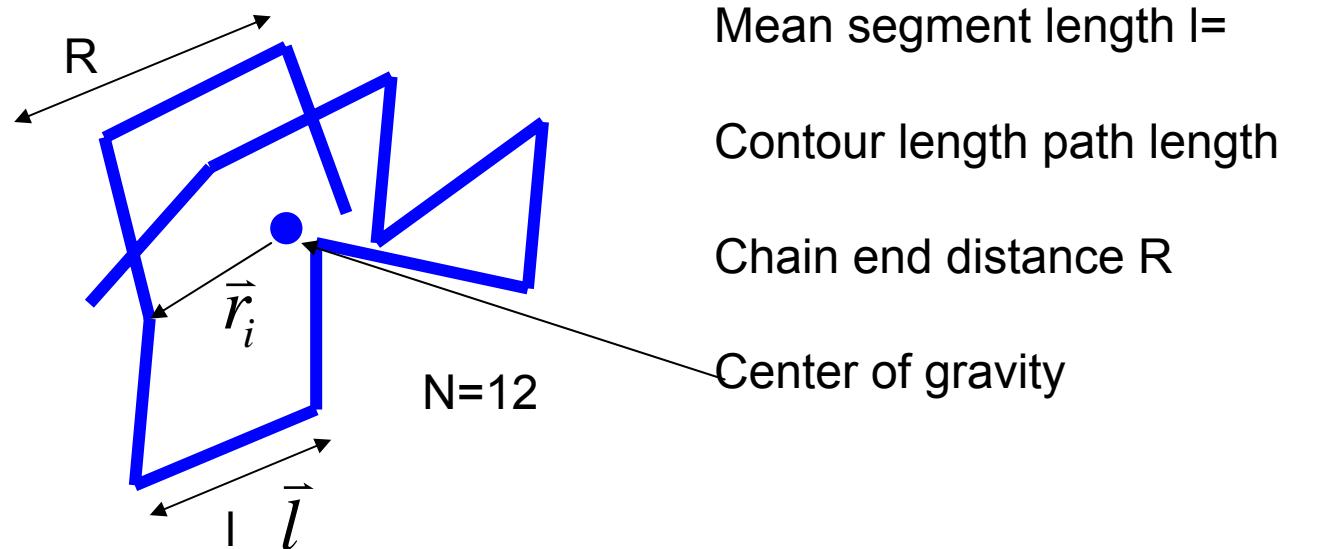
Variance of  $p(M)$   $\langle \Delta M^2 \rangle = \int p(M) (M - \overline{M}_n)^2 dM$

$$= \int p(M) M^2 dM - \underbrace{\int p(M) 2\overline{M}_n M dM + \int p(M) \overline{M}_n^2 dM}_{= \overline{M}_w \overline{M}_n - \overline{M}_n^2 \text{ using } \overline{M}_n = \int p(M) M dM}$$
$$U = \frac{\overline{M}_w}{\overline{M}_n} - 1 = \frac{\langle \Delta M^2 \rangle}{\overline{M}_n^2}$$

# The polymer chain



Linear chains exist, but more realistically:  
Rotational degree of freedom



Mean segment length  $\bar{l} =$

Contour length path length  $L = 12 \cdot |\vec{l}|$

Chain end distance  $R$

Center of gravity

# The polymer chain

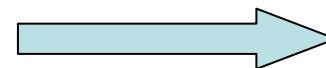
End-to-end distance:  $R = \sqrt{N} \cdot \sqrt{\langle |\vec{l}|^2 \rangle} = \sqrt{N} \cdot l$

$$R_G = \sqrt{\frac{1}{M} \sum_1^N \langle m_i \vec{r}_i^2 \rangle}$$

M mass of macromolecule  
m<sub>i</sub> mass of segment

Mean over all configurations

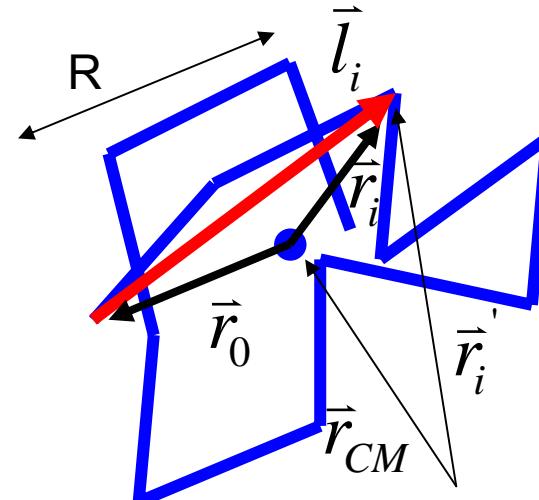
Radius of gyration (for a gaussian,  
uncorrelated chain)



Measure for extension of  
macromolecule

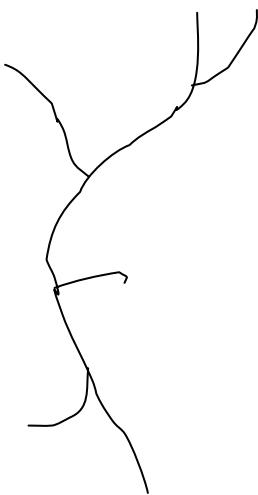
Relation between  $R_G$  and  $R$        $R_G^2 = R^2 / 6$

Using       $\vec{r}_i = \vec{r}_0 + \vec{l}_i = \vec{r}'_i - \vec{r}_{CM}$

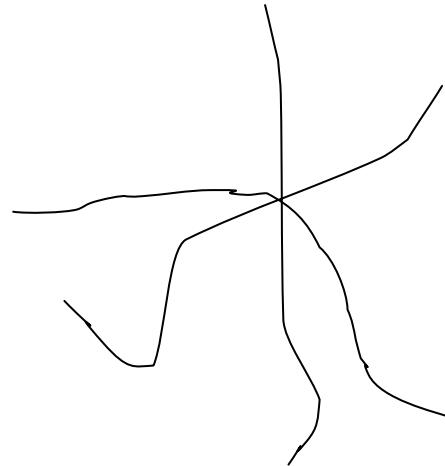


We will need this for small angle x-ray scattering!

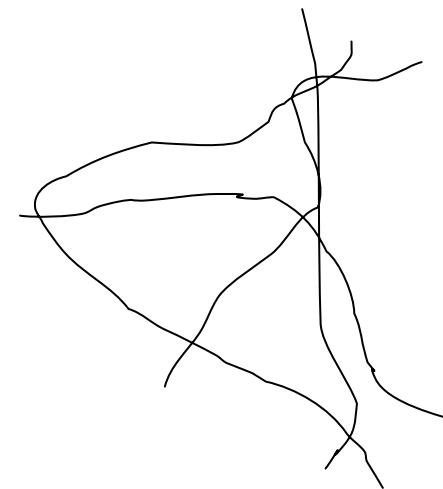
# Architectures



Short chain and  
Long chain branches

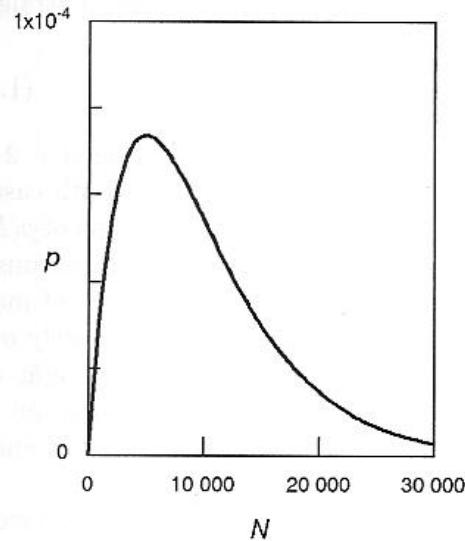


Star polymers

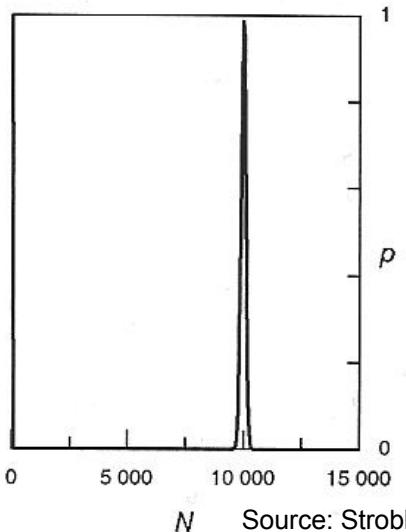


Network of cross-  
linked chains

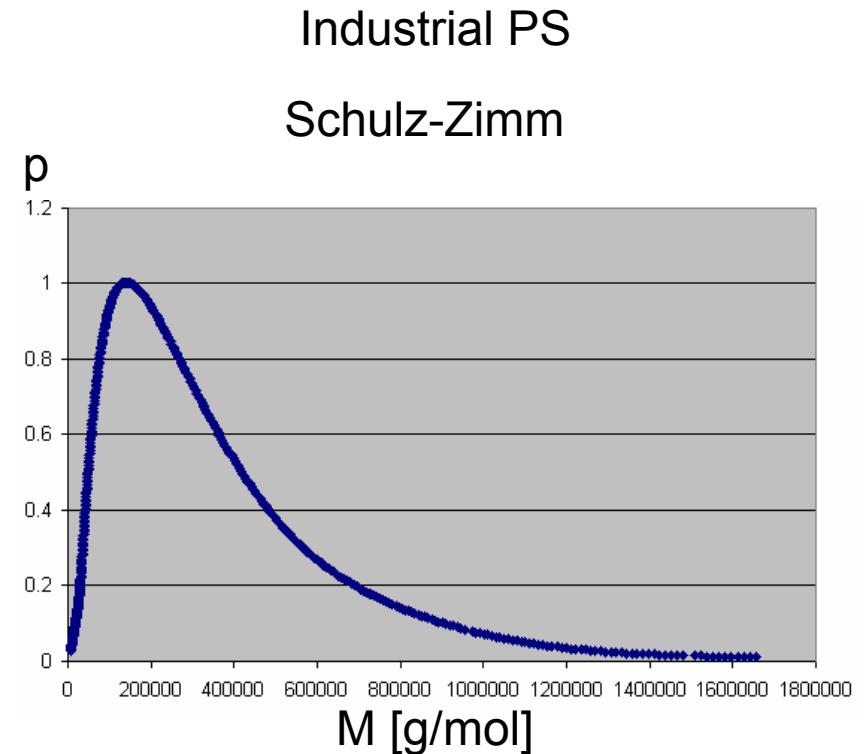
# Polymerization methods



Schulz-Zimm  
Step polymerization



Poisson  
chain polymerization



Industrial PS  
Schulz-Zimm

$$\bar{p} = \frac{\bar{M}_n}{M_0} = 10^4$$

Mass of an individual molecule

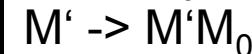
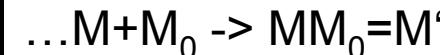
$$\bar{M}_n = 195117$$
$$\bar{M}_w = 370820 \text{ g/mol}$$

# Polymerization methods

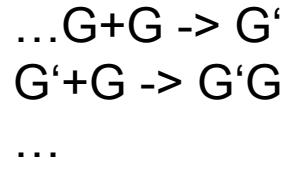
Establishing of covalent bonds

Chain polymerization

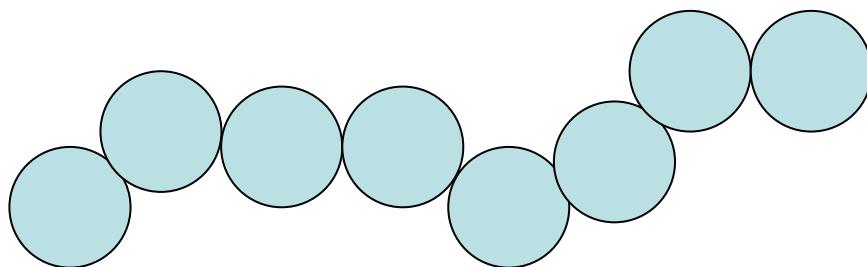
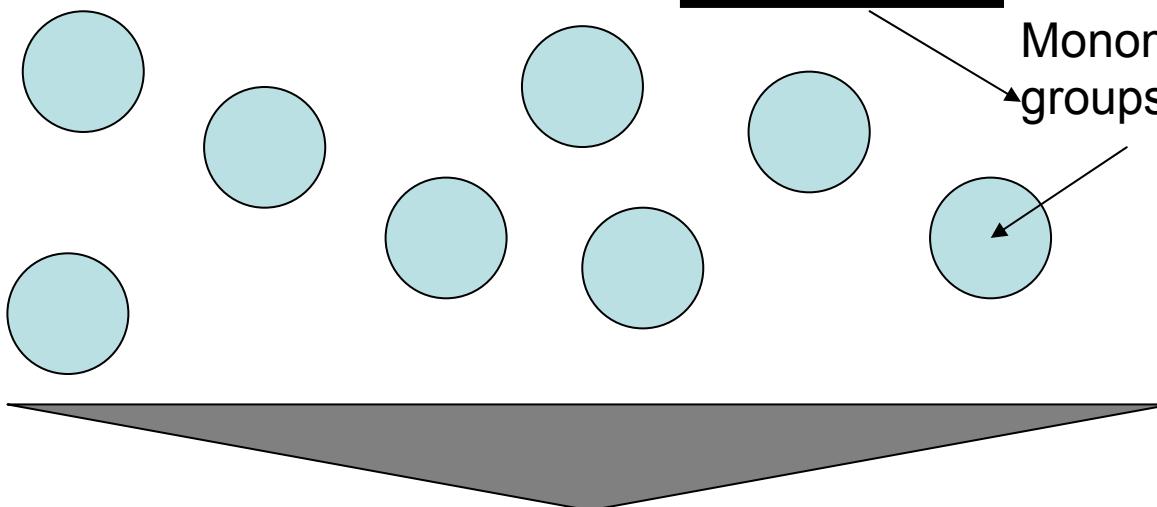
Step polymerization



...



Monomeric unit ( $M_0$ )  
groups of monomers (G)



# Polymerization via radicals

Well-known

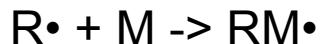
Large industrial scale

Many polymers accessible

1) **Start:** Initiator I       $I \rightarrow 2R\cdot$       At T, which are suitable for polymerisation

e.g. peroxides      In-situ formation  
light

Many radicals start polymerization in presence of monomers (M)



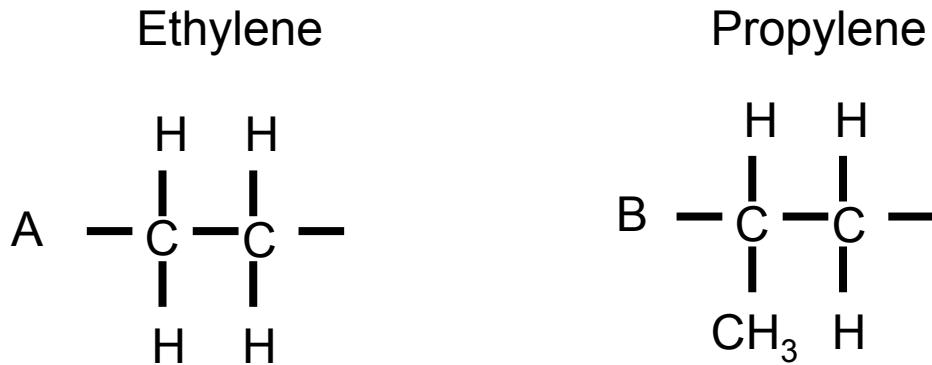
2) **Growth reaction:**       $RM\cdot + nM \rightarrow P\cdot$

3) **Stop:** End of polymer chain growth  
Reaction of radicals at end of polymer chain

Combination:       $-CH_2-CH\dot{X} + X\dot{C}H-CH_2- \rightarrow -CH_2-CHX-CHX-CH_2-$   
Doubling of polymerization degree

Disproportionality:       $-CH_2-CHX + XCH-CH_2- \rightarrow CH=CHX + XC\textcolor{red}{H}_2-CH_2-$   
Double bond via transfer of H

# Copolymers



Statistical: .... ABAABBBBABAAABABAABB ...

Block structure .... AAAAABBBBBAAAAAABBBBB...

„ $A_n$ -block- $B_m$ “  
 $n,m > 10$

„Block-copolymers“ – we will see a variety of structure, especially in thin films

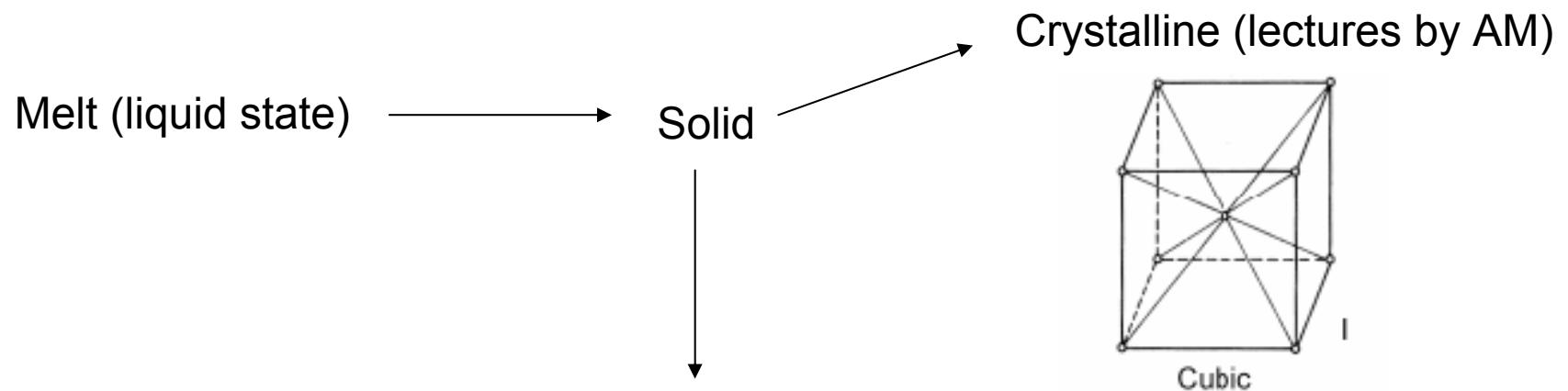
Di-, tri-, multiblock copolymers

e.g.: A hard, B viscous (depending on Tg)

# Glass transition temperature

... more during part II, summer semester lectures (Hermann Franz)

Brief introduction – important to understand structure of thin films!



Amorphous : „frozen in liquid state“, glassy

-> isotropic

-> physical parameters not direction dependent

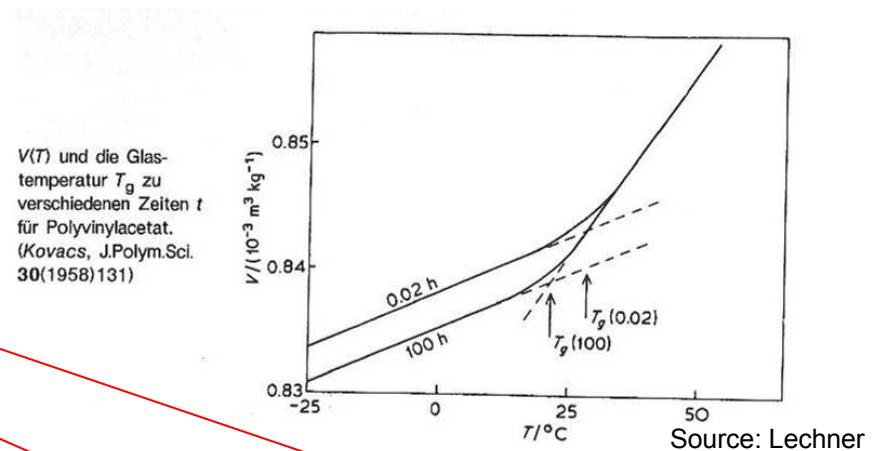
# Glass transition temperature

Howto characterize glassy state:

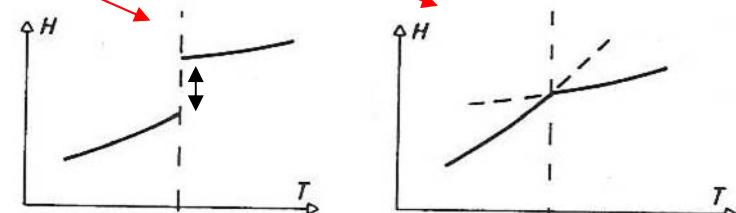
Characteristic temperature:  $T_g$

Not a phase transition of 1st or 2nd degree

Dynamic interpretation:  $\alpha, \beta$ -relaxation



Latent heat  
Melting, crystallization,...



Viscoelastic:  
Elastic response at small interaction times  
Creep at long interaction times

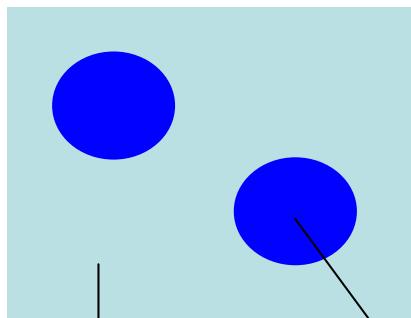
Not in equilibrium, might even recrystallize

# Flory-Huggins-Theory

Polymer mixtures

Polymer blends -> mixing = route to combine different materials properties

High fracture resistance and stiff  
Problem: increase in elastic moduli -> brittle



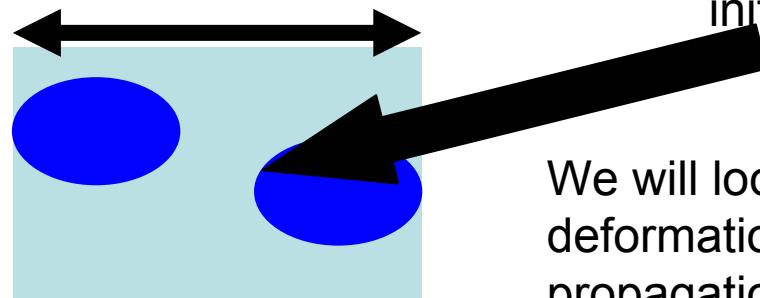
high fracture resistance  
,tough' material  
Still stiff enough

PS: stiff, but brittle

Polybutadiene ~rubber

Fracture starts here and is initially localized here!

Example: (Y. Men)



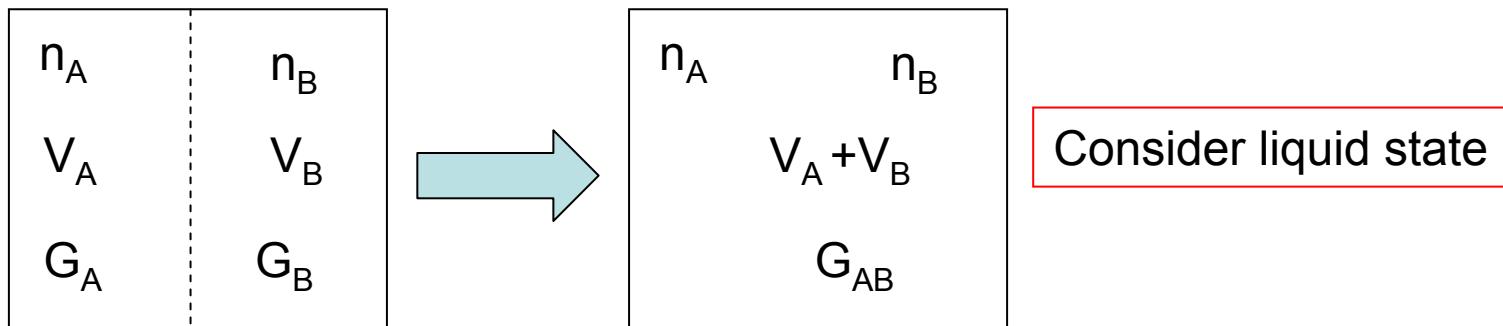
We will look with SAXS into deformation and crack propagation!

# Flory-Huggins-Theorie

Q: when do we obtain a homogenous or heterogenous morphology during blending?

A: Flory-Huggins theory – phase diagrams as function of T and M:

When can we expect single, binary... phases



Reminder:  $dG = -SdT + Vdp + \underbrace{\mu dN}_{\text{Change in composition}} + \gamma dA$

S... entropy

$\mu$ ... chemical potential (keyword: diffusion), change in phase: equilibrium  $\mu_1=\mu_2$

$\gamma$ ... surface tension (2D)

For mixing:  $\Delta G_{mix} = G_{AB} - (G_A + G_B)$

# Flory-Huggins-Theory

It states:

$$\Delta G_{mix} = -T\Delta S_t + \Delta G_{loc}$$

Change in entropy:  
Mixing  $\rightarrow$  increase

Associated with motions of  
center of mass of all polymer  
molecules

+ : favours mixing

Change of local interactions and motion of  
monomers

General rule: Van-der-Waals interactions  
 $\rightarrow$  attractive energies between equal  
monomers are stronger than between  
different ones

- : unfavorable for mixing

Change in V: Shrinking, expansion

Formulas?

# Flory-Huggins-Theory

$$\Delta G_{mix} = -T\Delta S_t + \Delta G_{loc}$$

1)  $\Delta S_t = Rn_A \ln \frac{V}{V_A} + Rn_B \ln \frac{V}{V_B} \quad \phi_{A,B} = \frac{V_{A,B}}{V}$

$$\Delta S_t = -Rn_A \ln \phi_A - Rn_B \ln \phi_B$$

Assumption: Polymer chains  $\sim$  ideal gas

2)  $\Delta G_{loc} = RT \frac{V}{v_C} \chi \phi_A \phi_B$

$v_C$  Molar volume of a reference unit common to A and B

„The higher the concentration, the more likely the interaction“

Empirical parameter  $\chi$  Flory-Huggins-Parameter  
 Nearest neighbour interactions  
 „contact energies“

$$\Delta G_{loc} \rightarrow 0$$

This makes sense:

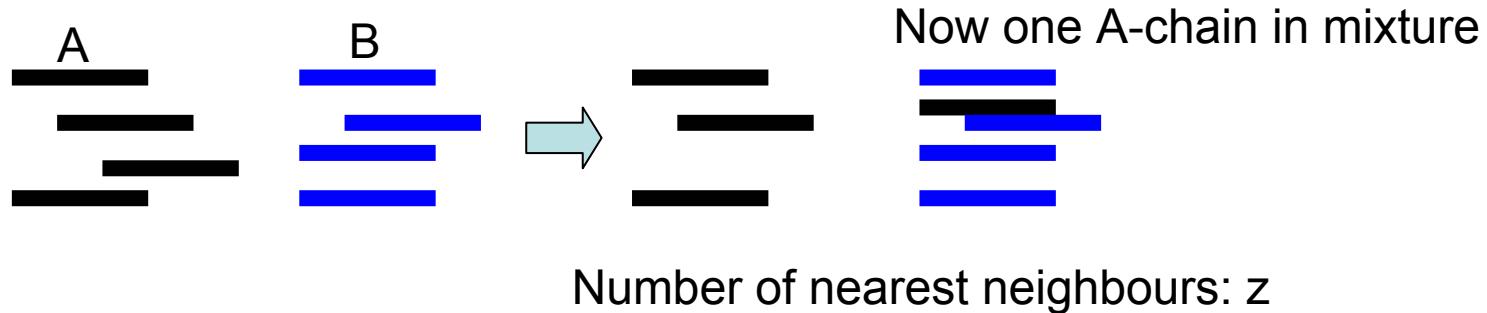
$$\phi_A, \phi_B \rightarrow 0$$

# Flory-Huggins-Theory

$$\Delta G_{mix} = -T\Delta S_t + \Delta G_{loc}$$

$$\Delta G_{loc} = RT \frac{V}{v_C} \chi \phi_A \phi_B$$

Derivation general for solid state physics!



Hence: Increase in potential energy:  $\frac{z}{2} \phi_B kT \chi'$

Avoid double counting  
Increase in  $G$  only when AB-pair is formed  $\rightarrow$  probability:  $\phi_B$

Energy increase by dimensionless parameter:  $\chi'$  with respect to  $kT$

$$\text{Hence: } \Delta G_{loc} \sim kT \frac{z}{2} \chi (\phi_A \phi_B + \phi_B \phi_A)$$

# Phase diagrams

$$\Delta G_{mix} = RTV \left( \frac{\phi_A}{v_A} \ln \phi_A + \frac{\phi_B}{v_B} \ln \phi_B + \frac{\chi}{v_C} \phi_A \phi_B \right) \quad v \quad \text{Molar volume}$$

Low molar mass -> entropy leads to mixing

Polymers: large molecular weights  $v_{A,B} \rightarrow \infty \quad \Delta S_t \rightarrow 0$

Mixing takes place, when  $\Delta G_{mix} < 0$

$$\chi < 0 \quad \text{Mixing!} \quad \chi > 0 \quad \text{incompatibility}$$

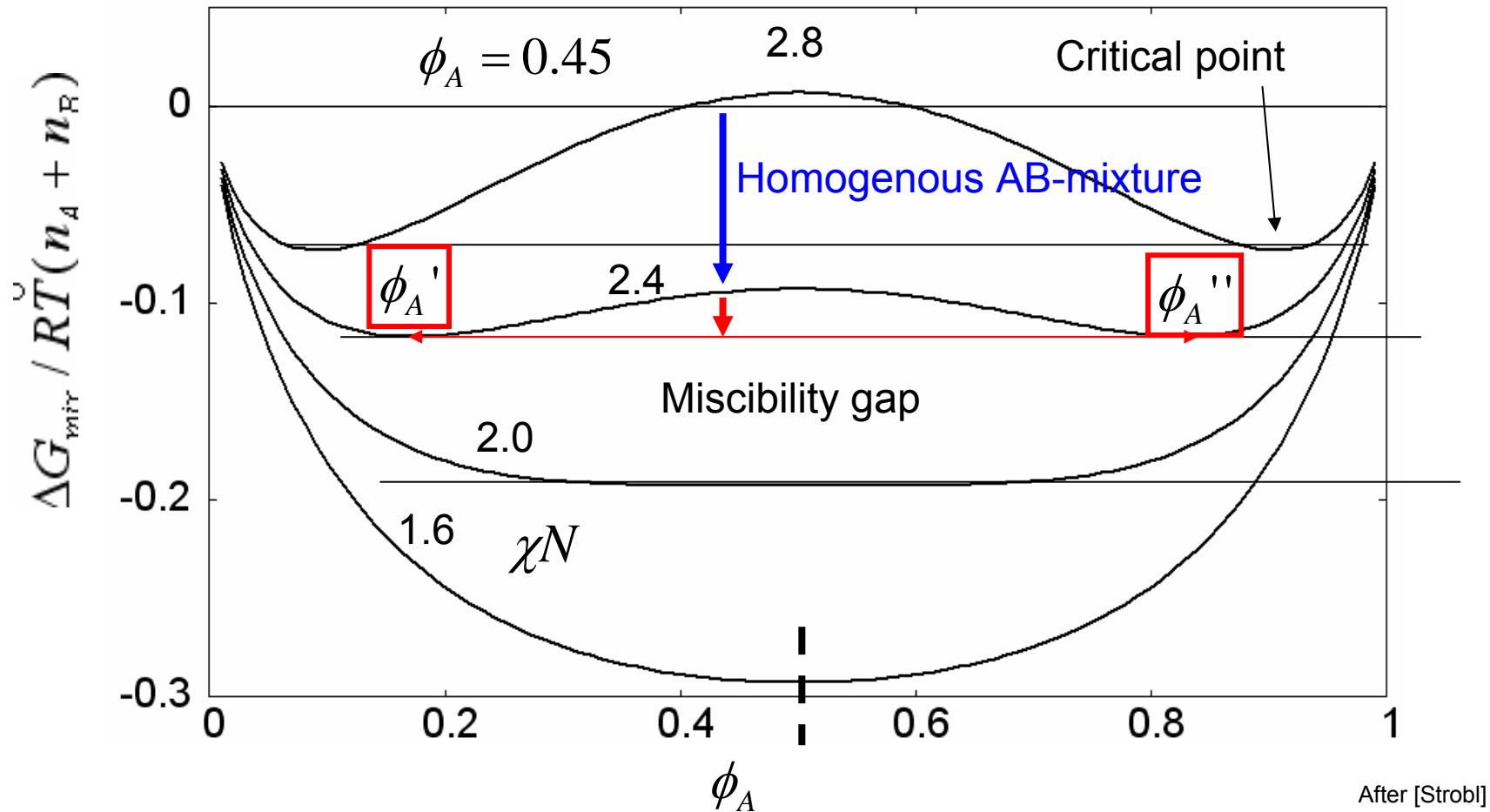
Symmetric mixture: Equal degree of polymerization

$$N_{A,B} = \frac{v_{A,B}}{v_C} \quad N_A = N_B = N \quad \phi_A + \phi_B = 1$$

$$\Delta G_{mix} = RT(n_A + n_B)(\phi_A \ln \phi_A + \phi_B \ln \phi_B + \boxed{\chi N \phi_A \phi_B})$$

# Phase diagrams

Symmetric binary polymer mixture



After [Strobl]

$$\phi_A' < \phi_A < \phi_A'' \quad \text{Two phases, demixing}$$

# Phase diagrams

Consequences  $\left. \frac{\partial^2 \Delta G_{mix}}{\partial \phi_A^2} \right|_{\phi_A=0.5} = \frac{1}{\phi_A} + \frac{1}{1-\phi_A} - 2\chi N = 0$  Vanishing curvature

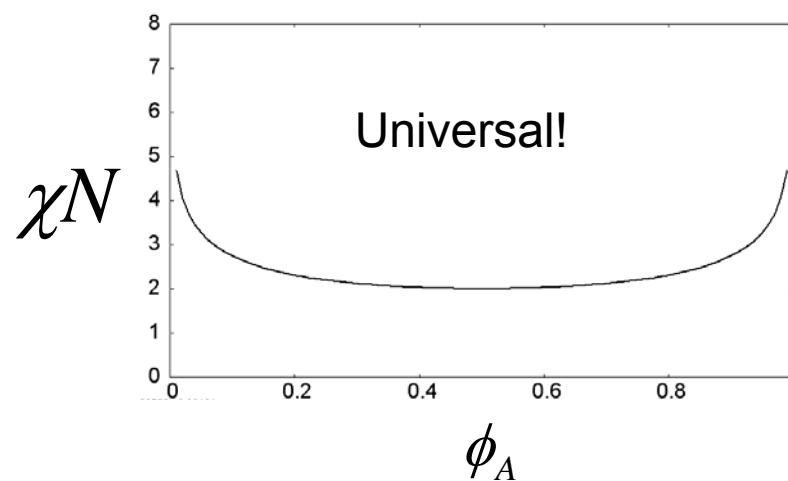
$\chi N = 2$  Critical value: separates region with miscibility gap

$\chi < \chi_c = \frac{2}{N}$  Compatibility through all concentrations, mixing

$\chi > \chi_c$  Miscibility gap  $\longrightarrow N \gg 0$   $\chi > 0$  No mixing for high molecular weight!

Critical concentrations

$$\frac{\partial \Delta G_{mix}}{\partial \phi_A} = 0$$



# Phase diagrams

In general: upper and lower miscibility gap possible

Include T, one can show (thermodynamics!):

$$\Delta G_{loc} = \Delta H_{mix} - T\Delta S_{loc}$$

Endo- or exotherm : >0 or <0

$$\chi \sim \frac{1}{T} \quad \chi = \frac{2}{N} \frac{T_c}{T} > 0$$

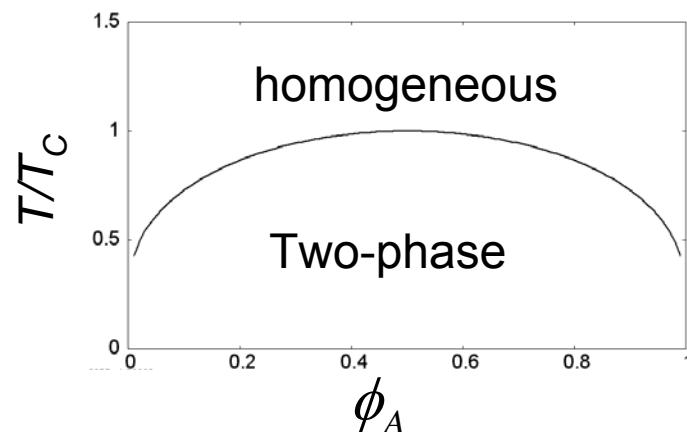
Entropic contributions to  $\Delta G_{loc}$   
(‘mobility’) neglected

Endothermal polymer mixture

miscibility for high temperatures, if  
molecular weights low enough

-> mobile enough!

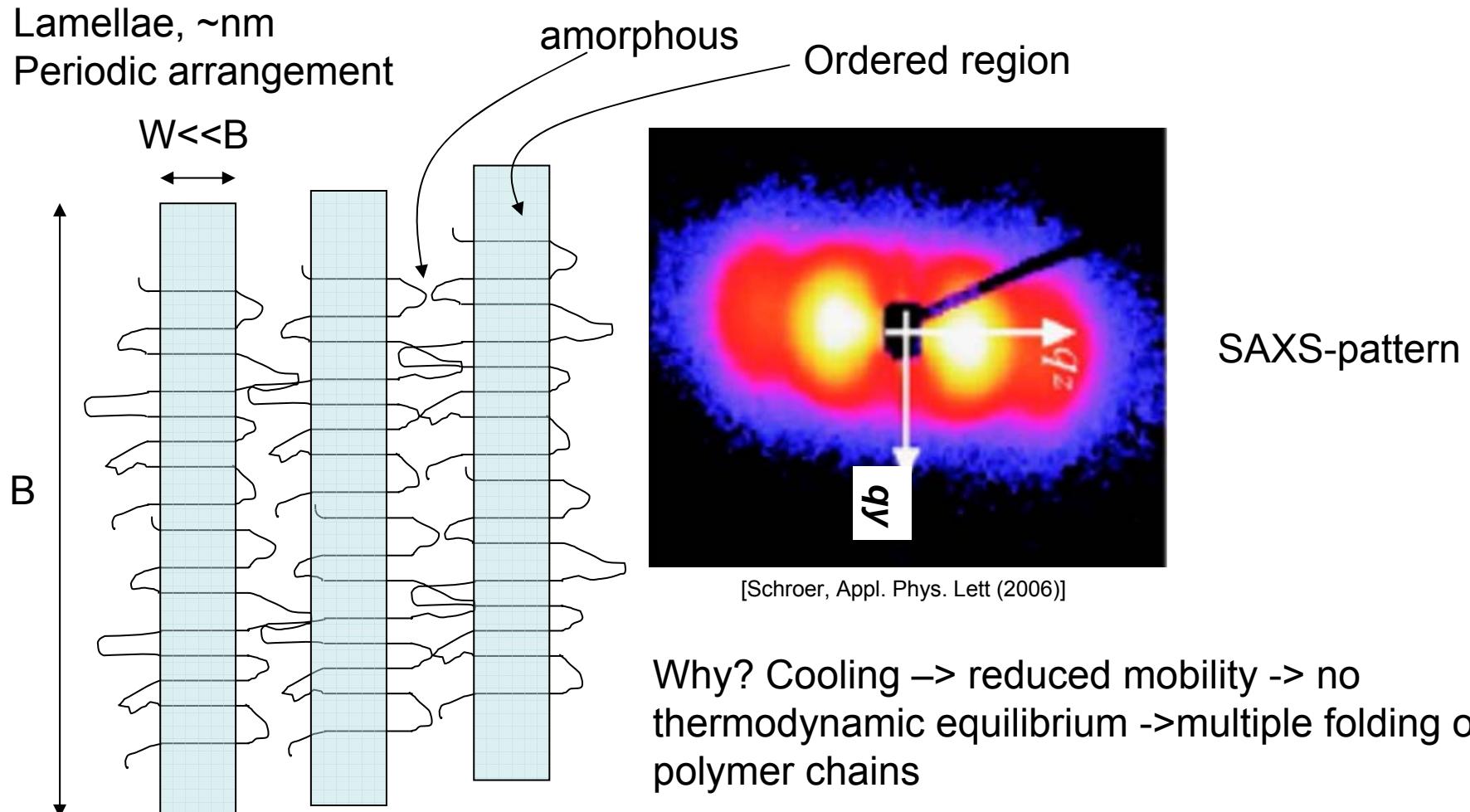
Of course, inverse for exothermal...



# Partial crystalline polymers

PS -> glassy solidification during cooling: no long range order

PE, PP, PA: long range order -> building-up of lamellar block



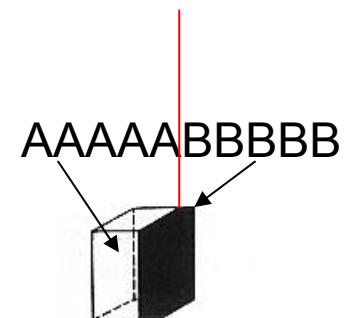
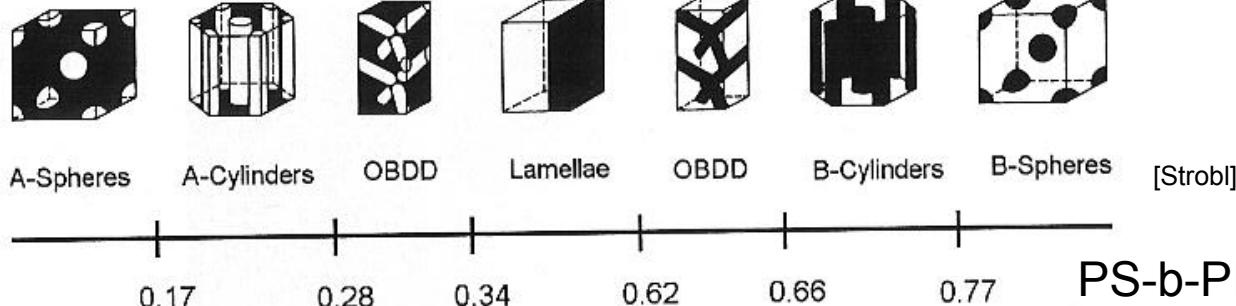
# Block Copolymers

“2 different species couple together by a chemical link” [Strobl]

We learn from Flory-Huggins-Theory:  
binary polymer mixture -> separation in 2 phases

.... AAAAABBBBBAAAAAABBBBB...  
↑  
Linkage ~phase separation

- microphase separation: mesoscopic domains: nm...100nm >> single block
- domains: uniform size, arrangement!
- continuous phase possible



- this is already a nanocomposite
- Applications: Solar cells, magnetic data storage, masks, lithography, nanowires...

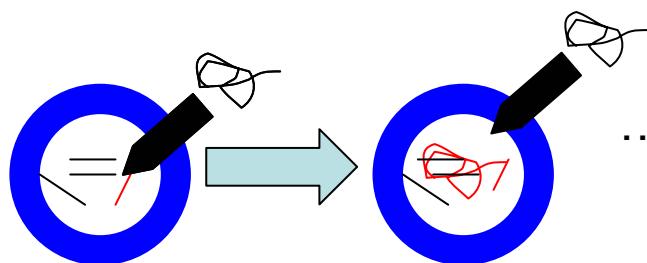
# Colloids

- Polymers
- Metals
- Small particles, dispersed in liquid phase
- Size: nm.... $\mu$ m
- Wall paintings
- Polymers: Spheres

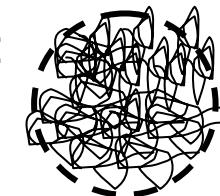
Production: [Xia, Adv. Mat. (2000)]

Emulsion polymerization: Example latex particles (styrene/butadiene)

- 1 Initiator (e.g. Potassium-persulfat) -> dissolved in water: Burst of free radicals  
-> polymerize monomers: nuclei 
- 2 Surfactant: Micelles, swollen by monomer

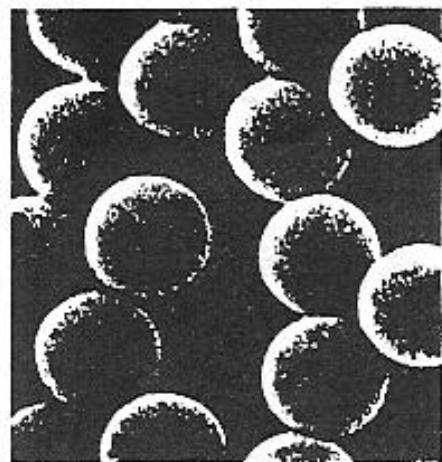


...until all monomer consumed:



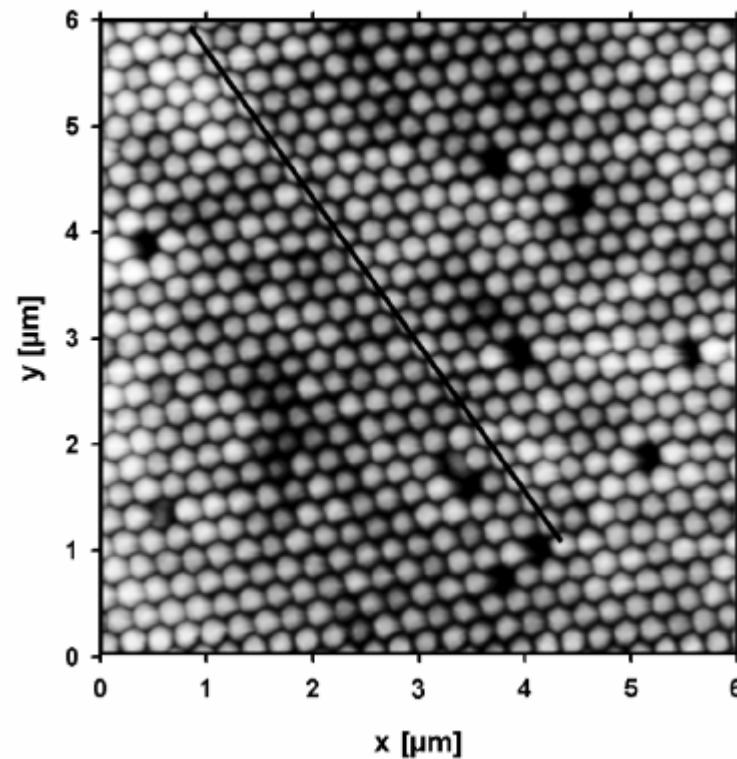
# Colloids

... and you obtain nice spheres:



[Springer]

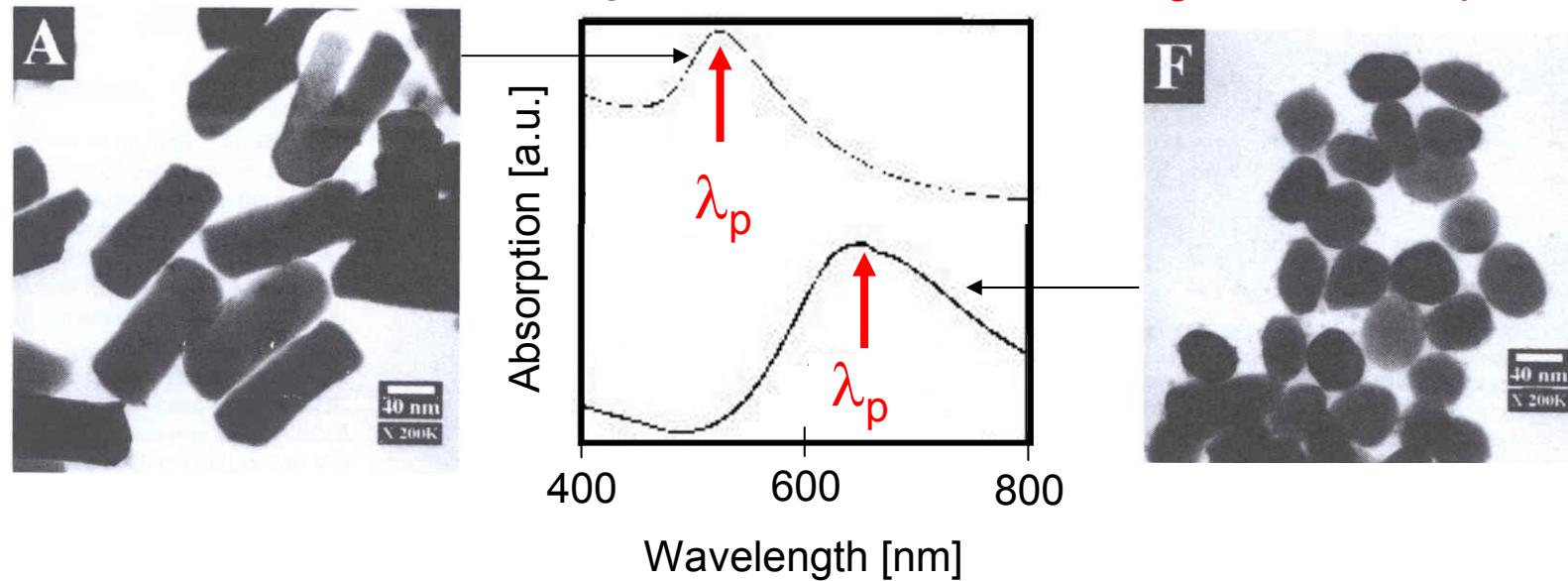
... which you can nicely arrange here in 2D:



[Frömsdorf, J. Chem. Phys. (2006)]

# Application

Optical properties: sharp resonances  $\leftrightarrow$  plasmon resonances  
(visible light) cluster arrangement & shape

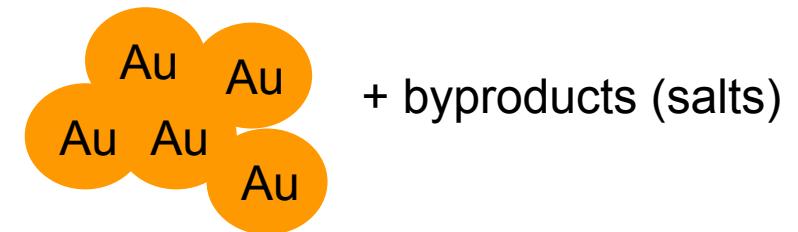
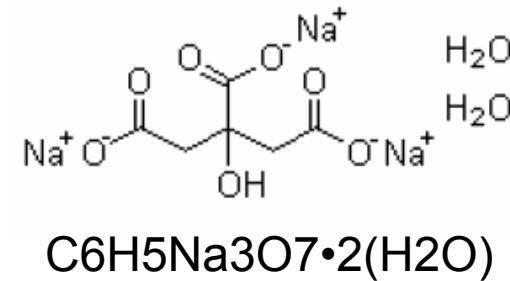


J.C. Hulteen et al., J Phys. Chem. B 101, 7727 (1997)

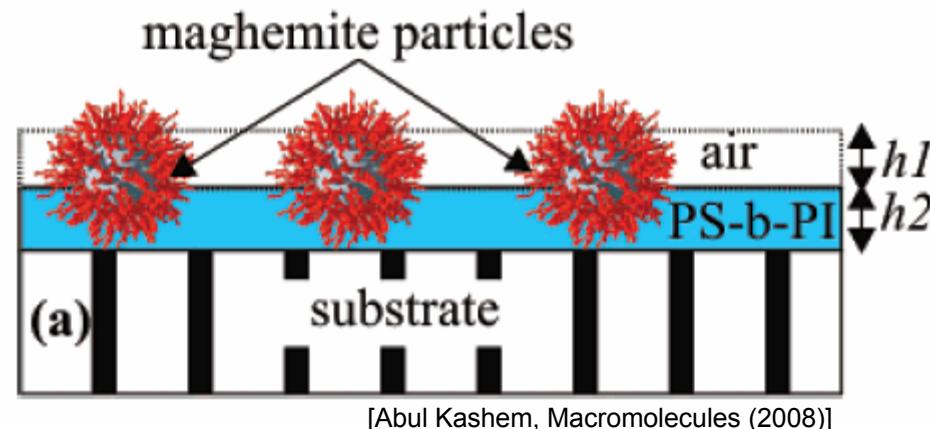
# Colloids – Gold, Silica, Fe, CoPt, etc...

Simple recipes: Bauer, Nanotechnology (2003)

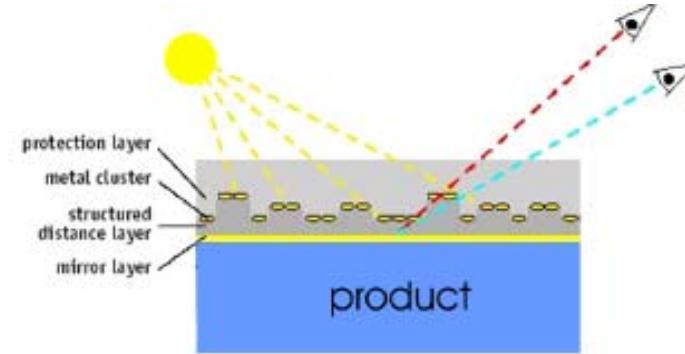
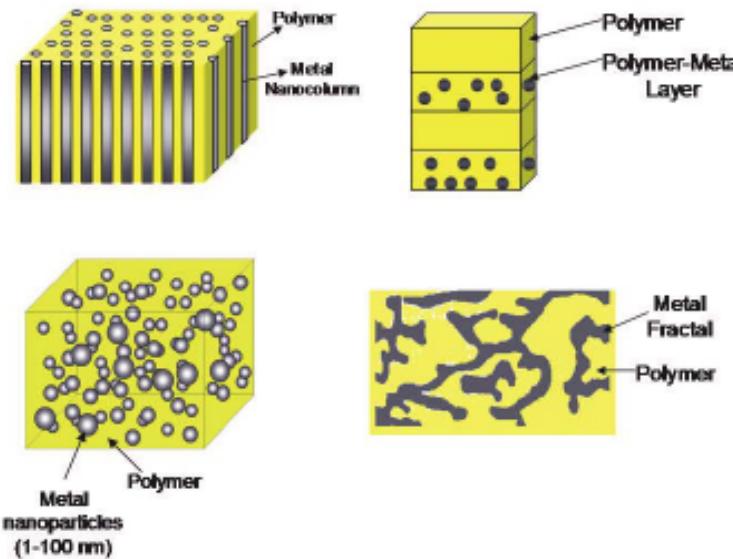
- (1) 0.01% (w/v) tetrachloroauric[III]acid trihydrate (**HAuCl<sub>4</sub>·3H<sub>2</sub>O**) in 100 ml of water) is heated to boiling.
- (2) 1 ml of 1% (w/v) **trisodium citrate dihydrate** is added to the boiling solution under constant stirring.
- (3) In about 25 s the slightly yellow solution will turn faintly blue (**nucleation**).
- (4) After approximately 70 s the blue colour then suddenly changes to dark red, indicating the formation of monodisperse spherical particles.
- (5) The solution is boiled for another 5 min to complete reduction of the gold chloride.



More structures: Core-Shell:



# Polymer-Metal Nanocomposites

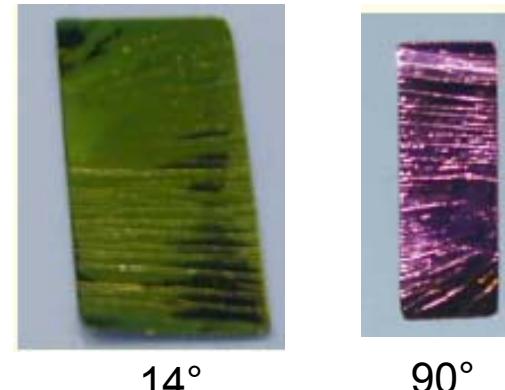


Bauer et al., Nanotechnology 14,1289 (2003)

Biswas et al., Vac. Tech. Coat. 7, 54 (2006).



<http://www.siliconsolar.com>



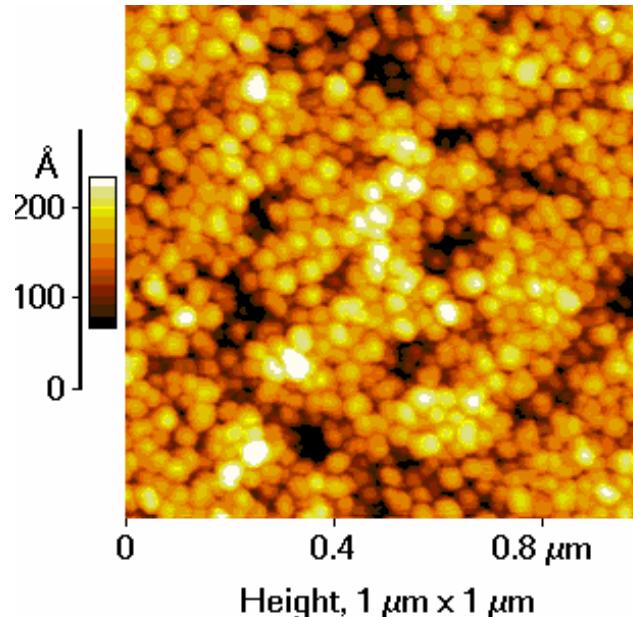
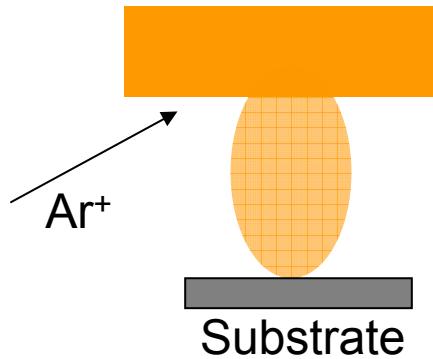
**High-frequency filters  
Solar cells**

$$\nu_{lim} \sim \rho / R^2$$

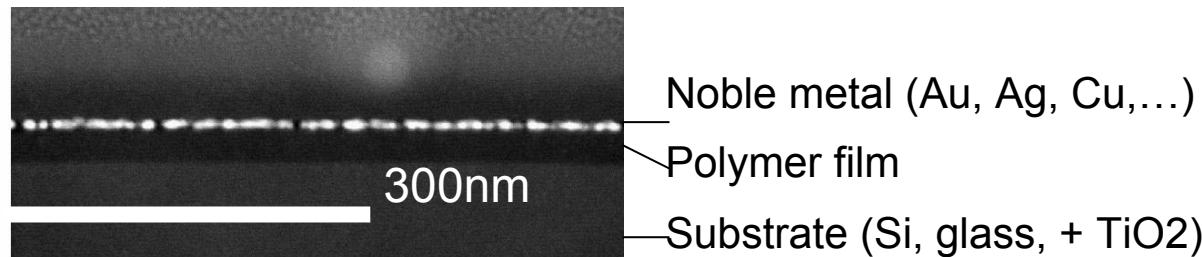
**Anti-counterfeiting  
Biosensors**

# Polymer-Metal Nanocomposites: Production

Sputter deposition:



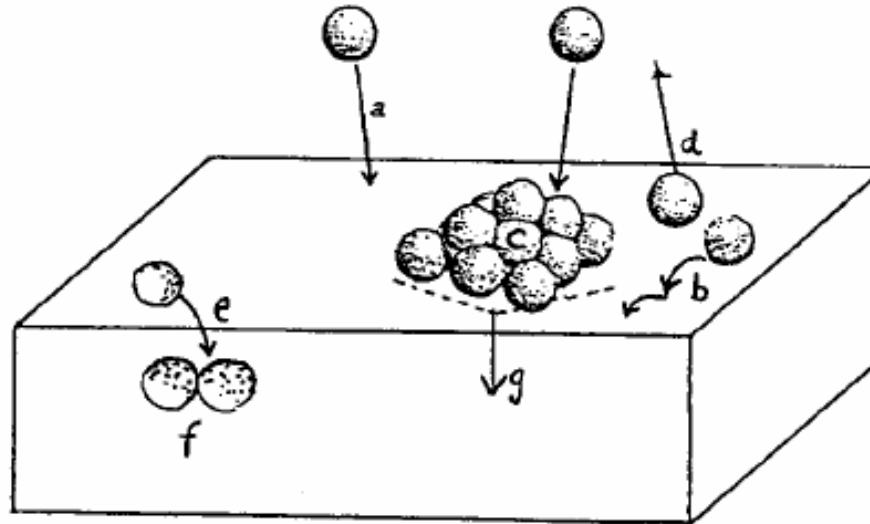
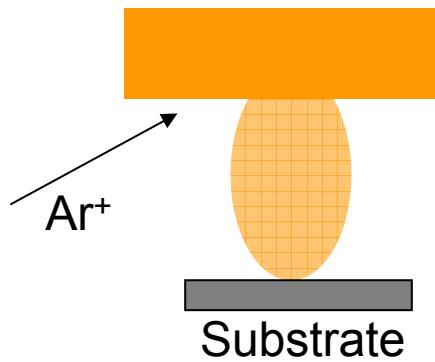
- Nice clusters, too
- Fast deposition method
- Usually broader size distribution
- self-assembly: Au-Au interaction much stronger than Au-Polymer interaction



Roth et al., Appl. Phys. Lett. **88**, 021910 (2006)

# Polymer-Metal Nanocomposites: Production

Sputter deposition:



F. Faupel et al., in: Low Dielectric Constant Materials for IC Applications, P. S. Ho, W. W. Lee, and J. Leu (Eds.), Springer Verlag, 221 (2003) pp. 221

**- Nucleation   - Growth   - Coalescence**

**Modelling of industrial process: in-situ kinetic of nanoparticle growth**