

# Methoden moderner Röntgenphysik I

## Coherence based techniques II

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# Outline

**18.12. 2008**

**Introduction to Coherence**

**8.01. 2009**

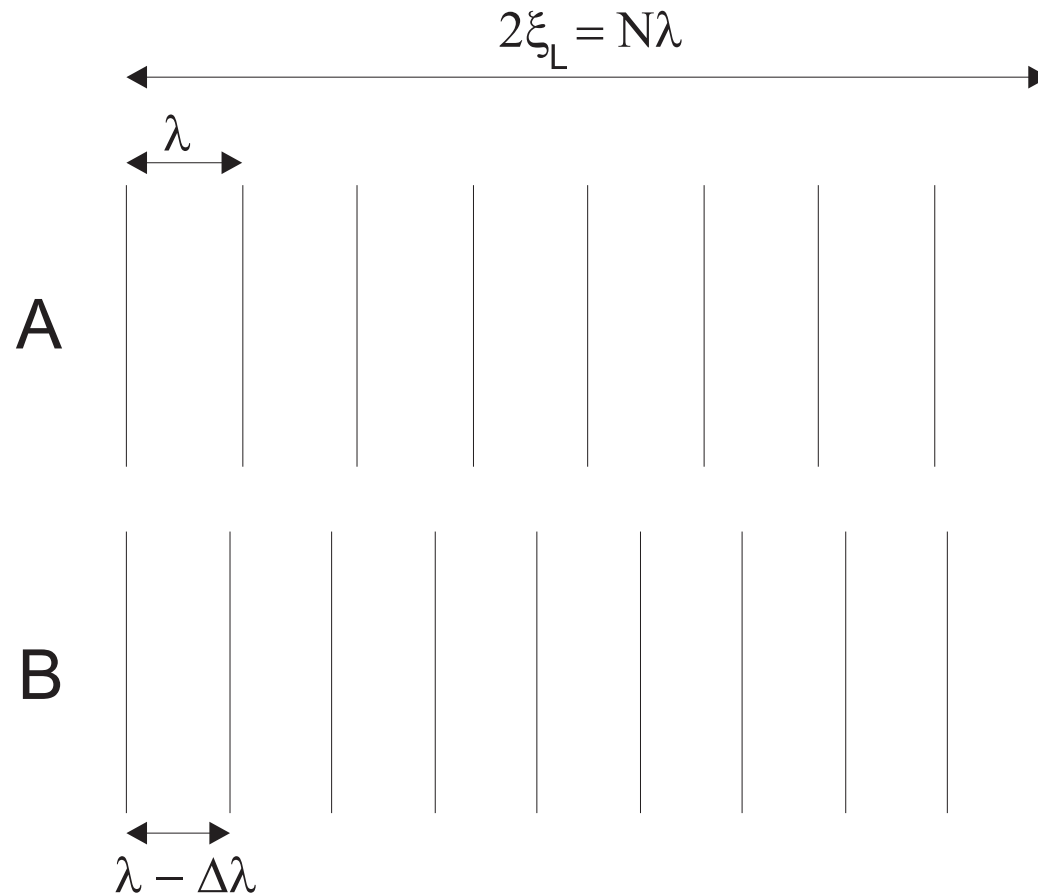
- **Structure determination techniques**
- **Oversampling**
- **Coherent Diffractive Imaging**
- **Fourier transform Holography**

**15.01.2009**

**Correlation Spectroscopy**

# **Last lecture**

# Longitudinal coherence



$$N\lambda = (N + 1)(\lambda - \Delta\lambda)$$

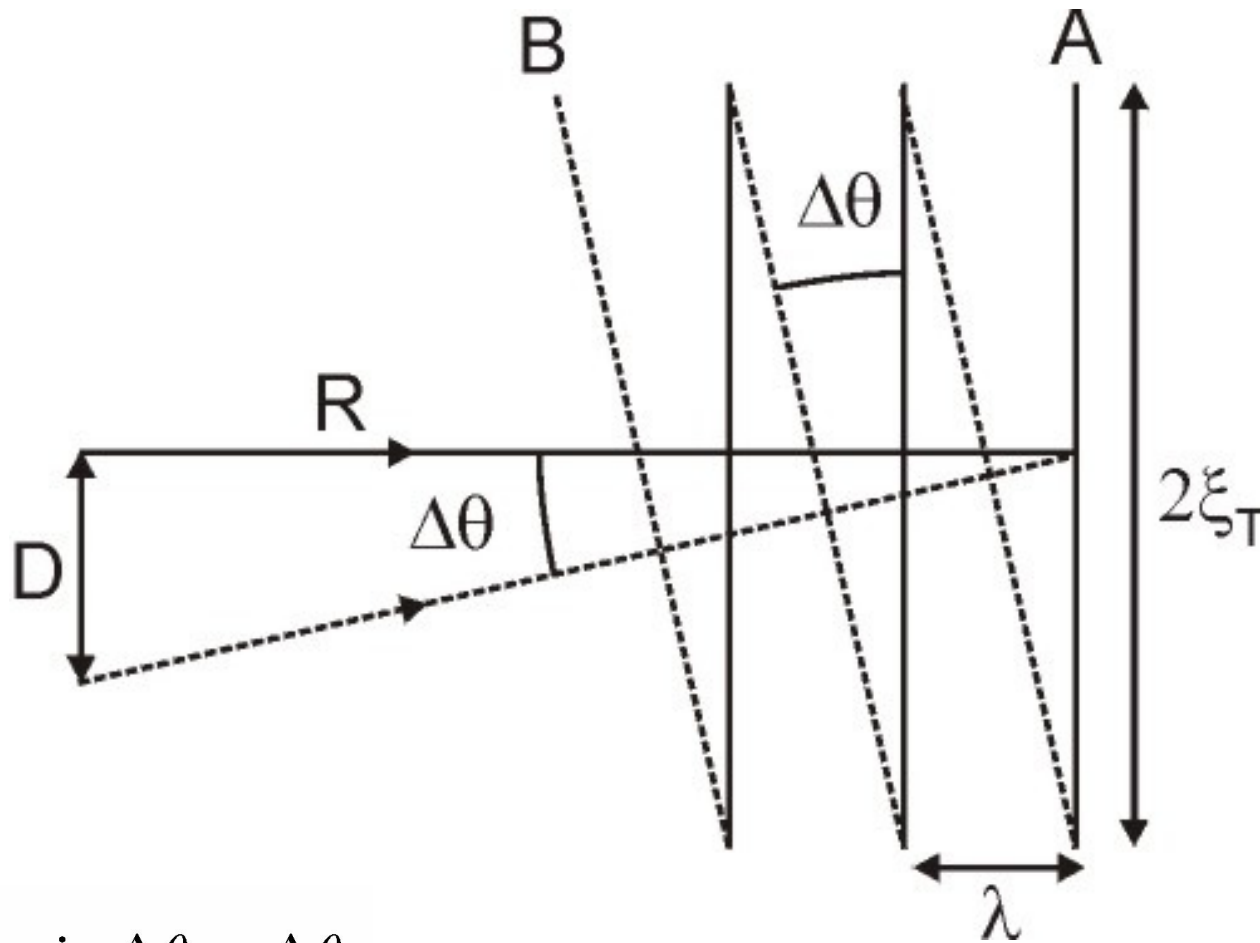
$$(N + 1)\Delta\lambda = \lambda$$

$$N \approx \frac{\lambda}{\Delta\lambda}$$

$$\xi_L = \frac{N\lambda}{2} = \frac{1}{2} \frac{\lambda^2}{\Delta\lambda}$$

longitudinal coherence depends  
on bandwidth

# Transverse coherence



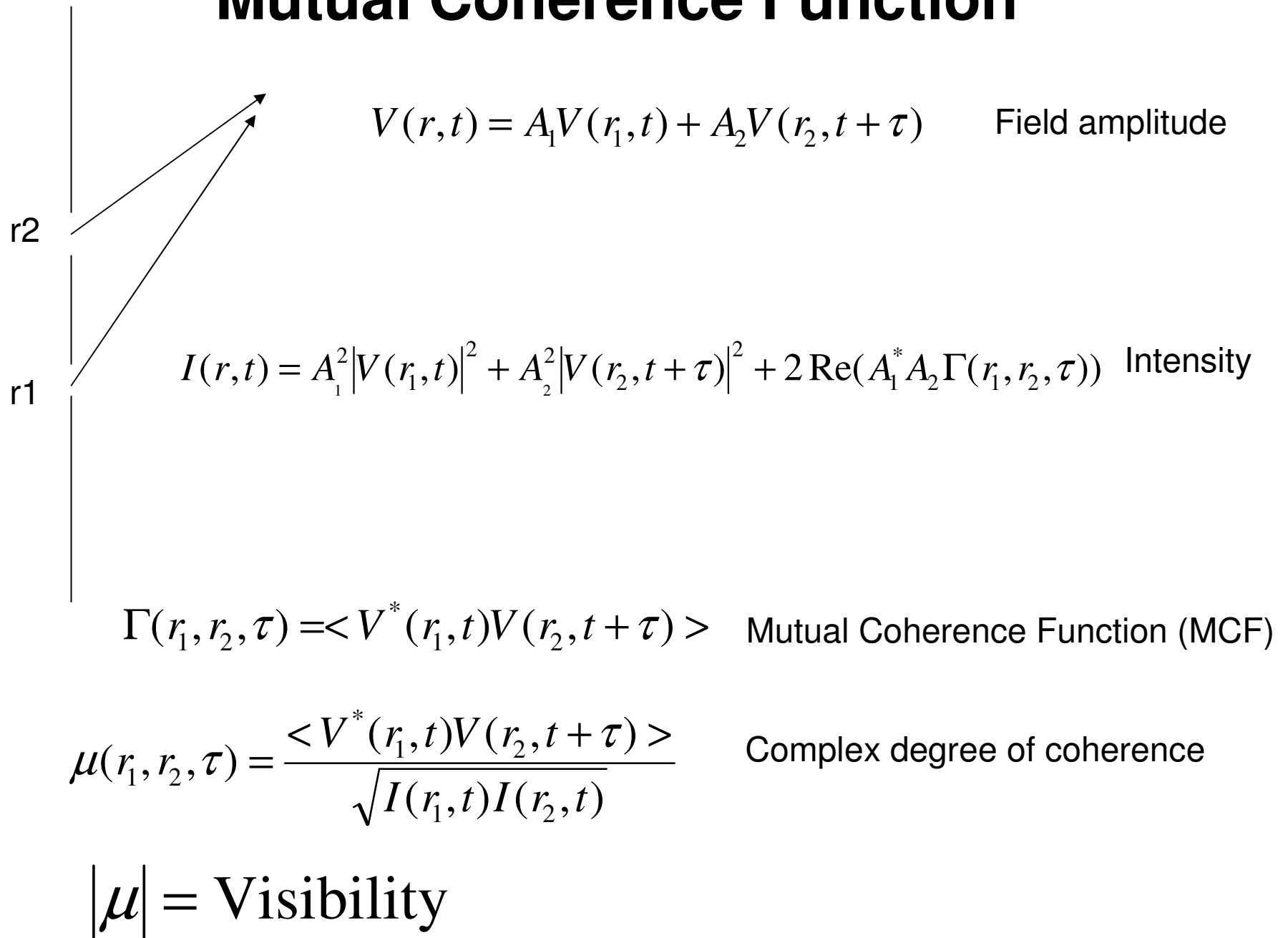
$$\frac{\lambda}{2\xi_T} = \sin \Delta\theta \approx \Delta\theta$$

$$\frac{D}{R} = \tan \Delta\theta \approx \Delta\theta$$

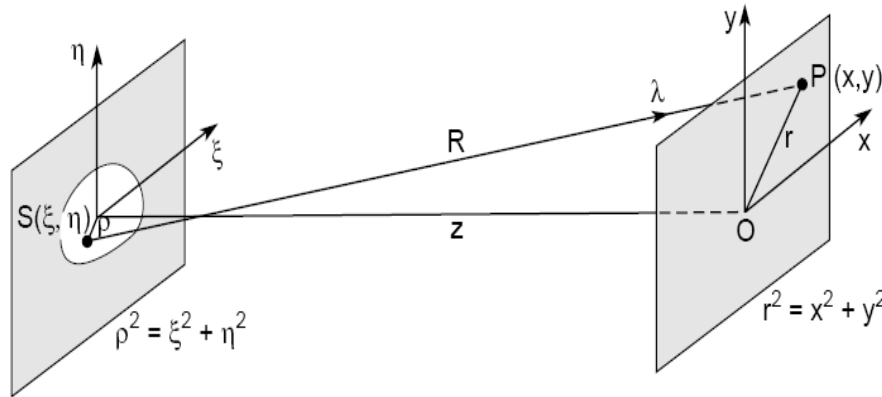
$$\xi_T \approx \frac{\lambda R}{2 D}$$

transverse coherence depends  
on distance and source size

# Mutual Coherence Function



# van Cittert - Zernike Theorem



$$p = \frac{X}{R}, \quad q = \frac{Y}{R}, \quad \psi = \frac{k(X^2 + Y^2)}{R}$$

$$\theta = \frac{r}{z}$$

complex degree of coherence

$$\mu(0, P) = \frac{e^{-i\psi} \iint_S I(\xi, \eta) e^{ik(p\xi + q\eta)} d\xi d\eta}{\iint_S I(\xi, \eta) e^{ik(p\xi + q\eta)} d\xi d\eta}$$

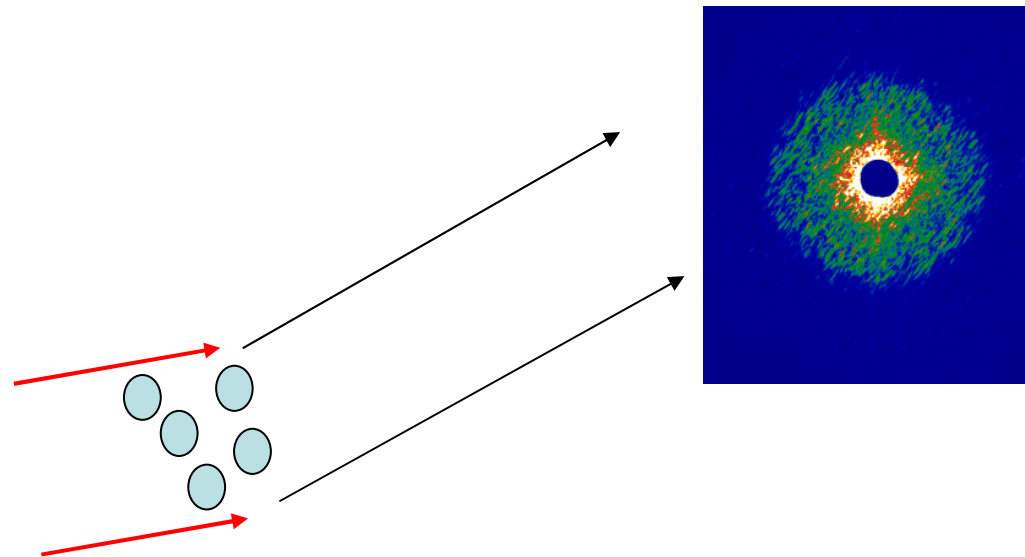
Fourier Transform of the source intensity distribution!

Axial symmetry

$$\mu(0, P) = \frac{e^{-i\psi} \int_0^\infty I(\rho) J_0(k\rho\theta) \rho d\rho}{\int_0^\infty I(\rho) \rho d\rho}$$

# Speckle Pattern

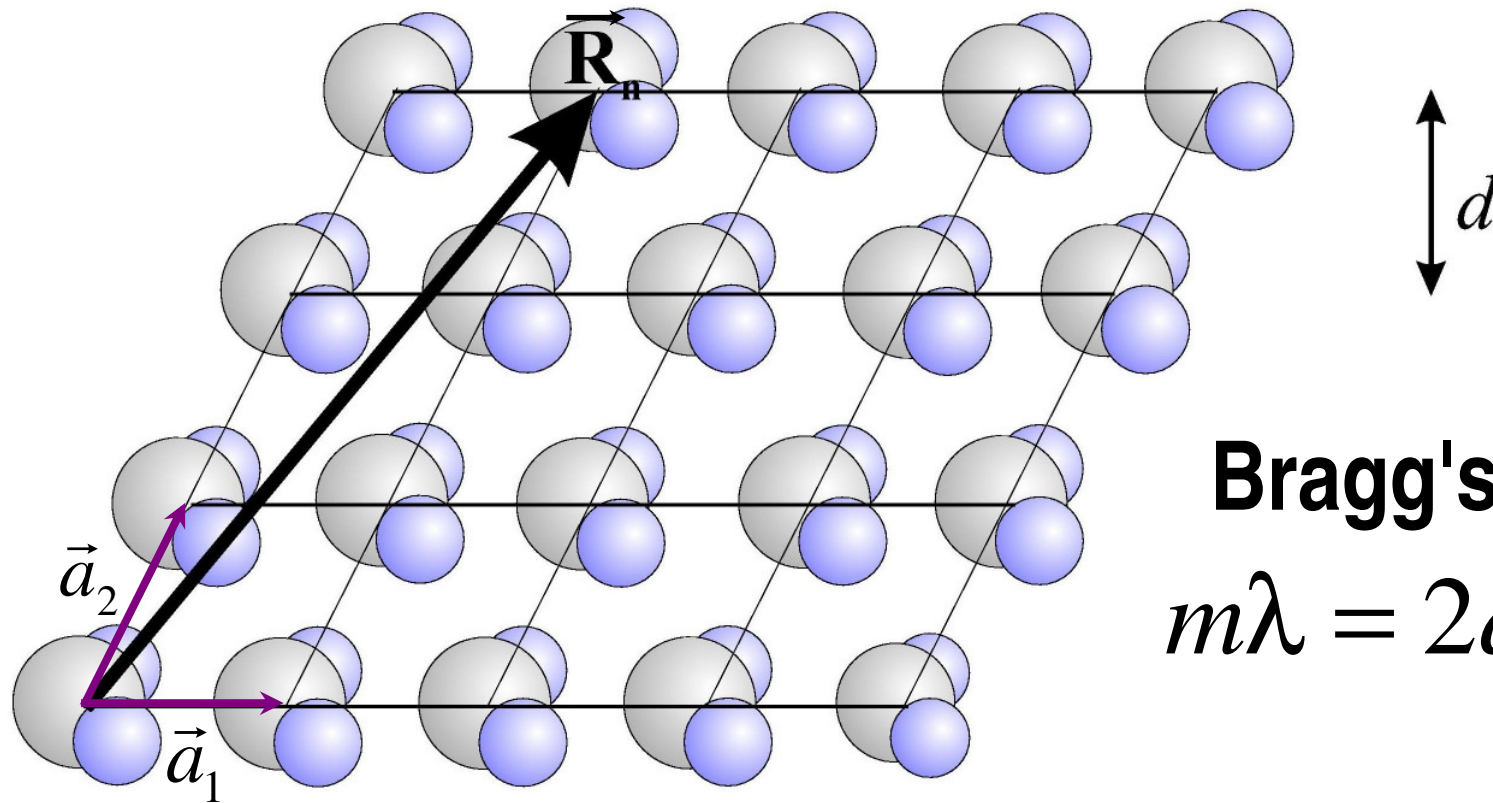
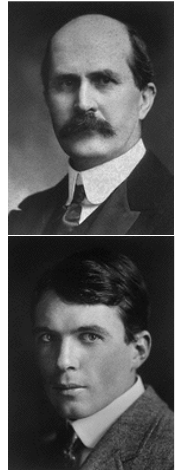
"Everything interferes with everything"





# Scattering from a Crystal

William Henry Bragg (1862 - 1942)  
 William Lawrence Bragg (1890 - 1971)  
 Nobelpreis 1915



**Bragg's Law:**  
 $m\lambda = 2d \sin \theta$

$$F_{\text{crystal}}(\vec{q}) = \left( \sum_{j=1}^N f_j(\vec{q}) e^{i\vec{q} \cdot \vec{r}_j} \right) \cdot \left( \sum_{n=1}^M e^{i\vec{q} \cdot \vec{R}_n} \right)$$

**Unit Cell Structure Factor**

**Lattice Sum**

$$F_{\text{uc}}(\vec{q})$$

# Elastic Scattering from a Crystal

Differential Scattering Cross Section

$$\frac{d\sigma}{d\Omega} = \left( \frac{d\sigma}{d\Omega} \right)_0 S(\vec{q})$$

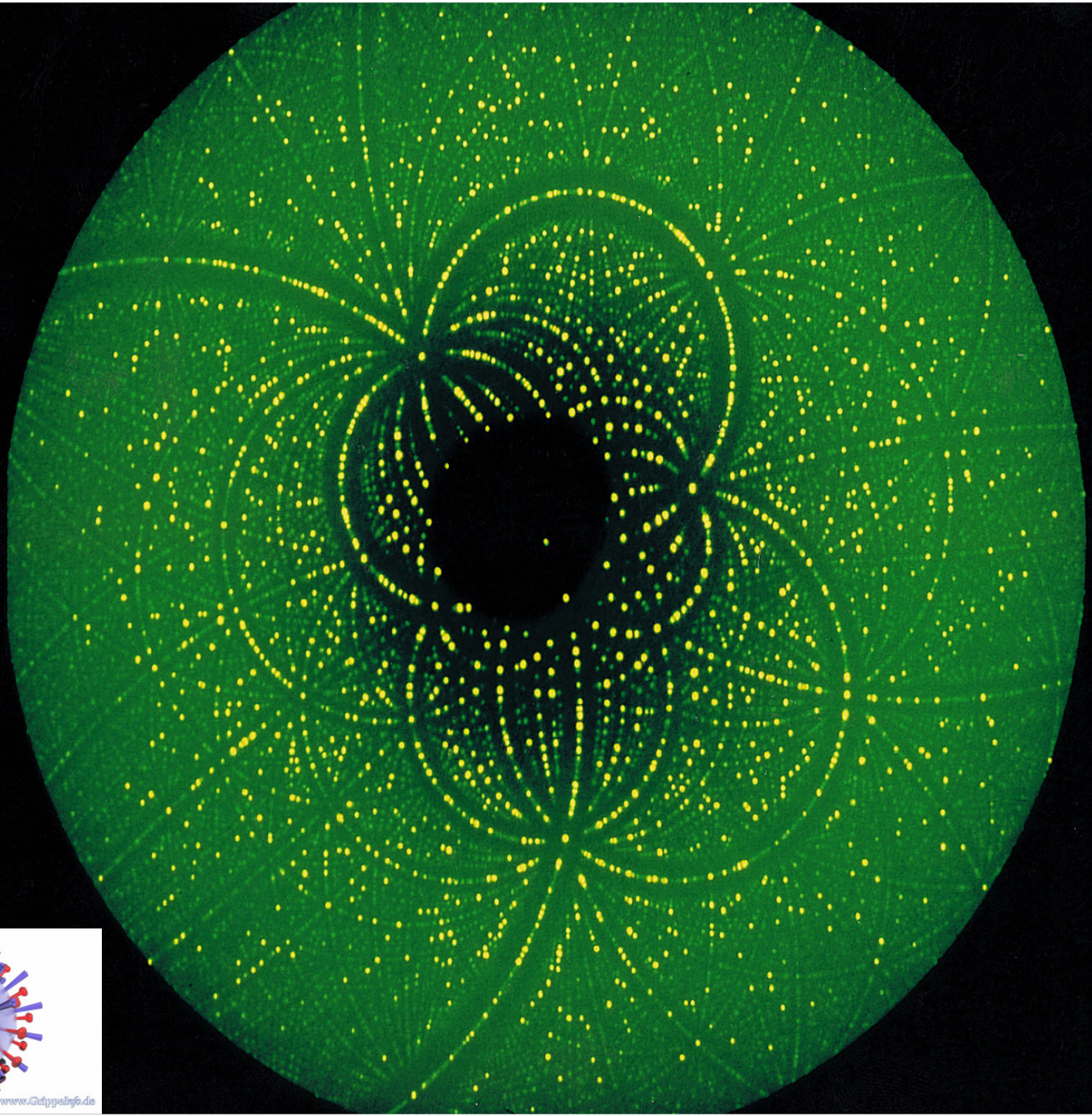
Intrinsic Cross Section  
Coupling Beam  $\Leftrightarrow$  Sample

Properties of the Sample without Beam

$$S(\vec{q}) = \left| F_{\text{crystal}}(\vec{q}) \right|^2$$

„Phase problem“





[www.Gelppalyo.de](http://www.Gelppalyo.de)

## Solution to the phase problem for periodic objects classical crystallography

- direct methods (using the fact that the density is real and positive)
- anomalous X-ray scattering (MAD)
- heavy atoms
- ...

- + atomic resolution
- need for crystals
- x-ray damage

## Structure determination of non-periodic objects a zoo of scanning x-ray techniques

- scanning transmission x-ray microscope
- tomography (medical imaging)
- ...

- + no need for crystals
- + 20-30 nm resolution
- limited dynamics

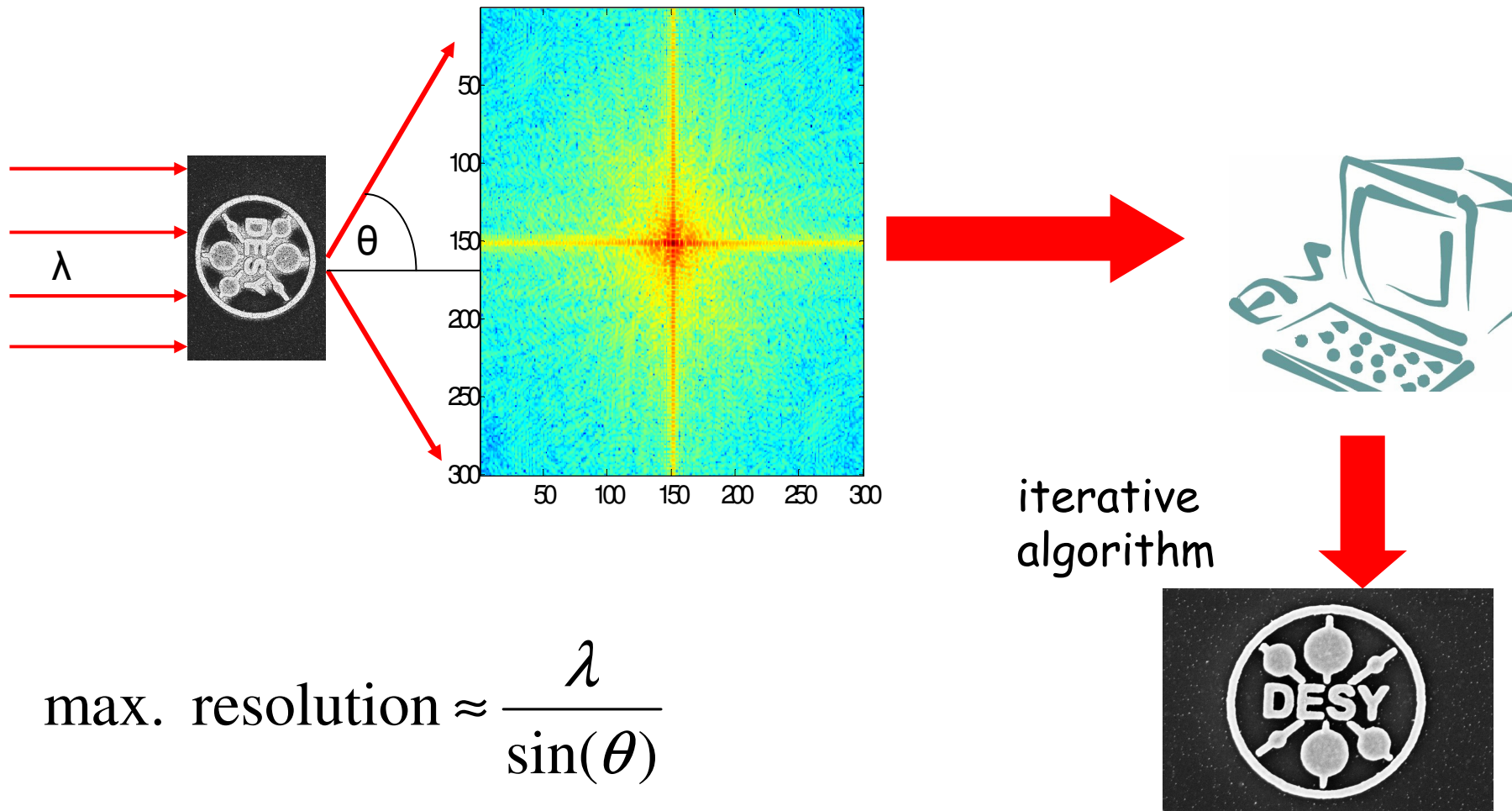
## Coherence based techniques for structure determination

Ultrafast (femtoseconds) imaging techniques for non-periodic objects

- Coherent diffractive imaging
- Fourier transform holography
- Holographic imaging
- Ptychography
- and all combinations thereof....



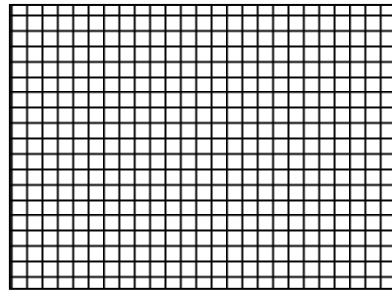
# Structure Determination from Oversampled Speckle Pattern



D. Sayre, "Some implications of a theory due to Shannon," *Acta Cryst.* **5**, 843 (1952).

J. R. Fienup, "Phase retrieval algorithms: a comparison," *Appl. Opt.* **21**, 2758-2769 (1982).

# Phase retrieval and oversampling

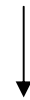


$\rho(x, y, z)$      $L \times M \times N$  unknown variables

M



measured quantity  $|F|^2$   
sampled at Bragg peak frequency



$$|F(k_x, k_y, k_z)|$$

L

$$= \left| \sum_{x=0}^{l-1} \sum_{y=0}^{m-1} \sum_{z=0}^{n-1} \rho(x, y, z) e^{2\pi i(k_x x/l + k_y y/m + k_z z/n)} \right|,$$

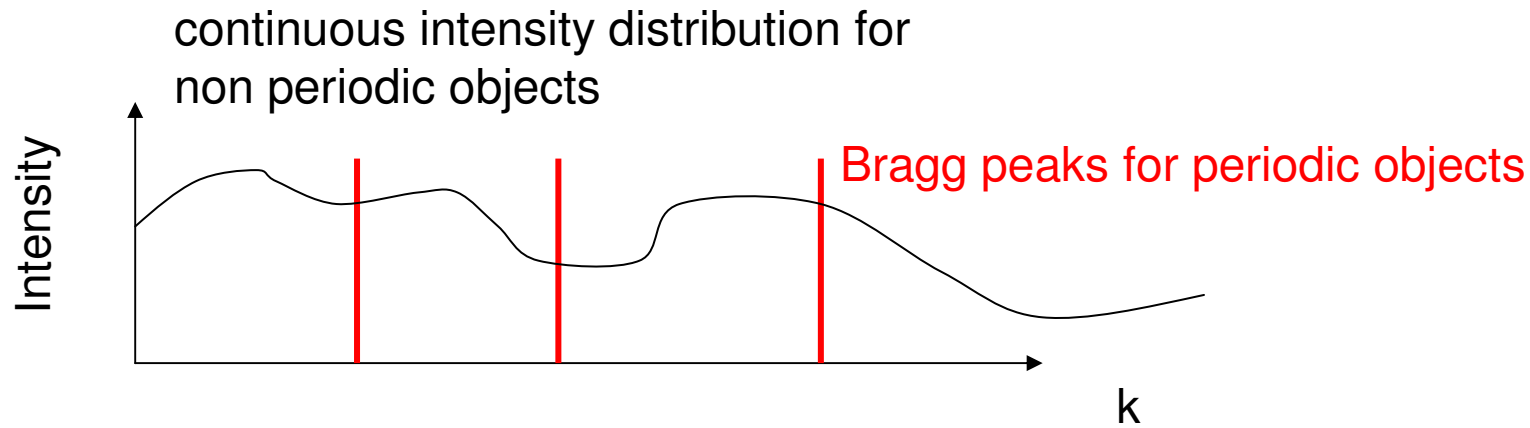
$$k_x = 0, \dots, l-1, \quad k_y = 0, \dots, m-1, \quad k_z = 0, \dots, n-1 \quad (1)$$

Friedel's law

$(L \times M \times N) / 2$  independent equations



No inversion possible



Idea: sample  $k$  finer than Bragg frequency, e.g.  $\sqrt[3]{2}$

$$|F(k_x, k_y, k_z)| = \left| \sum_{x=0}^{l-1} \sum_{y=0}^{m-1} \sum_{z=0}^{n-1} \rho(x, y, z) \times e^{2\pi i [k_x x / (\sqrt[3]{2}l) + k_y y / (\sqrt[3]{2}m) + k_z z / (\sqrt[3]{2}n)]} \right|,$$

$$k_x = 0, \dots, \sqrt[3]{2}l - 1, \quad k_y = 0, \dots, \sqrt[3]{2}m - 1,$$

$$k_z = 0, \dots, \sqrt[3]{2}n - 1.$$

Number of independent equations  
= number of unknown variables

$$\left( \sqrt[3]{2} \right)^3 (L \times M \times N) / 2 = L \times M \times N$$

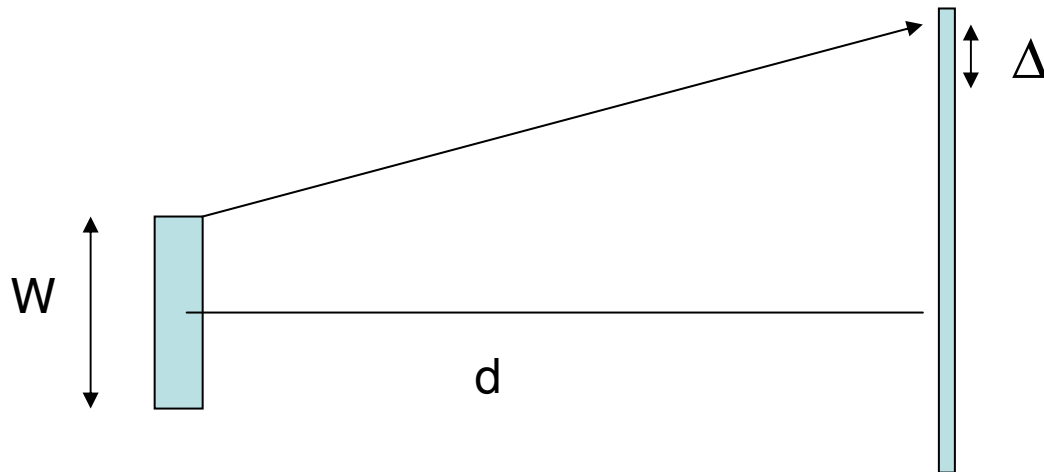


# Shannon's theorem in X-ray scattering

If a diffraction pattern is sampled at spatial frequencies at least twice that corresponding to the size of the sample the phases can be recovered by means of iterative algorithms.

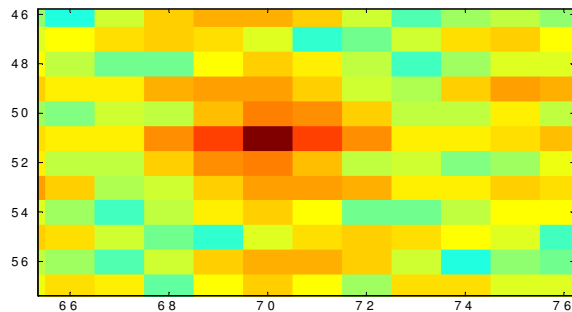
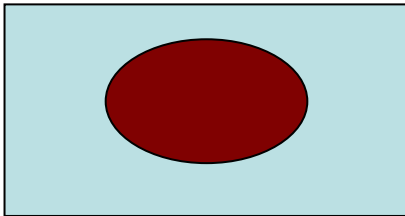
$$\Delta = \frac{1}{2} \frac{\lambda d}{W}$$

sampling in reciprocal space

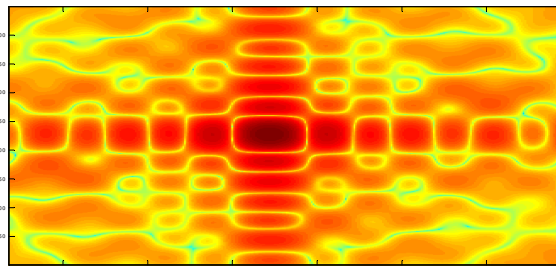


oversampling parameter

$$\sigma = \frac{\text{speckle size}}{\text{pixel size}} = \frac{\lambda d}{WP} \geq 2$$

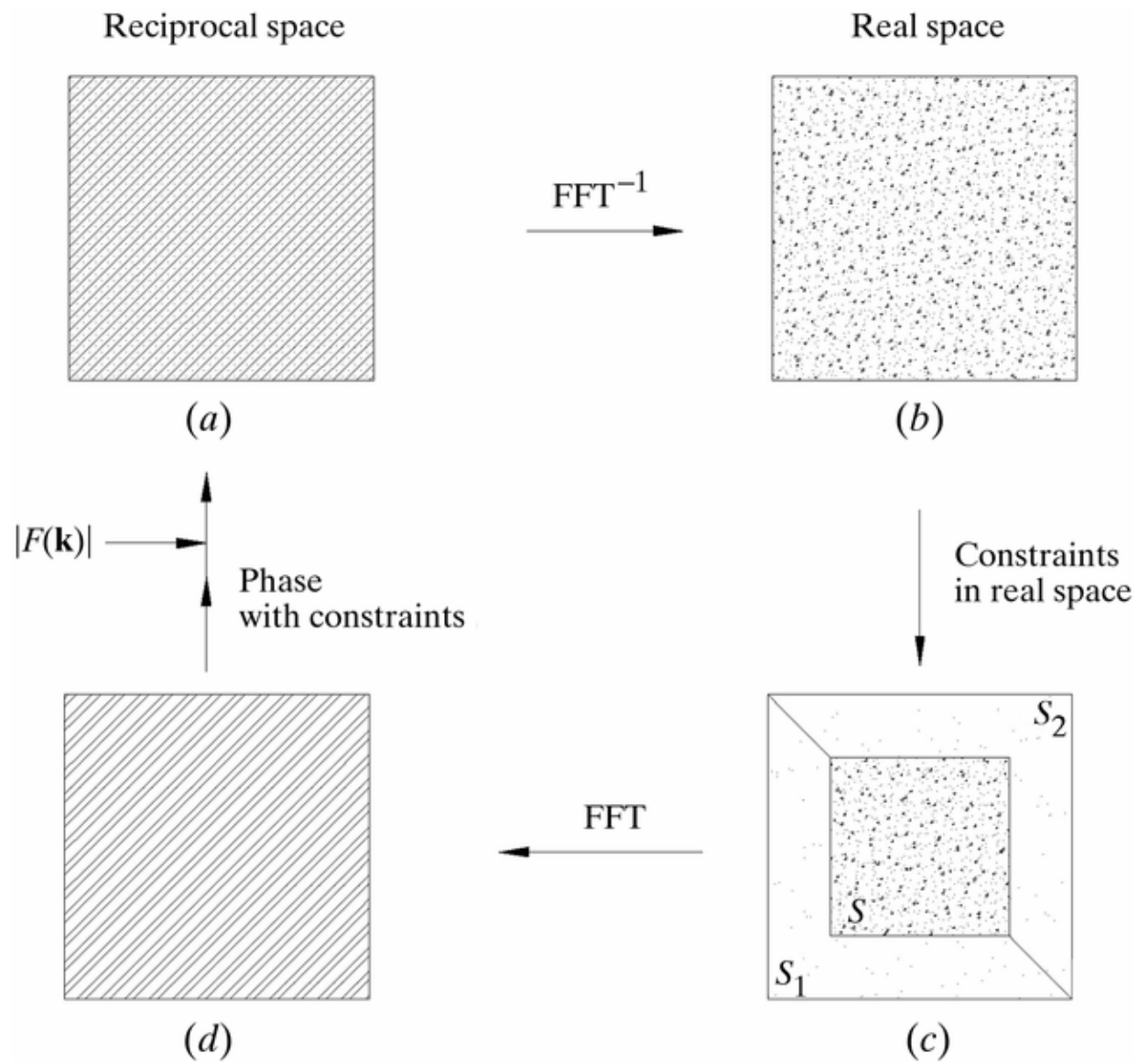


$$\sigma = 1$$



$$\sigma = 770$$

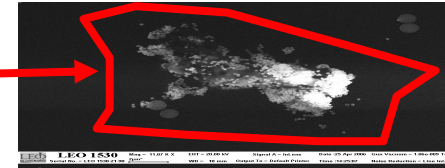
# The iterative algorithm due to Gerchberg-Saxton-Fienup



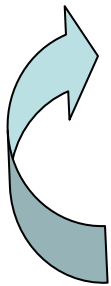
# The hybrid-input-output (HIO) algorithm

get some a priori knowledge about the support  
i.e. shape of your object

area inside support  $S$



0. Add random phases to the measured amplitudes  $|F(\mathbf{k})|$  (square root of the measured intensities), which gives  $G_1(\mathbf{k})$ .
1. Substitute the amplitudes with the measured ones ( $\rightarrow G'_1(\mathbf{k})$ ).
2. Fourier transform into real space ( $\rightarrow g'_1(\mathbf{x})$ ).
3. Set negative pixels<sup>a</sup> or pixels that lie outside of the support to zero ( $\rightarrow g_2(\mathbf{x})$ ).
4. Fourier transform back into reciprocal space ( $\rightarrow G_2(\mathbf{k})$ ).

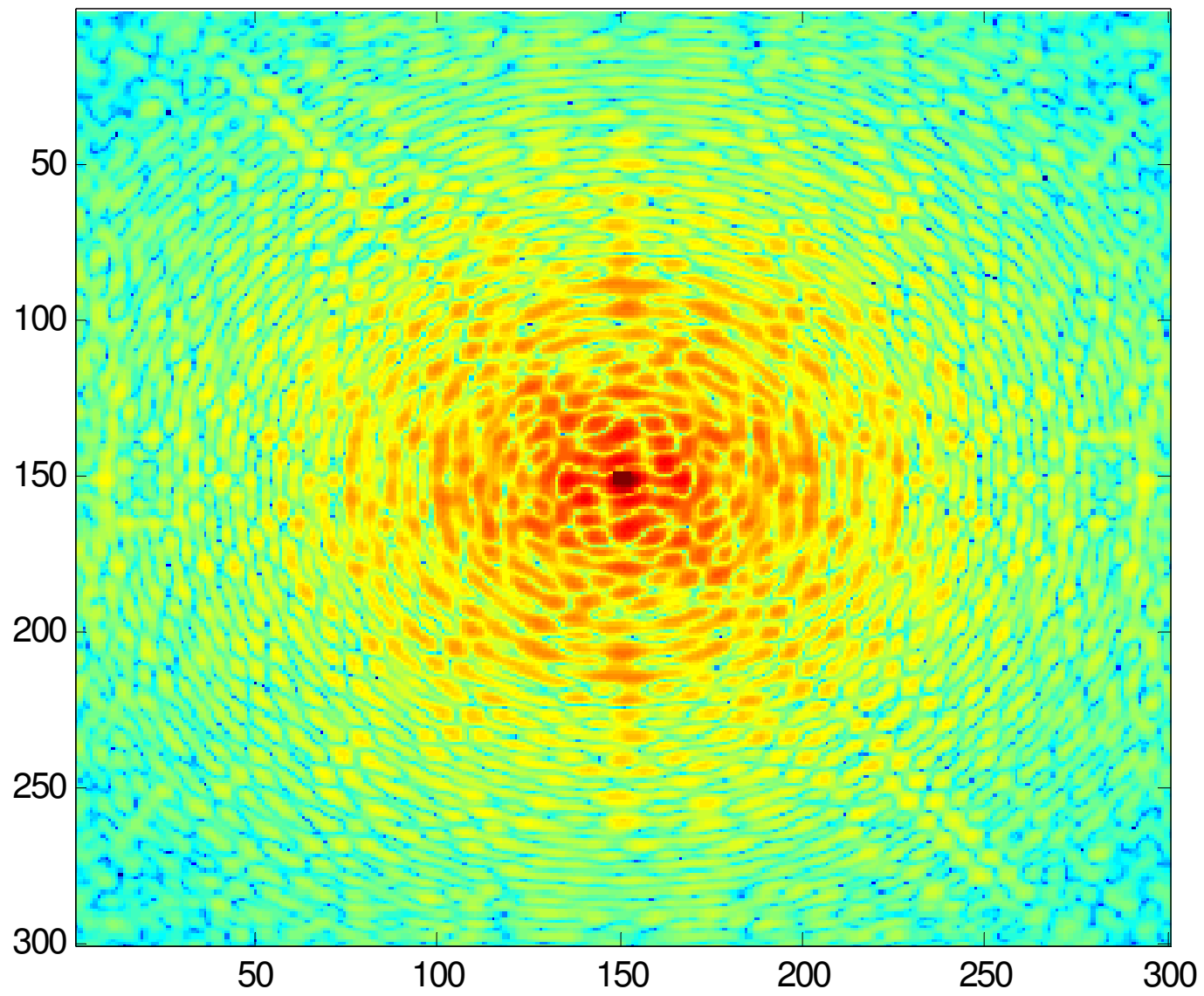


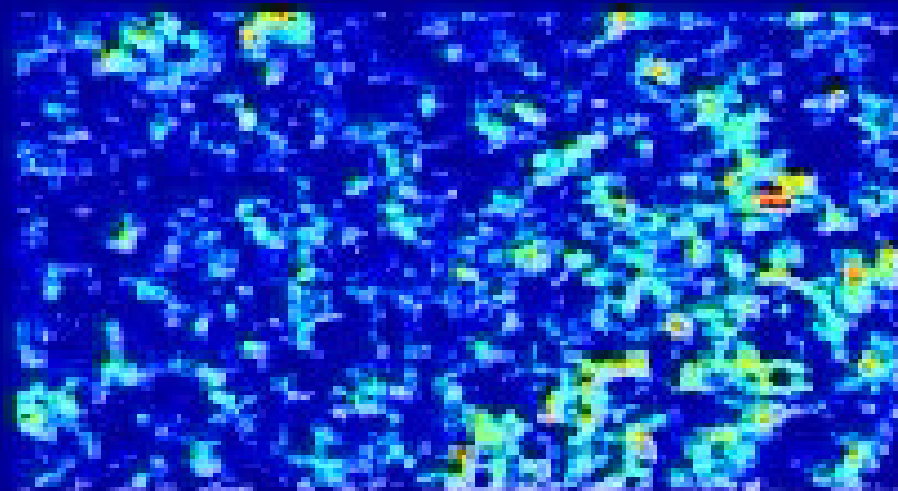
$$g_{n+1}(\mathbf{x}) = \begin{cases} g'_n(\mathbf{x}), & \mathbf{x} \text{ in support} \\ g_n(\mathbf{x}) - \beta_{\text{HIO}} g'_n(\mathbf{x}), & \mathbf{x} \text{ not in support} \end{cases}$$

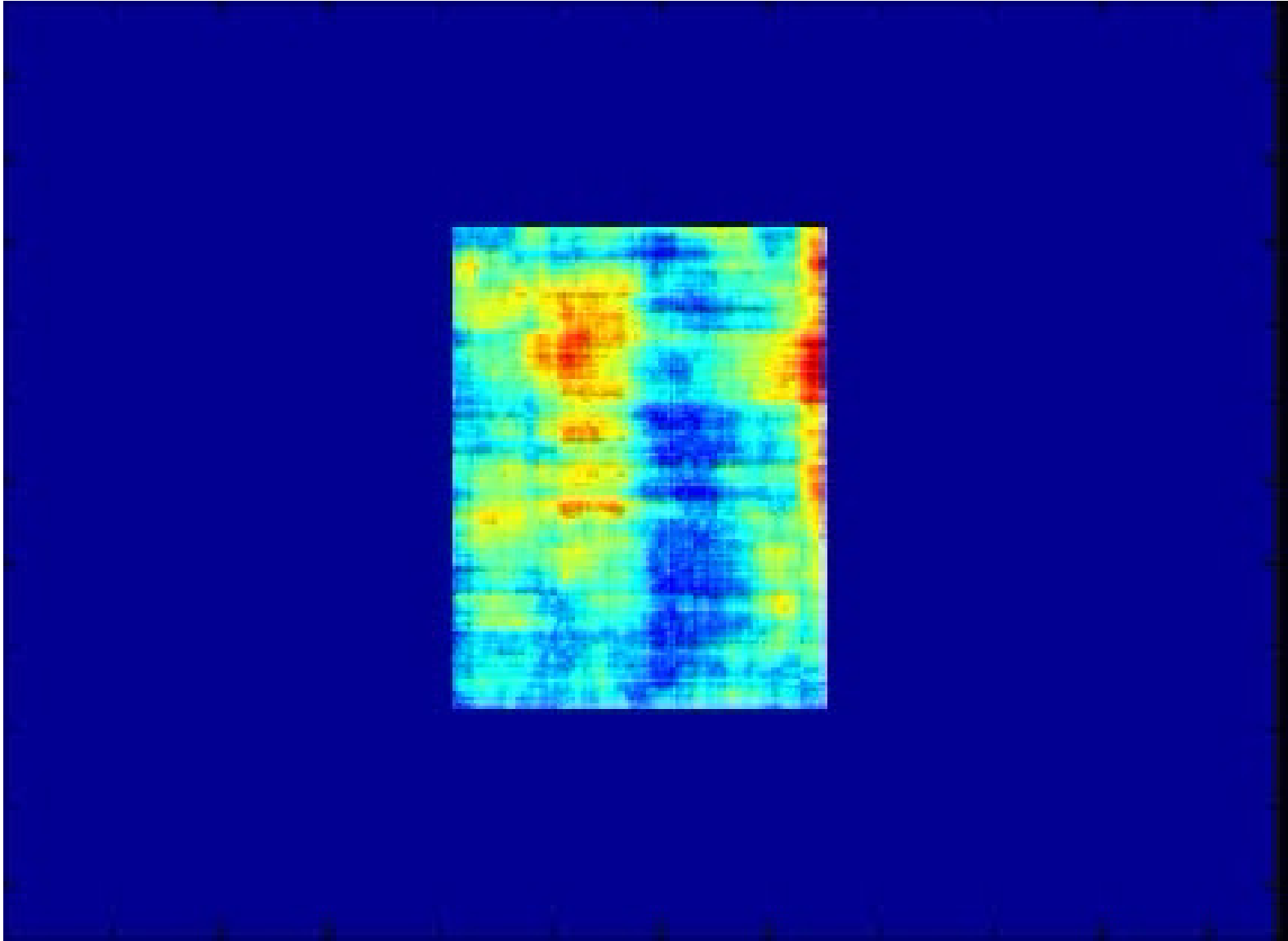
$$0 < \beta_{\text{HIO}} < 1$$

measure of convergence

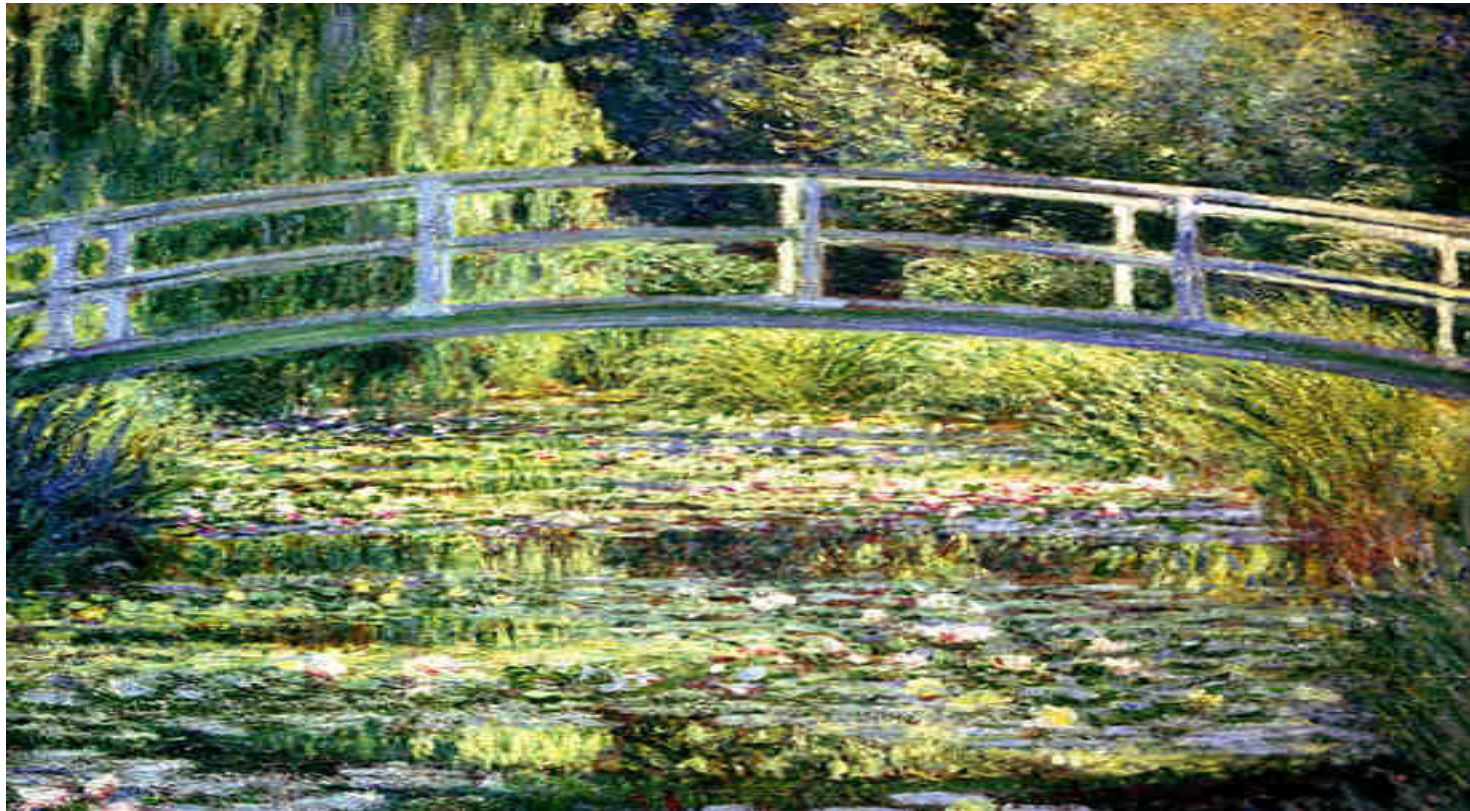
$$e_{\mathbf{k}}^{(n)} = \sqrt{\frac{\sum_{\mathbf{k}} (|G_n(\mathbf{k})| - |F(\mathbf{k})|)^2}{\sum_{\mathbf{k}} |F(\mathbf{k})|^2}}$$







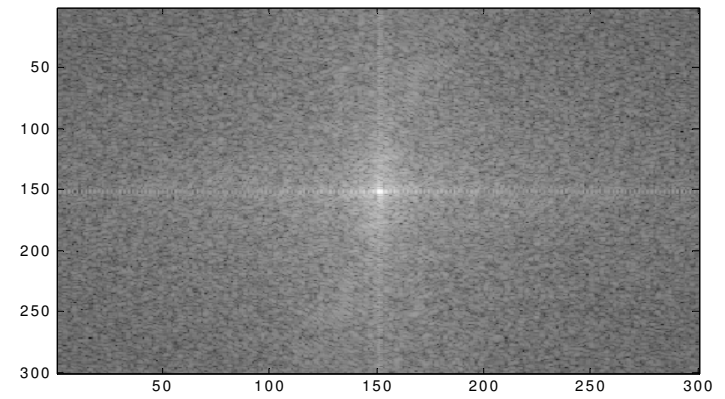
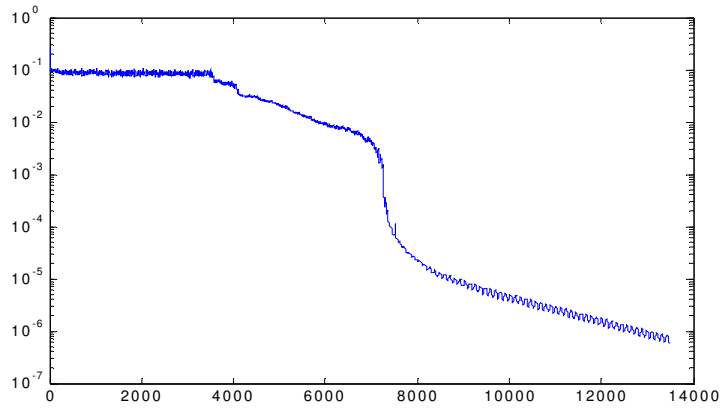
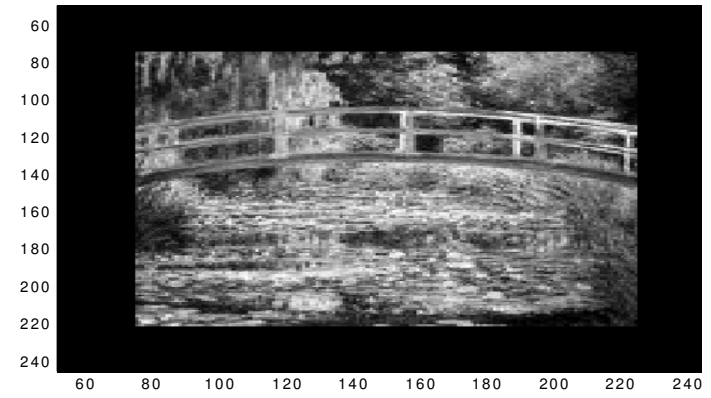
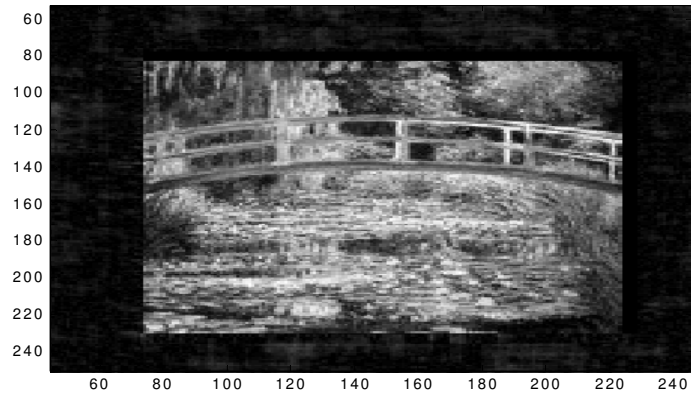
## The 'Object'



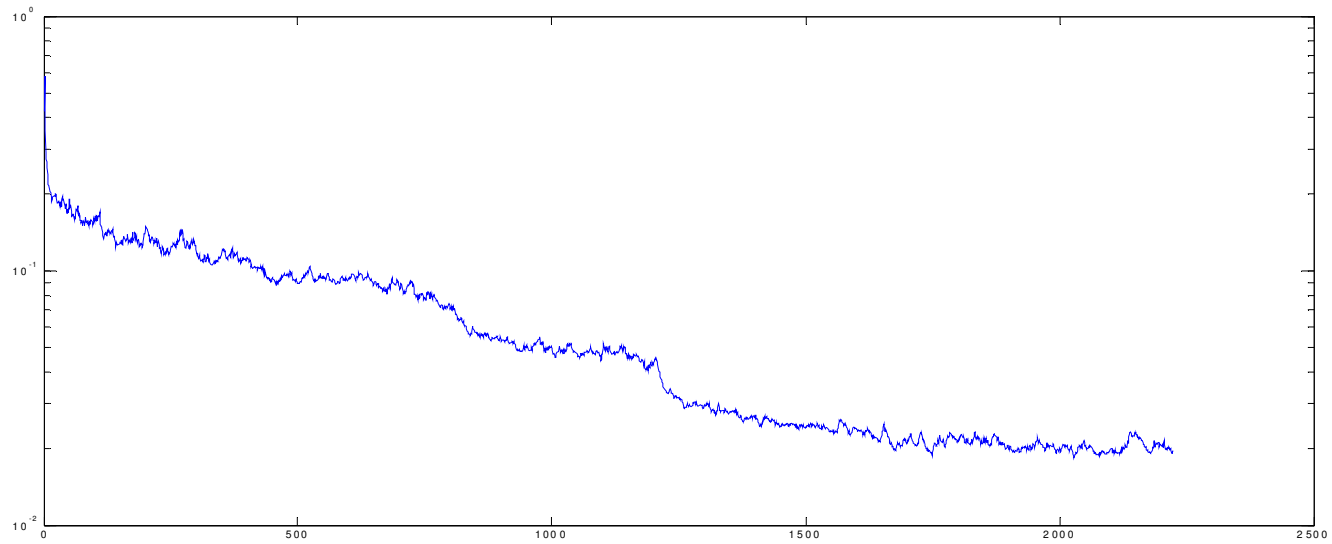
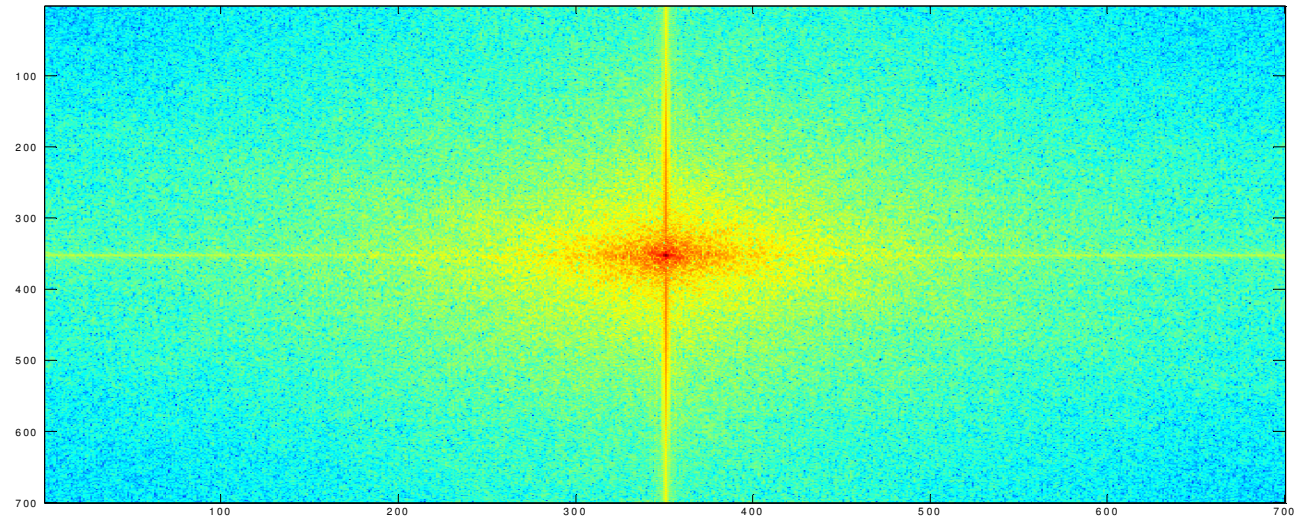
Claude Monet, Seerosenteich II 1899



and its reconstruction...



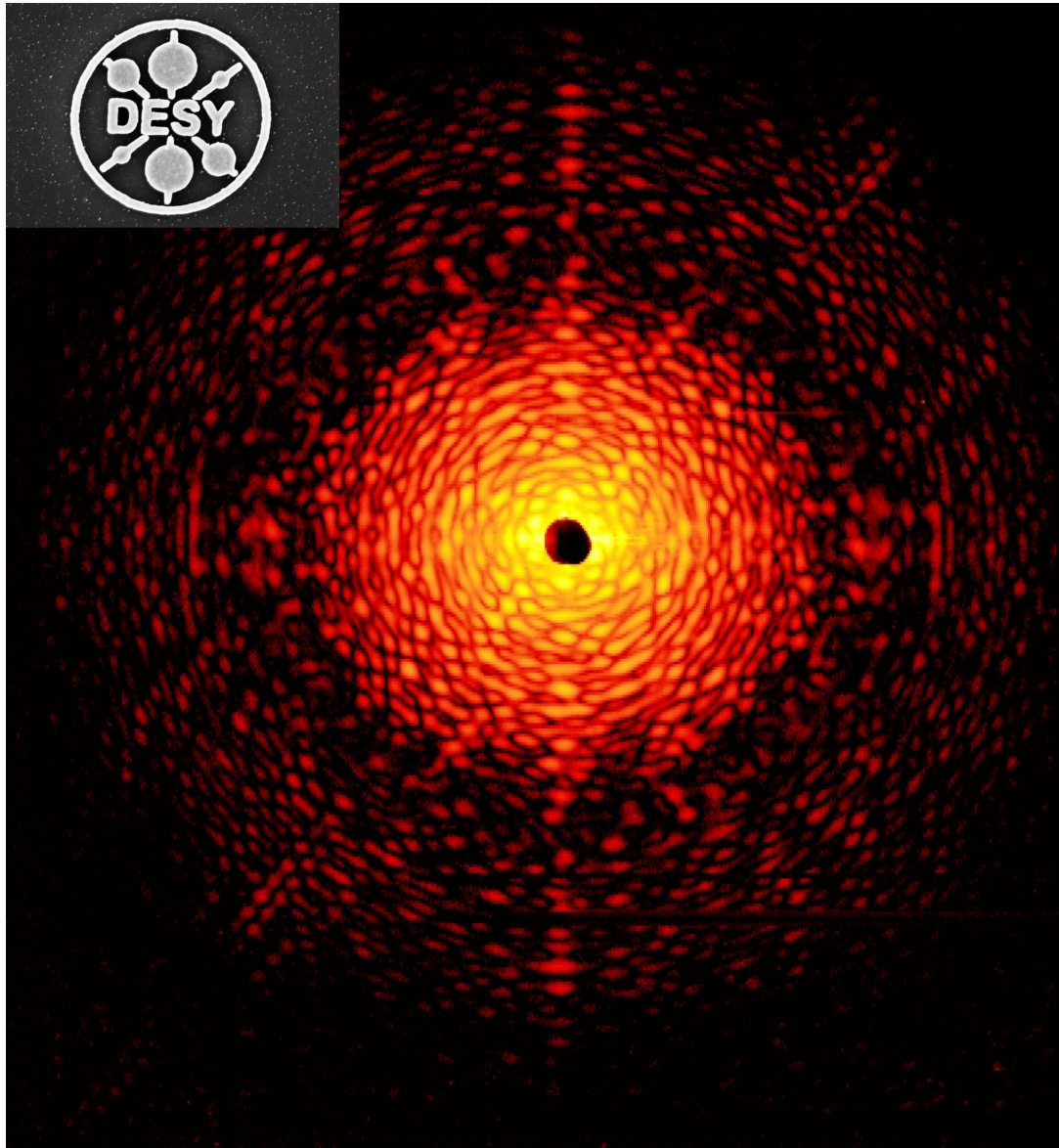
# an unknown object



and it's reconstruction



# Experiment using 8 keV Photonen



2 microns

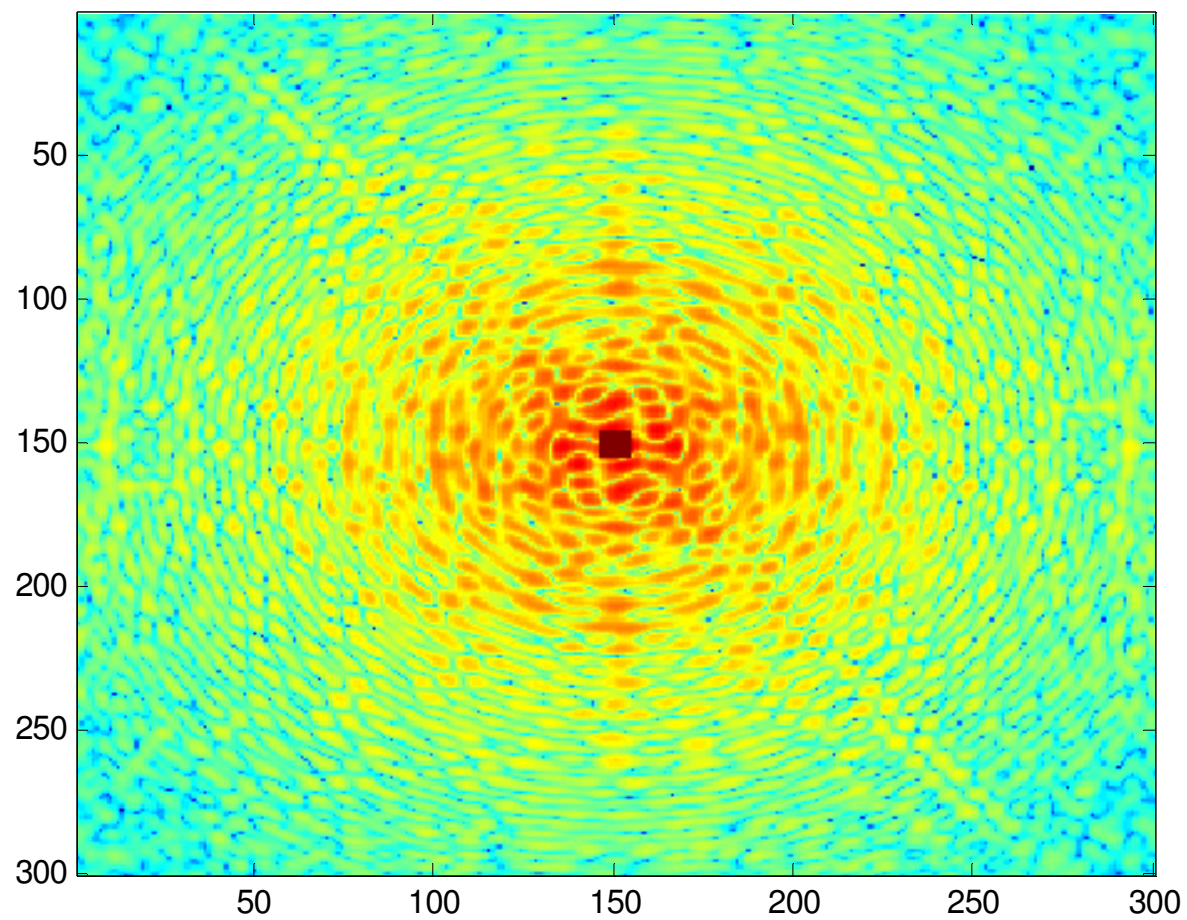
Resolution 30 nm

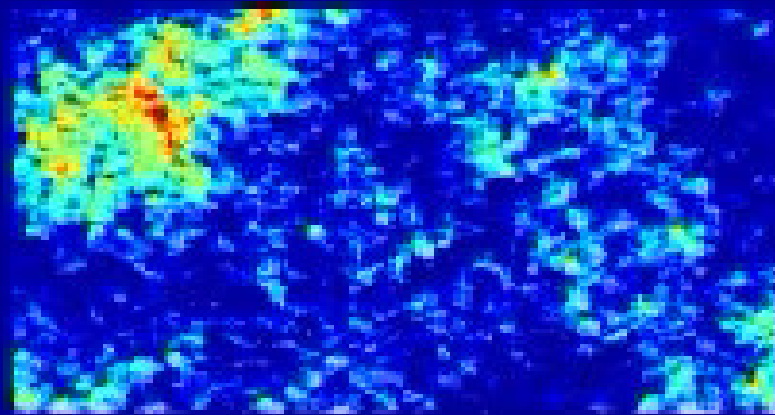
# The beamstop problem





# Missing Data 1

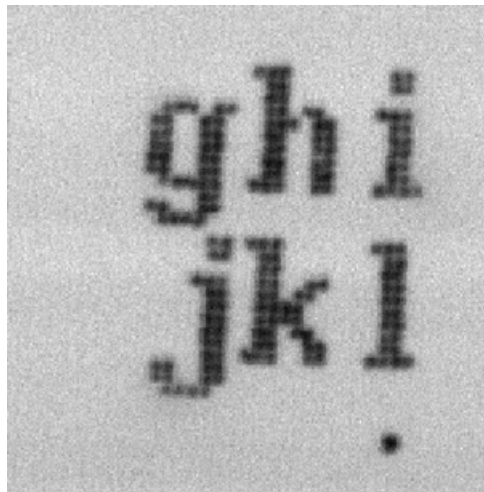




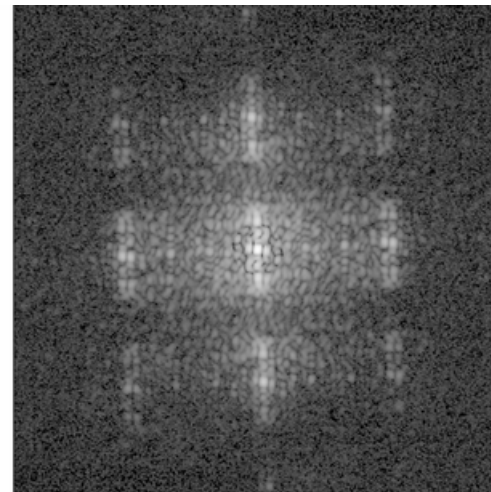




# First experimental realization at a synchrotron source

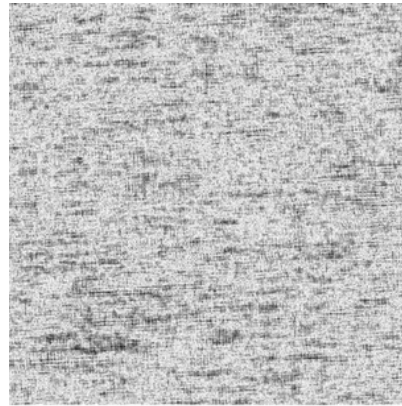


(a)

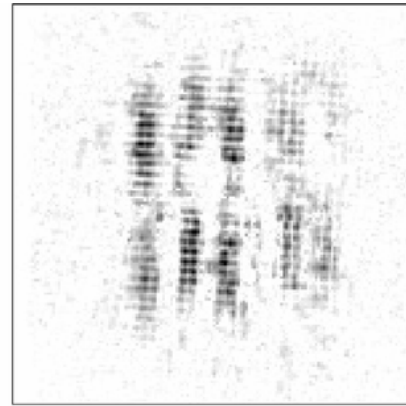


(b)

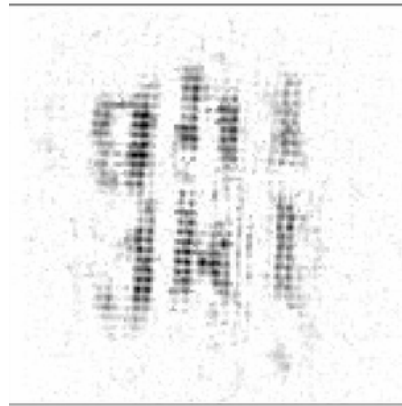
J. Miao, P. Charalambous, J. Kirz, D. Sayre, "Extending the methodology of x-ray crystallography to allow imaging of micrometre-sized non-crystalline specimens," *Nature* **400**, 342-344 (1999).



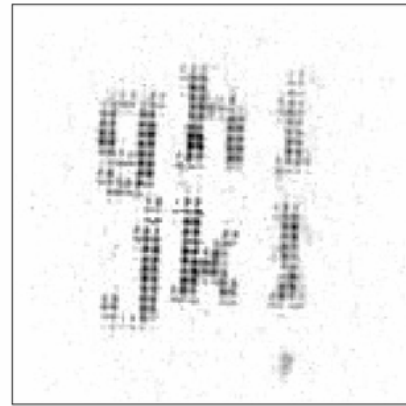
(a)



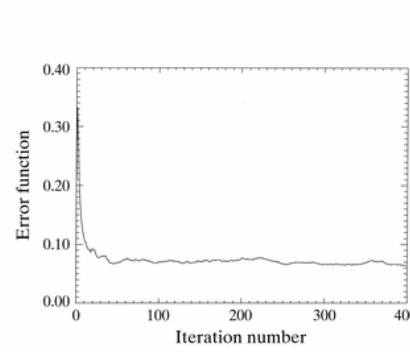
(b)



(c)

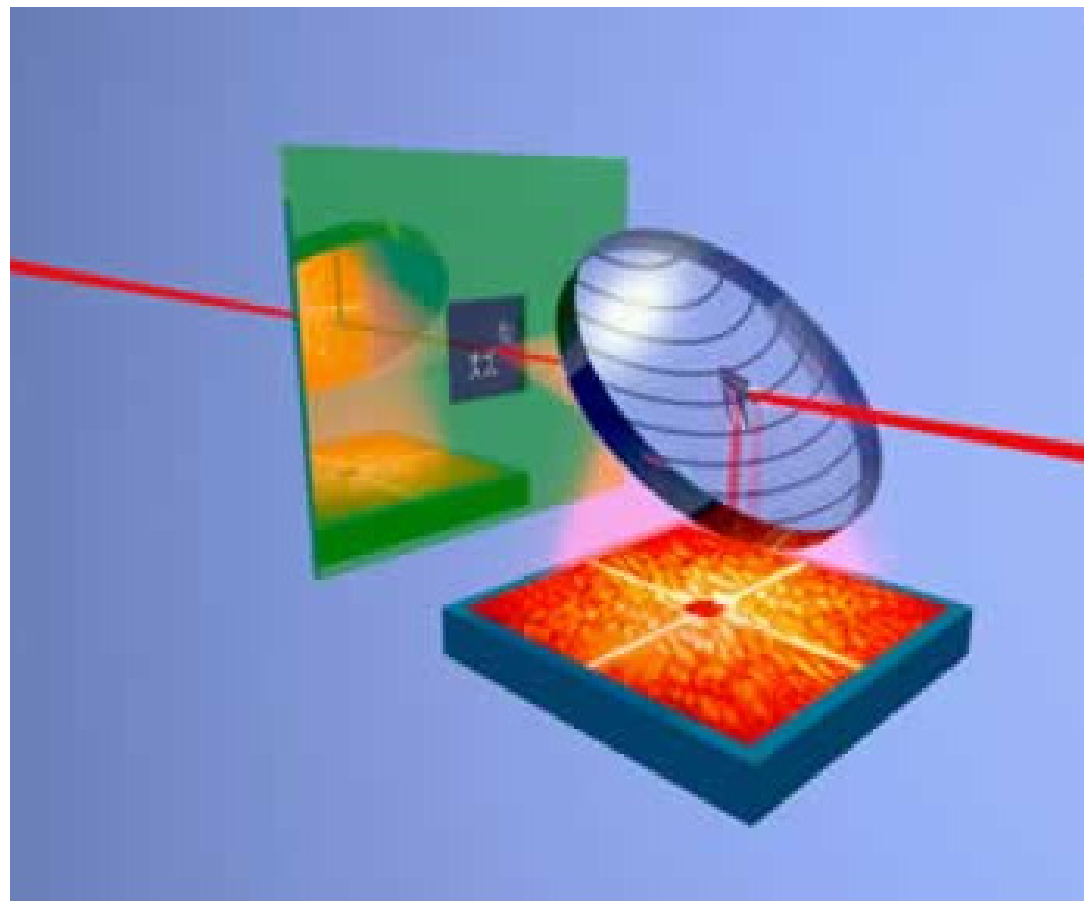


(d)

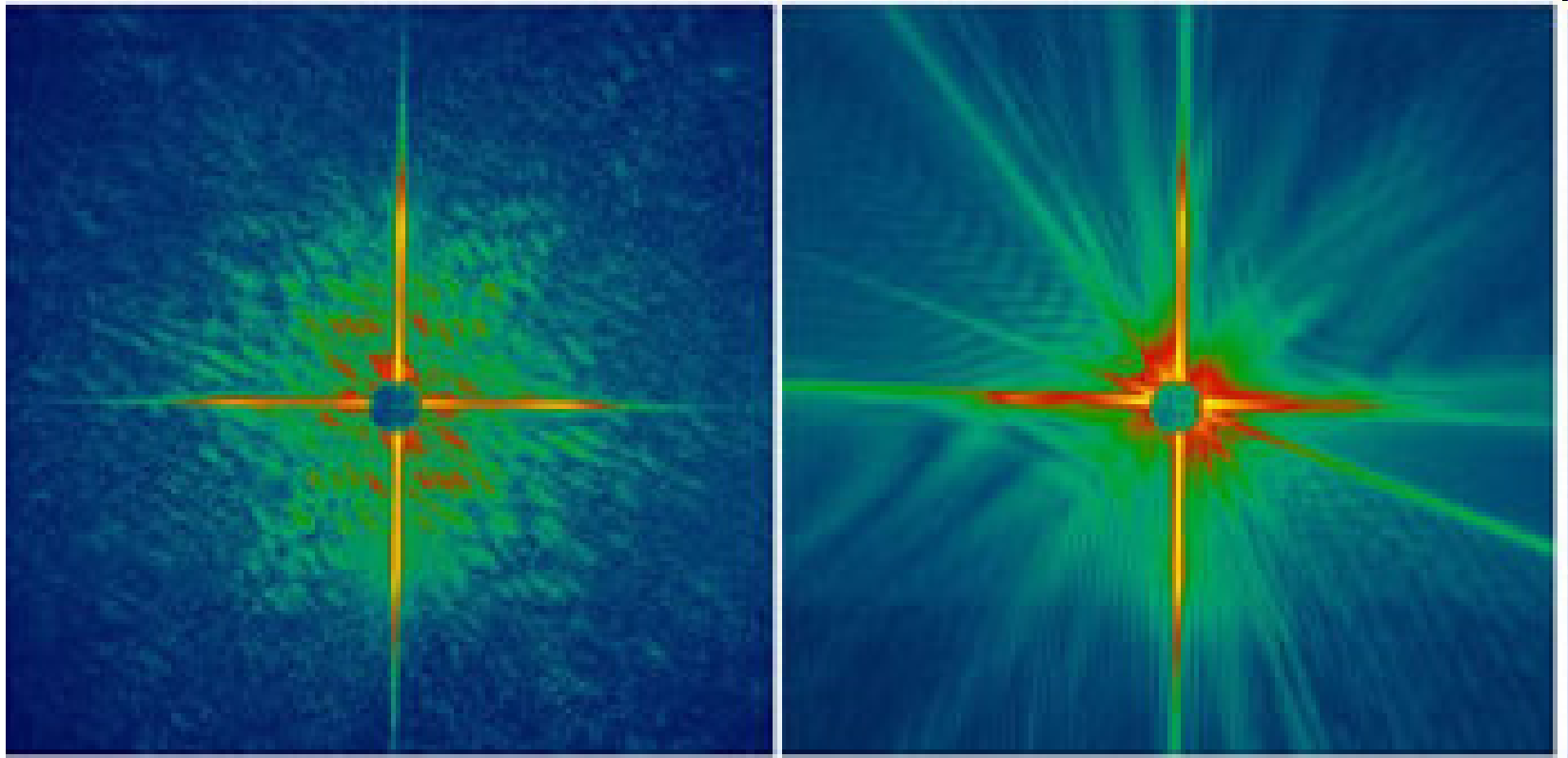


J. Miao, P. Charalambous, J. Kirz, D. Sayre, "Extending the methodology of x-ray crystallography to allow imaging of micrometre-sized non-crystalline specimens," *Nature* **400**, 342-344 (1999).

# First experimental realization at an FEL source



H. Chapman et al. Nature Physics 2, 839 (2006)

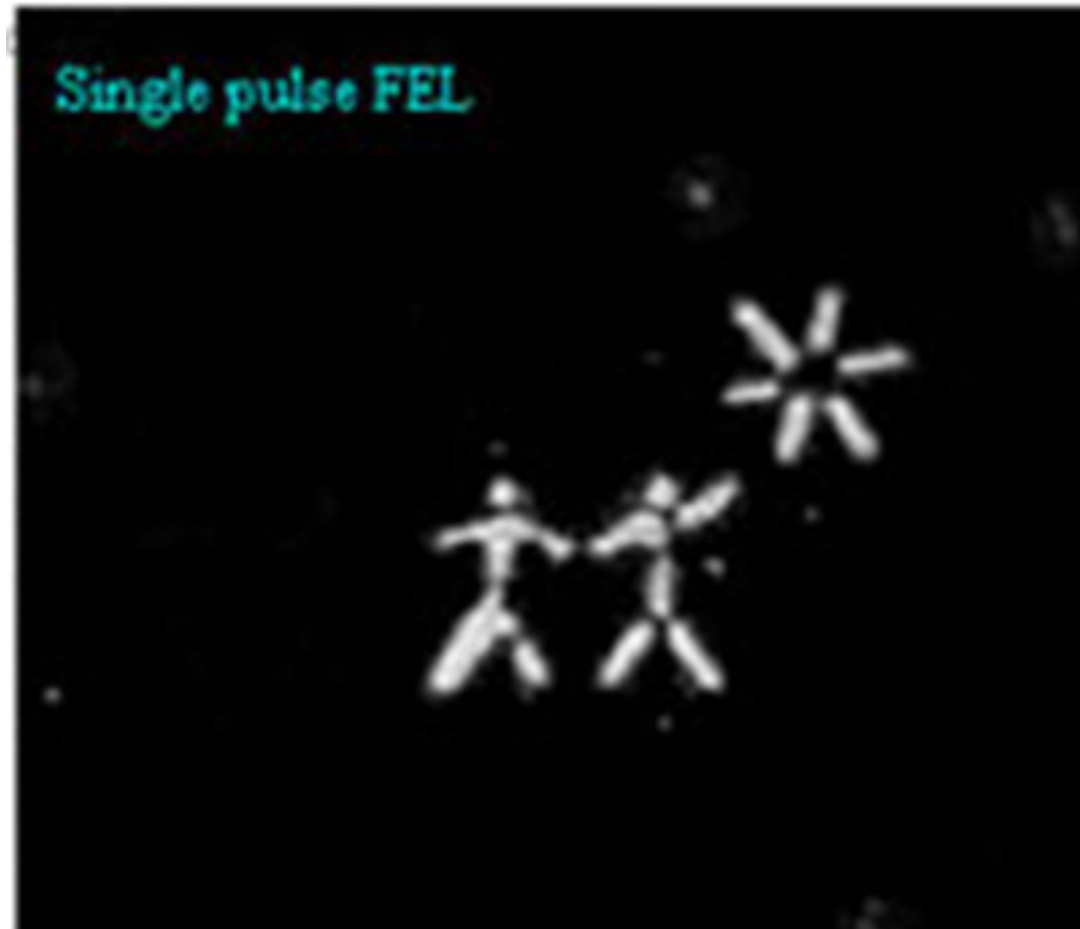


pulse #1

pulse #2

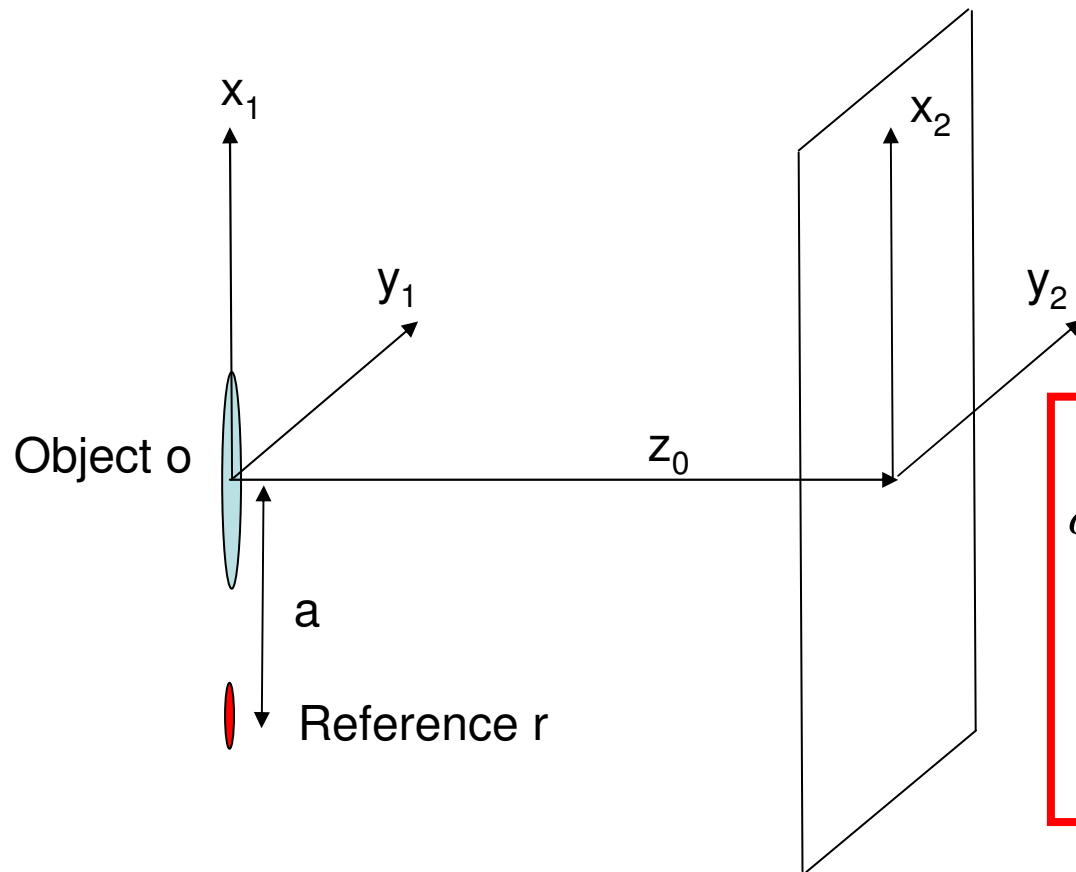
H. Chapman et al. Nature Physics 2, 839 (2006)

# Reconstruction



H. Chapman et al. Nature Physics 2, 839 (2006)

# Fourier Transform Holography



### Fresnel-Kirchhoff Theory

$$o(x_2, y_2) = \frac{i}{\lambda z_0} e^{i \frac{\pi}{\lambda z_0} (x_2^2 + y_2^2)} \hat{O}(\xi, \eta)$$

$$r(x_2, y_2) = \frac{i}{\lambda z_0} e^{i \frac{\pi}{\lambda z_0} (x_2^2 + y_2^2)} \hat{R}(\xi, \eta) e^{-2i\pi\xi a}$$

$$\xi = \frac{x_2}{\lambda z_0}$$

$$\eta = \frac{y_2}{\lambda z_0}$$

$$\hat{O}(\xi, \eta) = FT[o(x_1, y_1)]$$

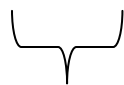
$$\hat{R}(\xi, \eta) = FT[r(x_1, y_1)]$$

$$\hat{O}^*(\xi, \eta) = \hat{O}(-\xi, -\eta)$$

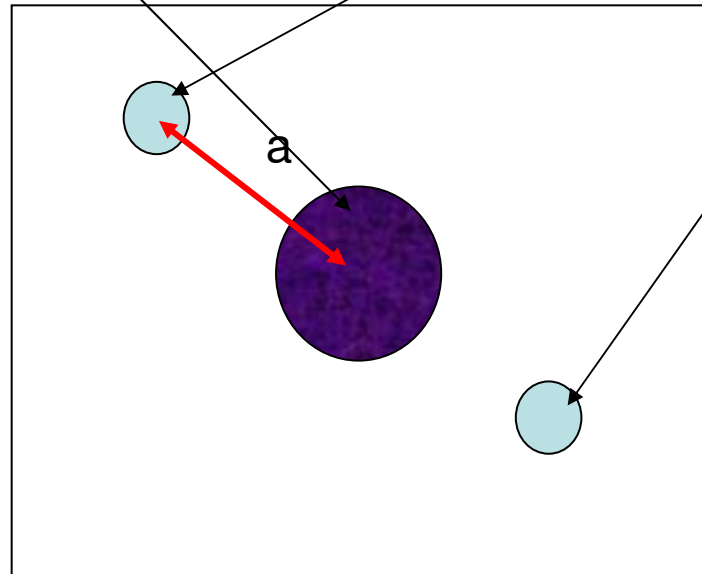
$$I(x_2, y_2) = |r(x_2, y_2) + o(x_2, y_2)|^2$$

$$I(x_2, y_2) = |r(x_2, y_2)|^2 + |o(x_2, y_2)|^2 + r^*(x_2, y_2)o(x_2, y_2) + r(x_2, y_2)o^*(x_2, y_2)$$

$$I(x_2, y_2) \propto |\hat{R}(\xi, \eta)|^2 + |\hat{O}(\xi, \eta)|^2 + \underbrace{\hat{R}^*(\xi, \eta)\hat{O}(\xi, \eta)e^{i\pi a\xi} + \hat{R}(\xi, \eta)\hat{O}^*(\xi, \eta)e^{-i\pi a\xi}}_{FT[r^*(-x, -y) \otimes o(x+a, y)] + FT[r(x, y) \otimes o(-x-a, -y)]}$$



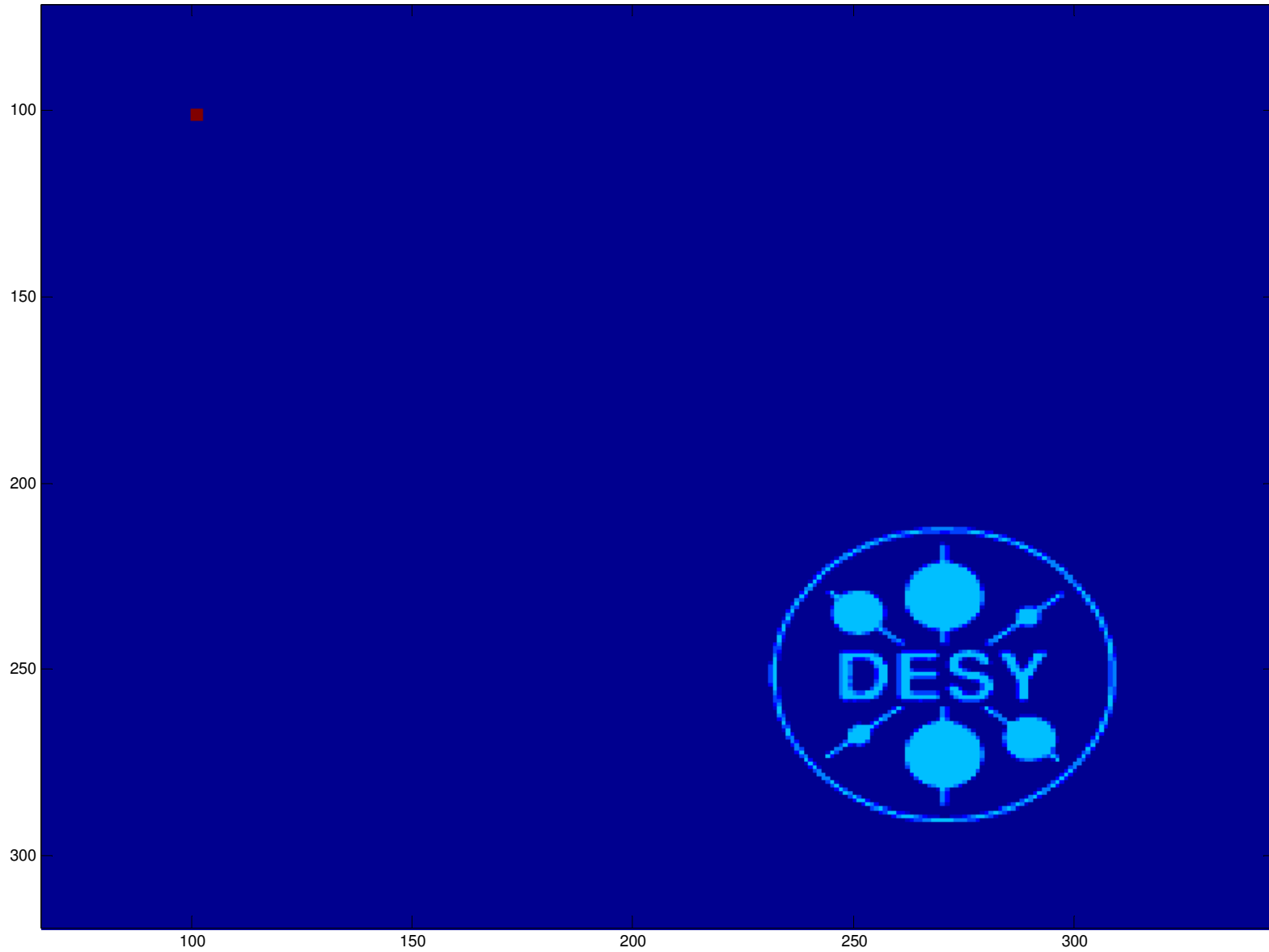
$$FT[r^*(-x, -y) \otimes o(x+a, y)] + FT[r(x, y) \otimes o(-x-a, -y)]$$

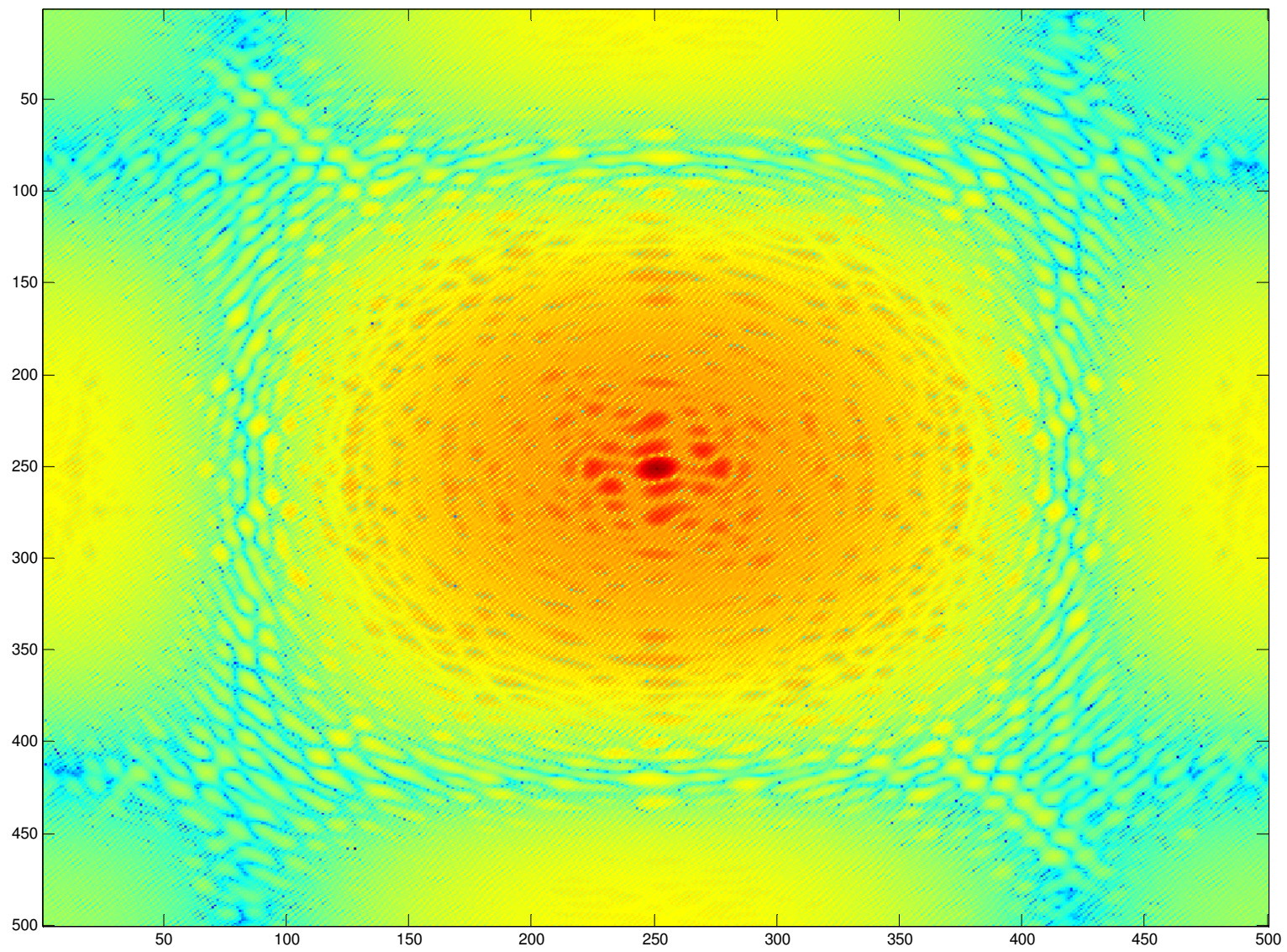


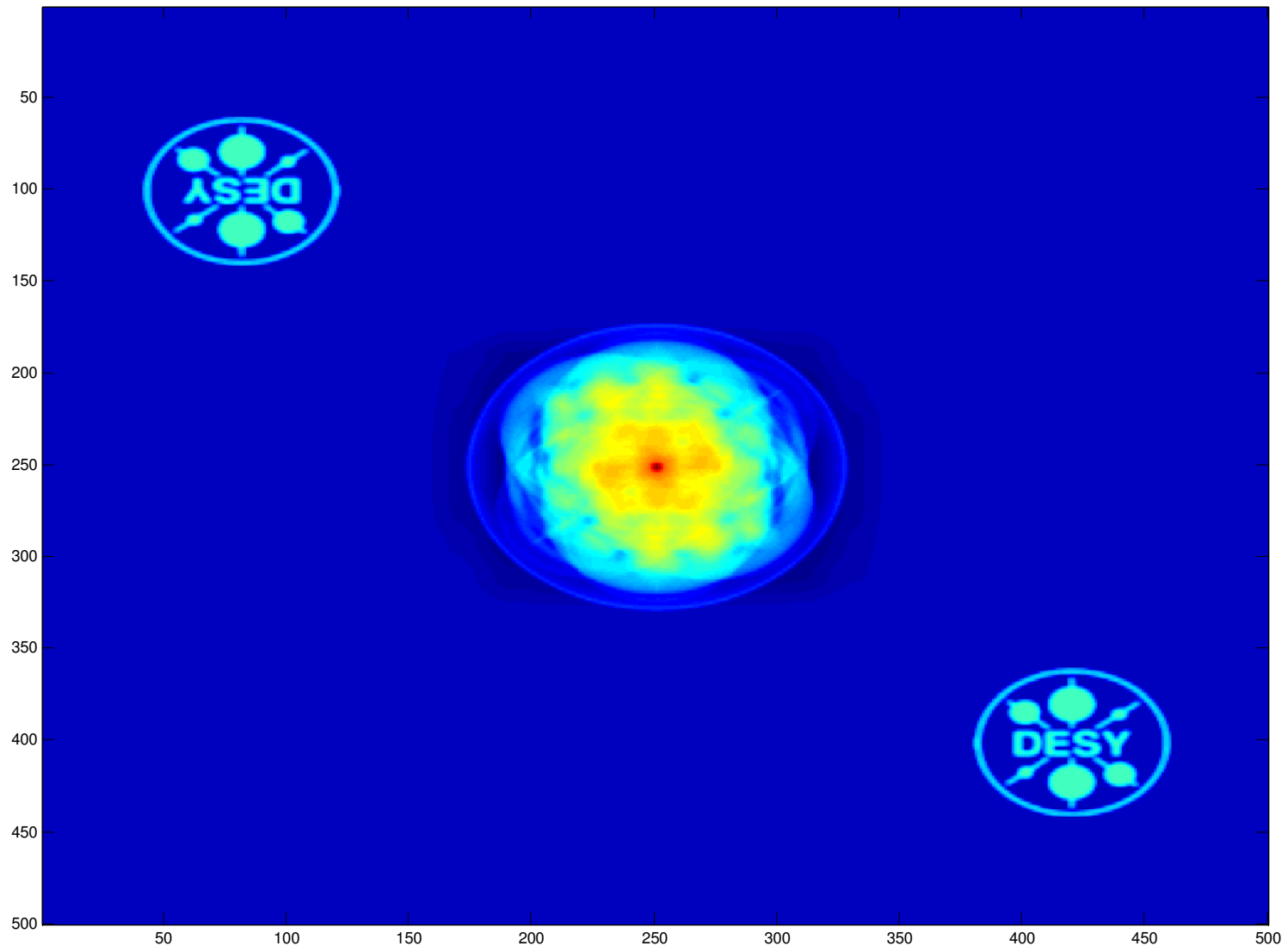
Convolution with the reference objects limits the resolution



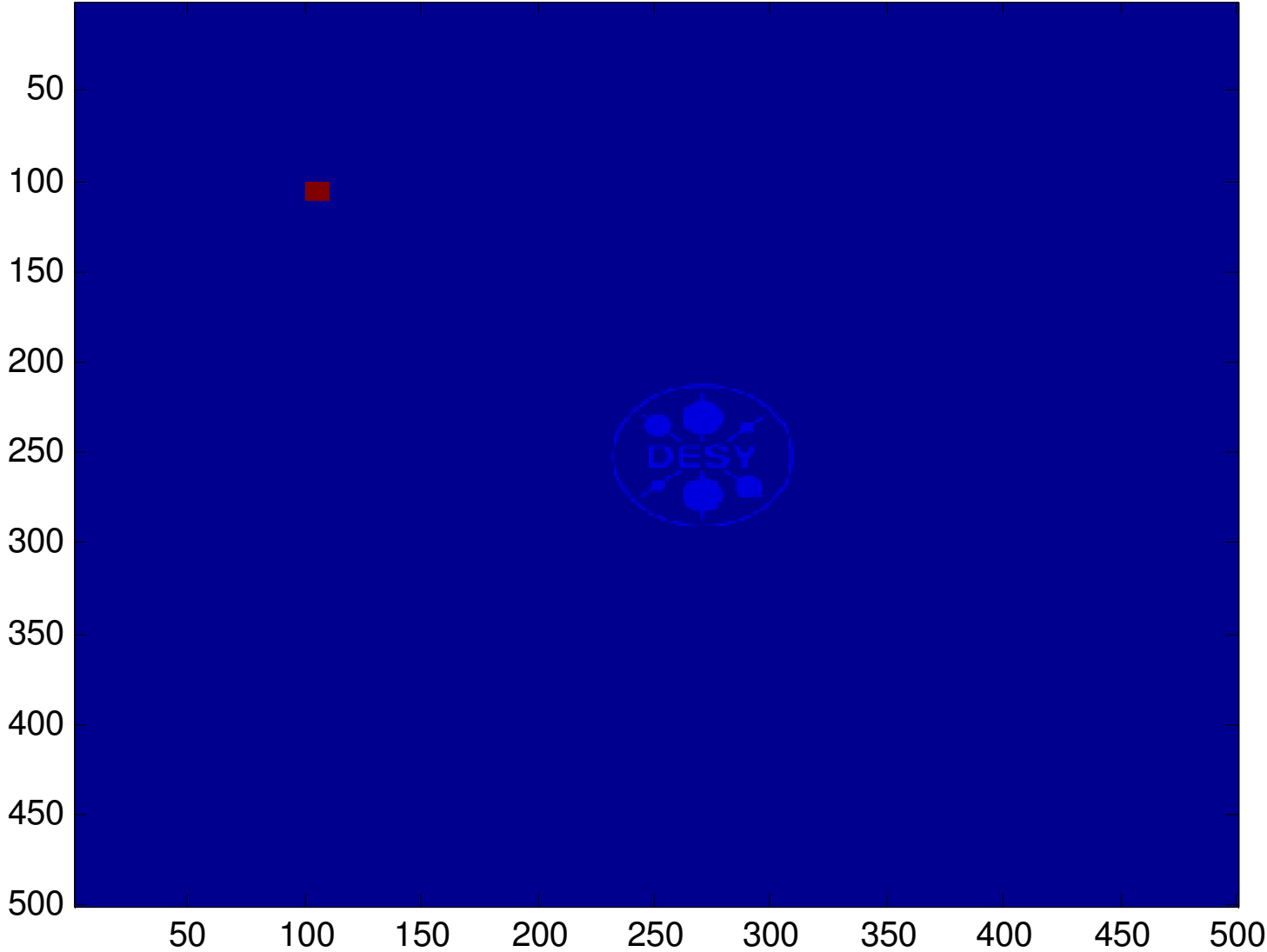
# Small reference hole

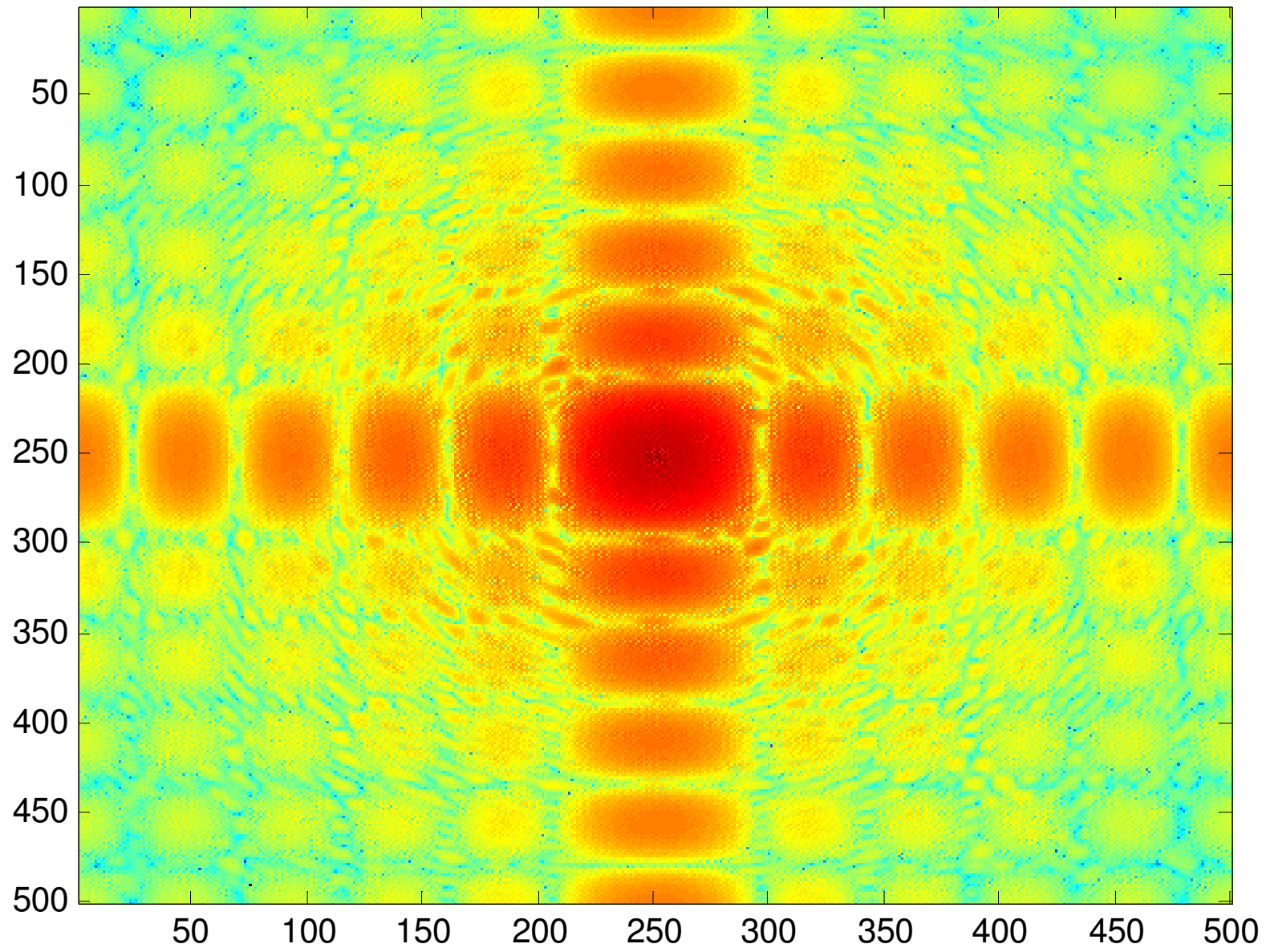


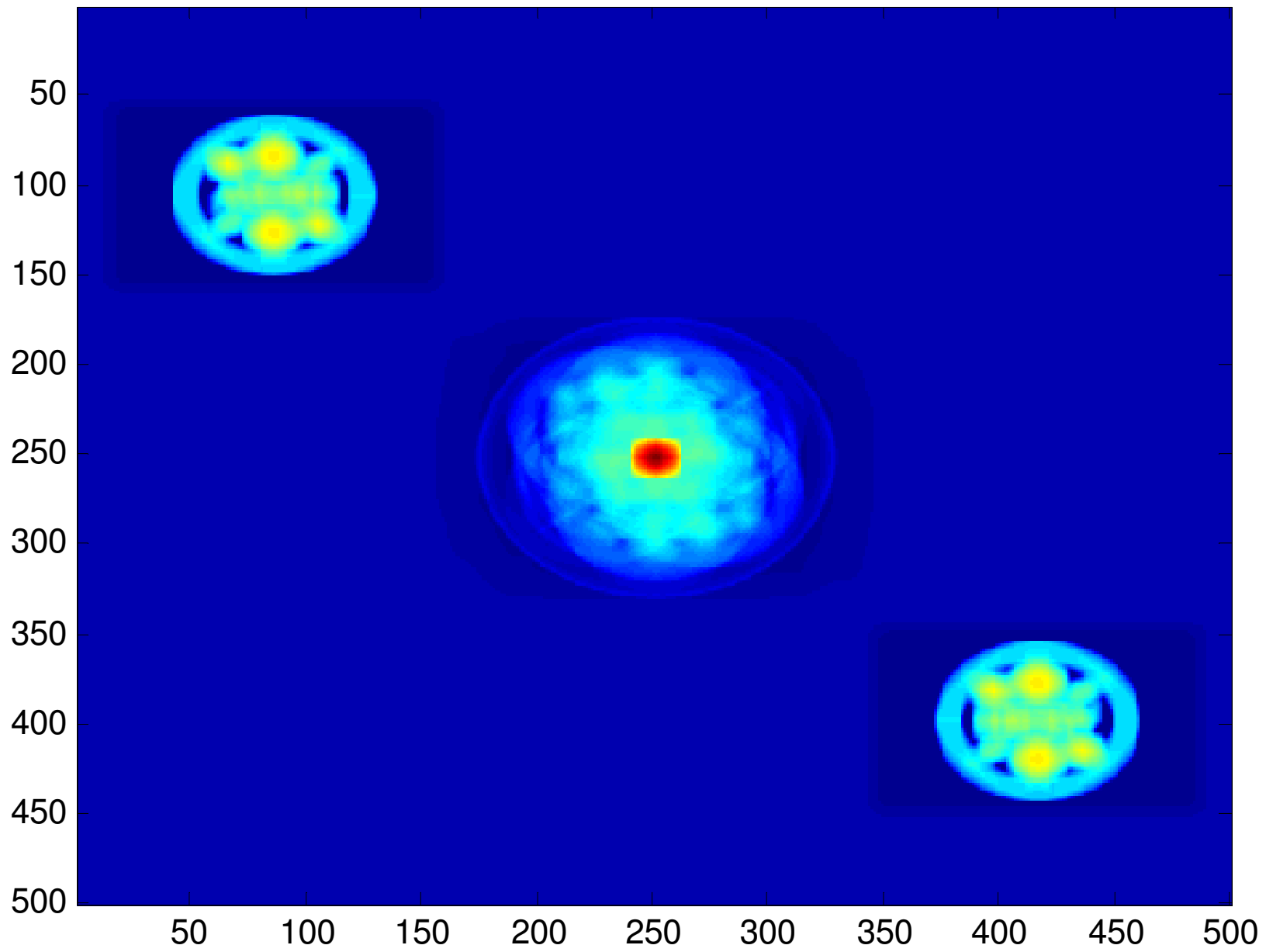




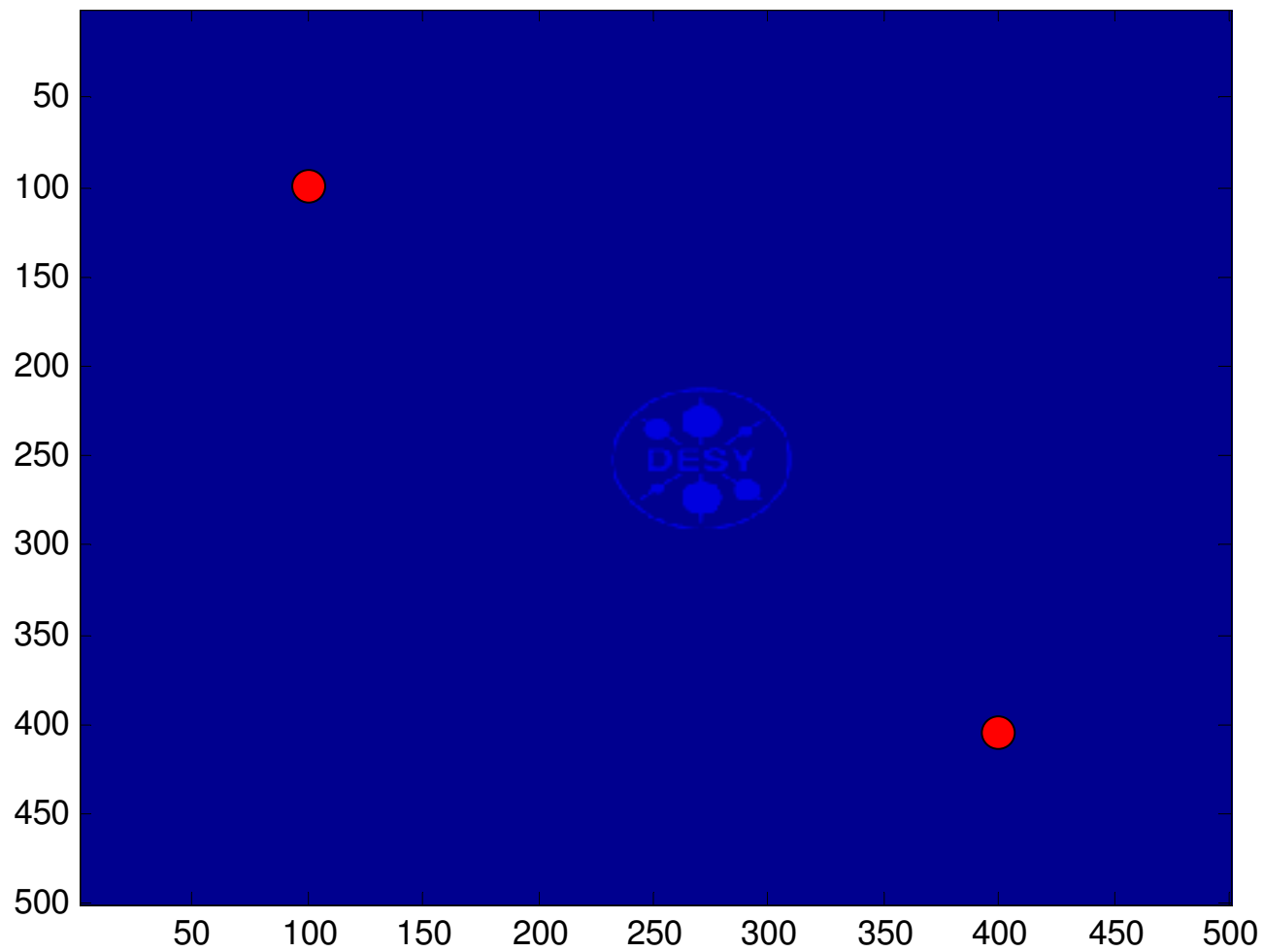
# Large reference hole

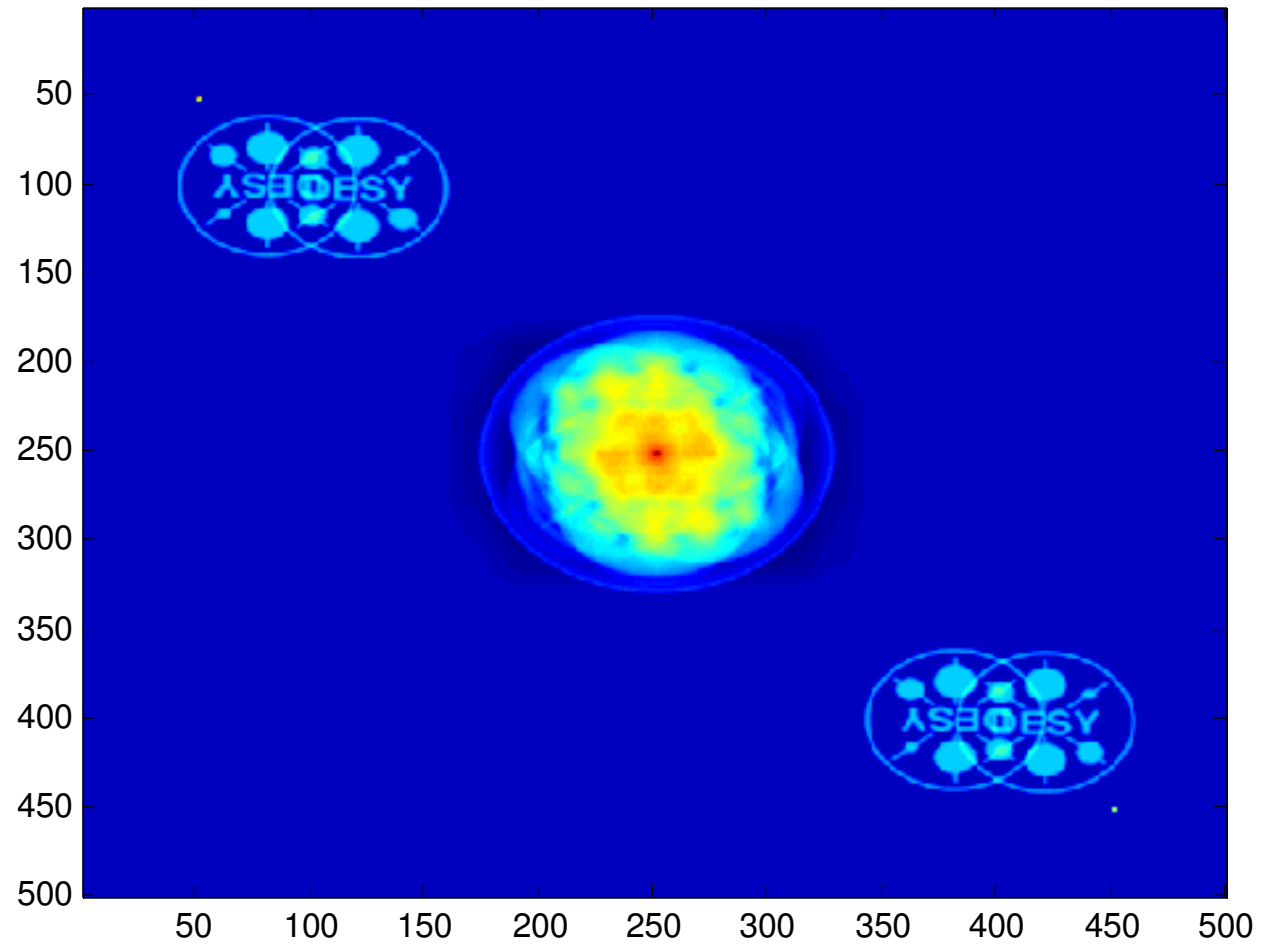




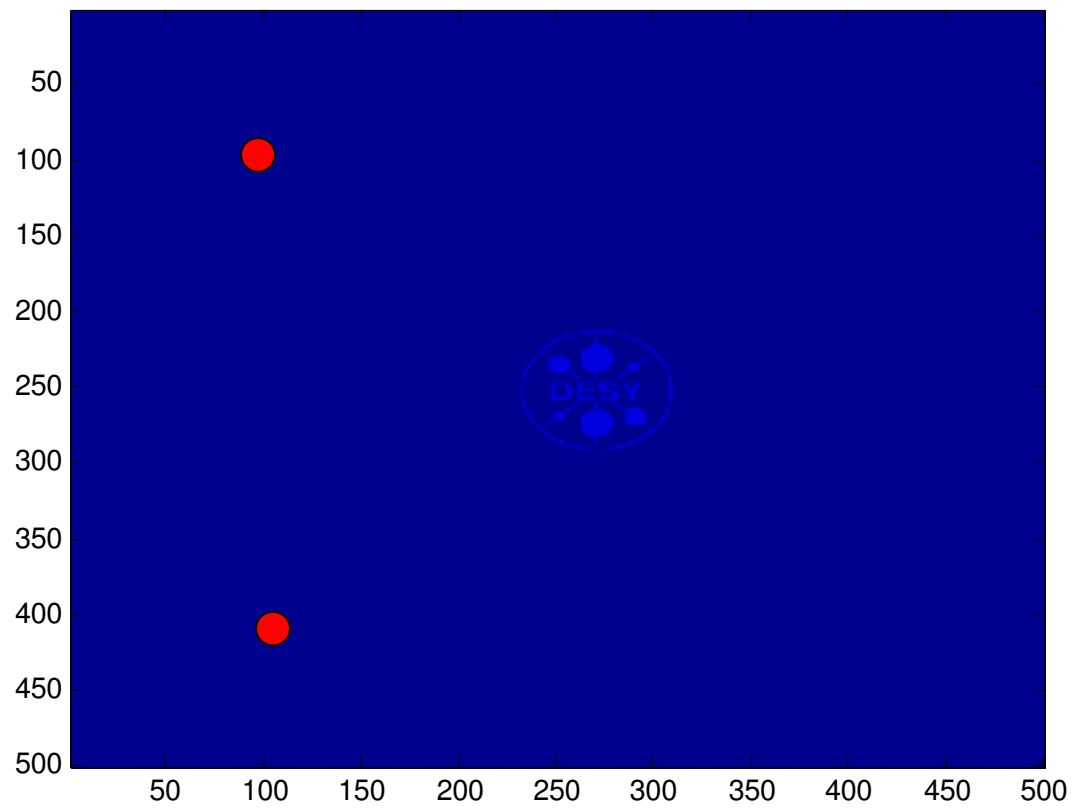


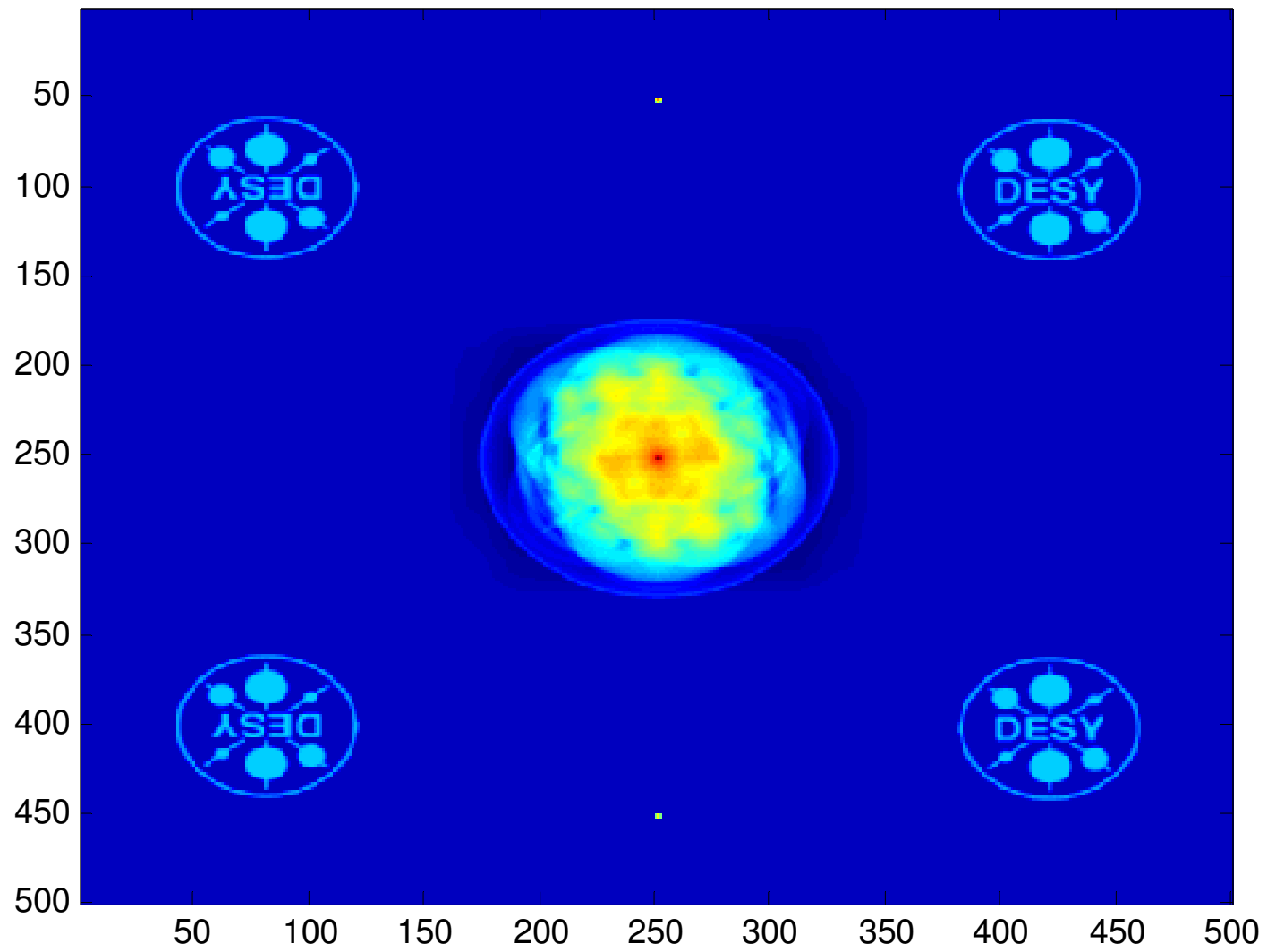
# More than one reference hole

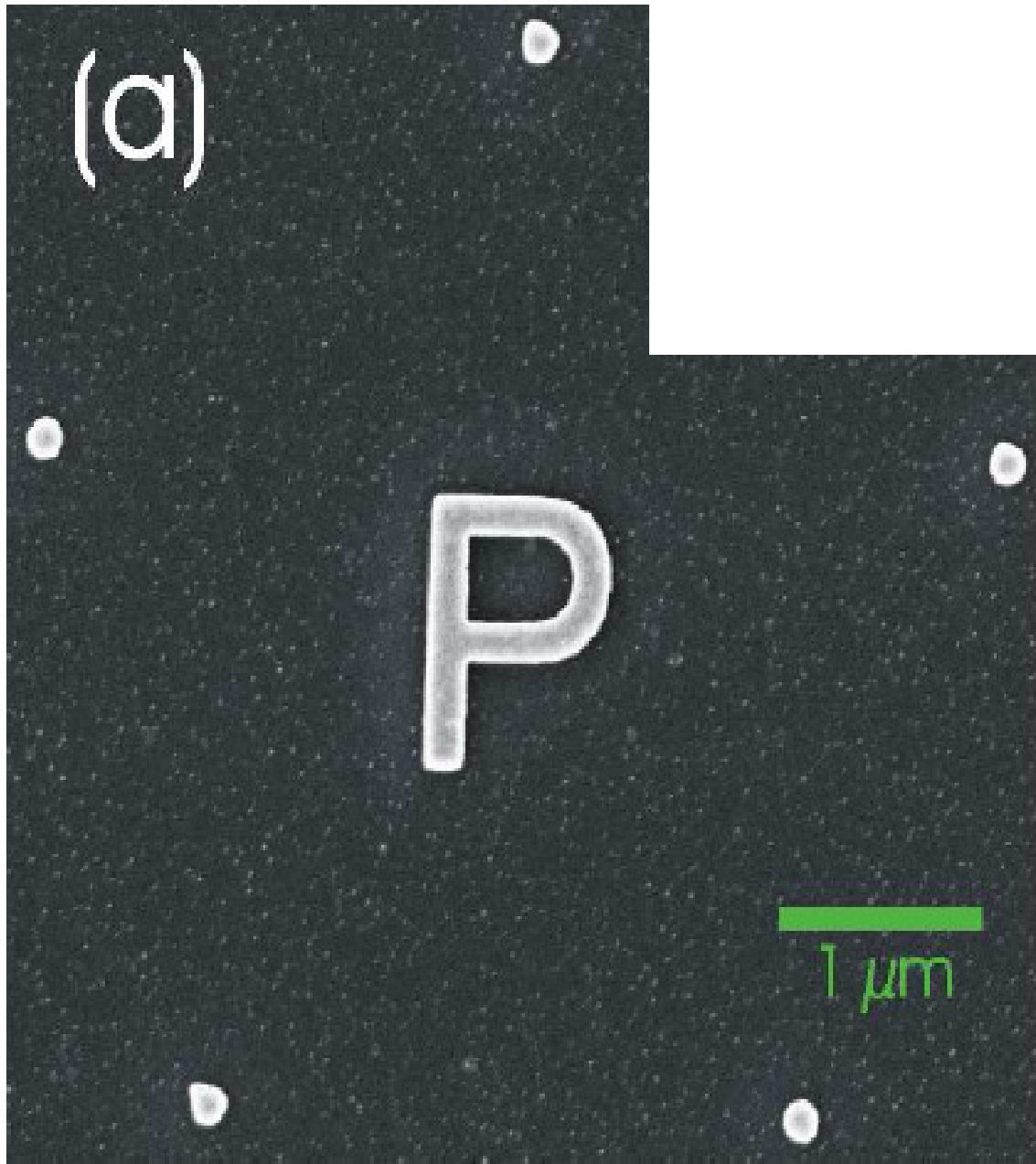




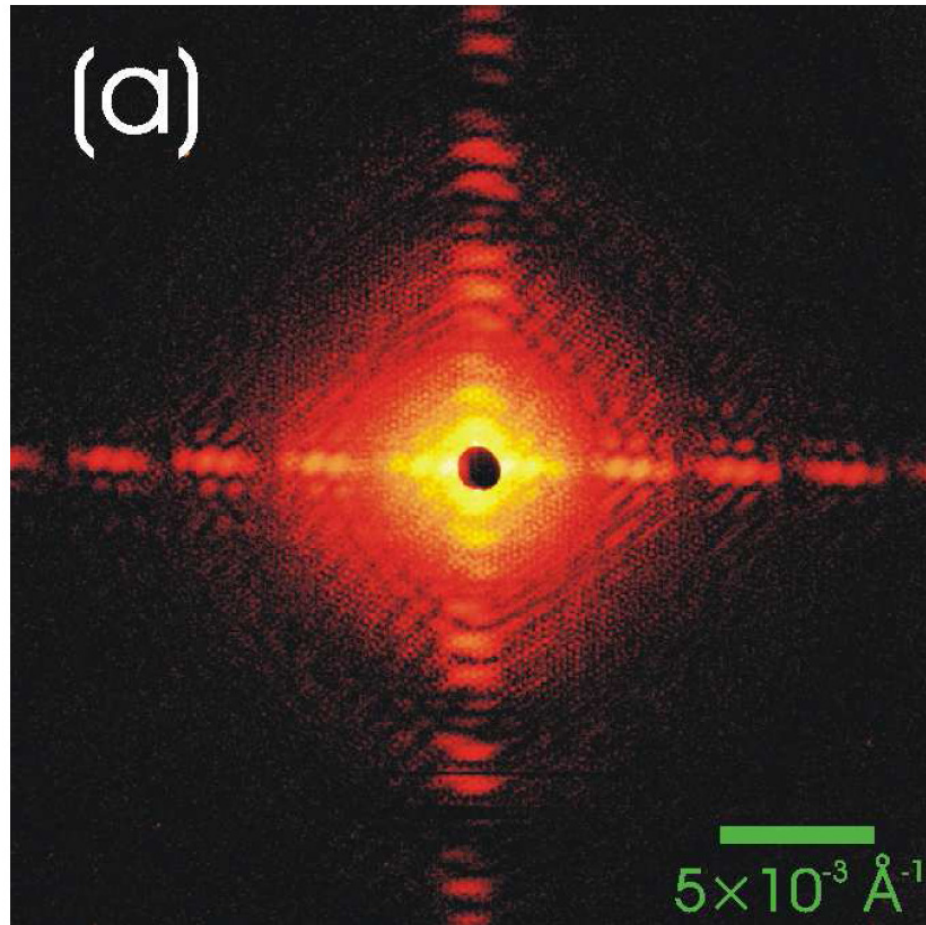




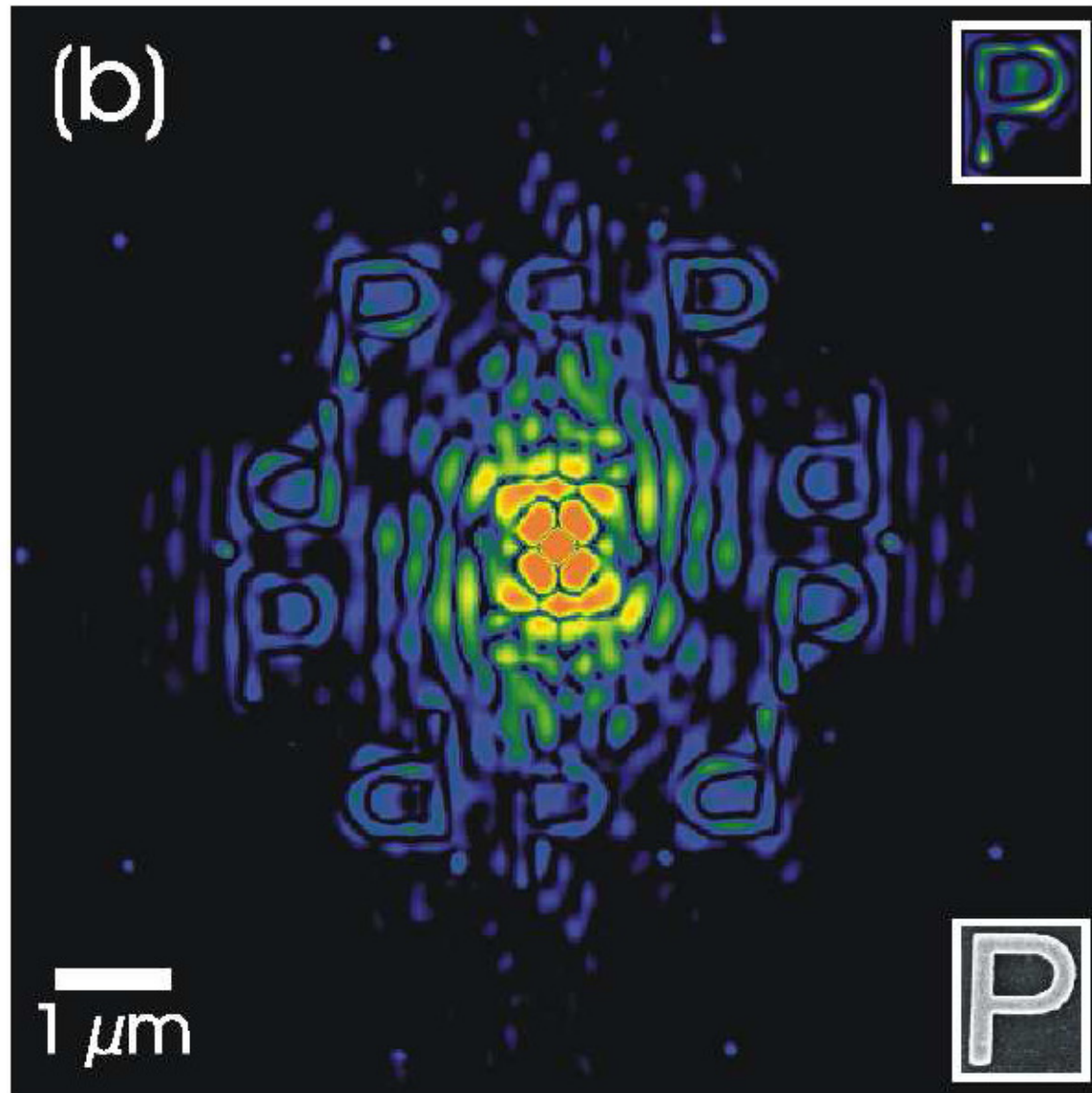




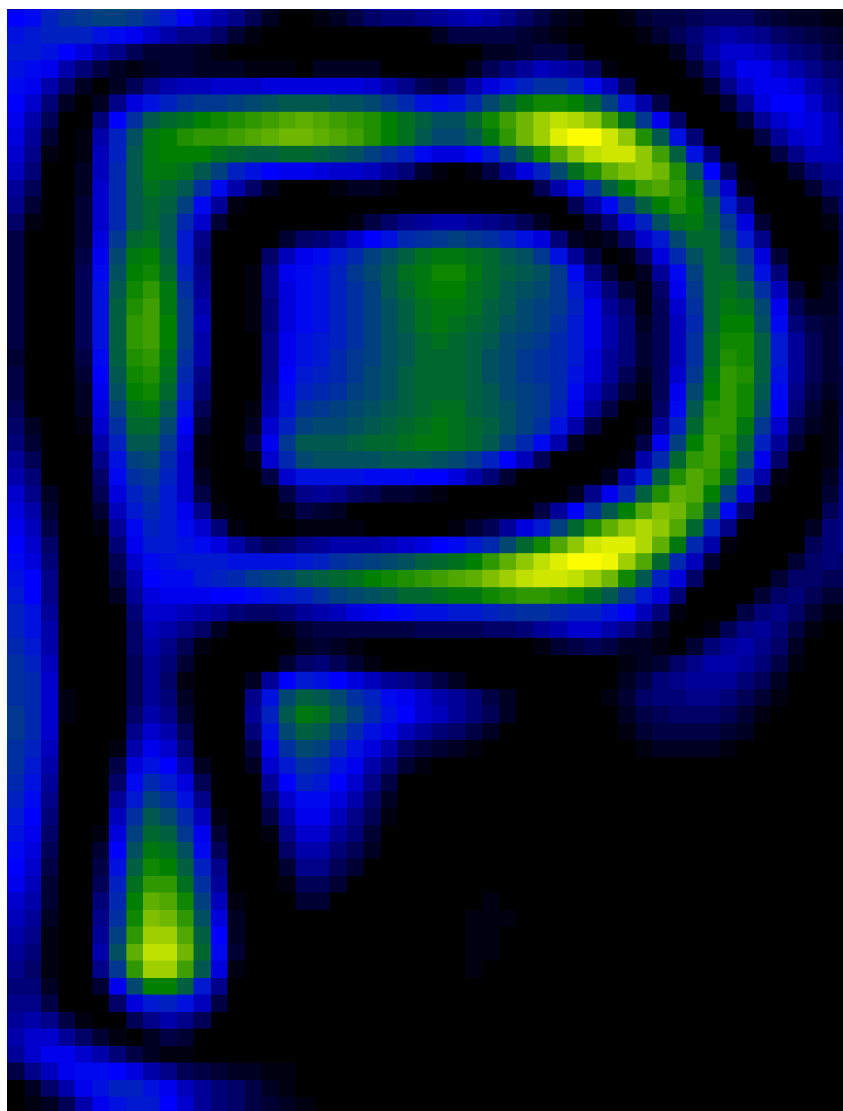
# First experimental FTH realization using hard X-rays



# Experiment with 0.15 nm Photonen

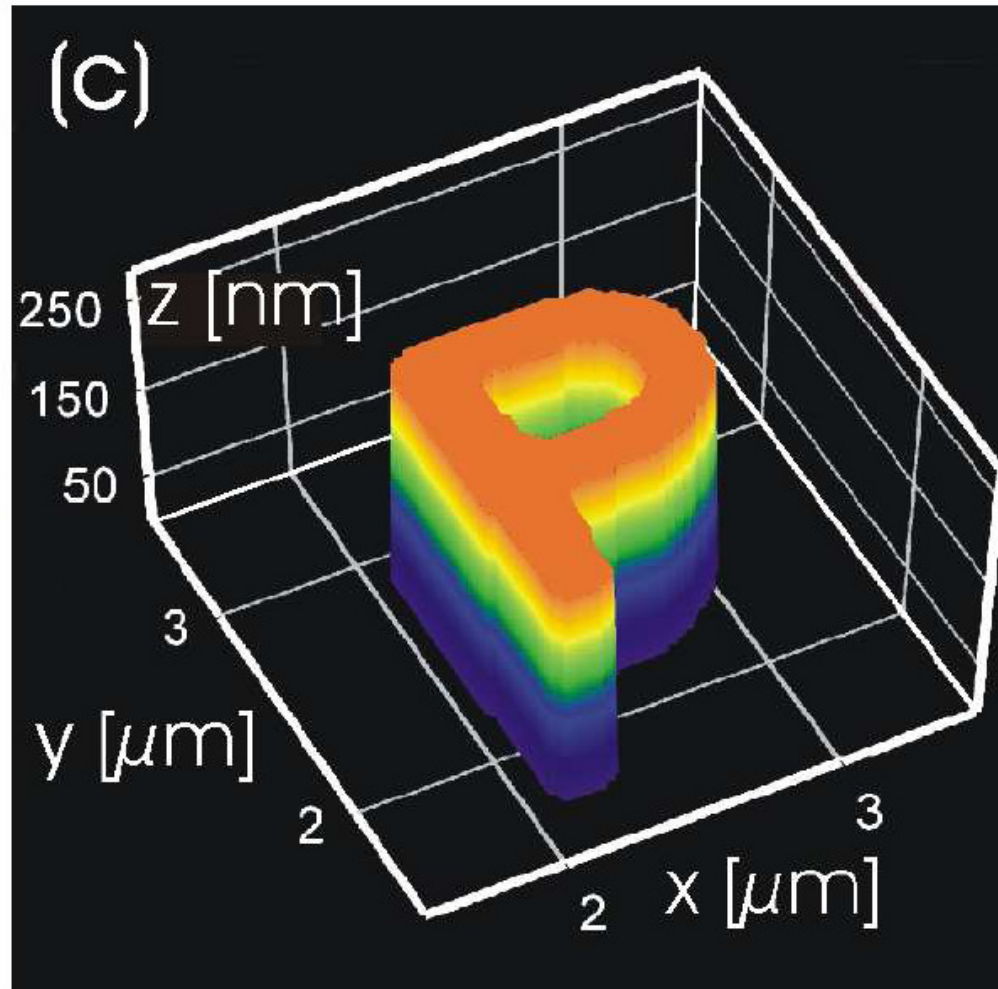


1 micron



# Combination of Holography and Phase Retrieval

Resolution  
20 nm



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