## Modern Crystallography II

#### Topics:

- 20.11. Crystalline state

  definition, interaction types in crystalline materials lattice types, symmetry operations, reciprocal lattice
- 27.11. X-ray diffraction (kinematic theory)
  Bragg equation, Laue equations, Ewald sphere, atomic form factor, structure factor, absorption
- 4.12 **experimental X-ray structure determination**experimental methods, phase problem, phase retrieval methods, structure refinement
- 11.12 modern applications of crystallography protein crystallography, powder diffraction, time-resolved crystallography (pump and probe)

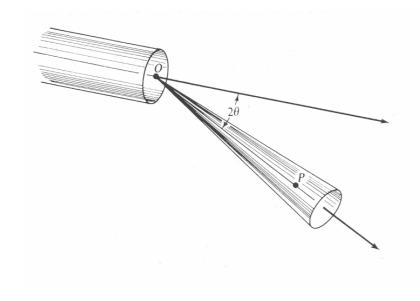
#### Recommended literature

Michael M. Woolfson: An introduction to X-ray crystallography (Cambridge University Press)

C. Ciaccovazzo: Fundamentals of Crystallography (International Union of Crystallography)

International Tables of Crystallography, Vol I (International Union of Crystallography)

## General description of a scattering process



general wave function:

$$y = A \cdot \cos(2\pi vt)$$

phase lag at P with respect to O:

$$\alpha_{OP} = 2\pi \overline{OP} / \lambda = 2\pi v \overline{OP} / c$$

scattering phase shift:  $\alpha_s$ 

intensity fall off with distance r:

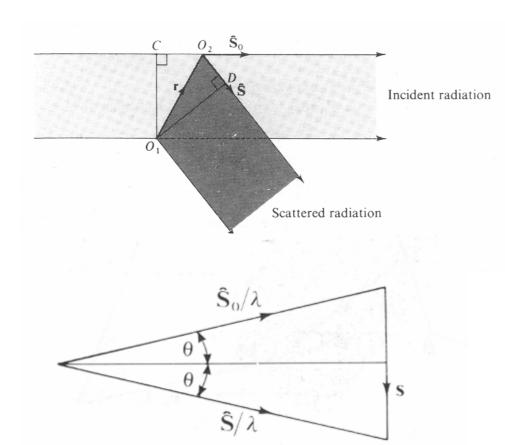
$$I \approx 1/r^2$$

displacement at P:

$$y(2\theta, D, t) = f_{2\theta} \frac{A}{D} \cos \left[ 2\pi v \left( t - \frac{D}{c} \right) - \alpha_s \right]$$

$$y(2\theta, D, t) = f_{2\theta} \frac{A}{D} \cos \left[ 2\pi v \left( t - \frac{D}{c} \right) - \alpha_s \right] \quad \text{or} \quad y(2\theta, D, t) = f_{2\theta} \frac{A}{D} \exp \left[ 2\pi i v \left( t - \frac{D}{c} \right) - \alpha_s \right]$$

## Scattering from two identical point scatter centers



$$\vec{s} = \frac{\vec{S} - \vec{S}_0}{\lambda}$$

2 identical point scattering centers at O1 and O2

scattering phase shift  $\alpha_s$  the same for both O1 and O2

Point P very far away compared to distance O1-O2

phase scattering shift  $\alpha$  between waves scattered at O1 and O2:

$$\alpha_{O_1O_2} = -\frac{2\pi}{\lambda} \left( \overline{CO_2} + \overline{O_2D} \right)$$

$$\alpha_{O_1O_2} = 2\pi \cdot \vec{r} \left( \frac{\vec{S} - \vec{S}_0}{\lambda} \right) = 2\pi \vec{r} \cdot \vec{s}$$

## Scattering from two identical point scatter centers (2)

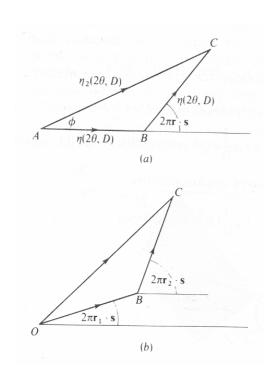
scatterer O1 in origin, scatterer O2 at r:

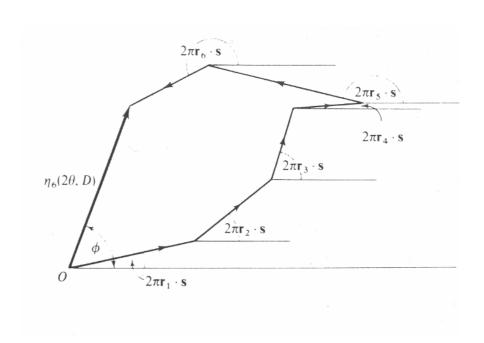
$$y(2\theta, D, t) = f_{2\theta} \frac{A}{D} \exp \left[ 2\pi i v \left( t - \frac{D}{c} \right) - \alpha_s \right] + \exp \left[ 2\pi i v \left( t - \frac{D}{c} \right) - \alpha_s + 2\pi i \vec{r} \cdot \vec{s} \right]$$
$$= f_{2\theta} \frac{A}{D} \exp \left[ 2\pi i v \left( t - \frac{D}{c} \right) - \alpha_s \right] \left[ 1 + \exp(2\pi i \vec{r} \cdot \vec{s}) \right]$$

resultant amplitude:

$$\eta(2\theta, D) = f_{2\theta} \frac{A}{D} [1 + \exp(2\pi i \vec{r} \cdot \vec{s})]$$

#### Scattering from a general distribution of point scatterers





n identical point scatterers:

$$\eta_n(2\theta, D) = \sum_{j=1}^n \left[ n(2\theta, d) \right]_j \exp(2\pi i \vec{r}_j \cdot \vec{s}) \qquad \eta_n(2\theta, D) = \eta(2\theta, D) \sum_{j=1}^n \exp(2\pi i \vec{r}_j \cdot \vec{s})$$

n different point scatterers:

$$\eta_n(2\theta, D) = \eta(2\theta, D) \sum_{j=1}^n \exp(2\pi i \vec{r}_j \cdot \vec{s})$$

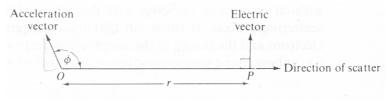
### Thomson scattering I

alternating electromagnetic field of incident radiation leads to alternating acceleration of the electron - harmonic motion

can be envisaged as absorption and re-emission of radiation

Assumption: electron in origin 0, charge e, mass m, acceleration with amplitude a, results in an electric vector of amplitude at point P:

$$E = \frac{ea\sin\varphi}{4\pi\varepsilon_0 rc^2}$$



Amplitudes a:

$$a_{\perp} = \frac{e}{m} E_{\perp}$$
  $a_{\parallel} = \frac{e}{m} E_{\parallel}$ 

$$E_{\parallel}$$

$$E_{\parallel}$$

$$E_{\parallel}$$

$$E_{\parallel}$$

$$E_{\parallel}$$

$$E_{\parallel}$$

$$E'_{\perp} = \frac{e^2}{4\pi\varepsilon_0 rc^2 m} E_{\perp}$$

$$E'_{\parallel} = \frac{e^2 \cos 2\theta}{4\pi\varepsilon_0 rc^2 m} E_{\parallel}$$

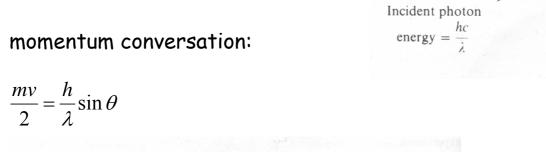
## Compton scattering (incoherent)

Thomson scattering is elastic - Compton scattering is inelastic scattered radiation of longer wavelength than incident radiation

#### conversation of energy:

$$\frac{hc}{\lambda} = \frac{hc}{\lambda + d\lambda} + \frac{mv^2}{2} \qquad \frac{hc}{\lambda^2} d\lambda = \frac{mv^2}{2}$$

$$\frac{hc}{\lambda^2}d\lambda = \frac{mv^2}{2}$$



Scattered photon momentum magnitude 
$$= \frac{h}{\lambda + d\lambda} \frac{Recoil electron}{momentum magnitude} = mv$$
Incident photon momentum magnitude 
$$= h/\lambda$$

$$d\lambda = \frac{2h}{mc}\sin^2\theta = \frac{h}{mc}(1-\cos 2\theta)$$

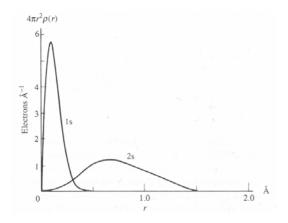
Maximum possible wavelength change for backscattering  $2\theta = \pi$ 

Scattered photon

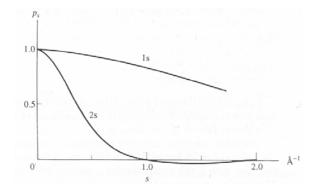
Recoil electron energy =  $\frac{1}{2}mv^2$ 

## scattering of X-rays by atoms

Assumption: spherically symmetric electron density  $\rho(r)$ 



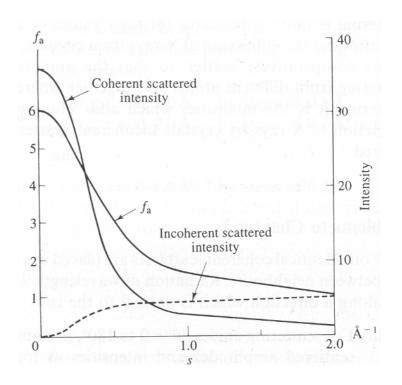
Contributions from 1s and 2s electrons to the atomic form factor



atomic form factor  $f_a$ :

$$f_a = 4\pi \int_0^\infty \rho_a(r) r^2 \frac{\sin(2\pi rs)}{2\pi rs} dr$$

tabulated in International Tables for X-ray Crystallography (Vol. III)



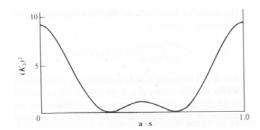
## diffraction from a one-dimensional array of atoms

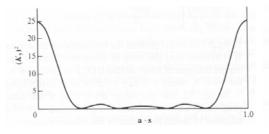
scattering amplitude for a single row of n atoms n=odd, one atom in origin, translation vector **a**:

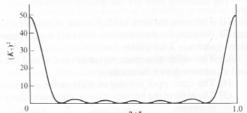
$$A_{n} = f_{a} \sum_{q=-\frac{1}{2}(n-1)}^{\frac{1}{2}(n-1)} \cos(2\pi q \vec{a} \cdot \vec{s}) = \frac{f_{a}}{2\sin(2\pi \vec{a} \cdot \vec{s})} \sum_{q=-\frac{1}{2}(n-1)}^{\frac{1}{2}(n-1)} 2\cos(2\pi q \vec{a} \cdot \vec{s}) \sin(2\pi \vec{a} \cdot \vec{s})$$

$$A_n = f_a \frac{\sin(\pi n \vec{a} \cdot \vec{s})}{\sin(\pi \vec{a} \cdot \vec{s})}$$

$$A_n = f_a \frac{\sin(\pi n \vec{a} \cdot \vec{s})}{\sin(\pi \vec{a} \cdot \vec{s})} \qquad (A_n)^2 = f_a^2 \frac{\sin^2(\pi n \vec{a} \cdot \vec{s})}{\sin^2(\pi \vec{a} \cdot \vec{s})} = f_a^2 (K_n)^2$$







main maxima at  $\mathbf{a} \cdot \mathbf{s} = \text{integer}$ intensity at the maxima increase with increasing n intensity between the main maxima vanishes with increasing n

# Laue equations - diffraction from a three dimensional array of atoms

diffraction maxima for 
$$\vec{a} \cdot \vec{s} = h$$
 (h,k,l = integer):  $\vec{b} \cdot \vec{s} = k$  (1)  $\vec{c} \cdot \vec{s} = l$ 

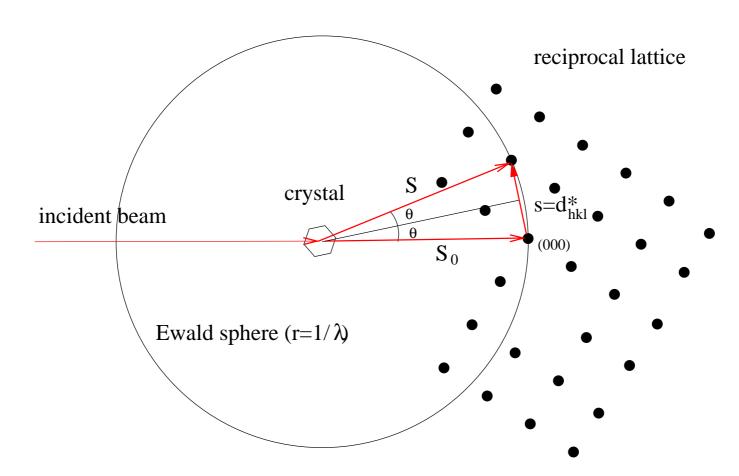
definition of reciprocal lattice: 
$$a \cdot a^* = 1$$
  $b \cdot a^* = 0$   $c \cdot a^* = 0$   $b \cdot a^* = 0$   $b \cdot b^* = 1$   $c \cdot b^* = 0$  (2)
$$c \cdot a^* = 0$$
  $c \cdot b^* = 0$   $c \cdot c^* = 1$ 

reciprocal lattice vector: 
$$\vec{d}_{hkl}^* = h \cdot \vec{a}^* + k \cdot \vec{b}^* + l \cdot \vec{c}^*$$
 (3)

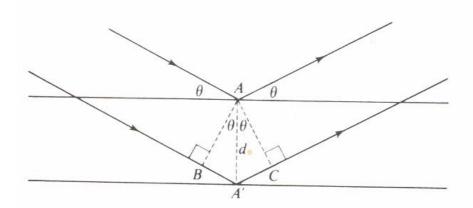
combination of (1), (2), and (3): 
$$(h\vec{a}^* + k\vec{b}^* + l\vec{c}^*) \cdot \vec{a} = h$$
  
 $(h\vec{a}^* + k\vec{b}^* + l\vec{c}^*) \cdot \vec{b} = k$   
 $(h\vec{a}^* + k\vec{b}^* + l\vec{c}^*) \cdot \vec{c} = l$  (4)

- diffraction maxima from crystals occur if scattering vector  ${\bf s}$  equals a reciprocal lattice vector  ${\bf d}^*_{hkl}$
- crystal orientation to observe a diffraction maxima can be calculated by applying eq. (4)

## Ewald sphere



## Bragg's law



phase difference for wave scattered at A and A':

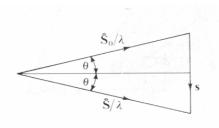
$$\overline{BA'} + \overline{A'C} = d \cdot \sin \theta + d \cdot \sin \theta = 2d \sin \theta$$

constructive interference of waves scattered at A and A' for:

$$2d\sin\theta = n \cdot \lambda$$

remember from Laue equations:

$$\left| d_{hkl}^* \right| = 1 / d_{hkl}$$



#### Structure factor

#### summation over all n atoms in unit cell:

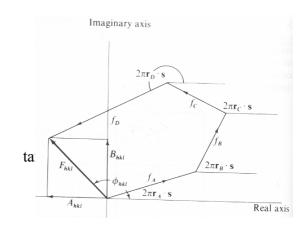
$$F_{hkl} = \sum_{j=1}^{N} f_j \exp(2\pi i \vec{r}_j \cdot \vec{s})$$

h,k,l reflection indices

$$F_{hkl} = A_{hkl} + iB_{hkl}$$

$$A_{hkl} = \sum_{j=1}^{N} f_j \cos(2\pi \vec{r}_j \cdot \vec{s}) \qquad B_{hkl} = \sum_{j=1}^{N} f_j \sin(2\pi \vec{r}_j \cdot \vec{s})$$

$$I = \left| F_{hkl} \right| = A_{hkl}^2 + B_{hkl}^2$$



#### for a centrosymmetric structure:

$$F_{hkl} = \sum_{j=1}^{N} f_j(\cos 2\pi \vec{r}_j \cdot \vec{s})$$

scattering amplitude is real

