Development of Experimental Techniques: Sharp Views into the Nano Cosmos

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HELMHOLTZ RESEARCH FOR GRAND CHALLENGES

Universität Hamburg DER FORSCHUNG | DER LEHRE | DER BILDUNG



Complexity in Nature: Characteristic Length Scales





What we need to do:

Quantitive in-situ measurement of physical properties of matter

>on all relevant length scales

>on all relevant time scales

Key technology: bright, coherent x-rays with time structure

Requirements:

>high coherent flux

- x-ray free-electron lasers
- diffraction-limited storage rings (PETRA IV)
- >efficient nanofocusing

optics

>stability on nanometer scale

Fusion of real and reciprocal space!

(in principle) from Å to millimeters









DESY: Accelerator-Based Light Sources



PETRA III

DESY's bright synchrotron radiation source

History of PETRA:

- > 1978 built for high-energy physics, first direct observation of the gluon, since 1988 pre-accelerator for HERA
- starting July 2007: rebuilding PETRA as a synchrotron radiation source (PETRA III)
- Sept. 2010: start of user operation with the first three beamlines
- End of 2013: all 15 beamlines fully operational in Max v. Laue Hall
- Mar. 2014 Apr. 2015: Shutdown for extension project after the DORIS III shutdown
- > 2016: First beamlines in the extension operational



- > electron energy:
- > stored current:
- > emittance:
- > circumference:
- > photon energy range:
- > beamlines in operation:
- > beamlines under construction:
- > beamlines in planning:
- > user operation (hours/year):
- 6 GeV 100 mA (top-up) **1.2 nm rad 2304 m** 250 eV — 150 keV 21 3 2 5000 h (4000 h)



PETRA III Beamlines

Max v. Laue Hall

P01: Dynamics beamline, IXS, NRS P02.1: Powder diffraction & total scattering P02.2: Extreme conditions P03: Micro-, nano-SAXS, WAXS P04: Variable polarisation XUV P05: Micro-, nano-tomography (HZG) P05: Micro-, nano-tomography (HZG) P06: Hard X-ray micro-, nanoprobe P07: High-energy materials sci. (HZG, DESY) P08: High-resolution diffraction P09: Resonant scattering/diffraction P10: Coherence applications P11: Bioimaging/diffraction P12: BioSAXS (EMBL) P13/14: MX (EMBL)

P21: Swedish materials science beamline
P22: Hard X-ray photoelectron spectroscopy
P23: In-situ and nano diffraction beamline
P24: Chemical crystallography
P25: HIMAX, NRS (in planning)



Verbundforschung

Federal Ministry of Education and Research



P61: High-energy wiggler beamline (HZG, DESY operational 2019)

P62: Small-angle X-ray scattering (under construction)

P63: MPG catalysis (in planning)

P64: Advanced XAFS

P65: Applied XAFS

P66: Time-resolved luminescence spectroscopy (operational 2021)



X-ray Scanning Microscopy

Broad field of applications:

>Main advantage: large penetration depth

- in-situ and operando studies
- 3D bulk analysis without destructive sample preparation
- >X-ray analytical contrasts: XRD, XAS, XRF, ...
 - elemental, chemical, and structural information

Today: "mesoscopic gap"

real-space resolution: down to about 10 nm

XRD and XAS: atomic scale









catalysts Cu(I)₂O

C. G. Schroer, et al.,

Many interesting physics and chemistry (e.g. catalysis) at the 1 - 10 nm scale!



X-ray Microscopy

Many interesting physics and chemistry questions:

investigate local states:

- individual defects (0D): changes in electron density, charge ordering
- > (structural) domain boundaries (2D), e.g., in multiferroics
- > mesoscopic dynamics at (solid-state) phase transitions
- > catalytic nanoparticles (under reaction) conditions)

ferroelectric phase transition



Griffin, et al., PRX 2, 041022 (2012).

variation of supercond. gap



Lang, et al., Nature 415, 412 (2002).

>... Mesoscale also very important for nanotechnology

(e.g., defects in devices)!

nanoelectromechanical switch





Current State of X-Ray Microscopy

Conventional x-ray microscopy

optics limit spatial resolution: diffraction limit



(typically: a few tens of nanometers)

optics are technology limited! Theoretical extrapolation of x-ray optical performance to the atomic level.

[PRB 74, 033405 (2006); H. Yan, et al., PRB 76, 115438 (2007)]

Coherent x-ray imaging techniques (CXDI, ptychography)

- no imaging optics needed!
- limited by statistics of far-field diffraction patterns ...

highest resolution: a few nanometers, focusing coherent beam [PRL 101, 090801 (2008); Y. Takahashi, et al., PRB 80, 054103 (2009); A. Schropp, et al., APL 100, 253112 (2012)]





Spectral Brightness

10000x more "light" per decade (since 1965)!!



Spectral Brightness

10000x more "light" per decade (since 1965)!!



Nanofocusing Optics

reflection:

- pair of mirrors focus in KB-geometry >mirrors (25 nm) H. Mimura, et al., APL 90, 051903 (2007) Focusing optic > capillaries >wave guides (~10 nm) -ray source S. P. Krüger, et al., J. Synchrotron Rad. 19, 227 (2012) (a) (b) diffraction: >Fresnel zone plates (< 10 nm)</p> J. Vila-Comamala, et al., Ultramic. 109, 1360 (2009) > multilayer mirrors (7 nm) H. Mimura, et al., Nat. Phys. 6, 122 (2010) > multilayer Laue lenses (8 nm) A. Morgan, et al., Sci. Rep. 5, 09892 (2015) > bent crystals Section refraction: >lenses (43 nm, 18 nm)
 - C. G. Schroer, et al., AIP Conf. Ser. 1365, 227 (2011)
 - J. Patommel, et al., APL 110, 101103 (2017)

X-Ray Microscopy Techniques: Full-Field Imaging



Example: Projection Imaging (Phase Contrast)





3D Reconstruction

Many slices:

3D structure



root of mahogany tree (W. H. Schröder, FZ Jülich)



resolution: ~ 3 μ m



Visualize Catalysts in Action

Methane often wasted during oil production:

First step to convert methane into liquid fuels (syngas production):





VOLUME 110 JUNE 15, 2006 NUMBER 23 http://pubs.acs.org/JPCB THE JOURNAL OF PHYSICAL CHEMISTRY



- Inlet

Outlet

23.20 23.25 23.30 23.35 23.40 23.45 23. E / keV

CONDENSED MATTER, MATERIALS, SURFACES, INTERFACES, & BIOPHYSICAL CHEMISTRY

2D-Mapping of a Heterogeneous Catalyst inside a Fixed-Bed Reactor by X-Ray Absorption Spectroscopy (see page XXXX)

PUBLISHED WEEKLY BY THE AMERICAN CHEMICAL SOCIETY



Visualize Catalysts in Action

Methane often wasted during oil production:

First step to convert methane into liquid fuels (syngas production):



Combustion of methane: $CH_4 + 2O_2 \longrightarrow CO_2 + 2H_2O$ (exothermal: -801,7kJ/mol) reforming of methane to H_2 : $CH_4 + H_2O \xrightarrow{Rh} CO + 3H_2$ (endothermal: 206.1kJ/mol) $CH_4 + CO_2 \xrightarrow{Rh} 2CO + 2H_2$ (endothermal: 247,5kJ/mol) potentially other reaction: direct partial oxidation: $2CH_4 + O_2 \xrightarrow{Rh} 2CO + 8H_2$ (exothermal: -35,5kJ/mol)

X-Ray Absorption: Lambert-Beer Law



$$I_1(E) = I_0(E) \cdot \exp\left[-\mu(E)d\right]$$

 $\mu(E)$: linear attenuation coefficient

$$\mu(E) \cdot d = \ln\left(\frac{I_0}{I_1}\right)$$



Photo Absorption





$\mu(E)$: linear attenuation coefficient



> mainly atomic effect

> strong dependence on x-ray energy:

$$\times E^{-2.78}$$

> strong dependence on atomic number:

$$\propto Z^{2.7}$$

> larges contribution from inner shells



Example: Absorption in Cu

 $\mu(E)$: linear attenuation coefficient





X-ray Absorption Spectrum

Three characteristic features:

> Energy of absorption 3000 edge: oxidation state (cm⁻¹) > Near-edge region: 2000 (XANES: x-ray absorption near edge structure) local, projected density of states 1000 > Extended fine structure: (EXAFS: extended x-ray absorption fine structure) local chemical environment ()of atomic species 9500 9000 10000 E(eV)



Energy of Absorption Edge



Increasing oxidation state: absorption edge shifts to higher x-ray energies

Reduced screening of electric field of nucleus by valence electrons:

other electrons more tightly bound!



Shape of Near-Edge Spectrum



Shape of spectrum:

- > can be modeled by methods in theoretical solid state physics
- > can be used as "fingerprint" to identify a given chemical environment



Visualize Catalysts in Action

Methane often wasted during oil production:

First step to convert methane into liquid fuels (syngas production):



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Visualize Catalysis

In-situ transmission imaging of catalyst bed inside chemical reactor



Grunwaldt, et al., J. Chem. Phys. B **110,** 8674 (2006)



Visualize Catalysis



Grunwaldt, et al., J. Chem. Phys. B **110,** 8674 (2006)



Visualize Catalysis

$2 \operatorname{CH}_4 + \operatorname{O}_2 \rightarrow 2 \operatorname{CO} + 4 \operatorname{H}_2$

direction of flow



Grunwaldt, et al., J. Chem. Phys. B **110,** 8674 (2006)

production of hydrogen Rh is reduced!



Filming the Ignition of a Catalytic Reaction

Partial oxidation of Methane by reforming





Filming the Ignition of a Catalytic Reaction

Partial oxidation of Methane by reforming

Imaging difference compared to oxidized catalyst:



Catalyst is being reduced when reforming reaction ignites

B. Kimmerle, et al., J. Phys. Chem. C **113,** 3037 (2009)

X-Ray Microscopy Techniques: Full-Field Imaging

Full-Field X-Ray Microscopy

Magnifying imaging by objective:

Example: soft x-ray imaging of cells (high contrast in water window) adenocarcinoma (mouse)

G. Schneider, et al., Nature Methods (2010). BESSY II, HZB

resolution: ca. 70 nm $(\lambda \approx 2.4 \text{ nm}, \alpha = 3.3^{\circ})$

Scanning Microscopy and Tomography: Nanoprobe

X-Ray Scanning Microscopy and Tomography

>Fluorescence microtomography

X-Ray Scanning Microscopy and Tomography

X-Ray Scanning Microscopy and Tomography

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>Fluorescence microtomography

>Tomographic absorption spectroscopy (XANES tomography)





- >Fluorescence microtomography
- >Tomographic absorption spectroscopy (XANES tomography)
- >Small-angle x-ray scattering tomography (SAXS tomography)





>Fluorescence microtomography



>Fluorescence microtomography



Scanning Microscopy with Hard X-Rays

Source is imaged onto the sample to create an intensive micro-/nanobeam:





Spectral Brightness

10000x more "light" per decade (since 1965)!!



Example: investigating the ion transport in plants

Fluorescence analysis of plants:

strong diffusion of elementscell structure complicated and delicate

Difficult sample preparation

- >cryo sections
- >fracture surfaces

ideal:

nondestructive probe of inner structures of sample





Fluorescence Tomography

Root of Mahogany tree

element distribution on virtual section through sample

Example:







X-ray Fluorescence & Auger Prozess





X-ray Fluorescence & Auger Prozess





Röntgenfluoreszenz & Augerprozess





Fluorescence Yield





Fluorescence Spectrum





Excitation with Monochromatic Synchrotron Radiation

Example: undulator radiation (Si 111 monochrom.): 19.5 keV





Scanning Probe: Fluorescence Microtomography





Fluorescence Microtomography





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Fluorescence Tomography: Measured Data

Sinograms:



translations: 128, 6µm

experimental parameters:

- >energy: 19.5 keV
- > refractive lens (AI): *N* = 150, f = 45.4 cm, m = 1/127
- >beam size: 1.5 x 6µm², flux: 1.1 · 10¹⁰ ph/s



Fluorescence Tomography: Measured Data

Sinograms:



translations: 128, 6µm

Symmetry:

$$I_{i\nu}(-r,\varphi+\pi) = I_{i\nu}(r,\varphi)$$

only holds for Rb! Absorption of fluorescence radiation: asymmetry in sinogram.



Fluorescence Tomography: Model





Absorption Correction

Example: potassium distribution in Mahogany root

Disregarding attenuation of fluorescence:







Absorption Correction

Example: potassium distribution in Mahogany root

Accounting for attenuation of fluorescence:





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Fluorescence Tomography

root of Mahogany tree

pixel size: 6 µm













Fluorescence Tomography

Take advantage of:

- >large penetration depth of x-rays
- >element specific contrast

Compare with structural data from transmission tomogram:

${\sf K}\,{\sf K}\alpha$







SAXS Tomography: Local Nanostructure

SAXS: Small-Angle X-ray Scattering

Investigating the local nanostructure on a virtual section through sample

Non-destructive investigation of inner structure of sample

virtual section

reconstructed SAXS cross section at each point on the virtual section

C. Schroer, et al., Appl. Phys. Lett. 88, 164102 (2006)

Sample:



DESY.

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polyethylene rod

Tomographic Small-Angle X-Ray Scattering





SAXS Tomography at Beamline BW4 at DORIS III





SAXS Tomography at Beamline BW4 at DORIS III





SAXS Tomography at Beamline BW4 at DORIS III







Transmitted beam:

homogeneous density (polyethylene):

 $\rho = [0.88 \pm 0.04] \text{g/cm}^3$

attenuation



C. Schroer, et al., Appl. Phys. Lett. 88, 164102 (2006)





scattered signal:

$$I_{\vec{q}}(r,\varphi) = I_0 \int ds \ f(\varphi,s,r) p_{\vec{q},\varphi}(x,y) g(\varphi,s,r)$$

attenuation of primary beam:

attenuation of scattered beam

$$f(\varphi, s, r) = \exp\left\{-\int_{-\infty}^{s} ds' \ \mu(x, y)\right\} \qquad g(\varphi, s, r) = \exp\left\{-\int_{s}^{\infty} ds' \ \mu(x, y)\right\}$$

Diffraction signal in forward direction:

$$I_1(r,\varphi) = I_0(r,\varphi) \cdot f(\varphi,s,r) \cdot g(\varphi,s,r)$$
 independent of s

C. Schroer, et al., Appl. Phys. Lett. 88, 164102 (2006)



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scattered signal:

$$I_{\vec{q}}(r,\varphi) = I_1 \int ds \ p_{\vec{q},\varphi}(x,y)$$

tomography works only if $p_{\vec{q},\varphi}(x,y)$ is independent φ

general case: $p_{\vec{q},\varphi}(x,y)$ complicated function reconstruction only for $q_r = 0$ (*q* along rotation axis)



C. Schroer, et al., Appl. Phys. Lett. 88, 164102 (2006)





scattered signal:

$$I_{\vec{q}}(r,\varphi) = I_1 \int ds \ p_{\vec{q},\varphi}(x,y)$$

tomography works only if $p_{\vec{q},\varphi}(x,y)$ is independent φ

Special case: $p_{\vec{q},\varphi}(x,y)$ has rotation symmetry around rotation axis reconstruction of full SAXS cross section in the vicinity of q = 0



C. Schroer, et al., Appl. Phys. Lett. 88, 164102 (2006)



SAXS Tomography

reconstruction: translation rotation

attenuation



scattered intensity



integral scattering cross section along rotation axis



SAXS Tomography

Sample with fibre texture:

scattered intensity



C. Schroer, et al., Appl. Phys. Lett. **88**, 164102 (2006) $q_z [nm^{-1}]$ $\log_{p_{q_r,q_z}[a. u.]}$

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intensity [a. u.]

inhomogeneous nanostructure

scattering cross section in each pixel (rotation symmetry)!



SAXS Tomography in 3D



Liebi, M., et al., Nature, **527**(7578), 349–352. (2015).

general SAXS-tomographic oroblem

in general: measure 6 dimensional information! Scan in 4 dimensions and record 2D patterns (coarse mesh due to time limitations)





X-ray microscopy as a quantitative local measurement:

>Full-field microscopy: attenuation and phase contrast



> scanning microscopy:

all x-ray analytical techniques can be used as contrast:

 x-ray fluorescence (XRF): chemical composition (quantitative analysis)
x-ray absorption spectroscopy (XAS): chemical state of given element (e. g. oxidation)

> x-ray diffraction and scattering (SAXS & WAXS): local nanostructure

Full-field and scanning microscopy require x-ray optics

 \rightarrow

resolution limited by numerical aperture of optics



Next time: what are the limits and how can we overcome them?

